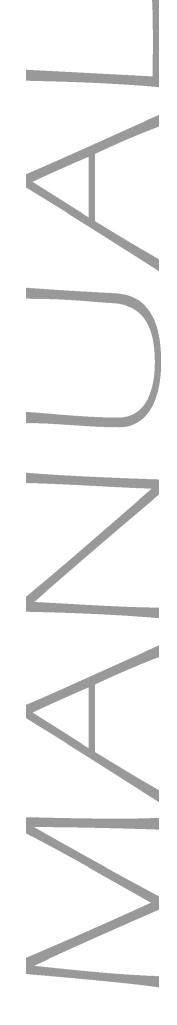
## 2000-01 NCAA DIVISION I MANUAL

Constitution

Operating Bylaws

Administrative Bylaws





### THE NATIONAL COLLEGIATE ATHLETIC ASSOCIATION

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This publication incorporates the final legislative actions taken by the Division I Board of Directors from August 1, 1999, through the Board's April 2000 meeting. In addition, interpretations identified by the Division I Academics/Eligibility/Compliance Cabinet Subcommittee on Legislative Review/Interpretations are included in the Manual. Legislation approved by the Board is set off by a gray background and contains the date of adoption or revision. Interpretations included by the Legislative Review/Interpretations Subcommittee are set off by a gray background and also include an adoption or revision date. Readers seeking the legislative history of a given provision (i.e., earlier dates of adoption or revision) should consult the appropriate paragraphs in the 1988-89 NCAA Manual or the NCAA membership services staff.

Distributed to: directors of athletics; faculty athletics representatives; senior woman administrators; chief executive officers; conference commissioners; compliance officers; and provisional, affiliated and corresponding members.

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### I. MANUAL FORMAT

### LARGE PAGE/LARGE TYPE

The 81/2 x 11-inch page size and large type size facilitate effective and efficient use of the Manual.

### **BLEED TABS**

A "bleed tab" on each page helps the user turn to the desired article quickly.

### ARTICLE TABLE OF CONTENTS

At the beginning of each article is an abbreviated table of contents to assist the user in locating specific information within the article.

### **GENERAL PRINCIPLES**

General principles that are considered of particular importance in helping the user understand the rationale for the detailed regulations that follow are presented at the beginning of appropriate articles.

### **DEFINITIONS AND APPLICATIONS**

Following the general principles in most articles is a section in which definitions and applications are provided for a number of the more important words or terms used in that article.

### **DECIMAL NUMBERING** WITH TOPIC HEADINGS

The decimal numbering system provides efficiency and flexibility. Section headings, to at least the fourth subsection level, and for further subsections where appropriate, assist the user in identifying the section content, thus facilitating ready access to pertinent regulations. This system also facilitates a "hanging indention" presentation, which helps the reader relate the subsections to the basic section.

BYLAW, ARTICLE 13

### Recruiting

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#### 13.01 GENERAL PRINCIPLES

13.0.1.1 Eiglbility Effects of Recruiting Violation. The recruitment of a student-athlete by a member institution or any representative of its athletics interests in violation of the Association's legislation, as acknowledged by the institution or established through the Association's enforcement procedures, shall result in the student-athlete's becoming ineligible to represent that institution in intervollegate athletis. The AcademicsEligibilityOmplance Cabinet may restore the eligibility of a student involved in such a violation only when circumstances clearly warrant restoration. A student is responsible for his or her involvement in a violation of NCAA regulations during the student's recruitment, and involvement in a major violation (see Plant 19022.2.2) may cause the student to become permanently ineligible for intervollegiste athletics competition at that institution.

13.01.2 Entertainment. A member institution may provide entertainment (per Bylaw 135), at a scale comparable to that of normal student life and not excessive in nature, to a prospect and his or her parents (or legal guardinas) or spouse only at the institution's campus(or, on an official visit, within 30 miles of the institution's campus). Entertainment of other relatives or friends of a prospect at any site is prohibited.

13.01.3 Institutional Responsibility in Recruitment. A member of an institution's athletics staffor a representative of its athletics interests shall not recruit a prospect except as permitted by this Association, the institution and the member conference, if any.

13.01.4 Off-Campus Recruiting. In-person, off-campus recruiting is limited to authorized athletics department staff members, and limitations may be placed on the number of staff members who are permitted to recruit off campus (see Bylaws 13.1.24 and 13.1.25).

13.01.5 Recruiing by Representatives of Athetics Interests. Representatives of an institution's athletics interests (as defined in Bylaw 13.02.12) are prohibited from making in-person, on- or off-campus recruiting contacts, or written or telephonic communications with a prospect or the prospect's relatives or legal guardians. Specific examples of the exceptions to application of this regulation are set forth in Bylaw 13.1.2.3 (see a ke Bylaw 13.1.3.5.1.1).

13.01.6 Time Periods for Telephone Calls and Contacts. Telephone calls or in-person, off-campus 13.01.6. Time Periods for Telephone Calls and Contacts. Telephone calls or m.-person, of campus recruiting contacts shall not be made with a prospect or the prospects relatives or legal guardians prior to July 1 (September 1 in Divisions I-A and I-AA football not besketball, such contacts are confined to specific outact periods. In all sports, time periods are established chring which no on- or off-campus contacts are permitted (see Bylaw 30.11 and Figures 13.1 through 13.7). (Revined: 11/10/91 effective 71/19/1, Revined: 11/16/33, 1/11/94 effective 3/15/94, Revined: 11/10/95, 1/14/97 effective 5/11/97, Revined: 10/28/97)

13015.1 Exception—Divisions IA and I-AA Foodbal. In Divisions IA and I-AA footbal, one tele-phone call to a prospect for the prospect's relatives or legal guardian(s) may be made during the month of May of the prospect's junior year in high school. (Adopted: 1114197 effective 5/1197, Revisect 10/28/97)

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### VOTING REQUIREMENTS

Symbols for voting requirements appear in the outside margin opposite each regulation. See page xi for a complete explanation of all symbols. Each division's manual contains legislation specific to the applicable division and does not contain legislation pertaining only to one or both of the other divisions.

### II. ORGANIZATION OF ARTICLES IN THREE DISTINCT CATEGORIES

All regulations governing the administration of intercollegiate athletics appear in the bylaws. The constitution contains only principles for the conduct of intercollegiate athletics and other basic information.

### Constitution

Articles 1 through 6 are the constitution, which consists of information relevant to the purposes of the Association, its structure, its membership and legislative-process information, and the more important principles for the conduct of intercollegiate athletics.

- Article 1 Name, Purposes and Fundamental Policy
- **Article 2** Principles for Conduct of Intercollegiate Athletics
- Article 3 NCAA Membership
- Article 4 Organization
- **Article 5** Legislative Authority and Process
- Article 6 Institutional Control

### **Operating Bylaws**

Articles 10 through 23 are the operating bylaws, which consist of legislation adopted by the membership to promote the principles enunciated in the constitution and to achieve the Association's purposes.

- Article 10 Ethical Conduct
- Article 11 Conduct and Employment of Athletics Personnel
- Article 12 Amateurism
- **Article 13** Recruiting
- Article 14 Eligibility: Academic and General Requirements
- Article 15 Financial Aid
- Article 16 Awards, Benefits and Expenses for Enrolled Student-Athletes
- **Article 17** Playing and Practice Seasons
- **Article 18** Championships and Postseason Football
- **Article 19** Enforcement
- **Article 20** Division Membership
- **Article 21** Committees
- Article 22 Football Television Plans and Regulations
- **Article 23** Athletics Certification

#### **Administrative Bylaws**

Articles 30 through 33 are administrative bylaws, which set forth policies and procedures for the implementation of (a) the general legislative actions of the Association, (b) the NCAA championships and the business of the Association, (c) the Association's enforcement program and (d) the Association's athletics certification program. These administrative bylaws may be adopted or modified by the applicable divisional presidential administrative groups in Divisions I and II and the Management Council in Division III (administrative regulations; on recommendation of the Committee on Infractions in Divisions I and II and; the Subcommittee on Student-Athlete Reinstatement in Division III, enforcement policies and procedures; on recommendation of the Committee on Athletics Certification, athletics certification policies and procedures; and executive regulations) for the efficient administration of the activities that they govern. These same bylaws also may be amended by a majority vote of the membership [or applicable division(s)] at NCAA Conventions.

- **Article 30** Administrative Regulations
- **Article 31** Executive Regulations
- **Article 32** Enforcement Policies and Procedures
- Article 33 Athletics Certification Policies and Procedures

### III. VOTING REQUIREMENTS FOR MANUAL

The Manual attempts to present all regulations on a given subject in logical order. As a result, different paragraphs in the same sections may carry different voting requirements. The following terms designate voting requirements currently in effect for sections in the Manual:

- **Dominant provision**—Legislation that is derived from the constitution in the 1988-89 Manual (the Manual format that was employed until the membership approved the revised format at the 1989 Convention). All such legislation is identified by an asterisk (\*) in the margin and requires a two-thirds majority vote of the total membership (present and voting) for adoption or amendment.
- **Common provision**—Legislation that is derived from the common bylaws (9, 10, 12 and 13) in the 1988-89 Manual. All such legislation is identified by a pound sign (#) in the margin and requires a majority vote of each of the three divisions, voting separately, for adoption or amendment.
- **General provision**—Legislation that applies to all three divisions and that requires a simple majority vote of all divisions, voting jointly, for adoption or amendment (e.g., enforcement procedures, some executive regulations). Such legislation is identified by a plus sign (+) in the margin.
- Federated provision—Legislation that is derived from divided bylaws in the 1988-89 Manual. Such legislation can be adopted or amended by a majority vote of one or more of the divisions (or, in some cases, subdivisions), voting separately. A federated provision is identified by the Roman numeral(s) of the division(s) to which it applies.
- **Division dominant**—A division dominant provision is one that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by a diamond symbol (♠) in the margin.

Note: The authorization for adoption and amendment of each of the administrative bylaws (30, 31, 32 and 33) is set forth, as provided in 5.2.3.1, 5.2.3.2, 5.2.3.3 and 5.2.3.4.

Amendments to existing sections of the Manual have the same voting requirement as the section does now. The Executive Committee is authorized to establish the voting requirement for any new section when the content or context does not clearly determine it. The authorization for this is set forth in 5.3.9.1.

### **Symbols Designating Voting Requirements and Divisions** For Which Federated Legislation is Applicable **Provision Provision** Symbol **Symbol Dominant** (Federated) Common Divisions I-A and I-AA # Ι-Δ/Ι-ΔΔ **Division dominant** General **Federated Division I-A Division I-AA** I-AA

### IV. DIAGRAMS AND TABLES

Diagrams and tables are included as supplements to the text to help present the content of certain regulations in a clear and concise manner and are not intended to substitute for the actual legislation. They are presented as "Figures" and are listed on page vii. With the exception of Bylaw 17, all diagrams and tables related to a given article of the Constitution or a particular bylaw have been placed at the back of the article or bylaw.

### **EXAMPLE**

### FIGURE 15-3

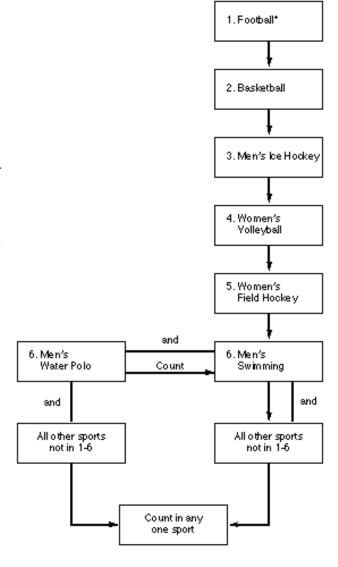
Where To Count Student-Athletes Who Participate in More Than One Intercollegiate Sport 2000-01 Academic Year

### DIRECTIONS:

Start at 1, football, and keep going until you reach a sport in which the student-athlete participates. Count the student-athlete in that sport.

Note the alternatives for men's water polo. If a student-athlete participates in men's water polo and men's swimming, he is counted in men's swimming. If he participates in men's water polo and any sport except football, men's basketball, men's ice hockey and men's swimming, he can be counted in either of his sports. If he participates in men's swimming and any other sport except for football, men's basketball, men's ice hockey and men's water polo, then he can be counted in either of his sports.

For student-athletes who practice with one of the teams numbered 1-2 and 4-5 but don't play on a junior-varsity or varsity team, see the information on the two-year exception rule in Bylaw 15.5.7.7.



"In tootball, a counter who was not recruited and or offered financial aid to participate in tootball and who competes in tootball and one or more sports (including baskerball) must be counted in the sport of tootball (see B ylaw 15.5.7.1).

### V. LEGISLATION THAT IS SHADED/SCREENED

Legislation that was incorporated by the Academics/Eligibility/Compliance Cabinet Subcommittee on Legislative Review/Interpretations is set off by a gray background and contains the date of adoption or revision. Interpretations, noncontroversial amendments and modifications of wording approved by the Management Council are set off by a gray background and include an adoption or revision date.

**13.1.3.4.1.1 Chief Executive Officer/Director of Athletics.** It is permissible for an institution's chief executive officer and director of athletics to return (as opposed to initiate) telephone calls from football prospects (or the prospects' parents or legal guardian). Under such circumstances, there are no restrictions on the content of the conversation that may occur during the call; however, any return call is subject to any applicable limitations on the number of telephone calls that an institution may place to football prospects. (*Adopted: 6/8/99*)

### VI. NOTATION OF LEGISLATION WITH DELAYED EFFECTIVE DATE

Legislation with a delayed effective date is enclosed in a box and set off by a gray background. The first item in each box is a notation of the action taken by the Board of Directors and the date the amendment becomes effective. It will be shaded. The legislation currently applicable follows and does not have a gray background. The legislation as amended, scheduled to take effect at a later date, is next and is set off by a gray background. Because this manual is effective August 1, 2000, the only legislation that will be shown in this manner is that which is to be effective August 1, 2001, or later.

The following 13.1.8.4 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:

**13.13.4 Evaluation Days**—Basketball. In men's basketball, each institution is limited to 50 evaluation days, per Bylaw 13.02.7. In women's basketball, each institution is limited to 40 evaluation days per Bylaw 13.02.7. (Adopted: 1/9/96 effective 8/1/96, Revised: 4/27/00 effective 8/1/00)

13.13.4 Evaluation Days—Basketball. In women's basketball, each institution is limited to 40 evaluation days, per Bylaw 13.02.7 during evaluation periods that occur during the academic year. In men's basketball, each institution is limited to 70 evaluation days, per Bylaw 13.02.7. In men's basketball, institutional coaching staff members are restricted to evaluating only basketball activities that are part of a prospect's normal high-school, preparatory school or two-year college season, and events that are approved, sanctioned, sponsored or conducted by the applicable state high-school association, National Federation of State High School Associations or the National Junior College Athletic Association. (Adopted: 1/9/96 effective 8/1/96, Revised: 4/27/00 effective 8/1/00, Revised: 4/27/00 effective 8/1/01)

### **VII. ORGANIZATION OF THE NCAA MANUAL**

Divisions I, II and III each have a master manual containing Articles 1-33. Each division's manual contains legislation specific to the applicable division and does not contain legislation pertaining only to one or both of the other divisions. However, legislation that includes references to one or both of the other divisions will appear in its entirety. In addition, since each division's manual does not contain legislation specific to the other division(s), some bylaws may have gaps in the numbering sequence.

	MASTER MANUAL	
Article 1	Name, Purposes and Fundamental Policy	ဂ္ဂ
Article 2	Principles for Conduct of	CONSTITUTION
	Intercollegiate Athletics	Ĭ
Article 3	NCAA Membership	5
Article 4 Organization		
Article 5	Legislative Authority and Process	Z
Article 6	Institutional Control	
Article 10	Ethical Conduct	
Article 11	Conduct and Employment of Athletics Personnel	
Article 12	Amateurism	
Article 13	Recruiting	
Article 14	Eligibility: Academic and General	<u>0</u>
	Requirements	OPERATING BYLAWS
Article 15	Financial Aid	Š
Article 16 Awards, Benefits and Expenses for		Ž
	Enrolled Student-Athletes	Ω
Article 17	Playing and Practice Seasons	ž
Article 18	Championships and Postseason Football	Š
Article 19	Enforcement	G
Article 20	Division Membership	
Article 21	Committees	
Article 22 Football Television Plans and Regulations		
Article 23	Athletics Certification	
Article 30	Administrative Regulations	□ .
Article 31	Executive Regulations	ADM BYLA
Article 32	Enforcement Policies and Procedures	₹ ¥
Article 33	Athletics Certification Policies and Procedures	Š-

### **CONSTITUTION, ARTICLE 1**

# Name, Purposes and Fundamental Policy

1.1	Name	1
1.2	Purposes	1
1.3	Fundamental Policy	1

### 1.1 NAME

The name of this organization shall be "The National Collegiate Athletic Association."

\*

### 1.2 PURPOSES

The purposes of this Association are:

\_

- (a) To initiate, stimulate and improve intercollegiate athletics programs for student-athletes and to promote and develop educational leadership, physical fitness, athletics excellence and athletics participation as a recreational pursuit;
- (b) To uphold the principle of institutional control of, and responsibility for, all intercollegiate sports in conformity with the constitution and bylaws of this Association;
- (c) To encourage its members to adopt eligibility rules to comply with satisfactory standards of scholarship, sportsmanship and amateurism;
- (d) To formulate, copyright and publish rules of play governing intercollegiate athletics;
- (e) To preserve intercollegiate athletics records;
- (f) To supervise the conduct of, and to establish eligibility standards for, regional and national athletics events under the auspices of this Association;
- (g) To cooperate with other amateur athletics organizations in promoting and conducting national and international athletics events;
- (h) To legislate, through bylaws or by resolutions of a Convention, upon any subject of general concern to the members related to the administration of intercollegiate athletics; and
- (i) To study in general all phases of competitive intercollegiate athletics and establish standards whereby the colleges and universities of the United States can maintain their athletics programs on a high level.

### 1.3 FUNDAMENTAL POLICY

- **1.3.1 Basic Purpose.** The competitive athletics programs of member institutions are designed to be a vital part of the educational system. A basic purpose of this Association is to maintain intercollegiate athletics as an integral part of the educational program and the athlete as an integral part of the student body and, by so doing, retain a clear line of demarcation between intercollegiate athletics and professional sports.
- **1.3.2 Obligations of Member Institutions.** Legislation governing the conduct of intercollegiate athletics programs of member institutions shall apply to basic athletics issues such as admissions, financial aid, eligibility and recruiting. Member institutions shall be obligated to apply and enforce this legislation, and the enforcement procedures of the Association shall be applied to an institution when it fails to fulfill this obligation.

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# Principles for Conduct of Intercollegiate Athletics

2.01 2.1	General Principle3 The Principle of Institutional	2.10	The Principle of Competitive Equity5
2.2	Control and Responsibility3 The Principle of Student-Athlete	2.11	The Principle Governing Recruiting5
	Welfare3	2.12	
2.3	The Principle of Gender Equity4	2.13	The Principle Governing Financial
2.4	The Principle of Sportsmanship		Aid5
	and Ethical Conduct4	2.14	The Principle Governing Playing
2.5	The Principle of Sound Academic		and Practice Seasons5
	Standards4	2.15	The Principle Governing Postseason
2.6	The Principle of Nondiscrimination4		Competition and Contests
2.7	The Principle of Diversity within		Sponsored by Noncollegiate
	Governance Structures4		Organizations5
2.8	The Principle of Rules	2.16	The Principle Governing the Economy
	Compliance4		of Athletics Program Operation5
2.9	The Principle of Amateurism5		

### 2.01 GENERAL PRINCIPLE

Legislation enacted by the Association governing the conduct of intercollegiate athletics shall be designed to advance one or more basic principles, including the following, to which the members are committed. In some instances, a delicate balance of these principles is necessary to help achieve the objectives of the Association.

### 2.1 THE PRINCIPLE OF INSTITUTIONAL CONTROL AND RESPONSIBILITY

- **2.1.1 Responsibility for Control.** It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. The institution's chief executive officer is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures.
- **2.1.2 Scope of Responsibility.** The institution's responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the institution.

### 2.2 THE PRINCIPLE OF STUDENT-ATHLETE WELFARE

Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational welfare of student-athletes.

- **2.2.1 Overall Educational Experience.** It is the responsibility of each member institution to establish and maintain an environment in which a student-athlete's activities are conducted as an integral part of the student-athlete's educational experience. (*Adopted: 1/10/95*)
- **2.2.2 Cultural Diversity and Gender Equity.** It is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and gender equity among its student-athletes and intercollegiate athletics department staff. (*Adopted: 1/10/95*)
- **2.2.3 Health and Safety.** It is the responsibility of each member institution to protect the health of and provide a safe environment for each of its participating student-athletes. (*Adopted:* 1/10/95)
- **2.2.4 Student-Athlete/Coach Relationship.** It is the responsibility of each member institution to establish and maintain an environment that fosters a positive relationship between the student-athlete and coach. (Adopted:1/10/95)
- **2.2.5 Fairness, Openness and Honesty.** It is the responsibility of each member institution to ensure that coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes. (Adopted: 1/10/95)

### Student-Athlete Welfare/2.2.6—Rules Compliance/2.8.3

**2.2.6 Student-Athlete Involvement.** It is the responsibility of each member institution to involve student-athletes in matters that affect their lives. (Adopted: 1/10/95)

### 2.3 THE PRINCIPLE OF GENDER EQUITY

- **2.3.1 Compliance With Federal and State Legislation.** It is the responsibility of each member institution to comply with federal and state laws regarding gender equity. (*Adopted: 1/11/94*)
- **2.3.2 NCAA Legislation.** The Association should not adopt legislation that would prevent member institutions from complying with applicable gender-equity laws, and should adopt legislation to enhance member institutions' compliance with applicable gender-equity laws. (Adopted: 1/11/94)
- **2.3.3 Gender Bias.** The activities of the Association should be conducted in a manner free of gender bias. (Adopted: 1/11/94)

### 2.4 THE PRINCIPLE OF SPORTSMANSHIP AND ETHICAL CONDUCT

- For intercollegiate athletics to promote the character development of participants, to enhance the integrity of higher education and to promote civility in society, student-athletes, coaches, and all others associated with these athletics programs and events should adhere to such fundamental values as respect, fairness, civility, honesty and responsibility. These values should be manifest not only in athletics participation but also in the broad spectrum of activities affecting the athletics program. It is the responsibility of each institution to: (Revised: 1/9/96)
  - (a) Establish policies for sportsmanship and ethical conduct in intercollegiate athletics consistent with the educational mission and goals of the institution; and (Adopted: 1/9/96)
  - (b) Educate, on a continuing basis, all constituencies about the policies in Constitution 2.4-(a). (Adopted: 1/9/96)

### 2.5 THE PRINCIPLE OF SOUND ACADEMIC STANDARDS

\* Intercollegiate athletics programs shall be maintained as a vital component of the educational program, and student-athletes shall be an integral part of the student body. The admission, academic standing and academic progress of student-athletes shall be consistent with the policies and standards adopted by the institution for the student body in general.

### 2.6 THE PRINCIPLE OF NONDISCRIMINATION

\* The Association shall promote an atmosphere of respect for and sensitivity to the dignity of every person. It is the policy of the Association to refrain from discrimination with respect to its governance policies, educational programs, activities and employment policies. (Adopted: 1/16/93)

### 2.7 THE PRINCIPLE OF DIVERSITY WITHIN GOVERNANCE STRUCTURES

\* The Association shall promote diversity of representation within its various divisional governance structures and substructures. Each divisional governing body must assure gender and ethnic diversity among the membership of the bodies in the division's administrative structure. (Adopted: 1/9/96 effective 8/1/97)

### 2.8 THE PRINCIPLE OF RULES COMPLIANCE

- **2.8.1 Responsibility of Institution.** Each institution shall comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. It shall monitor its programs to assure compliance and to identify and report to the Association instances in which compliance has not been achieved. In any such instance, the institution shall cooperate fully with the Association and shall take appropriate corrective actions. Members of an institution's staff, student-athletes, and other individuals and groups representing the institution's athletics interests shall comply with the applicable Association rules, and the member institution shall be responsible for such compliance.
- **2.8.2 Responsibility of Association.** The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance.
- **2.8.3 Penalty for Noncompliance.** An institution found to have violated the Association's rules shall be subject to such disciplinary and corrective actions as may be determined by the Association.

### 2.9 THE PRINCIPLE OF AMATEURISM

Student-athletes shall be amateurs in an intercollegiate sport, and their participation should be motivated primarily by education and by the physical, mental and social benefits to be derived. Student participation in intercollegiate athletics is an avocation, and student-athletes should be protected from exploitation by professional and commercial enterprises.

### 2.10 THE PRINCIPLE OF COMPETITIVE EQUITY

The structure and programs of the Association and the activities of its members shall promote opportunity for equity in competition to assure that individual student-athletes and institutions will not be prevented unfairly from achieving the benefits inherent in participation in intercollegiate athletics.

### 2.11 THE PRINCIPLE GOVERNING RECRUITING

The recruiting process involves a balancing of the interests of prospective student-athletes, their educational institutions and the Association's member institutions. Recruiting regulations shall be designed to promote equity among member institutions in their recruiting of prospects and to shield them from undue pressures that may interfere with the scholastic or athletics interests of the prospects or their educational institutions.

### 2.12 THE PRINCIPLE GOVERNING ELIGIBILITY

Eligibility requirements shall be designed to assure proper emphasis on educational objectives, to promote competitive equity among institutions and to prevent exploitation of student-athletes.

### 2.13 THE PRINCIPLE GOVERNING FINANCIAL AID

A student-athlete may receive athletically related financial aid administered by the institution without violating the principle of amateurism, provided the amount does not exceed the cost of education authorized by the Association; however, such aid as defined by the Association shall not exceed the cost of attendance as published by each institution. Any other financial assistance, except that received from one upon whom the student-athlete is naturally or legally dependent, shall be prohibited unless specifically authorized by the Association. (Revised: 1/9/96)

### 2.14 THE PRINCIPLE GOVERNING PLAYING AND PRACTICE SEASONS

The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their opportunities for acquiring a quality education in a manner consistent with that afforded the general student body.

### 2.15 THE PRINCIPLE GOVERNING POSTSEASON COMPETITION AND CONTESTS SPONSORED BY NONCOLLEGIATE ORGANIZATIONS

The conditions under which postseason competition occurs shall be controlled to assure that the benefits inherent in such competition flow fairly to all participants, to prevent unjustified intrusion on the time student-athletes devote to their academic programs, and to protect student-athletes from exploitation by professional and commercial enterprises.

### 2.16 THE PRINCIPLE GOVERNING THE ECONOMY OF ATHLETICS PROGRAM OPERATION

Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience.

5

### **NCAA Membership**

### 3.01 GENERAL PRINCIPLES

- **3.01.1 Classes of Membership.** The NCAA offers five classes of membership: active, conference, affiliated, corresponding and provisional. Eligibility for and method of election to membership, obligations and conditions for continuing membership, voting rights, and other membership privileges for each class are defined in this article. (Revised: 1/11/94 effective 9/2/94)
- **3.01.2 Division Membership.** Active and conference members of the NCAA may be divided into divisions for purposes of bylaw legislation and competition in NCAA championships. Criteria for membership in these divisions are defined in Bylaw 20.
- **3.01.3 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures, as well as to possible reclassification.
- **3.01.4** Assignment to Geographical Areas. Active and conference members are assigned to geographical areas for the purpose of representation on various committees, as well as to facilitate the work of the Association. Geographical districts and regions are defined in 4.12.
- **3.01.5 Termination or Suspension of Membership.** All rights and privileges of a member shall cease immediately upon termination or suspension of its membership.

### 3.02 DEFINITIONS AND APPLICATIONS

- **3.02.1 Competitive Body.** A competitive body is an athletics conference that conducts competition among its member institutions and determines a conference champion in one or more sports.
- **3.02.2 Legislative Body.** A legislative body is an athletics conference that develops and maintains rules and regulations governing the athletics programs and activities of its member institutions.

### 3.02.3 Membership Categories

- **3.02.3.1 Active Member.** An active member is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of this article (see 3.2.3). Active members have the right to compete in NCAA championships, to vote on legislation and other issues before the Association, and to enjoy other privileges of membership designated in the constitution and bylaws of the Association.
  - **3.02.3.1.1** Athletics Consortium. An athletics consortium consists of one member institution and neighboring member or nonmember institutions (but not more than one nonmember institution), recognized and approved by a two-thirds vote of the Management Council. The student-athletes of the combined institutions are permitted to compete on the NCAA member institution's athletics teams, provided they meet the eligibility requirements of the NCAA and the member institution (see Constitution 3.1.2 and Bylaw 30.4).
- **3.02.3.2 Provisional Member.** A provisional member is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and that has applied for active membership in the Association. Provisional membership is a prerequisite for active membership in the Association. The institution shall be elected to provisional membership under the provisions of this article (see Constitution 3.6.3). Provisional members shall receive all publications and mailings received by active members in addition to other privileges designated in the constitution and bylaws of the Association. Provisional membership is limited to a four-year period. (Adopted: 1/11/94 effective 9/2/94)
- **3.02.3.3 Member Conference.** A member conference is a group of colleges and/or universities that

### Definitions and Applications/3.02.3.3—Active Membership/3.2.1.5

conducts competition among its members and determines a conference champion in one or more sports (in which the NCAA conducts championships or for which it is responsible for providing playing rules for intercollegiate competition), duly elected to conference membership under the provisions of this article (see Constitution 3.3.3). A member conference is entitled to all of the privileges of active members except the right to compete in NCAA championships (see Constitution 3.3.2). Only those conferences that meet specific criteria as competitive and legislative bodies (see Constitution 3.02.1 and 3.02.2) and minimum standards related to size and division status are permitted to vote on legislation or other issues before the Association.

- **3.02.3.4 Affiliated Member.** An affiliated member is a nonprofit group or association whose function and purpose are directly related to one or more sports in which the NCAA conducts championships, duly elected to affiliated membership under the provisions of this article (see Constitution 3.4.3). An affiliated member is entitled to be represented by one nonvoting delegate at any NCAA Convention and enjoys other privileges as designated in the bylaws of the Association (see Constitution 3.4.2). (*Revised:* 1/11/97)
- **3.02.3.5 Corresponding Member.** A corresponding member is an institution, a nonprofit organization or a conference that is not eligible for active, provisional, conference or affiliated membership and desires to receive membership publications and mailings. A corresponding member duly elected under the provisions of this article (see Constitution 3.5.3) receives all publications and mailings received by the general NCAA membership and is not otherwise entitled to any membership privileges (see Constitution 3.5.2).

### 3.1 ELIGIBILITY FOR MEMBERSHIP

- **3.1.1 General.** Membership is available to colleges, universities, athletics conferences or associations and other groups that are related to intercollegiate athletics; that have acceptable academic standards (as defined in Constitution 3.2.3.3), and that are located in the United States, its territories or possessions. Such institutions or organizations must accept and observe the principles set forth in the constitution and bylaws of the Association.
- **3.1.2 Athletics Consortiums.** The Management Council, by a two-thirds majority of its members present and voting, may approve an athletics consortium involving a member institution and neighboring member or nonmember institutions, but not more than one nonmember institution, to permit the student-athletes of the combined institutions to compete on the member institution's intercollegiate athletics teams, provided the student-athletes satisfy the eligibility requirements of the member institution and the NCAA. The Management Council shall develop and publish appropriate criteria to be applied to such consortiums (see Bylaw 30.4 for criteria).
- **3.1.3 Membership—Moratorium.** For a two-year period beginning August 27, 2000, an institution shall not be elected to Division I provisional or active membership, shall not petition to be reclassified from Division II to Division I or petition for multidivision classification. (*Adopted:* 4/27/00)

### 3.2 ACTIVE MEMBERSHIP

### 3.2.1 Eligibility Requirements

- **3.2.1.1 Types of Institutions.** Active membership is available to four-year colleges and universities and two-year upper-level collegiate institutions, accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of Constitution 3.2.3.
- **3.2.1.2 Compliance With Association Rules**. The institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association.
- **3.2.1.3 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play.
- **3.2.1.4 Four-Sport/Three-Season Requirement.** The institution shall sponsor and conduct a representative schedule in a minimum of four varsity intercollegiate sports that involve all-male teams or mixed teams of males and females and four varsity intercollegiate sports that involve all-female teams, subject to the requirements of the institution's conference(s), if any. At least one sport involving an all-male team or a mixed team of males and females and at least one sport involving an all-female team shall be conducted in every sport season. An institution may utilize a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.9.3.3. See Constitution 3.2.4.11 for details of the member's obligations in meeting this requirement. (*Revised:* 1/16/93)
- **3.2.1.5 Compliance Requirement**. The institution shall be in compliance with Constitution 3.2.1.2, 3.2.1.3 and 3.2.1.4 at the time it makes application for active membership.

### 3.2.2 Privileges

- **3.2.2.1 Active Members**. Active members shall be entitled to all of the privileges of membership under the constitution and bylaws of the Association and all privileges incidental thereto.
- **3.2.2.2 Use of Association's Registered Marks.** Active members may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

### 3.2.3 Election Procedures

- **3.2.3.1 Voting Requirement.** Procedures for election to active membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
- **3.2.3.2 Application.** An institution desiring to become an active member of this Association shall complete a four-year provisional membership period (see Constitution 3.6). After it has been determined that the institution has met the requirements of provisional membership, its request for active membership will be referred to the Management Council for election. (*Revised:* 1/11/94 effective 9/2/94)
- **3.2.3.3 Accreditation and Division Classification.** After it has been determined that the institution meets the Association's requirement of acceptable academic standards (i.e., the institution is accredited by one of the six regional accrediting agencies\*), the application shall be considered with regard to requested membership division and sport classification in accordance with Bylaw 20. The application then shall be referred to the Management Council for consideration.
- **3.2.3.4 Election.** A favorable vote by two-thirds of the Management Council members present and voting shall elect the applicant to membership effective the following September 1, the beginning of practice in any sport for that fall term or the first day of classes for that fall term, whichever occurs first. When the vote of the Management Council has been completed, the applicant shall be notified.
- **3.2.3.5 Resignation and Reelection to Membership.** If an institution resigns its membership and subsequently applies to reestablish its membership, the institution first shall complete a three-year provisional membership period (see Constitution 3.6) before such institution becomes eligible for reelection as an active member. (*Revised:* 1/11/94 effective 9/2/94)

### 3.2.4 Conditions and Obligations of Membership

- **3.2.4.1 General.** The active members of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.
- **3.2.4.2 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures, as well as to possible reclassification.
- **3.2.4.3 Certification of Eligibility/Declaration of Ineligibility.** The active member is responsible for certifying the eligibility of student-athletes under the terms of the constitution, bylaws or other legislation of the Association. Procedures for eligibility certification shall be approved by the chief executive officer, who may designate an individual on the institution's staff to administer proper certification of eligibility. The institution shall be obligated immediately to apply all applicable rules and withhold ineligible student-athletes from all intercollegiate competition (see Bylaw 14.11). See Bylaw 14.12 for procedures regarding restoration of eligibility.
- **3.2.4.4 Application of Rules to All Recognized Varsity Sports.** The constitution, bylaws and other legislation of this Association, unless otherwise specified therein, shall apply to all teams in sports recognized by the member institution as varsity intercollegiate sports and that involve all-male teams, mixed teams of males and females, and all-female teams. To be recognized as a varsity sport, the following conditions must be met:
- (a) The sport shall be one in which the Association conducts championships, except as provided in Bylaw 20.9.3.2.1 or an emerging sport for women per Bylaw 20.02.5 (see timetable in Constitution 3.2.4.4.2 for application of legislation to emerging sports for women); (Revised: 1/11/89, 1/11/94, 1/10/95)
- (b) The sport officially shall have been accorded varsity status by the institution's chief executive officer or committee responsible for intercollegiate athletics;
- (c) The sport is administered by the department of intercollegiate athletics;

<sup>\*</sup> Middle States Association of Colleges and Secondary Schools, New England Association of Schools and Colleges, North Central Association of Colleges and Schools, Northwest Association of Schools and Colleges, Southern Association of Colleges and Schools and Western Association of Schools and Colleges.

### Active Membership/3.2.4.4—3.2.4.7.1

- (d) The eligibility of student-athletes participating in the sport shall be reviewed and certified by a staff member designated by the institution's chief executive officer or committee responsible for intercollegiate athletics policy; and
- (e) Qualified participants in the sport shall receive the institution's official varsity awards.
  - **3.2.4.4.1 Intent to Sponsor a Varsity Sport**. Once an institution evidences an intent or commitment to sponsor a sport on a varsity level (e.g., official announcement that competition will be conducted on a varsity basis, employment of individuals to coach the varsity team), the institution must begin applying NCAA recruiting regulations to the applicable sport. (*Adopted 1/14/97*)
  - **3.2.4.4.2 Emerging Sports Timetable.** The following timetable shall govern the application of legislation to emerging sports for women: (Adopted: 1/10/95)
  - (a) 1994-95—Financial aid legislation (see Bylaw 15.5.3.1) and minimum contests and participants requirements for sports sponsorship (see Bylaw 20.9.3.3) applicable to emerging sports programs.
  - (b) 1995-96—Amateurism legislation (see Bylaw 12.01.5), seasons of competition legislation (see Bylaw 14.01.5) and awards and benefits legislation (see Bylaw 16.01.4) applicable to emerging sports programs.
  - (c) 1996-97 and thereafter—Institutions must be in full compliance with all remaining NCAA legislation.
- **3.2.4.5 Student-Athlete Statement.** The active member shall administer annually, on a form prescribed by the Management Council, a signed statement for each student-athlete that provides information prescribed in Bylaws 14.1.3 and 30.12.
  - **3.2.4.5.1** Administrative Requirements. The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee prior to the student's participation in intercollegiate competition each academic year. The athletics director and head coach in the sport in which the student-athlete participates shall sign each statement as required by the prescribed form. The statement shall be kept on file in the office of the athletics director, and such file shall be available for examination upon request by an authorized representative of the NCAA.
- **3.2.4.6 Drug-Testing Consent Form**. The active member shall administer annually, on a form prescribed by the Management Council, a signed drug-testing consent form for each student-athlete (per Bylaw 12.02.5) pursuant to Bylaws 14.1.4 and 30.5. (Adopted: 1/10/92 effective 8/1/92)
  - **3.2.4.6.1** Administrative Requirements. In sports in which the Association conducts year-round drug testing, the consent form shall be administered individually to student-athletes each academic year at the time the intercollegiate squad first reports for practice or prior to the Monday of the institution's fourth week of classes, whichever date occurs first. In those sports in which the Association does not conduct year-round drug testing, the form shall be administered individually to each student-athlete prior to the institution's first scheduled intercollegiate competition. Failure to sign the consent form by the deadline shall result in the student-athlete's ineligibility for practice or competition until the student-athlete has signed the form. Failure to complete and sign the form prior to practice or competition may result in the student-athlete's ineligibility for participation in all intercollegiate athletics. The consent form shall be kept on file in the office of the director of athletics, and such file shall be available for examination upon request by an authorized representative of the NCAA (see Bylaw 14.1.4.1). (Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 1/10/95 effective 8/1/95)
    - **3.2.4.6.1.1 Exception—Nonrecruited Student-Athlete.** A nonrecruited student-athlete in sports other than those sports involved in the Association's year-round drug-testing program may participate in preseason practice activities prior to the team's first contest or date of competition without signing the drug-testing consent form. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95)
- **3.2.4.7 Athletics Certification.** To meet the provisions of the athletics certification program of the Association, member institutions shall complete, at least once every 10 years, an institutional self-study, verified and evaluated through external peer review, in accordance with the Association's constitution and bylaws. A Division II or III institution that sponsors a sport in Division I is not required to participate in the athletics certification program. (Adopted: 1/16/93 effective 1/1/94, Revised: 1/14/97 effective 8/1/97, beginning with the institution's second certification cycle)
  - **3.2.4.7.1 Five-Year Interim Status Report.** An institution shall provide the NCAA Committee on Athletics Certification with a five-year status report. The report will be in a form prescribed by the committee, will require action on the part of the committee, and shall be used as part of the next cycle of certification to ascertain compliance with the certification requirements. (Adopted: 1/14/97 effective 8/1/97, beginning with the institution's second certification cycle)

- **3.2.4.7.1.1 Exception.** For those institutions that have less than eight years between their evaluation visits, an interim report will not be required. For institutions that have at least eight years, but less than 10 years between their evaluation visits, an interim report will be required at the midpoint between evaluation visits. (Adopted: 1/13/98)
- **3.2.4.7.2 Scheduling of Certification Within 10-Year Cycle.** An institution's athletics certification will be scheduled in the 10-year cycle by the Committee on Athletics Certification in accordance with the principles outlined in Bylaw 33.3. (Adopted: 1/14/97 effective 8/1/97, beginning with the institution's second certification cycle)
  - **3.2.4.7.2.1 Appeal of Scheduling.** An institution may appeal to the Committee on Athletics Certification to have its scheduled athletics certification modified according to the provisions of Bylaw 33.3.1.2. (Adopted: 1/14/97 effective 8/1/97, beginning with the institution's second certification cycle)
- **3.2.4.8 Discipline of Members.** Pursuant to directions of the Management Council or the annual Convention, active members shall refrain from athletics competition with designated institutions as required under the provisions of the Association's enforcement procedures (see Bylaw 19).
- **3.2.4.9 Standards.** Active members agree to establish and maintain high standards of personal honor, eligibility and fair play.
- **3.2.4.10 Publication of Satisfactory-Progress Requirements.** Active members are obligated to publish their satisfactory-progress requirements for student-athletes (see Bylaw 14.4.1).
- **3.2.4.11 Guidelines for the Four-Sport/Three-Season Requirement.** To meet the four-sport/three-season provision, active member institutions are obligated to comply with the requirements set forth in the following subsections.
  - **3.2.4.11.1 Counting Multiseason Sports.** If an institution sponsors the same sport in two different seasons, it may count the sport only in the season in which its team participates in the most contests. To be counted as a fall sport, the majority of an institution's contests or dates of competition would have to occur from September through December; to be counted as a spring sport, February through May. (Revised: 1/11/94)
  - **3.2.4.11.2 Meeting Three-Season Requirement.** An institution may utilize a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.9.3.3. (*Adopted:* 1/16/93)
    - **3.2.4.11.2.1 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive the requirements of 3.2.4.11.2 due to circumstances beyond an institution's control. (*Adopted: 1/9/96*)
  - **3.2.4.11.3 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes.
  - **3.2.4.11.4** Single-Gender Institution Exception. Institutions that sponsor and conduct athletics programs for only one gender need not meet the four-sport/three-season requirement for the other gender.
  - **3.2.4.11.5** Male-Female Enrollment Ratio Waiver. The Management Council, by a two-thirds majority of its members present and voting, may grant waivers to the four-sport sponsorship requirement for men or women if the institution provides data to demonstrate that the male-female enrollment ratio prohibits the offering of the required number of sports for one or the other. The institution shall submit its request for a waiver to the Association's president, and it shall be received in the national office no later than October 1. Any request received after that date shall be postmarked no later than September 23. The request shall include pertinent information supporting the institution's request and shall be signed by the institution's chief executive officer. (*Revised:* 1/10/90)
  - **3.2.4.11.6 Three-Season Sport Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive the requirement that an active member shall conduct at least one sport in every sport season if the institution is precluded by its academic calendar and climatic conditions from conducting a sport in a particular season.
- **3.2.4.12 Missed Class-Time Policies.** Active members are obligated to establish policies in all sports concerning student-athletes' missed class time due to participation in intercollegiate athletics and in athletics competition scheduled during final examination periods (see Bylaw 17.1.5.5.1).
- **3.2.4.13 Compliance-Related Forms.** A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless its chief executive officer makes an annual

### Active Membership/3.2.4.13—Member Conference/3.3.1.2

institutional eligibility certification [see Bylaw 18.4.2.1-(d)] attesting that the conditions specified have been satisfied. (Adopted: 1/10/95)

### 3.2.5 Loss of Active Membership

- **3.2.5.1 Termination or Suspension.** The membership of any active member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be suspended, terminated or otherwise disciplined by a vote of two-thirds of the delegates present and voting at an annual Convention. Membership shall not be suspended or terminated unless:
- (a) A notice of intention to suspend or terminate membership, stating the grounds on which such a motion will be based, is given in writing to the chair of the Board of Directors and to the chief executive officer of the member institution on or before the first day of November prior to the Convention;
- (b) The Board of Directors approves the notification of intention to move for suspension or termination; and
- (c) Such notice is included in the Official Notice of the annual Convention.
  - **3.2.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the member shall cease upon any termination or suspension of active membership.
- **3.2.5.2 Failure to Meet Four-Sport/Three-Season Requirement.** If an active member no longer meets the four-sport/three-season requirement for either men or women, it shall be reclassified immediately as a corresponding member.
  - **3.2.5.2.1 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may grant waivers of Constitution 3.2.5.2 if it deems that unusual circumstances warrant such action. (Adopted: 1/9/96)
- **3.2.5.3 Removal of Accreditation.** If an active member's accreditation is removed by its regional accrediting agency, it shall be reclassified immediately as a corresponding member.
- **3.2.5.4 Failure to Pay Dues.** If an active member fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.2.5.5 Failure to Address Athletics Certification Problems.** The Committee on Athletics Certification may place a member institution in a restricted and/or corresponding membership category if it concludes that the institution has not addressed properly the problems identified pursuant to the athletics certification program of the Association. (*Adopted: 1/16/93 effective 1/1/94*)
- **3.2.5.6 Reinstatement of Terminated Member.** Any active member whose membership has been terminated (see Constitution 3.2.5.1) may have it reinstated by a two-thirds vote of the members present and voting at any annual Convention.
- **3.2.5.7 Reinstatement of Suspended Member.** Any active member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Board of Directors or by vote of the majority of the members present and voting at any annual Convention.
- **3.2.6 Discipline of Active Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.2.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of the disciplinary action taken, or may be restored to good standing at any time by a majority vote of the members of the Committee on Infractions present and voting. If fewer than eight members are present, any committee action requires a favorable vote of at least four committee members. Disciplined members also may be restored to good standing at the annual Convention, by vote of a majority of the members present and voting.

### **3.3 MEMBER CONFERENCE**

### 3.3.1 Eligibility

- **3.3.1.1 Conference Competition Requirement.** Conference membership is available to duly elected athletics conferences of colleges and universities that conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.
- **3.3.1.2 Composition of Conference.** All of the members of the conference shall be active members of

this Association, except that a conference with 40 or more members may qualify as a member conference if 90 percent of its member institutions are active members of the Association. A conference may retain its membership even if it includes institutions that have been provisional members of the Association for at least one year. (Revised: 1/11/94 effective 9/2/94)

### 3.3.2 Privileges

- **3.3.2.1 Privileges of Member Conferences.** Member conferences shall be entitled to all of the privileges of active members except the right to compete as such in NCAA championships.
- **3.3.2.2 Voting Rights.** Only those member conferences that meet the criteria listed below shall be permitted to vote on issues before the Association.
  - **3.3.2.2.1 Competitive and Legislative Body.** The member conference shall be both a competitive and a legislative body on the conference level (see Constitution 3.02.1 and 3.02.2).

#### 3.3.2.2.2 Minimum Size and Division Status

- **3.3.2.2.2.1 Full Voting Privileges.** The member conference shall be composed of at least six members in a single division in order to be eligible for full voting privileges, including voting on federated bylaws (those bylaws that may be amended by one or more divisions acting separately).
- **3.3.2.2.2.2 Partial Voting Privileges.** Those member conferences with at least six members but without a minimum of six members in a single division shall be permitted to vote on all dominant or common provisions (those provisions that apply to all three divisions of the Association). On these common provisions, the conference shall vote in the division in which the majority of its membership is classified. In the event that its membership is divided evenly between two divisions, the Executive Committee shall determine its voting division. Such conferences shall not vote on federated provisions (those provisions that may be amended by one or more divisions acting separately).
- **3.3.2.2.3 Four-Sport/Three-Season Requirement.** The member conference shall conduct conference competition in at least four sports for men, with at least one in each season, for the conference to vote on issues related solely to men's programs and four sports for women, with at least one in each season, for the conference to vote on issues related solely to women's programs. A season-ending tournament or round-robin regular-season play in a sport shall satisfy the conference competition requirement for that sport.
- **3.3.2.2.4 Football Issues.** In addition to meeting the requirements of Constitution 3.3.2.2.3 for men's and/or women's sports, conference championship competition shall be conducted in the sport of football in order for the conference to vote on issues pertaining only to football.
- **3.3.2.3 Use of Association's Registered Marks.** Member conferences may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

#### 3.3.3 Election Procedures

- **3.3.3.1 Voting Requirement.** Procedures for election to conference membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
- **3.3.3.2 Application.** An athletics conference desiring to become a member conference shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.3) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.
- **3.3.3.3 Election.** Athletics conferences may be elected as member conferences by a majority vote of the delegates present and voting at an annual Convention or by a majority vote of the Management Council.

### 3.3.4 Conditions and Obligations of Membership

- **3.3.4.1 General.** The member conferences of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.
- **3.3.4.2 Athletics Certification Program.** Member conferences shall facilitate the athletics certification program of the Association in accordance with the Association's constitution and bylaws. (Adopted: 1/16/93 effective 1/1/94)
- **3.3.4.3 Conference Competition.** Member conferences shall conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.

### Member Conference/3.3.4.4—Affiliated Membership/3.4.2.2

- **3.3.4.4 Composition of Conference.** The member conference shall maintain a membership of institutions that are active members of this Association, except that a conference with 40 or more members may continue to qualify as a member conference if 90 percent of its member institutions are active members of the Association.
- **3.3.4.5 Conference Student-Athlete Advisory Committee.** Each conference shall establish a student-athlete advisory committee for its member institutions' student-athletes. The composition and duties of the committee shall be determined by the conference. (*Adopted: 10/27/98 effective 8/1/99*)

### 3.3.5 Loss of Member-Conference Status

- **3.3.5.1 Termination or Suspension.** The membership of any member conference failing to maintain the academic or athletics standards required for membership or failing to meet the conditions and obligations of membership may be suspended or terminated or the member conference otherwise disciplined by a vote of two-thirds of the delegates present and voting at an annual Convention. Membership shall not be suspended or terminated unless:
- (a) Notice of intention to suspend or terminate membership, stating the grounds on which such motion will be based, is given in writing to the secretary of this Association and to the chief executive officer of the member conference on or before the first day of November prior to the Convention;
- (b) The Board of Directors approves the notification of intention to move for suspension or termination; and
- (c) Such notice is included in the Official Notice of the annual Convention.
  - **3.3.5.1.1 Cessation of Rights and Privileges**. All rights and privileges of the member shall cease upon any termination or suspension of conference membership.
- **3.3.5.2 Ineligible Conference Member Institution.** If any member of an athletics conference is found to be ineligible for active membership in this Association, such conference shall be ineligible for conference membership and its membership terminated, unless the conference has 40 or more members and at least 90 percent of said conference members are active members of the Association.
- **3.3.5.3 Failure to Pay Dues.** If a member conference fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.3.5.4 Reinstatement of Terminated Member.** Any member conference whose membership has been terminated (see Constitution 3.3.5.1) may have it reinstated by a two-thirds vote of the members present and voting at any annual Convention.
- **3.3.5.5** Reinstatement of Suspended Member. Any member conference whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Board of Directors or by vote of the majority of the members present and voting at any annual Convention.
- **3.3.6 Discipline of Member Conferences**. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.3.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Committee on Infractions present and voting in accordance with Bylaw 19.6.2.8, or, at the annual Convention, by vote of a majority of the members present and voting.

### 3.4 AFFILIATED MEMBERSHIP

**3.4.1 Eligibility.** Affiliated membership is available to a duly elected nonprofit group or association whose function and purpose are directly related to one or more sports in which the Association conducts championships or identifies as an emerging sport. (*Revised:* 1/11/97)

### 3.4.2 Privileges

- **3.4.2.1 Privileges and Voting Rights.** An affiliated member shall be entitled to be represented by one nonvoting delegate at any Convention of the Association and shall have such other privileges as may be accorded to affiliated members by the bylaws of the Association.
- **3.4.2.2 Use of Association's Registered Marks.** An affiliated member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only if such use is approved by the NCAA staff in accordance with guidelines established by the Executive Committee. (*Revised:* 1/11/97)

### 3.4.3 Election Procedures

- **3.4.3.1 Voting Requirement.** Procedures for election to affiliated membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
- **3.4.3.2 Application.** A group or association desiring to become an affiliated member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.3) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.
- **3.4.3.3 Election.** Groups or associations may be elected to affiliated membership by majority vote of the delegates present and voting at an annual Convention or by majority vote of the Executive Committee.

### 3.4.4 Conditions and Obligations of Membership

- **3.4.4.1 General.** An affiliated member is responsible for observing the principles set forth in the constitution and bylaws of the Association.
- **3.4.4.2 Function and Purpose**. The function and purpose of the affiliated member must be directly related to one or more sports in which the Association conducts championships.

### 3.4.5 Loss of Membership

- **3.4.5.1 Termination or Suspension.** The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies of the Association (see Constitution 1) may be suspended or terminated or the affiliated member otherwise disciplined through the following procedure:
- (a) The Executive Committee by a two-thirds majority of its members present and voting, may take such action on its own initiative; or (*Adopted: 1/11/89*)
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds majority of its members present and voting; and
- (c) The affiliated member shall be advised of the proposed action at least 30 days prior to any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.
  - **3.4.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the affiliated member shall cease upon any termination or suspension of affiliated membership.
- **3.4.5.2 Failure to Pay Dues.** If an affiliated member fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.4.5.3 Reinstatement of Terminated Member.** Any affiliated member whose membership has been terminated may have it reinstated by a two-thirds vote of the Executive Committee.
- **3.4.5.4 Reinstatement of Suspended Member.** Any affiliated member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Executive Committee or by vote of the majority of the members present and voting at any annual Convention.
- **3.4.6 Discipline of Affiliated Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.4.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Executive Committee present and voting or, at the annual Convention, by vote of a majority of the members present and voting.

### 3.5 CORRESPONDING MEMBERSHIP

- **3.5.1 Eligibility.** Corresponding membership is available to duly elected institutions, conferences and nonprofit organizations that are not eligible for active, provisional, conference or affiliated membership but wish to receive the Association's membership publications and mailings. (Revised: 1/10/90)
- **3.5.2 Privileges.** Corresponding members shall receive all publications and mailings received by the general membership of the Association but shall not be entitled to any other membership privileges, including the right to use the Association's name, logo or other insignia.

### Corresponding Membership/3.5.3—Provisional Membership/3.6.1.1.1

#### 3.5.3 Election Procedures

- **3.5.3.1 Voting Requirement.** Procedures for election to corresponding membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
- **3.5.3.2 Application.** An entity desiring to become a corresponding member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.3) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.
- **3.5.3.3 Election.** Eligible institutions, organizations and conferences may be elected to corresponding membership by majority vote of the Executive Committee.
- **3.5.3.4 Resignation and Reelection to Membership.** If a corresponding member resigns its membership and subsequently applies to reestablish its membership, the application first shall be approved by the Executive Committee before becoming eligible for reelection as a corresponding member.
- **3.5.4 Conditions and Obligations of Membership.** A corresponding member is responsible for observing the principles set forth in the constitution and bylaws of the Association. The member shall not use the Association's name, logo or other insignia.

#### 3.5.5 Loss of Membership

- **3.5.5.1 Termination or Suspension.** The membership of any corresponding member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies of the Association (see Constitution 1) may be suspended or terminated or the corresponding member otherwise disciplined through the following procedure:
- (a) The Executive Committee by a two-thirds majority of its members present and voting, may take such action on its own initiative; or (Adopted: 1/11/89)
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds majority of its members present and voting; and
- (c) The corresponding member shall be advised of the proposed action at least 30 days prior to any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.
  - **3.5.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the corresponding member shall cease upon any termination or suspension of corresponding membership.
- **3.5.5.2 Failure to Pay Dues.** If a corresponding member fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.5.5.3 Reinstatement of Terminated Member.** Any corresponding member whose membership has been terminated may have it reinstated by a two-thirds vote of the Executive Committee.
- **3.5.5.4 Reinstatement of Suspended Member.** Any corresponding member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Executive Committee or by vote of the majority of the members present and voting at any annual Convention.
- **3.5.6 Discipline of Corresponding Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.5.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Executive Committee present and voting or, at the annual Convention, by vote of a majority of the members present and voting.

### 3.6 PROVISIONAL MEMBERSHIP

### 3.6.1 Eligibility

- **3.6.1.1 Types of Institutions.** Provisional membership is available to four-year colleges and universities and two-year upper-level collegiate institutions, accredited by the appropriate regional accrediting agency and duly elected to provisional membership under the provisions of Constitution 3.6.3. (*Adopted: 1/11/94 effective 9/2/94*)
  - **3.6.1.1.1 Four-Year Provision.** Provisional membership shall be limited to a four-year period. At the end of the four-year period, a provisional member shall be eligible to apply for active member-

- ship (see Constitution 3.2.3). (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.6.1.2 Compliance with Association Rules.** A provisional member shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. (Adopted: 1/11/94 effective 9/2/94)
  - **3.6.1.2.1 Four-Year Progression.** During the first and second years of provisional membership, an institution shall apply the legislation of the Association to the greatest extent possible. During the third and fourth years of provisional membership, an institution shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.6.1.3 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play. (Adopted: 1/11/94 effective 9/2/94)
- **3.6.1.4 Four-Sport/Three-Season Requirement.** After two years of provisional membership, the institution shall sponsor and conduct a representative schedule in a minimum of four varsity intercollegiate sports that involve all-male teams or mixed teams of males and females and four varsity intercollegiate sports that involve all-female teams, subject to the requirements of the institution's conference(s), if any. An institution may utilize a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.9.3.3. (See Constitution 3.6.4.5 regarding the provisional member's obligations in meeting this requirement.) (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97)

### 3.6.2 Privileges and Voting Rights

- **3.6.2.1 Provisional Members.** Provisional members shall receive all publications and mailings received by active members of the Association, shall be entitled to be represented by one nonvoting delegate at any Convention of the Association and shall have such other privileges as may be accorded by the bylaws of the Association. (Adopted: 1/11/94 effective 9/2/94)
- **3.6.2.2 Use of Association's Registered Marks.** A provisional member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee. (*Adopted: 1/11/94 effective 9/2/94*)

### 3.6.3 Election Procedures

- **3.6.3.1 Voting Requirement.** Procedures for election to provisional membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately. (Adopted: 1/11/94 effective 9/2/94)
- **3.6.3.2 Application.** An institution desiring to become a provisional member of this Association shall apply on a form available from the national office. An application fee in the amount of \$2,500 shall accompany the application form. In addition, a check in the appropriate amount for annual dues (see the current annual dues for active members per Constitution 3.7.3) also shall accompany the application. Should the applicant fail to qualify academically or fail election, the dues paid shall be refunded. (Adopted: 1/11/94 effective 9/2/94, Revised: 4/22/98)
- **3.6.3.3 Accreditation and Division Classification.** After it has been determined that the institution meets the Association's requirement of acceptable academic standards (i.e., the institution is accredited by one of the six regional accrediting agencies), the application shall be considered with regard to requested membership division in accordance with Bylaw 20. The application then shall be referred to the Management Council for consideration. (*Adopted: 1/11/94 effective 9/2/94*)
- **3.6.3.4 Election.** A favorable vote by two-thirds of the Management Council members present and voting shall elect the applicant to provisional membership effective the following September 1. When the vote of the Management Council has been completed, the applicant shall be notified. (Adopted: 1/11/94 effective 9/2/94)

### 3.6.4 Conditions and Obligations of Membership

- **3.6.4.1 General.** During the first and second years of the four-year provisional membership, an institution shall apply the legislation of the Association to the greatest extent possible. During the third and fourth years of provisional membership, an institution shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.6.4.2 Self-Study and Annual Review.** During the first year of provisional membership, a provisional

### Provisional Membership/3.6.4.2—Dues of Members/3.7.3

member shall complete a comprehensive institutional self-study and evaluation of the member's intercollegiate athletics program. A provisional member also shall provide, on an annual basis, a written report to be reviewed by the Management Council describing the institution's progress in meeting active membership requirements. The annual report shall be received in the national office not later than June 15. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/13/98)

- **3.6.4.3 Convention and Regional Seminar Attendance.** A representative from a provisional institution shall attend the annual Convention of the Association. Provisional members also shall attend at least one regional compliance seminar each year conducted by the NCAA or a member conference. (Adopted: 1/11/94 effective 9/2/94)
- **3.6.4.4 Coaches Certification.** During the third and fourth years of provisional membership, coaches of provisional members shall be certified to recruit off campus per Bylaw 11.5. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.6.4.5 Compliance Period.** Following the institution's second year of provisional membership, a provisional member shall fulfill the conditions and obligations applicable to active members per Constitution 3.2.4.3 through 3.2.4.13, except that the waivers described in Constitution 3.2.4.11.5 and 3.2.4.11.6 shall not apply. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)

### 3.6.5 Loss of Provisional Membership

- **3.6.5.1 Termination.** The membership of any provisional member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be terminated by a two-thirds vote of the Management Council members present and voting. A notice of intention to terminate membership, stating the grounds on which such a motion will be based, shall be given in writing to the chief executive officer of the member institution. (Adopted: 1/11/94 effective 9/2/94)
- **3.6.5.2 Cessation of Rights and Privileges.** All rights and privileges of the provisional member shall cease upon any termination of provisional membership. (*Adopted:* 1/11/94 effective 9/2/94)
- **3.6.5.3 Noncompliance.** If a provisional member, during its compliance period, no longer meets the requirements of the constitution, bylaws and other legislation of this Association, it shall be reclassified immediately as a corresponding member. (*Adopted: 1/11/94 effective 9/2/94*)
- **3.6.5.4 Discipline of Member Conference.** During the provisional member's compliance period, disciplinary or corrective actions other than termination of membership may be effected on a member conference that contains provisional members that fail to fulfill conditions and obligations of provisional membership. (*Adopted: 1/11/94 effective 9/2/94*)
- **3.6.5.5 Reinstatement of Terminated Member.** Any provisional member whose membership is terminated may reapply for membership after a period of one year by a two-thirds vote of the Management Council members present and voting. (Adopted: 1/11/94 effective 9/2/94)

### 3.7 DUES OF MEMBERS

- **3.7.1 Voting Requirement.** The dues of all classes of membership shall be determined by majority voting of each of the three divisions of the Association.
- **3.7.2 Determination of Dues.** The annual dues of the various classes of membership shall be recommended to the membership by the Executive Committee. Dues shall be established on a two-to-one ratio for Division I in relation to Divisions II and III, for both active members and member conferences. (Revised: 1/10/90)
- # 3.7.3 Current Annual Dues. The annual dues for various classes of membership shall be:

450.00

Active Members:\*

Division I \$ 1,800.00

Division II \$ 900.00

Division III \$ 900.00

Member Conferences:

Division I \$ 900.00

Division I \$ 450.00

Division III

Affiliated Members \$ 225.00 Corresponding Members \$ 225.00

**3.7.4 Payment Deadline.** Dues are payable September 1 of each year. A member shall not be permitted to vote at a Convention of the Association if its dues are not paid for that year. To be eligible to compete in NCAA championships, dues shall be paid in accordance with Bylaw 31.2.1.2. Membership is terminated if a member fails to pay dues for one year (see Constitution 3.2.5.4, 3.3.5.3, 3.4.5.2 and 3.5.5.2).

\*See Constitution 3.6.3.2 for provisional membership.

### **GENERAL PRINCIPLES**

**Structure.** The Association's administrative structure shall include an Executive Committee and of institutional chief executive officers (CEOs) that oversees Association-wide issues and shall that each division operates consistent with the basic purposes, fundamental policies and general area of the Association (see Constitution 1 and 2). In addition, the administrative structure of each a shall empower a body of institutional chief executive officers (CEOs) to set forth the policies, and regulations for operating the division. Further, the administrative structure of each division in a power a body of athletics administrators and faculty athletics representatives to make recomions to the division's body of institutional CEOs and to handle responsibilities delegated to it. d: 1/9/96 effective 8/1/97)

**Guarantees.** The Association's overall governance structure guarantees its members the follow-lopted: 1/9/96 effective 8/1/97)

- **1.2.1 Budget Allocations.** Members are guaranteed revenue through allocations made to each sion from the Association's general operating revenue. (*Adopted: 1/9/96 effective 8/1/97*)
- **4.01.2.1.1 General Operating Revenue.** General operating revenue, as used in this section, shall include at least all sources of revenue existing as of January 9, 1996, including revenue from contracts for these existing sources and revenue from any modified, extended or successor contract for such sources. (*Adopted: 1/9/96 effective 8/1/97*)
- **1.2.2 Revenue Guarantee.** All members shall receive revenue from all gross revenue sources eived by the Association, unless specifically excluded, through the division's revenue distribution mulas. (Adopted: 1/9/96 effective 8/1/97)
- **4.01.2.2.1 Revenue from New Subdivision Championship.** This provision shall not apply to the distribution of revenue produced directly by a new subdivisional championship in a sport that has a subdivisional championship at the time of the adoption of this legislation. Any revenue produced by such a new subdivisional championship shall be distributed as determined by that subdivision. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ )
- **4.01.2.2.2 Revenue Distribution Formula.** As used in this section, the components of the division's revenue distribution formulas as they existed at the time of the adoption of this legislation include the Academic Enhancement, Basketball, Conference Grant, Grant-in-Aid, Special Assistance, and Sports Sponsorship funds, and the supplemental and reserve funds intended for distribution to the membership. (Adopted: 1/9/96 effective 8/1/97)
  - **4.01.2.2.2.1 Proportion of Revenue.** The revenue distributed through these funds shall be allocated among the funds in the same proportion as will exist in the fiscal year 2001-02. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ , Revised: 1/14/97)
  - **4.01.2.2.2.2 Formula for Allocation.** The formula for allocating each such fund among the members shall be as it existed at the time of the adoption of this legislation. (Adopted: 1/9/96 effective 8/1/97)
  - **4.01.2.2.3 Waiver of Proportionality Requirement.** The Board of Directors may waive the proportionality requirements of the revenue guarantee to permit uniform increases to all pro-

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- 1/9/96 effective 8/1/97)
  - **4.01.2.3.1 Divisions I-AA and I-AAA—Championships Access.** The members of subdivisions I-AA and I-AAA are guaranteed access to national championships (including the play-in structure in certain championships, sizes of championship fields and the number and ratio of automatic qualifying conferences) at least at the level provided as of January 9, 1996. (*Adopted: 1/9/96 effective 8/1/97*)
  - **4.01.2.3.2 Championships—Sports Other Than Football.** With the exception of football, not more than one national championship shall be conducted in each men's and women's sport. (*Adopted:* 1/14/97 effective 8/1/97)
- **4.01.2.4 Membership Services.** Members are guaranteed services provided through the Association's national office at least at the level provided as of January 9, 1996 (e.g., membership services, statistics, research). (Adopted: 1/9/96 effective 8/1/97)
- **4.01.2.5 Special Programs.** Members are guaranteed the continuation of Association programs operating at the time of the adoption of this legislation (e.g., the catastrophic-injury insurance program, the drug-testing program, the Division I athletics-certification program). In addition, members are guaranteed the continuation of Association programs that were considered by the NCAA Council or Presidents Commission by the spring of 1995 and began operating after the adoption of this legislation. (Adopted: 1/9/96 effective 8/1/97)

### .02 DEFINITIONS AND APPLICATIONS

- **02.1 Association.** The "Association," as used in this Manual, refers to the National Collegiate Athletic sociation, a diverse, voluntary, unincorporated Association of four-year colleges and universities, conferences, affiliated associations and other educational institutions. (Adopted: 1/9/96 effective 8/1/97)
- **O2.2 Faculty Athletics Representative.** A faculty athletics representative is a member of an instituon's faculty or administrative staff who is designated by the institution's chief executive officer or other propriate entity to represent the institution and its faculty in the institution's relationships with the CAA and its conference(s), if any (see also Constitution 6.1.3).
- **O2.3** "On the Staff." On the staff, as it applies to individuals from member institutions or conferences ho are eligible to serve on committees or as officers or representatives of the Association, is defined as those dividuals who receive a regular salary from a member institution or organization for the performance of a gular staff function representing at least 50 percent of the normal workload for a staff member at that instition or conference. An individual on sabbatical or other temporary leave for a period not exceeding 12 concutive months may be considered to be "on the staff" of an institution or organization. An individual on terinal leave or on leave in excess of 12 consecutive months shall not be considered to be "on the staff."
- **02.4 Senior Woman Administrator**. A senior woman administrator is the highest ranking female liministrator involved with the conduct of a member institution's intercollegiate athletics program.

### .1 EXECUTIVE COMMITTEE

**1.1 Composition.** The Executive Committee shall consist of 20 members. The Association's chief exective officer (e.g., president) and the chairs of each of the divisional Management Councils (see constitution 4.5, 4.6 and 4.7) shall be ex officio nonvoting members, except that the Association's chief recutive officer is permitted to vote in the case of a tie among the voting members of the Executive committee present and voting. The other 16 voting members of the Executive Committee shall include: dopted: 1/9/96 effective 8/1/97)

s as may be necessary to conduct efficiently the business of the Association;

vide strategic planning for the Association as a whole;

ntify core issues that affect the Association as a whole;

on behalf of the Association to resolve core issues and other Association-wide matters;

iate and settle litigation;

evene at least one combined meeting per year of the three divisional presidential governing bodies;

evene at least one same-site meeting per year of the three divisional Management Councils;

ward proposed amendments to Constitutions 1 and 2 and other dominant legislation to the entire mbership for a vote;

I for a vote of the entire membership on the action of any division that it determines to be contrary he basic purposes, fundamental policies and general principles set forth in the Association's constion. This action may be overridden by the Association's entire membership by a two-thirds majority e of those institutions voting;

l for an annual or special Convention of the Association; and

riew and coordinate the catastrophic-injury and professional career insurance (disabling injury/ill-s) programs. (Adopted: 8/5/99)

#### **Election/Term of Office**

- **3.1 Election.** Division I members of the Executive Committee shall be appointed by the Division I and of Directors. Divisions II and III members of the Executive Committee shall be appointed by the isions II and III Presidents Councils, respectively. (Adopted: 1/9/96 effective 8/1/97)
- **3.2 Terms.** The terms of service of members of the Executive Committee shall coincide with their vice on the applicable divisional presidential governing body, unless otherwise specified by that erning body. (Adopted: 1/9/96 effective 8/1/97)
- **3.3 Committee Chair.** The Executive Committee shall elect one of its members to serve for a two-r period as chair. (Adopted: 1/9/96 effective 8/1/97)

# DIVISION I BOARD OF DIRECTORS

**Composition.** Giving due weight to gender and ethnic diversity, the Board of Directors shall 18 members and shall be comprised of chief executive officers (CEOs). As a minimal goal, the nembership shall include at least one person who is an ethnic minority and at least one person of onder and a single member shall not be considered to meet both minimums. The members of the shall include: (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97, Revised:

e institutional CEO from each of the following 11 conferences: (Revised: 8/5/99)

Atlantic Coast Conference;

Big East Conference;

Big Ten Conference;

Big 12 Conference;

Big West Conference;

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- (5) Colonial Athletic Association;
  - (6) Ivy Group;
  - (7) Metro Atlantic Athletic Conference;
  - (8) Mid-Continent Conference;
  - (9) Mid-Eastern Athletic Conference;
  - (10) Midwestern Collegiate Conference;
  - (11) Missouri Valley Conference;
  - (12) Northeast Conference;
  - (13) Ohio Valley Conference;
  - (14) Patriot League;
  - (15) Southern Conference:
  - (16) Southland Conference;
  - (17) Southwestern Athletic Conference;
  - (18) Sun Belt Conference;
  - (19) Trans America Athletic Conference; or
  - (20) West Coast Conference.
- **4.2.1.1 Divisions I-AA and I-AAA Conferences.** A Division I-AA or Division I-AAA conference may not have more than one conference representative serving on the Board of Directors simultaneously. (*Adopted: 1/9/96 effective 8/1/97, Revised: 8/5/99*)
- **4.2.1.2 Increase or Decrease.** The number of Board members from each category set forth in Constitution 4.2.1-(a) and 4.2.1-(b) shall remain the same regardless of an increase or decrease in the number of voting member conferences. (*Adopted: 1/9/96 effective 8/1/97, Revised: 8/5/99*)
- **4.2.1.3 Institution's Membership in Different Subdivision.** An institution's CEO is eligible to serve on behalf of the multisport conference in which the institution holds membership, even if the institution's NCAA membership is in a different subdivision (e.g., Division I-AAA member that is a member of a Division I-A conference). (*Adopted:* 1/9/96 effective 8/1/97)
- **4.2.1.4 Rotation of Representatives.** The rotation of Board of Directors conference representatives between the Division I-AA and I-AAA conferences, and within each of those subdivisions, shall be developed, maintained and revised by the Division I-AA and I-AAA conferences. The rotation and current conference representatives shall be reported annually by July 1 to the Board of Directors and published in the NCAA Manual and The NCAA News. (Adopted: 1/14/97 effective 8/1/97)
- **2.2 Duties and Responsibilities.** The Board of Directors shall: (Adopted: 1/9/96 effective 8/1/97)
- Establish and direct general policy;
- Establish a strategic plan;
- Adopt administrative bylaws and regulations;
- Adopt operating bylaws and rules and/or delegate limited legislative powers to the Management Council (see Constitution 5.3.2);

# Selection/Term of Office

- **3.1 Selection.** Members of the Board of Directors shall be selected by the constituencies they repent. Each membership unit (e.g., conference) that is authorized to select or nominate individuals to we on the Board must have a plan to assure diversity among those individuals that the membership tidentifies. (Adopted: 1/9/96 effective 8/1/97)
- **4.2.3.1.1 Selection Process.** In order to assure that on the Board of Directors at least the minimal goals for diversity of membership (as set forth in 4.2.1) are met, the following process shall be utilized: (*Adopted:* 1/14/97 effective 8/1/97)
- (a) The conferences represented in each subdivision (i.e., Division I-A, Division I-AA and Division I-AAA, among the conferences identified in Constitution 4.2.1), shall review together within each subdivision the open positions on the Board in that subdivision and shall attempt to coordinate the conference selections to assure adequate diversity in the subdivision's representatives to that body.
- (b) The Board of Directors shall review the selections from each of the subdivisions to assess the diversity of those choices. If the Board does not approve the diversity of the selections of a subdivision, it shall ask that subdivision to reconsider the choices with direction from the Board and to report any changes.
- (c) If after such reconsideration, the Board still does not agree that the selections of any subdivision are adequately diverse, it shall direct each conference that has made a selection in that subdivision in that year to provide a report on the diversity, qualifications and willingness to serve on the Board of the chief executive officers in the conference. The report shall be forwarded to a subcommittee of the Board consisting of four senior returning members of the Board, two from Division I-A and one each from Division I-AA and Division I-AAA. The subcommittee shall analyze the availability and alter selections as warranted to achieve adequate diversity.
- (d) The conferences' selections, including their diversity, shall be tracked over time to provide information about which conferences have been most attentive to diversity and which conferences' initial choices have been modified to address the need to achieve greater diversity. That information can be considered by the Board in reviewing future selection opportunities.
- **3.2 Term of Office.** The term of office for the members of the Board of Directors shall be as fols: (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- Division I-A members of the Board of Directors shall serve for a term of four years. Division I-A Board members are not eligible for immediate reelection.
- The Division I-AA and I-AAA conferences shall be authorized to determine the term of office of the Division I-AA and I-AAA members, not to exceed four consecutive years in length. Further, after completing a four-year term of office, members of the Board may not serve again for two years. The term of office shall be annually reported to the Board of Directors and published in the NCAA Manual and The NCAA News.
- **4.2.3.2.1 Removal during Term.** A member conference may remove its representative during a term of office. (Adopted: 1/14/97 effective 8/1/97)
- **3.3 Chair.** The Board of Directors shall elect one of its members to serve for a two-year period as ir. The chair shall not be eligible for immediate reelection to that position. (Adopted: 1/14/97 ctive 8/1/97)

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g. Roll call vote results shall be reported to the membership. (Adopted: 1/9/96 effective 8/1/97)

#### .5 DIVISION I MANAGEMENT COUNCIL

- **5.1 Composition.** Giving due weight to gender and ethnic diversity, the Management Council shall clude 49 members and shall be comprised of athletics administrators (e.g., athletics directors, senior omen administrators, assistant athletics directors, conference administrators), faculty athletics representives and institutional administrators to whom athletics departments report or who have other signifient duties regarding athletics. As a minimal goal, the Management Council membership shall include presentatives that comprise at least 20 percent persons who are ethnic minorities and at least 35 percent persons of each gender. The members of the Management Council shall include: (Adopted: 1/9/96 effective 1/1/97, Revised: 1/14/97 effective 8/1/97, Revised: 8/5/99)
- Three administrators or representatives (who each shall have one vote) from each of the following seven conferences: (Revised: 8/5/99)
  - (1) Atlantic Coast Conference;
  - (2) Big East Conference;
  - (3) Big Ten Conference;
  - (4) Big 12 Conference;
  - (5) Conference USA;
  - (6) Pacific-10 Conference; and
  - (7) Southeastern Conference.
- One administrator or representative (who shall have 1.5 votes) from each of the following four conferences: (Revised: 8/5/99)
  - (1) Big West Conference;
  - (2) Mid-American Conference;
  - (3) Mountain West Conference; and
  - (4) Western Athletic Conference.
- One administrator or representative (who shall have one vote) from each of the following I-AA and I-AAA conferences: (Revised: 1/14/97 effective 8/1/97; Revised: 8/5/99)
- (1) America East
- (2) Atlantic 10 Conference;
- (3) Big Sky Conference;
- (4) Big South Conference;
- (5) Colonial Athletic Association;
- (6) Ivy Group;
- (7) Metro Atlantic Athletic Conference;
- (8) Mid-Continent Conference;
- (9) Mid-Eastern Athletic Conference;

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- **1.1 Institution's Membership in Different Subdivision.** An institution's administrator or represenve is eligible to serve on behalf of the multisport conference in which the institution holds membero, even if the institution's NCAA membership is in a different subdivision (e.g., Division I-AAA mber that is a member of a Division I-A conference). (Adopted: 1/9/96 effective 8/1/97)
- **1.2 Rotation of Representatives.** The rotation of Management Council conference representatives ween the I-AA and I-AAA conferences, and within each of those subdivisions, shall be developed, intained and revised by the I-AA and I-AAA conferences. The rotation and current conference repretatives shall be reported annually by July 1 to the Board of Directors and published in the NCAA nual and The NCAA News. (Adopted: 1/14/97 effective 8/1/97)
- Outies and Responsibilities. The Management Council shall: (Adopted: 1/9/96 effective 8/1/97)
- opt operating bylaws and rules, subject to ratification by the Board of Directors (see Constitution 2);
- te final action on matters delegated to it by the Board of Directors (see Constitution 4.2);
- ke recommendations to the Board of Directors on matters that it deems appropriate;
- ke interpretations of the bylaws;

ship. (Revised: 8/5/99)

- gest policies to the Board of Directors that are necessary to ensure proper management;
- riew the recommendations of the substructure;
- point the members of the substructure (e.g., cabinets and committees);
- riew and approve policies and procedures governing the enforcement program;
- commend championships policies, and fiscal, competitive and academic policies to the Board of ectors;
- velop and administer the annual budget with the approval of the Board of Directors;
- rise the Board of Directors on the performance of the Association's chief executive officer (e.g., presit);
- ordinate the strategic planning activities; (Adopted: 8/5/99)
- ntify and examine trends and problems of intercollegiate athletics; (Adopted: 8/5/99)
- riew and evaluate activities; (Adopted: 8/5/99)
- conjunction with the Association-wide research committee: (Adopted: 8/5/99)
- Evaluate, supervise and coordinate research activities;
- Make recommendations to the Joint Management Council/Board of Directors Budget Committee regarding expenditures of funds for research projects; and
- Make recommendations concerning research topics in intercollegiate athletics.
- conjunction with the Association-wide Minority Opportunities and Interests Committee, review less related to the interests of ethnic minority student-athletes, NCAA minority programs and AA policies that affect ethnic minorities; and (Adopted: 8/5/99)
- conjunction with the Committee on Women's Athletics, study and make policy recommendations

- attempt to coordinate the conference selections to assure adequate diversity in the subdivision's representatives to that body.
- (b) The Board of Directors shall review the selections from each of the subdivisions to assess the diversity of those choices. If the Board does not approve the diversity of the selections of a subdivision, it shall ask that subdivision to reconsider the choices with direction from the Board and to report any changes.
- (c) If after such reconsideration, the Board still does not agree that the selections of any subdivision are adequately diverse, it shall direct each conference that has made a selection in that subdivision in that year to select a slate of four individuals qualified to serve on the Management Council, including at least one woman and one ethnic minority, and the Board shall make the selections for service on the Management Council.
- (d) The conferences' selections, including their diversity, shall be tracked over time to provide information about which conferences have been most attentive to diversity and which conferences' initial choices have been modified to address the need to achieve greater diversity. That information can be considered by the Board in reviewing future selection opportunities.
- **4.5.3.2 Term of Office.** The term of office for the members of the Management Council shall be as follows: (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- (a) Division I-A members of the Management Council shall serve for a term of four years. Management Council members are not eligible for immediate reelection.
- (b) The Division I-AA and I-AAA conferences shall be authorized to determine the term of office of the Division I-AA and I-AAA members, not to exceed four consecutive years in length. Further, after completing a four-year term of office, members of the Management Council may not serve again for two years. The term of office shall be annually reported to the Board of Directors and published in the NCAA Manual and The NCAA News.
- **4.5.3.2.1 Removal during Term.** A member conference may remove its representative during a term of office. (Adopted: 1/14/97 effective 8/1/97)
- **4.5.3.3 Chair.** The Management Council shall elect one of its members to serve for a one-year period as chair. The chair shall not be eligible for immediate reelection to that position or to the position of vice-chair. (Adopted: 1/14/97 effective 8/1/97; Revised: 4/27/00 effective 8/1/00)
  - **4.5.3.3.1 Division I-AA/I-AAA Representative as Chair of the Board of Directors or Management Council.** At least once in every three term rotations of the chairs of the Board and the Management Council, there shall be a Division I-AA or I-AAA conference representative serving as chair of either the Board or the Management Council. (*Adopted: 1/14/97 effective 8/1/97*)
  - **4.5.3.3.2 Subdivision Representation among Chairs.** Among the chairs of the Board of Directors, Management Council and Cabinets, there shall be at least one representative from each subdivision serving as chair at any time. (Adopted: 1/14/97 effective 8/1/97)
- **4.5.3.4 Vice-Chair.** The Management Council shall elect one of its members to serve a one-year term as the vice-chair. After the vice-chair has completed his or her one-year term, the individual shall serve the following year as chair. The vice-chair shall assist and represent the chair as requested, and, during the year in that position, shall prepare to assume the position of chair the following year. The vice-chair shall not be eligible for immediate reelection to that position. The vice-chair and the chair shall not be from the same subdivision. Should in any year the vice-chair not be able to assume the position of chair as scheduled, the Management Council shall elect a replacement chair from among its members to serve a one-year term. (Adopted: 4/27/00 effective 8/1/00)

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- **5.1 Composition and Selection.** The Administrative Committee of the Management Council ll consist of four members of the Management Council, including two representatives of Division conferences, one representative of Division I-AA conferences and one representative of Division I-A conferences. The chair of the Management Council shall be one of the four members of the ministrative Committee and shall serve as its chair. (Adopted: 1/14/97 effective 8/1/97)
- **5.2 Duties.** The Administrative Committee is empowered to act on behalf of the Management incil to transact necessary and routine items of business clearly necessary to promote the normal orderly administration in the interim between meetings of the Management Council. (Adopted: 4/97 effective 8/1/97)
- **5.3 Approval.** All actions of the Administrative Committee shall be effective immediately and ll be reported to and subject to approval of the full Management Council. (Adopted: 1/14/97 effec-8/1/97)
- **Division I-AA Governance Committee.** A Division I-AA Governance Committee of the Division gement Council shall have the authority to act on behalf of the Division I-AA members of the ement Council on legislative issues specific to Division I-AA football in accordance with action 5.3.2.2.3 (see Constitution 5.1.4.3.4). (Adopted: 1/14/97 effective 8/1/97)
- **6.1 Composition.** The Division I-AA Governance Committee shall consist of the following: lopted: 1/14/97 effective 8/1/97)
- The members of the Management Council from the Division I-AA conferences listed in Constitution 4.5.1-(c)-(1);
- A representative selected by the conference from each Division I-AA conference listed in Constitution 4.5.1-(c)-(1) that does not have a representative on the Management Council; and
- A representative selected by the conference from each conference that conducts a Division I-AA football championship but is not listed in Constitution 4.5.1-(c)-(1), provided:
- (1) The conference consists of at least six Division I-AA football-playing members (regular or affiliate members of the conference) that play a single round-robin schedule that leads to the declaration of a conference football champion; and
- (2) The affairs of the conference are administered by a conference listed in Constitution 4.5.1-(a), 4.5.1-(b) or 4.5.1-(c).
- **6.2 Meetings.** The Division I-AA Governance Committee shall meet prior to each Management uncil meeting and at a special meeting upon the call of the chair. The chair shall be required to call pecial meeting upon request by two-thirds of the committee members. (Adopted: 1/14/97 effective
- **6.3 Administration.** The administration and actions of the Division I-AA Governance Committee ll be governed by Management Council policy and procedure, and by the provisions of Constitution 3 (selection/term of office) and 4.5.4 (voting method). (*Adopted:* 1/14/97 effective 8/1/97)

# COMMITTEES/CABINETS

aws shall provide for the establishment of such a governance substructure (e.g., cabinets, commiteach division considers necessary. The number of members and tenure of each division's goverabstructure shall be stipulated in Bylaw 21. (Adopted: 1/9/96 effective 8/1/97)

Representation. With the exception of the Board of Directors, the Management Council, the

- (g) Men's Soccer Committee;
- (h) Women's Soccer Committee;
- (i) Women's Softball Committee; and
- (j) Women's Volleyball Committee.

#### .12 GEOGRAPHICAL DISTRICTS AND REGIONS

- **12.1 Districts**. The Association shall be divided into eight geographical districts to facilitate its work. new are as follows:
- District 1—Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont;
- District 2—Delaware, New Jersey, New York, Pennsylvania, Puerto Rico, West Virginia;
- District 3—Alabama, District of Columbia, Florida, Georgia, Kentucky, Louisiana, Maryland, Mississippi, North Carolina, South Carolina, Tennessee, Virginia;
- District 4—Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin;
- District 5—Iowa, Kansas, Missouri, Nebraska, North Dakota, Oklahoma, South Dakota;
- District 6—Arkansas, New Mexico, Texas;
- District 7—Arizona, Colorado, Idaho, Montana, Utah, Wyoming; and
- District 8—Alaska, California, Hawaii, Nevada, Oregon, Washington.
- **4.12.1.1 District Transfers.** Active member institutions may be transferred from their regular geographical districts by majority vote of the Association's Executive Committee. An annual listing of the geographical transfers of active members shall appear in the NCAA Directory. (Revised: 1/10/90, 1/9/96 effective 8/1/97)

Division I and Association-wide Committees Reporting to Management Council

Division IAthletics Certification Minority Opportunities and Interests

Division I Football Issues (appointment only) Postgraduate Scholarship

Honors

Division I Infractions

Memorial Resolutions

Division I Infractions Appeals

Research
Division I Student-Athlete Advisory

Walter Byers Scholarship

Women's Athletics

# EMICS/ELIGIBILITY/COMPLIANCE CABINET

(34 members)

# nmittees Composed of Cabinet Members

nts and Amateurism

tinuing Eligibility

al Eligibility

slative Review/Interpretations

ruiting

dent-Athlete Reinstatement

# I and Common Committees Reporting to Cabinet

e-Course Review

sion I Financial Aid

al-Eligibility Clearinghouse

sion I Initial-Eligibility Waivers

sion I Satisfactory-Progress Waivers

#### **CHAMPIONSHIPS/COMPETITION CABINET**

(49 members)

# Subcommittees Composed of Cabinet Members

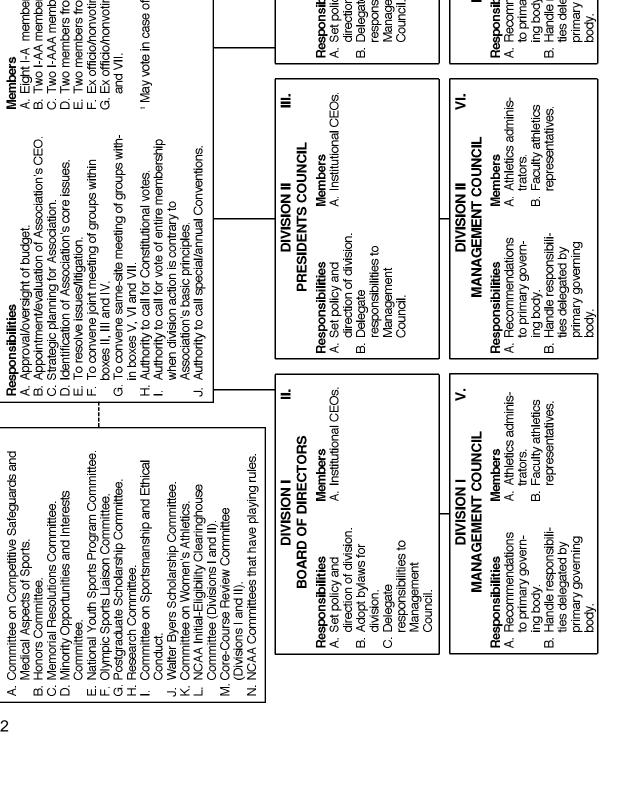
- Football Certification
- Certified Contests
- Playing and Practice Seasons
- Nominating

#### Division I and Association-wide Committees Reporting to Cabinet

- Competitive Safeguards and Medical Aspects of Sports
- · Division I Football Issues
- National Youth Sports Program
- Olympic Sports Liaison
- Sportsmanship and Ethical Conduct
- · Rules Committees
- Sports Committees

4

ORGANIZATION



# CONSTITUTION, ARTICLE 5

# **Legislative Authority and Process**

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# **5.01 GENERAL PRINCIPLES**

**5.01.1 Basis of Legislation.** All legislation of the Association that governs the conduct of the intercollegiate athletics programs of its member institutions shall be adopted by the membership in Convention assembled, or by the presidential administrative groups and the division management councils as set forth in Constitution 4, as determined by the constitution and bylaws governing each division, and shall be consistent with the purposes and fundamental policy set forth in Constitution 1, and shall be designed to advance one or more principles such as those set forth in Constitution 2. (Revised: 1/9/96 effective 8/1/97)

**5.01.2** Approaches to Legislative Process. The membership of the Association recognizes that certain fundamental polices, practices and principles have applicability to all members, while others are applicable to division groupings of members, based on a common philosophy shared among the individual members of the division and on special policies and concerns that are common to the nature and purposes of the institutions in the division. (Revised: 1/9/96 effective 8/1/97)

#### 5.02 DEFINITIONS AND APPLICATIONS

#### 5.02.1 Legislative (Constitution and Bylaws) Provisions

**5.02.1.1 Dominant.** A dominant provision is a regulation that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention. Dominant provisions are identified by an asterisk (\*).

**5.02.1.1.1 Division Dominant.** A division dominant provision is a regulation that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by the diamond symbol (♠). (Revised: 1/9/96 effective 8/1/97)

**5.02.1.2 Common.** A common provision is a regulation that applies to more than one of the divisions of the Association. A common provision shall be adopted by each of the applicable divisions, acting separately pursuant to the divisional legislative process described in Constitution 5.3, and must be approved by all applicable divisions to be effective. Common provisions are identified by the pound sign (#). (Adopted: 1/14/97 effective 8/1/97)

**5.02.1.3 Federated.** A federated provision is a regulation adopted by a majority vote of the delegates present and voting of one or more of the divisions or subdivisions of the Association, acting separately pursuant to the divisional legislative process described in Constitution 5.3. Such a provision applies only to the division(s) or subdivision(s) that adopts it. Federated provisions are identified by the Roman numeral(s) of the division(s) or subdivision(s) to which the provision is applicable. (Revised: 1/9/96 effective 8/1/97)

# 5.1 CONVENTIONS AND MEETINGS

#### 5.1.1 Authorization

- **5.1.1.1 Annual Convention.** There shall be an annual Convention of this Association during the second week of January or at such other time as may be prescribed by the Executive Committee.
- **5.1.1.2 Special Convention.** A special Convention of the Association may be called by the Executive Committee. (Revised: 1/9/96 effective 8/1/97)

# Conventions and Meetings/5.1.2—5.1.3.4

#### 5.1.2 Annual or Special Convention Programs

- **5.1.2.1 Establishment of Program—Annual or Special Convention.** The program of the business session of an annual or special Convention of the Association shall be established by the Executive Committee, acting as the Convention program committee. (Revised: 1/9/96 effective 8/1/97)
- **\* 5.1.2.1.1 Change in Program**. Once adopted by a majority vote of the Convention, the order of business established in the program may be changed or suspended only by a two-thirds vote of the members present and voting.
- **\* 5.1.2.2 Other Convention Arrangements.** All other arrangements for a Convention of the Association or for division legislative meetings shall be made by the president, subject to the direction and approval of the Executive Committee, which shall serve as the Convention arrangements committee.

#### 5.1.2.3 Business and Discussion Sessions

- 5.1.2.3.1 General Business Session. When determined necessary by the Executive Committee, an annual or special Convention shall include a general business session to enable all three divisions, meeting in joint session, to act on the dominant legislation specified in Constitution 1 and 2 and elsewhere, and on the actions of any division determined to be contrary to the Association's basic purposes, fundamental policies and general principles. (Revised: 1/10/91, 1/9/96 effective 8/1/97)
  - **5.1.2.3.2 Division Business Sessions.** An annual or special Convention shall include separate division business sessions to: (*Revised: 1/9/96 effective 8/1/97*)
    - (a) Enable a single division to act, in accordance with the constitution and bylaws, on federated legislation pertaining to that division; (*Revised: 1/10/91, 1/9/96 effective 8/1/97*)
    - (b) Discuss matters of interest to the members of each division; and
    - (c) Act upon division membership criteria waiver requests under the provisions of the bylaws.
- **5.1.2.3.3 Round-Table Discussions.** In addition to the division business sessions, a general round-table discussion may be held to enable the membership to discuss matters of general interest.

#### 5.1.3 Annual or Special Convention Delegates

#### 5.1.3.1 Institutional and Conference Delegates

- **5.1.3.1.1 With Voting Privileges.** Each active member and each member conference with voting privileges, as specified in Constitution 3.3.2.2, shall be entitled to one vote. Institutions and conferences designating both a male and a female as voting or alternate delegates on the Convention appointment form shall be allowed to appoint four official institutional delegates. In all other circumstances, institutions shall be limited to not more than three official delegates. (Revised: 1/10/92)
- **5.1.3.1.2 Without Voting Privileges.** Each member conference without voting privileges shall be entitled to one accredited delegate without voting privilege.
- **\* 5.1.3.2 Corresponding, Affiliated and Provisional Delegates.** Each corresponding, affiliated and provisional member shall be entitled to one accredited delegate without voting privilege.
- **\* 5.1.3.3 Visiting Delegates.** Member and nonmember institutions and organizations are authorized to send visiting delegates, who shall not have voting privileges.
- **\* 5.1.3.4 Certification and Voting of Delegates.** The certification and voting of delegates shall be conducted as follows:
  - (a) Delegates shall be certified to the NCAA national office as entitled to represent the member in question by the proper executive officers of their institutions or organizations;
  - (b) An active member or member conference represented by more than one delegate shall designate (on the proper form signed by the chief executive officer) the delegate entitled to cast its vote. Once the member has so designated its primary voting and alternate voting delegates, transferring the voting rights between or among them is a matter of institutional judgment, inasmuch as the voter and alternate(s) have been approved as voters;
  - (c) The same delegate may represent both an active member and a member conference;
  - (d) A delegate shall not represent any active member or member conference unless the delegate actually is identified with such member, and an institution's student may not serve as its voting or alternate voting delegate;
  - (e) Whenever the Association votes on any question by roll call, either written or viva voce, on demand of any delegate, the names of delegates as they vote shall be checked by the Membership Committee in order to verify the authority of the voter; and

(f) Voting by proxy shall not be allowed.

#### 5.1.3.5 Delegate Participation in Conventions and Meetings

- **5.1.3.5.1 Active Delegate.** Privileges of the floor and the right to active participation in the business proceedings of any annual or special Convention of the Association is accorded to the following: (*Revised:* 1/9/96 effective 8/1/97)
- (a) Any of the accredited delegates authorized in Constitution 5.1.3.1.1 to represent an active member or member conference with voting privileges; (Revised: 1/10/92)
- (b) The single accredited delegate authorized in Constitution 5.1.3.1.2 to represent a member conference without voting privileges or in Constitution 5.1.3.2 to represent an affiliated, corresponding or provisional member;
- (c) Any member of the Executive Committee, the divisional presidential administrative groups per Constitution 4.2 through 4.4, the divisional management councils per Constitution 4.5 through 4.7 and the chair (or a committee member designated to speak for the chair) of an NCAA committee listed in Bylaw 21; and (Revised: 1/9/96 effective 8/1/97)
- (d) Any member of a division's Student-Athlete Advisory Committee. (Adopted: 1/10/91)
- **5.1.3.5.2 Visiting Delegate.** Visiting delegates authorized in Constitution 5.1.3.3 shall not actively participate in the business proceedings.

#### **5.1.4 Operational Procedures**

- **5.1.4.1 Quorum.** One hundred (100) active members and member conferences represented as prescribed in this constitution shall constitute a quorum for the transaction of the Association's business. For purposes of voting by membership divisions, 40 members of each division shall constitute a quorum.
- **5.1.4.2 Parliamentary Rules.** The rules contained in the current edition of Robert's Rules of Order, Newly Revised, shall be the parliamentary authority for the conduct of all meetings of the Association. Additionally, they shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply and in which they are not superseded by this constitution, the bylaws or any special rule of order adopted by the Association in accordance with Constitution 5.4.3.
- **5.1.4.3 Consideration of Legislation.** Legislation shall be acted upon only at the Convention business sessions in accordance with the constitution and bylaws.
  - **5.1.4.3.1 Order and Grouping of Legislation**. In the consideration of groupings of related amendments or amendments-to-amendments, the Convention shall consider first the proposal that contemplates the greatest modification of the present circumstance, followed by the other proposals in the order of decreasing modification. Once a proposal in such a grouping is adopted, those that follow ordinarily will become moot.
  - **5.1.4.3.2 Legislation at General Session.** Dominant legislation per Constitution 1 and 2 and elsewhere, and federated legislation determined by the Executive Committee to be contrary to the Association's basic purposes, fundamental policies and general principles shall be acted on by the divisions meeting in joint session. (Revised: 1/10/91, 1/9/96 effective 8/1/97)
  - **5.1.4.3.3 Legislation at Division Sessions.** Legislation pertaining only to a single division of the Association may be acted on in a separate legislative session of that division. Federated legislation pertaining to more than a single division of the Association may be acted on by the appropriate divisions during the separate division business sessions. (Revised: 1/10/91, 1/9/96 effective 8/1/97)
  - **5.1.4.3.4 Football Classification, Voting.** A member institution shall be entitled to vote on legislative issues pertaining only to football in the division in which it is classified in that sport.
    - **5.1.4.3.4.1 Restriction.** An active member or member conference with no football program shall not be permitted to vote on issues affecting only football.
    - **5.1.4.3.4.2** Division I-AA Member Applying Division I-A Football Legislation. A Division I-AA member that elects to be governed by the legislation pertaining to Division I-A in football in accordance with Bylaw 20.6.1.1 shall be eligible to vote on issues pertaining to the sport of football in Division I-AA.
    - **5.1.4.3.4.3 Conference of Divisions I-A and I-AA Members.** A conference whose members are divided between Division I-A and Division I-AA shall vote in the subdivision in which the majority of its members are classified; or if the membership is divided equally between the two subdivisions, the subdivision in which it shall vote shall be determined by the Administrative Committee.

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# Conventions and Meetings/5.1.4.4—Elements of Legislation/5.2.3.2

- **\* 5.1.4.4 Voting Methods.** The methods of voting at an NCAA Convention shall be by voice, paddle, roll call and/or secret ballot, in accordance with the following procedures:
  - (a) **Voice Vote.** The presiding officer shall determine whether to utilize voice voting. In the taking of a voice vote, if the presiding officer is in doubt, or upon request for a division of the assembly by any member eligible to vote on the particular issue, the presiding officer shall retake the vote by a show of paddles.
  - (b) **Paddle Vote.** The presiding officer shall determine whether to utilize paddle voting. In the taking of a vote by show of paddles, if the presiding officer is in doubt, or upon the request of any member eligible to vote on the particular issue, the presiding officer shall order the vote to be counted.
  - (c) **Secret Ballot.** Voting by secret ballot shall be conducted only when so ordered by a majority of the eligible delegates present and voting, following the making of an undebatable motion to vote in that manner.
  - (d) **Roll Call.** Voting by roll call, on issues other than those so designated by the Divisions II and III presidential administrative groups in accordance with Constitution 4.3.2-(g) and 4.4.2-(f) or Division I override votes in accordance with Constitution 5.3.2.3, shall be conducted only when so ordered by a majority of the eligible delegates present and voting, following the making of an undebatable motion to vote in that manner. If both a roll-call vote and a secret ballot are moved on a particular issue, the vote shall be taken first on whether to vote by roll call. Any interim or subsequent vote to amend, postpone, reconsider, refer or table a proposal that has been designated by the presidential administrative groups for a roll-call vote during the Convention also must be conducted by roll-call vote. (Revised: 1/10/92, 1/9/96 effective 8/1/97)

#### **5.2 ELEMENTS OF LEGISLATION**

- **5.2.1 Constitution.** The membership may adopt legislation to be included in the constitution of the Association, which sets forth basic purposes, fundamental policies and general principles that generally serve as the basis on which the legislation of the Association shall be derived and which includes information relevant to the purposes of the Association. (Revised: 1/9/96 effective 8/1/97)
- **\* 5.2.2 Operating Bylaws.** Each division may adopt legislation to be included in the operating bylaws of the Association, which provide rules and regulations not inconsistent with the provisions of the constitution and which shall include, but not be limited to, the following particulars: (Revised: 1/9/96 effective 8/1/97)
  - (a) The administration of intercollegiate athletics by members of the Association;
  - (b) The establishment and control of NCAA championships (games, matches, meets and tournaments) and other athletics events sponsored or sanctioned by the Association;
  - (c) The procedures for administering and enforcing the provisions of the constitution and bylaws; and
  - (d) The adoption of rules of play and competition in the various sports, and the delegation of authority in connection with such subjects to individuals, officers or committees.
- **\* 5.2.3 Administrative Bylaws.** The administrative bylaws of the Association (i.e., administrative regulations, executive regulations, enforcement policies and procedures, and athletics certification policies and procedures) provide rules and regulations for the implementation of policy adopted by the membership as set forth in the constitution and operating bylaws. They are distinct from the operating bylaws in that, to provide greater flexibility and efficiency in the conduct of the affairs of the Association, they may be adopted or amended by the applicable division presidential administrative group per Constitution 4.2, 4.3 and 4.4 and the Division III Management Council per Constitution 4.7. (Revised: 1/9/96 effective 8/1/97)
- **5.2.3.1 Administrative Regulations.** Each division is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the Divisions II and III membership, for the implementation of policy established by legislation governing the general activities of each division. The administrative bylaws may be adopted or amended by the applicable division presidential administrative group per Constitution 4.2, 4.3 and 4.4, the Division III Management Council per Constitution 4.7 or at any annual or special Convention by a majority vote of the delegates in Divisions II and III present and voting in accordance with the relevant sections of Constitution 5.3.5. (Revised: 1/9/96 effective 8/1/97)
- **5.2.3.2 Executive Regulations.** Each division is empowered to adopt or revise executive regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the Divisions II and III membership. Executive regulations relate to the administration of the division's championships, the expenditure of the division's funds, the distribution of the income of the division and the general administration of the affairs of the division. The executive regulations may be adopted or amended by the applicable division presidential administrative group per Constitution 4.2, 4.3 and 4.4, the Division III Management Council per Constitution 4.7 or at any annual or special Convention by a

# Elements of Legislation/5.2.3.2—Amendment Process/5.3.2.1.1

majority vote of the delegates in Divisions II and III present and voting in accordance with the relevant sections of Constitution 5.3.5. (Revised: 1/9/96 effective 8/1/97)

- **5.2.3.3 Enforcement Policies and Procedures.** The Committee on Infractions is empowered to adopt or revise policies and procedures for the conduct of the Association's enforcement program, subject to approval by the Management Council. These policies and procedures shall be developed by the Committee on Infractions, shall not be inconsistent with the provisions of the constitution and bylaws, and shall be subject to amendment by the membership.
- **5.2.3.4 Athletics Certification Policies and Procedures.** The Committee on Athletics Certification is empowered to adopt or revise policies and procedures for the conduct of the Association's athletics certification program, subject to approval by the Management Council. These policies and procedures shall be developed by the Committee on Athletics Certification, shall not be inconsistent with the provisions of the constitution and bylaws, and shall be subject to amendment by the membership. (*Adopted: 1/16/93 effective 1/1/94*)
- **5.2.4 Resolutions.** Legislation of a temporary character effective only for a specified time period may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order (see Constitution 5.4.2).
- **5.2.5 Interpretations of Constitution and Bylaws.** The divisional presidential administrative groups and management councils per Constitution 4.2 through 4.7, in the interim between Conventions, and the Academics/Eligibility/Compliance Cabinet, in the interim between meetings of the management councils, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.4.1). (Revised: 1/9/96 effective 8/1/97)
- **5.2.6 Special Rules of Order.** The Association may adopt special rules of order not inconsistent with the constitution and bylaws. These special rules, with Robert's Rules of Order, Newly Revised, this constitution, and the bylaws, shall be the parliamentary authority for the conduct of all meetings of the Association and, together, shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply (see Constitution 5.4.3).
- **5.2.7 Statements of Division Philosophy.** The membership of a division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division. Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences (see Constitution 5.4.4).

#### **5.3 AMENDMENT PROCESS**

#### 5.3.1 Authorizing Legislation

- **5.3.1.1 Amendment.** The dominant provisions of Constitution 1 and 2 and elsewhere and the division dominant provisions may be amended only at an annual or special Convention. In Division I, federated provisions may be amended as specified in Constitution 5.3.2. In Divisions II and III, federated provisions may be amended at any annual or special Convention. (Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.3.1.2** Amendment-to-Amendment—Dominant Provisions. A proposed amendment to the dominant provisions of Constitution 1 and 2 and elsewhere may be amended at any annual or special convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.1.3 Amendment-to-Amendment—Division Dominant Provisions.** A proposed amendment to a division dominant provision may be amended at any annual or special convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (*Adopted: 1/9/96 effective 8/1/97*)

# **5.3.2 Division I Legislative Process**

- **5.3.2.1 Authority to Adopt or Amend Bylaws.** The bylaws applicable to Division I may be adopted or amended at any meeting of the Board of Directors or of the Management Council, in legislative areas delegated to it by the Board. (*Adopted:* 1/9/96 effective 8/1/97)
  - **5.3.2.1.1 Regular or Alternate Member.** Any one regular or alternate member of the Board of Directors or Management Council may sponsor a legislative amendment applicable for both the regular legislative process and for any legislative Convention. A member also may move that cur-

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rent or proposed policies and procedures become legislative proposals, subject to the override process. (Adopted: 1/14/97 effective 8/1/97)

#### 5.3.2.2 Process for Adoption or Amendment of Bylaws

- **5.3.2.2.1 Management Council Action.** Any change in a Division I bylaw shall be subject to initial approval at a meeting of the Management Council by a majority vote of those present and voting. At its next regular meeting after the period for membership review and comment (see notification requirements—Constitution 5.3.2.4), the Management Council shall consider the reactions and suggestions received and take action on the proposed change. If the proposed change receives a majority vote of those Management Council members present and voting, it shall be forwarded to the Board of Directors for consideration and possible adoption. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.2.2.1.1 Alteration of Proposal.** If the Management Council alters a proposal after its initial approval but does not increase the modification of existing legislation beyond that of its initial proposal, it may proceed to take action to forward the proposal to the Board of Directors. If the alteration increases the modification beyond that initially approved, the Management Council shall forward the altered proposal to the Division I membership for review and comment before taking final action. (*Adopted: 1/9/96 effective 8/1/97*)
  - **5.3.2.2.1.2** Exception for Legislation Adopted by Management Council. The Board of Directors may authorize the Management Council to adopt legislation in some areas that can be effective without action by the Board. In such instances, the notice to the membership after initial Management Council approval shall so indicate. (*Adopted: 1/9/96 effective 8/1/97*)
  - **5.3.2.2.1.3 Exception for Emergency Legislation.** The Board of Directors shall create a process that permits the Management Council or Board to adopt "emergency" legislation that may be effective immediately. The process shall include a requirement that such "emergency" legislation may be adopted only by at least a three-fourths majority of the members of the adopting body present and voting. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.2.2.1.4 Exception for Noncontroversial Legislation.** During its first and third meetings of each calendar year (e.g., January and July meetings), the Management Council shall be authorized to adopt only emergency, noncontroversial legislation, subject to approval by the Board of Directors. Such noncontroversial legislation may be adopted only by at least a three-fourths majority of the members of the Management Council present and voting. (Adopted: 4/20/99 effective 8/1/99)
- **5.3.2.2.2 Board of Directors Adoption.** Legislative changes that are approved by the Management Council shall be forwarded to the Board of Directors for adoption. A proposed change shall be considered adopted if it receives the favorable vote of a majority of those Board members present and voting. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.2.2.2.1 Alteration of Proposal.** The Board of Directors may alter a proposal it receives from the Management Council. If the alteration does not increase the modification of current legislation beyond that of the Management Council approved proposal, the Board may approve it without further action. If the alteration increases the modification, the proposal shall be returned to the Council with the changes to provide an opportunity for review and comment by the division membership. (*Adopted: 1/9/96 effective 8/1/97*)
- **5.3.2.2.3 Division I-A and I-AA Football.** Members of the Board of Directors or Management Council who are representatives of conferences that are members of Divisions I-A and I-AA shall vote separately in their respective subdivisions on legislative issues that pertain only to football. ( $Adopted: 1/9/96\ effective\ 8/1/97$ )
  - **5.3.2.2.3.1 Geographical Representation.** An institution classified as a Division I-A independent or a Division I-AA independent, shall have its views expressed on Division I-A or I-AA issues by a predetermined Division I-A or I-AA conference representative within the institution's geographical region. (*Adopted: 1/9/96 effective 8/1/97*)
- **5.3.2.3 Membership "Override" of Legislative Changes.** The member institutions may override (e.g., rescind) the adoption of legislation enacted under the procedures set forth in Constitution 5.3.2.2.2 or the failure of the Board of Directors, or of the Management Council in legislative areas delegated to it by the Board in accordance with Constitution 5.3.2.2.1.2, to act on or adopt legislation initiated and considered through the legislative process. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
  - **5.3.2.3.1 Call for an Override Vote.** In order to call for a vote to override the adoption of a legislative change or the failure of a legislative change, written requests for such a vote from at least 30 active member institutions with voting privileges must be received in the national office by 5 p.m.

Central time within 60 days of the date of the Board of Directors' action. In addition, conferences may file such requests for an override vote on behalf of their member institutions. To take such action, a conference must annually submit to the Board of Directors its procedures to ensure that chief executive officers have delegated this authority. The override vote requests must be signed by the institution's chief executive officer, the chief executive officer's designated representative, the chair of the conference's official administrative group or at least two chief executive officers of the conference's member institutions if the conference has no presidential administrative group. If the legislative change is approved by the Board of Directors upon receipt of 100 requests for an override vote, the affected legislation will be suspended until final action (e.g., vote) is taken by the membership. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/10/98, 8/11/98)

- **5.3.2.3.1.1 Call for an Override Vote—Division I-AA Football-Only Issues.** In order to call for a vote to override the adoption of a football-related only legislative change or the failure of a football-related only legislative change in Division I-AA, written requests for such a vote from at least 15 active Division I-AA member institutions with voting privileges must be received in the national office by 5 p.m. Central time within 60 days of the date of the Board of Directors' actions. In addition, conferences may file such requests for an override vote on behalf of their member institutions. To take such action, a conference must annually submit to the Board of Directors its procedures to ensure that chief executive officers have delegated this authority. The override vote request must be signed by the institution's chief executive officer, the chief executive officer's designated representative, the chair of the conference's official presidential group or at least two chief executive officers of the conference's member institutions if the conference has no presidential administrative group. If the legislative change is approved by the Board of Directors upon receipt of 40 requests for an override vote, the affected legislation will be suspended until final action (e.g., vote) is taken by the Division I-AA membership. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/10/98)
- **5.3.2.3.2 Board of Directors Review.** Once the required number of submissions has been received, the Board of Directors will review its legislative decision (or the decision made by the Management Council in an area delegated to it). If the decision is not changed, a vote of the active members of shall take place at the next annual Convention of the Association. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.2.3.3 Five-Eighths Majority Vote.** At least a five-eighths majority vote of the active members present and voting shall be required to override the legislative action taken by the Board of Directors (or by the Management Council in an area delegated to it). The vote shall be based on the principle of one institution, one vote and all override votes shall be taken with recorded roll calls. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.3.2.3.4 Effective Date of Override.** An override of the legislative action by vote of the membership shall be effective upon adjournment of the voting session in which the vote occurs. (*Adopted:* 1/9/96 effective 8/1/97)

#### 5.3.2.4 Notification to Membership

- **5.3.2.4.1 Action by Cabinet, Committee or Subcommittee.** Proposed changes in legislation that are adopted or rejected by a cabinet, committee or subcommittee shall be forwarded to the Management Council for consideration. (*Adopted: 1/9/96 effective 8/1/97, Revised: 4/20/99*)
- **5.3.2.4.2 Initial Approval by Management Council.** Proposed changes in legislation that receive the initial approval of the Management Council shall be published within 15 days of adjournment of the Board of Directors' meeting immediately following the Management Council's actions for the information of the membership and to solicit the membership's review and comment. To be considered by the Management Council in its final review of the proposed change, any comment from the membership shall be received in the national office within 60 days of the adjournment of the Board of Directors' meeting immediately following the Management Council's actions. (Adopted: 1/9/96 effective 8/1/97, Revised: 8/11/98, 10/28/99)
- **5.3.2.4.3 Action by Board of Directors.** After the Board of Directors (or the Management Council in an area delegated to it) has adopted, failed to take action or rejected a legislative change, it shall be published within 15 days of such action for the information of the membership and to permit the membership to seek to override the action in accordance with Constitution 5.3.2.3. (*Adopted: 1/9/96 effective 8/1/97, Revised: 8/11/98*)
- **5.3.2.4.4 Final Action.** Once the adoption of the legislation has become final, it shall be published and incorporated into the next annual edition of the NCAA Manual. (*Adopted: 1/9/96 effective 8/1/97*)
- **5.3.2.5 Method of Notification.** Notification as required in Constitution 5.3.2.4 shall be sent to institutions and conferences by first-class mail, by facsimile or by computer-generated communications.  $(Adopted: 1/9/96\ effective\ 8/1/97)$

#### Amendment Process/5.3.2.6—5.3.5.2.2

**5.3.2.6 Other Rules and Procedures.** The Board of Directors may approve such additional rules and procedures governing the legislative process not inconsistent with the provisions of this section (Constitution 5.3.2) as it determines are necessary to assure an efficient process to meet the legislative needs of the membership. (*Adopted:* 1/9/96 effective 8/1/97)

#### 5.3.3 Sponsorship—Amendments to Dominant Provisions

- **\* 5.3.3.1 Amendment.** An amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.3.2** Amendment-to-Amendment. An amendment-to-amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.3.3 Editorial Changes.** The presiding officer may permit changes in the wording of proposed amendments of a purely editorial nature or to correct typographical errors.

#### 5.3.4 Sponsorship—Amendments to Division Dominant Provisions

- **5.3.4.1 Amendment.** An amendment to a division dominant provision may be introduced to the Board of Directors by any one regular or alternate member of the Board. (Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97)
- **5.3.4.2** Amendment-to-Amendment. An amendment-to-amendment to a division dominant provision may be introduced to the Board of Directors by any one regular or alternate member of the Board. (*Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97*)
- **5.3.4.3 Editorial Changes.** The presiding officer may permit changes in the wording of a proposed amendment of a purely editorial nature or to correct typographical errors. (Adopted: 1/9/96 effective 8/1/97)

#### 5.3.5 Submission Deadline

#### 5.3.5.1 Dominant Provisions

- **5.3.5.1.1 Amendments.** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall be sponsored by the Executive Committee in accordance with the following deadlines: (Adopted: 1/9/96 effective 8/1/97)
- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days preceding a special Convention.
  - **5.3.5.1.1.1 Exception.** The Executive Committee, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. (Adopted: 1/9/96 effective 8/1/97)
- 5.3.5.1.2 Amendments-to-Amendments. The Executive Committee must submit amendments to their original proposals in writing and such amendments must be submitted not later than 5 p.m. Central time September 15, unless the amendment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing not later than 5 p.m. Central time November 1 preceding an annual Convention or 60 days preceding a special Convention. The Executive Committee may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the proposed amendment-to-amendment has been approved by two-thirds of the Executive Committee and copies are distributed before or during the business session. (Adopted: 1/9/96 effective 8/1/97)

# 5.3.5.2 Division Dominant Provisions

- **5.3.5.2.1 Amendments.** Amendments to division dominant provisions shall be sponsored by the appropriate divisional presidential administrative group in accordance with the following deadlines: (Adopted: 1/9/96 effective 8/1/97)
- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days preceding a special Convention.
  - **5.3.5.2.1.1 Exception.** A divisional presidential administrative group, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. (*Adopted:* 1/9/96 effective 8/1/97)
- **5.3.5.2.2** Amendments-to-Amendments. The appropriate divisional presidential administrative

group must submit amendments to its original proposals in writing and such amendments must be submitted not later than 5 p.m. Central time September 15, unless the amendment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing not later than 5 p.m. Central time November 1 preceding an annual Convention or 60 days preceding a special Convention. A divisional presidential administrative group may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the proposed amendment-to-amendment has been approved by two-thirds of the divisional presidential administrative group and copies are distributed before or during the appropriate business session. (Adopted: 1/9/96 effective 8/1/97)

#### 5.3.6 Notification to Membership

#### 5.3.6.1 Amendments to Dominant Provisions

- **5.3.6.1.1 Initial Publication.** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere sponsored by the Executive Committee shall be published for the information of the membership as follows: (Adopted: 1/9/96 effective 8/1/97)
- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days preceding a special Convention.
- **5.3.6.1.2 Official Notice.** A copy of the proposed amendments shall be mailed to all members of the Association not later than November 15 preceding an annual Convention or 45 days preceding a special Convention. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.6.1.3 Delayed Date.** If the Executive Committee establishes a date later than July 15 or September 1 for the submission of amendments to dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (Adopted 1/9/96 effective 8/1/97)
- **5.3.6.1.4** Amendments-to-Amendments. Copies of all amendments-to-amendments to dominant provisions submitted by the Executive Committee pursuant to the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (Adopted: 1/9/96 effective 8/1/97)

#### 5.3.6.2 Amendments to Division Dominant Provisions

- **5.3.6.2.1 Initial Publication.** Amendments to division dominant provisions sponsored by a divisional presidential administrative group shall be published for the information of the membership as follows: (*Adopted:* 1/19/96 effective 8/1/97)
- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days preceding a special Convention.
- **5.3.6.2.2 Official Notice.** A copy of the proposed amendments shall be mailed to members of the appropriate division not later than November 15 preceding an annual Convention or 45 days preceding a special Convention. (*Adopted: 1/9/96 effective 8/1/97*)
- **5.3.6.2.3 Delayed Date.** If a divisional presidential administrative group establishes a date later than July 15 or September 1 for the submission of amendments to division dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.6.2.4 Amendments-to-Amendments.** Copies of all amendments-to-amendments to division dominant provisions submitted by a divisional presidential administrative group pursuant to the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (Adopted: 1/9/96 effective 8/1/97)

# **5.3.7 Adoption of Amendment, Voting Requirements**

- **5.3.7.1 Voting Requirement Determination.** The Executive Committee shall be authorized to determine the voting requirement for an amendment when the voting requirement is not obvious from the content or context of the legislation. (Revised: 1/9/96 effective 8/1/97)
- **5.3.7.2 Dominant Provision.** Adoption of an amendment to a dominant provision of Constitution 1 and 2 and elsewhere shall require a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention of the Association. (Revised: 1/9/96 effective 8/1/97)

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#### Amendment Process/5.3.7.3—5.3.11.1.1

**5.3.7.3 Division Dominant Provision.** Adoption of a division dominant provision shall require a two-thirds majority vote of all delegates of the affected division present and voting at a division's annual or special Convention. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ )

#### 5.3.8 Adoption of Amendment-to-Amendment, Voting Requirements

- **\* 5.3.8.1 Dominant Provision.** A proposed amendment to an amendment of a dominant provision of Constitution 1 and 2 and elsewhere shall be approved by a majority vote of all delegates present and voting. (*Revised:* 1/9/96 effective 8/1/97)
  - **5.3.8.2 Division Dominant Provision.** A proposed amendment to an amendment of a division dominant provision shall be approved by a majority vote of the delegates of the affected division present and voting. (*Adopted:* 1/9/96 effective 8/1/97)
- **5.3.9 Special Voting Requirements.** The following topics are subject to special voting requirements.
- **5.3.9.1 National Collegiate Championship.** A National Collegiate Championship per Bylaw 18.02.1.1 may be established by action of all three divisions acting through each division's governance structure, subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (Revised: 1/14/97 effective 8/1/97)
  - **5.3.9.2 Division Championship.** A division championship per Bylaw 18.02.1.2 may be established by a majority vote of the Board of Directors subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (*Revised:* 1/9/96 effective 8/1/97)
  - **5.3.9.3 Football Television Plan.** Only members that sponsor varsity intercollegiate football shall be permitted to vote on adoption of NCAA football television plans and on amendments to Bylaw 22 governing those plans. (*Revised:* 1/9/96 effective 8/1/97)
  - **5.3.9.4 Voting on Football Issues**. An active member or voting member conference with no football program shall not be permitted to vote on issues affecting only football. (*Revised:* 1/9/96 *effective* 8/1/97)
  - **5.3.9.5 Override.** The membership may override (e.g., rescind) the adoption of legislation enacted under the procedures set forth in Constitution 5.3.2.2.2 or the failure of the Board of Directors, or of the Management Council in legislative areas delegated to it by the Board, to act on or adopt legislation initiated and considered through the legislative process. At least a five-eighths majority of the active members present and voting shall be required to override the legislative action taken by the Board of Directors (or by the Management Council in an area delegated to it) (see also Constitution 5.3.2.3). (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.3.9.6 Challenged Action.** The action of any specific division challenged by the Executive Committee as being contrary to the basic purposes, fundamental policies and general principles set forth in the Association's Constitution may be overridden by the Association's entire membership by a two-thirds majority vote of those institutions voting. (*Adopted: 1/9/96 effective 8/1/97*)

#### 5.3.10 Intent and Rationale

- **\* 5.3.10.1 Amendments to Dominant Provisions.** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.10.2** Amendments to Division Dominant Provisions. All amendments to division dominant provisions shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (*Adopted: 1/9/96 effective 8/1/97*)

#### 5.3.11 Effective Date

- **5.3.11.1 Dominant Provisions.** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall become effective not earlier than the first day of August following adoption by the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97)
- **5.3.11.1.1** Alternative to August 1 Effective Date. If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date prior to the first day of August, a two-thirds majority of all delegates present and eligible to vote on the amendment is required to approve the immediate or alternative effective date. Those amendments specified as being effective immediately shall become effective upon adjournment of the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97)

# Amendment Process/5.3.11.2—Other Legislative Procedures/5.4.1.2.4

- **5.3.11.2 Division Dominant Provisions.** All amendments to division dominant provisions shall become effective not earlier than the first day of August following adoption by the Convention. (*Adopted: 1/9/96 effective 8/1/97*)
  - **5.3.11.2.1** Alternative to August 1 Effective Date. If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date prior to the first day of August, a two-thirds majority of all delegates present and eligible to vote on the amendment is required to approve the immediate or alternative effective date. Those amendments specified as being effective immediately shall become effective upon adjournment of the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97)

#### 5.3.12 Reconsideration

- **5.3.12.1 Vote on Dominant Provisions.** Prior to the adjournment of any Convention, an affirmative or negative vote on an amendment to a provision of Constitution 1 and 2 and elsewhere may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. (*Revised:* 1/9/96 effective 8/1/97)
- **5.3.12.2 Vote on Division Dominant Provisions.** Prior to adjournment of any Convention, an affirmative or negative vote on an amendment to a division dominant provision may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. Reconsideration of the vote must occur in the appropriate division business session. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ )

# **5.4 OTHER LEGISLATIVE AND AMENDMENT PROCEDURES**

#### 5.4.1 Interpretations of Constitution and Bylaws

- **5.4.1.1 Authorization.** The Board of Directors and Management Council per Constitution 4.2 through 4.7, and the appropriate committee for each division in the interim between meetings of the Board of Directors and Management Council, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.2.5). (*Revised:* 1/9/96 effective 8/1/97)
  - **5.4.1.1.1 Modification of Wording**. In addition to its general authority to make binding interpretations of NCAA legislation, the Management Council, by a two-thirds majority of its members present and voting, may interpret legislation consistent with the intent of the membership in adopting the legislation if sufficient documentation and testimony are available to establish clearly that the wording of the legislation is inconsistent with that intent. The Management Council shall initiate the legislative process to confirm any such interpretations. (*Revised:* 1/9/96 *effective* 8/1/97)

#### 5.4.1.2 Interpretation Process

- **5.4.1.2.1 Response to Request.** The membership services staff shall respond to a request from a member institution for an interpretation of NCAA rules. Such an interpretation is binding on the institution unless it is modified by the Academics/Eligibility/Compliance Cabinet. (*Revised:* 1/14/97 effective 8/1/97)
- **5.4.1.2.2 Review of Staff Interpretation.** A member institution may request a review by the Academics/Eligibility/Compliance Cabinet (or a committee designated by it) of any interpretation provided by the membership services staff. Such a request must be submitted in writing by the institution's conference or by one of the five individuals who are authorized to request such interpretations on behalf of the institution [i.e., chief executive officer, faculty athletics representative, athletics director, senior woman administrator, compliance coordinator, or designated substitute(s) for the chief executive officer and/or athletics director, as specified in writing to the national office]. (Revised: 1/10/91, 1/11/94, Revised: 1/14/97 effective 8/1/97)
- **5.4.1.2.3** Review of Academics/Eligibility/Compliance Cabinet's Decision. The Management Council shall review all interpretations issued by the Academics/Eligibility/Compliance Cabinet (or the committee designated by it) and may approve, reverse or modify such interpretations. A member institution may appeal a decision of the Academics/Eligibility/Compliance Cabinet (or the committee designated by it) to the Management Council at the Management Council meeting immediately following the decision of the cabinet. The appeal must be submitted in writing by the institution's chief executive officer, faculty athletics representative or director of athletics. The Management Council shall establish the procedures for such an appeal. A decision of the Management Council is final and no additional appeal opportunity shall exist for a member institution. (Adopted: 1/11/94, Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.4.1.2.4 Publication or Notification.** Interpretations issued by the Academics/ Eligibility/Compliance Cabinet (or the committee designated by it) shall be binding upon notifica-

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# Other Legislative and Amendment Procedures/5.4.1.2.4—5.4.2.4.3.2

tion to affected institutions and upon all member institutions after publication and circulation to the membership. (Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)

- **5.4.1.2.5 Revision.** Interpretations approved by the Management Council may not be revised by the Academics/Eligibility/ Compliance Cabinet (or the committee designated by it). The Academics/Eligibility/ Compliance Cabinet (or the committee designated by it) may only recommend to the Management Council revisions of such interpretations. (Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.4.1.3 Application.** An interpretation of the constitution or bylaws shall be applicable to the membership division(s) to which the provision applies.
- **5.4.1.4** Administrative Review Subcommittee of the Management Council. An institution may appeal to the appropriate committee in each division a decision of an NCAA committee (excluding actions of the Academics/Eligibility/Compliance Cabinet related to the restoration of a student-athlete's eligibility and the Committee on Infractions) or the NCAA staff regarding the application of NCAA legislation to a particular situation. In reaching its decision, the review subcommittee shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation. The divisional presidential administrative group shall establish the process for such a review; shall monitor the actions taken under this authorization, and shall report annually to the membership the actions taken, in summary, aggregate form. (Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97)

#### 5.4.2 Resolutions

- **5.4.2.1 Authorization.** Legislation pertaining to one or more divisions may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order.
- **\* 5.4.2.2 Scope and Application.** Legislation enacted through resolutions shall be of a temporary nature, effective only for a limited time as specified in the resolution itself.

#### 5.4.2.3 Dominant Provisions

- **5.4.2.3.1 Sponsorship.** A resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (Revised: 1/9/96 effective 8/1/97)
  - **5.4.2.3.2 Submission Deadline.** A proposed resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere must be submitted prior to November 1. The Executive Committee may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds vote of the Executive Committee and copies are distributed during the business sessions. (Revised: 1/9/96 effective 8/1/97)

#### 5.4.2.3.3 Voting Requirements

- **5.4.2.3.3.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates present and voting at an annual or special Convention. (*Revised: 1/9/96 effective 8/1/97*)
- **5.4.2.3.3.2 Mail Ballot.** If a majority of the delegates present and voting so direct, a resolution shall be referred to the entire membership for a mail vote conducted under conditions approved by the Executive Committee. A two-thirds majority of members voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (*Revised:* 1/9/96 *effective* 8/1/97)

# 5.4.2.4 Division Dominant Provisions

- **5.4.2.4.1 Sponsorship.** A resolution related to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (Adopted: 1/9/96 effective 8/1/97)
- **5.4.2.4.2 Submission Deadline.** A proposed resolution related to a division dominant provision must be submitted prior to November 1. A divisional presidential administrative group may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds majority vote of that presidential administrative group and copies are distributed during the appropriate division business sessions. (Adopted: 1/9/96 effective 8/1/97)

#### 5.4.2.4.3 Voting Requirements

- **5.4.2.4.3.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates of the applicable division present and voting at an annual or special Convention. (Adopted: 1/9/96 effective 8/1/97)
- **5.4.2.4.3.2 Mail Ballot.** If a majority of the delegates of the applicable division present and

# Other Legislative and Amendment Procedures/5.4.2.4.3.2—5.4.4.3

voting so direct, a resolution shall be referred to the entire membership of that division for a mail vote conducted under conditions approved by the appropriate presidential administrative group. A two-thirds majority of members of the applicable division voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (Adopted: 1/9/96 effective 8/1/97)

#### 5.4.3 Special Rules of Order

- **5.4.3.1 General Business Sessions.** The Association may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates present and voting at any annual or special Convention. (Revised: 1/9/96 effective 8/1/97)
- **5.4.3.2 Division Business Sessions.** Each division may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates of the applicable division present and voting at any annual or special Convention. (*Revised:* 1/9/96 effective 8/1/97)
- **5.4.3.3 Amendment Process.** Proposed special rules of order and amendments to existing special rules of order shall be subject to the same procedural requirements for previous notice and amendments as prescribed in Constitution 5.3.

#### **5.4.4 Statements of Division Philosophy**

- **5.4.4.1 Authorization.** Each division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division or subdivision.
- **5.4.4.2 Scope and Application.** Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division or subdivision and for planning and implementation of programs by institutions and conferences.
- **5.4.4.3 Adoption Process.** A statement of division philosophy may be adopted through the legislative process set forth in Constitution 5.3.2. If a statement of division philosophy is adopted, it shall be published in the NCAA Manual. (*Revised:* 1/9/96 effective 8/1/97)

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Cabinet/Committee Other Constituent Management Legislative Ideas Legislative Council or Board o Legislative Group Legislative Recommendation Recommendation Suggestion Directors Legislative Idea Referred (any legislative con-cept from any source can be referred) Management Council Not Approved (No further consideration Approved (Side) unless rein troduced) Management Council Notice to Membership; 60-Day Comment Period Review Not Approved++ (No further consideration unless reintroduced) With significant modification\* Resignational modification's Board of Not Approved or no action Approved Directors Withsignificant und mad de la Carte Board Notice to Membership; 60-Day Period to Notice to Membership; Request Override Review 60-Day Period to Request Override en ersten ses<del>an</del> i Board Reviews Legislation Override Process No change Change of position Membership votes at annual Convention Override Board Support Board (atleasta 5/9ths majority)

FIGURE 5-1 **NCAA Division I Legislative Process** 

Board of Directors

Action Final

Membership

Action Final

<sup>\*</sup>Significant modification = a greater modification from the current rule than the original proposal.

++On a topic for which the Management Council has been delegated final authority by the Board of Directors; the override process begins at this point. Note: During the Management Council's first and third meetings of each calendar year (e.g., January and July meetings), the Management Council shall be authorized to adopt only emergency, noncontroversial legislation, subject to approval by the Board of Directors.

Note: If Proposal No. 2000-35 is adopted by the Board of Directors in October 2000, the 60-day comment period following the Management Council initial approval will change to a 90-day comment period.

# **FIGURE 5-2**Legislative Activity Calendar for 2000-2001

DATE	LEGISLATIVE ACTIVITY
July 16, 2000	Call for conference legislative proposals.
August 15, 2000	Conference deadline for submitting legislative proposals.
By August 30, 2000	Management Council Administrative Committee referral to cabinets.
September 2000	Cabinet review.
September 25, 2000	Management Council agenda mailing with new legislative proposals from conferences/cabinets and membership comments regarding April 2000 Management Council legislative proposals.
October 25-26, 2000	Management Council initial review of new legislative proposals and second review of legislative proposals from April 2000.
November 1, 2000	Board of Directors review of legislative proposals given second approval by the Management Council in October 2000.
November 1, 2000 to December 30, 2000	Comment and override period.*
December 16, 2000	Call for conference legislative proposals.
January 15, 2001	Conference deadline for submitting legislative proposals.
By February 1, 2001	Management Council Administrative Committee referral to cabinets.
February 2001	Cabinet review.
March 9, 2001	Management Council agenda mailing with new legislative proposals from conferences/cabinets and membership comments regarding October 2000 Management Council legislative proposals.
April 9-10, 2001	Management Council initial review of new legislative proposals and second review of legislative proposals from October 2000.
April 26, 2001	Board of Directors review of legislative proposals given second approval by Management Council in April 2001.
April 26, 2001 to June 25, 2001	Comment and override period.*
July 16, 2001	Call for conference legislative proposals.
August 15, 2001	Conference deadline for submitting legislative proposals.
By August 30, 2001	Management Council Administrative Committee referral to cabinets.
September 2001	Cabinet review.
September 22, 2001	Management Council agenda mailing with new legislative proposals from conferences/cabinets and membership comments regarding April 2001 legislative proposals.
October 22-23, 2001	Management Council initial review of new legislative proposals and second review of legislative proposals from April 2001.
November 1, 2001	Board of Directors review of legislative proposals given second approval by Management Council in October 2001.
November 1, 2001 to December 30, 2001	Comment and override period.*

<sup>\*</sup>Note: If Proposal No. 2000-35 is adopted by the Board of Directors in October 2000, the 60-day comment period following the Management Council initial approval will change to a 90-day period.

# **CONSTITUTION, ARTICLE 6**

# **Institutional Control**

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#### **6.01 GENERAL PRINCIPLES**

- **6.01.1 Institutional Control.** The control and responsibility for the conduct of intercollegiate athletics shall be exercised by the institution itself and by the conference(s), if any, of which it is a member. Administrative control or faculty control, or a combination of the two, shall constitute institutional control.
- **6.01.2 Athletics Certification—Verified and Evaluated Self-Study.** The central purpose of the athletics certification program of the Association shall be to validate the fundamental integrity of member institutions' athletics programs through a verified and evaluated institutional self-study. (Adopted: 1/16/93 effective 1/1/94)
  - **6.01.2.1 External Peer Review**. The involvement in the certification program of peer reviewers who are external to the institution is designed to verify and evaluate the methodology and results of the institutional self-study. Peer reviewers shall verify that the self-study process was characterized by campus-wide participation and that the self-study report reflects accurately the operation of the athletics program. Peer reviewers also shall evaluate the institution's athletics program in relation to a set of fundamental operating principles. (Adopted: 1/16/93 effective 1/1/94)

## **6.1 INSTITUTIONAL GOVERNANCE**

- **6.1.1 Chief Executive Officer.** A member institution's chief executive officer has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program.
- **6.1.2 Athletics Board.** A board in control of athletics or an athletics advisory board, which has responsibility for advising or establishing athletics policies and making policy decisions, is not required. However, if such a board exists, it must conform to the following provisions.
  - **6.1.2.1 Composition.** Administration and/or faculty staff members shall constitute at least a majority of the board in control of athletics or an athletics advisory board, irrespective of the chief executive officer's responsibility and authority or whether the athletics department is financed in whole or in part by student fees. If the board has a parliamentary requirement necessitating more than a simple majority in order to transact some or all of its business, then the administrative and faculty members shall be of sufficient number to constitute at least that majority.
    - **6.1.2.1.1** Administrator Defined. An administrator (for purposes of this legislation) is an individual employed by the institution as a full-time administrative staff member who holds an academic appointment, is directly responsible to the institution's chief executive officer or serves as a chief administrative official (e.g., admissions director, finance officer, department head, or athletics department head). Other nonacademic staff members and individuals who are members of an institution's board of trustees or similar governing body would not be considered to be administrators for purposes of this regulation.
    - **6.1.2.1.2 Board Subcommittee.** If a board subcommittee is appointed, it is not necessary for the subcommittee to have majority control by administration and/or faculty members (see Constitution 6.1.2.1), provided all actions of the subcommittee are approved by the entire board before becoming effective. However, if the subcommittee's actions are effective permanently or become effective immediately and remain in effect until reviewed by the entire board at a later date, the subcommittee's membership must satisfy the majority-control requirement.
    - **6.1.2.1.3 Attendance**. A parliamentary majority of administrators and faculty members of a board in control of athletics is not required to be present at any single meeting in order to conduct business.
  - **6.1.2.2 Chair or Voting Delegate.** Only an administrator or faculty member (as opposed to a student, alumnus or governing board member) may serve as chair of a member institution's board in control of

# Institutional Governance/6.1.2.2—Self-Study and Evaluation/6.3.1.1

intercollegiate athletics or represent the board as the institution's voting delegate at Conventions. Institutional representatives in these positions have responsibility for advising or establishing athletics policies and making policy decisions that require administrative and/or faculty control.

- **6.1.3 Faculty Athletics Representative**. A member institution shall designate an individual to serve as faculty athletics representative. An individual so designated after January 12, 1989, shall be a member of the institution's faculty or an administrator who holds faculty rank and shall not hold an administrative or coaching position in the athletics department. Duties of the faculty athletics representative shall be determined by the member institution. (*Adopted:* 1/11/89)
- **6.1.4 Student-Athlete Advisory Committee**. Each institution shall establish a student-athlete advisory committee for its student-athletes. The composition and duties of the committee shall be determined by the institution. (Adopted: 1/10/95 effective 8/1/95)

#### **6.2 BUDGETARY CONTROL**

- **6.2.1 Normal Budgeting Procedures**. The institution's annual budget for its intercollegiate athletics programs shall be controlled by the institution and subject to its normal budgeting procedures.
- **6.2.2 Chief Executive Officer Approval**. The institution's chief executive officer or an institutional administrator designated by the chief executive officer from outside the athletics department shall approve the annual budget in the event that the institution's normal budgeting procedures do not require such action
- **6.2.3 Financial Audit.** All expenditures for or in behalf of a member institution's intercollegiate athletics programs, including those by any outside organization, agency or group of individuals (two or more), shall be subject to an annual financial audit (in addition to any regular financial audit policies and procedures of the institution) conducted for the institution by a qualified auditor who is not a staff member of the institution and who is selected either by the institution's chief executive officer or by an institutional administrator from outside the athletics department designated by the chief executive officer.
  - **6.2.3.1** Audit Schedule. The audit report for one fiscal year shall be completed and presented to the chief executive officer prior to the end of the institution's next fiscal year.
  - **6.2.3.2 Exception.** A member institution with an operating budget for intercollegiate athletics of less than \$300,000 (excluding staff salaries), as verified in writing to the NCAA by the chief executive officer, shall be exempt from this legislation.

# **6.3 SELF-STUDY AND EVALUATION**

- **6.3.1 Self-Study Report.** Member institutions shall conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every 10 years in a form prescribed by the Management Council. Member institutions shall use the athletics certification process set forth in Constitution 6.3.1.1 to fulfill the self-study requirement. The report of the self-study and supporting documentation shall be available for examination upon request by an authorized representative of the Association. Subjects covered by the self-study shall include: (Revised: 1/14/97 effective 8/1/97, beginning with the institution's second athletics certification cycle)
- (a) Institutional purpose and athletics philosophy;
- (b) The authority of the chief executive officer in personnel and financial affairs;
- (c) Athletics organization and administration;
- (d) Finances;
- (e) Personnel;
- (f) Sports programs;
- (g) Recruiting policies;
- (h) Services for student-athletes; and
- (i) Student-athlete profiles.
  - **6.3.1.1 Athletics Certification Requirement.** The self-study requirement set forth in Constitution 6.3.1 shall consist of the completion of, at least once every 10 years, a prescribed institutional self-study verified and evaluated through external peer review. Subjects per Constitution 6.3.1 covered by the self-study shall be grouped as follows: (Adopted: 1/16/93 effective 1/1/94, Revised: 1/14/97 effective 8/1/97, beginning with the institution's second athletics certification cycle)
  - (a) Governance and commitment to rules compliance;
  - (b) Academic integrity;

# Self-Study and Evaluation/6.3.1.1—Responsibility for Outside Entities/6.4.2.2

- (c) Fiscal integrity; and
- (d) Equity, welfare and sportsmanship.
  - **6.3.1.1.1 Institutional Plan for Improvement**. Each member institution participating in the program shall develop a formal set of objectives designed to correct any deficiencies in the institution's athletics program, and establish an overall strategy for improving the quality of the institution's athletics program from one self-study to the next. When problems are identified pursuant to the certification process, a member institution shall take corrective action. A member institution that fails to conduct an appropriate self-study or to make an effective effort to correct problems identified pursuant to the certification process may be denied certification and ultimately may be placed in restricted and/or corresponding membership classifications. (Adopted: 1/16/93 effective 1/1/94)
- **6.3.2 Exit Interviews.** The institution's director of athletics, senior woman administrator or designated representatives (excluding coaching staff members) shall conduct exit interviews in each sport with a sample of student-athletes (as determined by the institution) whose eligibility has expired. Interviews shall include questions regarding the value of the students' athletics experiences, the extent of the athletics time demands encountered by the student-athletes, proposed changes in intercollegiate athletics and concerns related to the administration of the student-athletes' specific sports. (*Adopted: 1/10/91 effective 8/1/91*)

# **6.4 RESPONSIBILITY FOR ACTIONS OF OUTSIDE ENTITIES**

- **6.4.1 Independent Agencies or Organizations.** An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of an independent agency, corporate entity (e.g., apparel or equipment manufacturer) or other organization when a member of the institution's executive or athletics administration, or an athletics department staff member, has knowledge that such agency, corporate entity or other organization is promoting the institution's intercollegiate athletics program. (Revised: 2/16/00)
- **6.4.2 Representatives of Athletics Interests.** An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of individuals, a corporate entity (e.g., apparel or equipment manufacturer) or other organization when a member of the institution's executive or athletics administration or an athletics department staff member has knowledge or should have knowledge that such an individual, corporate entity or other organization: (Revised: 2/16/00)
- (a) Has participated in or is a member of an agency or organization as described in Constitution 6.4.1;
- (b) Has made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Has been requested by the athletics department staff to assist in the recruitment of prospective student-athletes or is assisting in the recruitment of prospective student-athletes;
- (d) Has assisted or is assisting in providing benefits to enrolled student-athletes; or
- (e) Is otherwise involved in promoting the institution's athletics program.
  - **6.4.2.1 Agreement to Provide Benefit or Privilege.** Any agreement between an institution (or any organization that promotes, assists or augments in any way the athletics interests of the member institution, including those identified per Constitution 6.4.1) and an individual who, for any consideration, is or may be entitled under the terms of the agreement to any benefit or privilege relating to the institution's athletics program, shall contain a specific clause providing that any such benefit or privilege may be withheld if the individual has engaged in conduct that is determined to be a violation of NCAA legislation. The clause shall provide for the withholding of the benefit or privilege from a party to the agreement and any other person who may be entitled to a benefit or privilege under the terms of the agreement. (*Adopted:* 1/10/95)
  - **6.4.2.2 Retention of Identity as "Representative."** Any individual participating in the activities set forth in Constitution 6.4.2 shall be considered a "representative of the institution's athletics interests," and once so identified as a representative, it is presumed the person retains that identity.

# **Ethical Conduct**

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#### 10.01 GENERAL PRINCIPLE

**10.01.1 Honesty and Sportsmanship**. Individuals employed by (or associated with) a member institution to administer, conduct or coach intercollegiate athletics and all participating student-athletes shall act with honesty and sportsmanship at all times so that intercollegiate athletics as a whole, their institutions and they, as individuals, shall represent the honor and dignity of fair play and the generally recognized high standards associated with wholesome competitive sports.

#### 10.1 UNETHICAL CONDUCT

Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member may include, but is not limited to, the following: (Revised: 1/10/90, 1/9/96)

- (a) Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- (b) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete;
- (c) Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid; (Revised:1/9/96)
- (d) Knowingly furnishing the NCAA or the individual's institution false or misleading information concerning the individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation; or
- (e) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner"). (Adopted: 1/9/96)

#### 10.2 KNOWLEDGE OF USE OF BANNED DRUGS

A member institution's athletics department staff members or others employed by the intercollegiate athletics program who have knowledge of a student-athlete's use at any time of a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.1, shall follow institutional procedures dealing with drug abuse or shall be subject to disciplinary or corrective action as set forth in Bylaw 19.6.2.2.

# **10.3 GAMBLING ACTIVITIES**

Staff members of a member conference, staff members of the athletics department of a member institution and student-athletes shall not knowingly: (Revised: 4/22/98 effective 8/1/98)

- (a) Provide information to individuals involved in organized gambling activities concerning intercollegiate athletics competition;
- (b) Solicit a bet on any intercollegiate team;
- (c) Accept a bet on any team representing the institution;
- (d) Solicit or accept a bet on any intercollegiate competition for any item (e.g., cash, shirt, dinner) that has tangible value; or (Revised: 9/15/97)
- (e) Participate in any gambling activity that involves intercollegiate athletics or professional athletics, through a bookmaker, a parlay card or any other method employed by organized gambling. (Revised: 1/9/96, 1/14/97 effective 8/1/97)

# Gambling Activities/10.3.1—Disciplinary Action/10.4

**10.3.1 Sanctions.** The following sanctions for violations of Bylaw 10.3 shall apply as follows: (Adopted: 4/27/00 effective 8/1/00)

- (a) A student-athlete who engages in activities designed to influence the outcome of an intercollegiate contest or in an effort to affect win-loss margins (i.e., "point shaving") or who solicits or accepts a bet or participates in any gambling activity through a bookmaker, a parley card or any other method employed by organized gambling that involves wagering on the student-athlete's institution shall permanently lose all remaining regular-season and postseason eligibility in all sports.
- (b) A student-athlete who solicits or accepts a bet or participates in any gambling activity that involves intercollegiate athletics or professional athletics, through a bookmaker, a parlay card or any other method
  employed by organized gambling, shall be ineligible for all regular-season and postseason competition for
  a minimum of a period of one year from the date of the institution's determination that a violation has
  occurred and shall be charged with the loss of a minimum of one season of competition. A request for reinstatement may be submitted on behalf of a student-athlete who has participated in such activity only
  upon fulfillment of the minimum condition indicated above. If the student-athlete is determined to have
  been involved in a subsequent violation of any portion of Bylaw 10.3, the student-athlete shall permanently lose all remaining regular-season and postseason eligibility in all sports.

#### **10.4 DISCIPLINARY ACTION**

Prospective or enrolled student-athletes found in violation of the provisions of this regulation shall be ineligible for further intercollegiate competition, subject to appeal to the Academics/Eligibility/ Compliance Cabinet for restoration of eligibility. (See 10.3.1 for sanctions of student-athletes involved in violations of 10.3) Institutional staff members found in violation of the provisions of this regulation shall be subject to disciplinary or corrective action as set forth in Bylaw 19.6.2.2 of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution. (Revised: 1/10/90, Revised: 4/27/00 effective 8/1/00)

# **Conduct and Employment of Athletics Personnel**

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#### 11.01 DEFINITIONS AND APPLICATIONS

**11.01.1 Bonus.** A bonus is a direct cash payment over and above an athletics department staff member's institutional salary in recognition of a specific and extraordinary achievement (see Bylaw 11.3.2.3).

**11.01.2 Coach, Head or Assistant.** A head or assistant coach is any coach who is designated by the institution's athletics department to perform coaching duties and who serves in that capacity on a volunteer or paid basis with no NCAA limitations on earnings. (*Revised: 1/10/91 effective 8/1/92*)

**11.01.3 Restricted Coach.** A restricted coach is any coach who is designated by the institution's athletics department to perform coaching duties and who serves in that capacity on a volunteer or paid basis. (Adopted: 1/10/91 effective 8/1/92, Revised: 1/9/96)

**11.01.4 Coach, Graduate Assistant.** In Division I-A football, a graduate assistant coach is any coach who has received a baccalaureate degree and is a graduate student enrolled in at least 50 percent of the institution's minimum regular graduate program of studies and qualifies for appointment as a graduate assistant under the policies of the institution. The individual is not required to be enrolled in a specific graduate degree program unless required by institutional policy. The following provisions shall apply: (Revised: 1/10/91, 1/10/92)

- (a) The individual may not receive compensation or remuneration in excess of the value of a full grant-inaid for a full-time student, based on the resident status of that individual, and the receipt of four complimentary tickets to the institution's intercollegiate football and basketball games;
- (b) Graduate and postgraduate financial assistance administered outside the institution (e.g., NCAA postgraduate scholarship) shall be excluded from the individual's limit on remuneration, provided such assistance is awarded through an established and continuing program to aid graduate students and the donor of the assistance does not restrict the recipient's choice of institutions; (Adopted: 1/11/89)
- (c) The individual may not serve as a graduate assistant coach for a period of more than two years except that if the individual successfully completes 24-semester or 36-quarter hours during the initial two-year period, the individual may serve as a graduate assistant coach for a third year. The Management Council, by a two-thirds majority of its members present and voting, may approve a waiver of these limitations based on the fact that the student's service as a coach and enrollment as a graduate student were interrupted for reasons that are unrelated to athletics, or to personal or family finances, and that are beyond the control of the institution or the coach. Such a waiver may not be granted solely to permit the completion of a graduate program; (Revised: 1/16/93)
- (d) Compensation for employment from a source outside the institution during the academic year shall be excluded from the individual's limit on remuneration, provided the institution does not arrange such employment and the compensation is for work actually performed. The member institution may not arrange on- or off-campus employment opportunities except for summer employment, which is permissible regardless of whether the student remains enrolled in the graduate program over the summer;
- (e) The individual may receive only those expenses incurred on road trips that are received by individual team members. However, a graduate student coach may accept employment benefits available to all institutional employees (e.g., life insurance, health insurance, disability insurance), as well as expenses to attend the conventions of the national coaches associations in football, without the value of those benefits being computed;

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# Definitions and Applications/11.01.4—11.01.7

- (f) The institution may provide actual and necessary expenses for the individual's spouse and children to attend a certified postseason football game or an NCAA championship in the sport of football; and
- (g) The individual may not evaluate or contact prospective student-athletes off campus, regardless of whether compensation is received for such activities. It is permissible for a graduate assistant coach to make telephone calls to prospective student-athletes, provided the coach has successfully completed the coaches certification examination per Bylaw 11.5.1.1. (Revised: 1/9/96 effective 8/1/96)
- 11.01.4.1 Replacement of Graduate Assistant Coach. The compensation or remuneration set forth in Bylaw 11.01.4 shall be charged against an academic year. In Division I-A football, once the amount set forth in Bylaw 11.01.4-(a) is paid to a graduate assistant coach for that academic year, additional funds may not be spent on a replacement until the start of the next academic year, even though the graduate assistant coach subsequently leaves the institution's athletics program during the academic year. (Adopted: 1/11/94)
  - **11.01.5 Coach, Undergraduate Assistant.** An undergraduate student-coach is any coach who is an undergraduate student-athlete who has exhausted his or her eligibility in the sport or has become injured to the point that he or she is unable to practice or compete ever again, and who meets the following additional criteria:
  - (a) Is enrolled at the institution at which he or she participated in intercollegiate athletics;
  - (b) Is participating as a student-coach within the five-year eligibility period (see Bylaw 14.2);
  - (c) Is completing the requirements for his or her baccalaureate degree;
  - (d) Is a full-time student, unless during his or her final semester or quarter of the baccalaureate program, per Bylaw 14.1.6.2.1.3;
  - (e) Is receiving no compensation or remuneration from the institution other than the financial aid that could be received as a student-athlete and expenses incurred on road trips that are received by individual team members; and (Revised: 1/9/96)
  - (f) Is not involved in contacting and evaluating prospective student-athletes off campus or scouting opponents off campus.
    - **11.01.5.1 Employment in Athletics Department.** Any compensation received by an undergraduate assistant coach for employment in the institution's athletics department must be included in the individual's financial aid limitations per Bylaw 15.02.4.1. (*Revised: 1/9/96*)
  - **11.01.6 Coach, Volunteer.** In sports other than football and basketball, a volunteer coach is any coach who does not receive compensation or remuneration from the institution's athletics department or any organization funded in whole or in part by the athletics department or that is involved primarily in the promotion of the institution's athletics program (e.g., booster club, athletics foundation association). The following provisions shall apply: (Revised: 1/10/92 effective 8/1/92)
  - (a) The individual is prohibited from contacting and evaluating prospective student-athletes off campus or from scouting opponents off campus. (*Revised*: 1/16/93, 1/11/94)
  - (b) The individual may receive compensation from employment in an institution's sports camp or clinic, provided the compensation is commensurate with the going rate for camp or clinic counselors of like teaching ability and camp or clinic experience. (Revised: 10/28/99)
  - (c) The individual may receive a maximum of two complimentary tickets to home athletics contests in the coach's sport. (Revised: 1/16/93)
  - (d) The individual may receive compensation from outside the athletics department for performing duties for another department or office of the institution provided:
    - The compensation received for those duties is commensurate with that received by others performing those same or similar assignments;
    - (2) The time devoted to those duties is consistent with the time devoted by others receiving commensurate compensation for the same or similar assignments; and
    - (3) The individual is qualified for and is performing the duties for which the individual is compensated.
    - **11.01.6.1 Permissible Expenses.** A volunteer coach may receive the following expenses:
    - (a) Transportation to and from, and room and board, at away games; and
    - (b) Parking expenses associated with practice and competition. (Adopted: 1/11/94, Revised: 10/28/99)
  - **11.01.7 Supplemental Pay.** Supplemental pay is the payment of cash over and above an athletics department staff member's institutional salary by an outside source for the purpose of increasing that staff member's annual earnings (see Bylaw 11.3.2.2).

#### 11.1 CONDUCT OF ATHLETICS PERSONNEL

- **11.1.1 Standards of Honesty and Sportsmanship.** Individuals employed by or associated with a member institution to administer, conduct or coach intercollegiate athletics shall act with honesty and sportsmanship at all times so that intercollegiate athletics as a whole, their institutions and they, as individuals, represent the honor and dignity of fair play and the generally recognized high standards associated with wholesome competitive sports. (See Bylaw 10 for more specific ethical-conduct standards.)
- **11.1.2 Responsibility for Violations of NCAA Regulations.** Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution.
- **11.1.3 Use of Association Name or Affiliation.** Staff members of member institutions and others serving on the Association's committees or acting as consultants shall not use, directly or by implication, the Association's name or their affiliation with the Association in the endorsement of products or services.
- **11.1.4 Use of Institutional Name or Logo.** Athletics department staff members shall not, directly or by implication, use the institution's name or logo in the endorsement of commercial products or services for personal gain without prior written approval from the institution's chief executive officer.
- **11.1.5 Representing Individuals in Marketing Athletics Ability/Reputation.** Staff members of the athletics department of a member institution shall not represent, directly or indirectly, any individual in the marketing of athletics ability or reputation to an agent, a professional sports team or a professional sports organization, including receiving compensation for arranging commercial endorsements or personal appearances for former student-athletes, except as specified in Bylaw 11.1.5.1, and shall not receive compensation or gratuities of any kind, directly or indirectly, for such services. (*Revised: 1/10/92, 1/11/94*)
  - **11.1.5.1** Exception—Professional Sports Counseling Panel and Head Coach. An institution's professional sports counseling panel or a head coach in a sport may contact agents, professional sports teams or professional sports organizations on behalf of a student-athlete, provided no compensation is received for such services. The head coach shall consult with and report his or her activities on behalf of the student-athlete to the institution's professional sports counseling panel. If the institution has no such panel, the head coach shall consult with and report his or her activities to the chief executive officer, [or an individual or group (e.g., athletics advisory board) designated by the chief executive officer]. The professional sports counseling panel and the head coach may: (*Adopted: 1/11/94*)
  - (a) Communicate directly (e.g., in-person, by mail or telephone) with representatives of a professional athletics team to assist in securing a tryout with that team for a student-athlete;
  - (b) Assist the student-athlete in the selection of an agent by participating with the student-athlete in interviews of agents, by reviewing written information player agents send to the student-athlete and by having direct communication with those individuals who can comment about the abilities of an agent (e.g., other agents, a professional league's players' association); and
  - (c) Visit with player agents or representatives of professional athletics teams to assist the student-athlete in determining his or her market value (e.g., potential salary, draft status).
- **11.1.6 Involvement in Noncertified All-Star Contests**. Staff members of the athletics department of a member institution shall not knowingly participate, directly or indirectly, in the management, coaching, officiating, supervision, promotion or player selection of any all-star contest involving student-athletes that is not certified by the Championships/Competition Cabinet.
- **11.1.7 Use of Tobacco Products.** The use of tobacco products is prohibited by all game personnel (e.g., coaches, trainers, managers and game officials) in all sports during practice and competition. Uniform penalties (as determined by the applicable rules-making committees and sports committees with rules-making responsibilities) shall be established for such use. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/14/97 effective 8/1/97)

#### 11.2 CONTRACTUAL AGREEMENTS

- **11.2.1 Stipulation That NCAA Enforcement Provisions Apply.** Contractual agreements or appointments between a coach and an institution shall include the stipulation that a coach who is found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures.
  - **11.2.1.1 Termination of Employment.** Contractual agreements or appointments between a coach and an institution shall include the stipulation that the coach may be suspended for a period of time, without pay, or that the coach's employment may be terminated if the coach is found to be involved in deliberate and serious violations of NCAA regulations.
- 11.2.2 Athletically Related Income. Contractual agreements, including letters of appointment,

# Contractual Agreements/11.2.2—Compensation and Remuneration/11.3.2.3

between a full-time or part-time athletics department staff member (excluding secretarial or clerical personnel) and an institution shall include the stipulation that the staff member is required to receive annually prior written approval from the chief executive officer for all athletically related income and benefits from sources outside the institution. The staff member's request for approval also shall be in writing and shall include the amount and the source of the income. Sources of such income shall include, but are not limited to, the following: (Revised: 1/10/92, 1/11/94, 1/10/95)

- (a) Income from annuities;
- (b) Sports camps;
- (c) Housing benefits (including preferential housing arrangements);
- (d) Country club memberships;
- (e) Complimentary ticket sales;
- (f) Television and radio programs; and
- (g) Endorsement or consultation contracts with athletics shoe, apparel or equipment manufacturers.
  - **11.2.2.1 Prior Approval for Institutionally Determined Limited Amount of Income.** It is permissible for an institution's chief executive officer (or his or her designee outside the athletics department) and director of athletics (or his or her designee who does not have any coaching responsibilities) to grant general prior written approval for a staff member to receive income that does not exceed an institutionally determined nominal amount (not to exceed \$1,000 per event) for participation in any athletically related activity. A detailed accounting of all such income shall be provided annually in writing by the staff member to the chief executive officer. (Adopted: 1/16/93, Revised: 1/11/94, 1/10/95, 4/27/00)
- 11.2.3 Contractual Obligations Honored Subsequent to Adoption of Limits on Numbers. Limitations on the number of coaches do not apply in circumstances where academic tenure, enforceable contracts or formal security-of-employment commitments make it impossible to comply with such limits. These exceptions, which apply only to written commitments, continue until the end of the contract period or normal attrition makes it possible to comply with limitations. Normal attrition shall be defined as the death, retirement or voluntary resignation of an employee or the discharge or transfer of an employee from the athletics department by the institution.

#### 11.3 LIMITATIONS ON COMPENSATION AND REMUNERATION

See Figure 11-1, page 69, for coaches' compensation and benefits during the 2000-01 academic year and Figure 11-2, page 70, for coaches' reimbursable expenses during the 2000-01 academic year.

See Bylaw 11.02 for additional regulations regarding coaches' compensation and remuneration.

**11.3.1 Control of Employment and Salaries.** The institution, as opposed to any outside source, shall remain in control of determining who is to be its employee and the amount of salary the employee is to receive within the restrictions specified by NCAA legislation.

#### 11.3.2 Income in Addition to Institutional Salary

- **11.3.2.1 Bona Fide Outside Employment.** A staff member may earn income in addition to the institutional salary by performing services for outside groups, provided the compensation is for additional work actually performed and at a rate commensurate with the going rate in that locality for services of like character; further, such outside work must be in conformity with institutional policy and with the approval of the institution. Athletically related income requires the prior written approval of the institution's chief executive officer (see Bylaw 11.2.2). (Revised: 1/10/92)
- **11.3.2.2 Supplemental Pay**. An outside source is prohibited from paying or regularly supplementing an athletics department staff member's annual salary and from arranging to supplement that salary for an unspecified achievement. This includes the donation of cash from outside sources to the institution earmarked for the staff member's salary or supplemental income. It would be permissible for an outside source to donate funds to the institution to be used as determined by the institution, and it would be permissible for the institution, at its sole discretion, to use such funds to pay or supplement a staff member's salary.
- **11.3.2.3 Bonuses for Specific and Extraordinary Achievement.** An institution may permit an outside individual, group or agency to supplement an athletics department staff member's salary with a direct cash payment in recognition of a specific and extraordinary achievement (e.g., contribution during career to the athletics department of the institution, winning a conference or national championship, number of games or meets won during career/season), provided such a cash supplement is in recognition of a specific achievement and is in conformance with institutional policy.

# Compensation and Remuneration/11.3.2.4—11.3.3.1.1

- **11.3.2.4 Endorsement of Commercial Products.** Athletics department staff members shall not use, directly or by implication, the institution's name or logo in the endorsement of commercial products or services for personal gain without prior written approval from the institution's chief executive officer (see Bylaw 11.2.2). (*Revised:* 1/10/92)
- 11.3.2.5 Promotional Activities. A staff member of a member institution's athletics department may not be compensated by an individual or commercial business outside of the institution for employment or assistance in the production, distribution or sale of items (e.g., calendars, pictures, posters, advertisements, cards) bearing the names or pictures of student-athletes. The use of the names or pictures of student-athletes on promotional items is limited to institutionally controlled activities involving the sale of official institutional publications and team or individual pictures by the institution. This restriction shall apply even if the promotional item is provided without charge to the public by an outside individual or commercial business that produces or purchases the item through the assistance of the institution's staff member.
- **11.3.2.6 Noninstitutional Publications That Report on Athletics Program.** Athletics department staff members shall not endorse (either orally or in writing) any noninstitutional publication dedicated primarily to reporting on an institution's athletics activities, except as provided in this section, and shall not write for or receive any remuneration from such publications. (Adopted: 1/16/93, Revised: 1/11/94)
  - **11.3.2.6.1 Educational Articles.** Athletics department staff members may write educational articles related to NCAA rules and crowd control for noninstitutional publications dedicated primarily to reporting on an institution's athletics activities. (*Adopted: 1/11/94*)
- **11.3.2.7 Compensation in Exchange for Use of Merchandise.** Staff members of a member institution's athletics department shall not accept, prior to receiving written approval from the institution's chief executive officer, compensation or gratuities (excluding institutionally administered funds) from an athletics shoe, apparel or equipment manufacturer in exchange for the use of such merchandise during practice or competition by the institution's student-athletes (see Bylaw 11.2.2). (*Revised:* 1/10/92)
- 11.3.2.8 Compensation for Scheduling Contests/Individual Participation. Staff members of a member institution's athletics department shall not accept compensation or gratuities for scheduling athletics contests or individual meet participation with another institution or a sponsor of athletics competition. This specifically precludes the acceptance of compensation or gratuities from other institutions, schedule brokers or agents, and television networks or syndicators. Staff members shall not accept compensation for personal appearances (e.g., speaking engagements, clinicians) held in conjunction with the competition in which the institution's team is a participant. It is permissible for an individual (as opposed to an athletics staff member) to receive compensation or gratuities for scheduling an athletics contest or individual meet from the sponsor of the competition contingent on the institution's coach participating in a camp or clinic that is being conducted in conjunction with the competition. (Revised: 1/11/97, 11/12/97, 6/8/99)
  - **11.3.2.8.1 Participatory Gift.** A coach may receive a participatory gift from the sponsor of an event similar to the gift awarded to student-athletes participating in the event, provided the gift meets the applicable awards limitation set forth in 16.1.4. (*Adopted: 6/8/99*)
- **11.3.2.9 Recruiting Service Consultants.** Institutional athletics department staff members may not endorse, serve as consultants or participate on advisory panels for any recruiting or scouting service involving prospects. (*Adopted: 1/16/93*)
- **11.3.2.10 Quotations and Pictures Used to Promote a Camp.** An institution's coaching staff member may not promote a noninstitutional camp or clinic by permitting the use of his or her quotations and/or pictures in the camp or clinic brochure, unless that coaching staff member is employed by the camp. (Adopted: 1/14/97 effective 8/1/97)

#### 11.3.3 Employment with Professional Sports Organizations

- **11.3.3.1 Nonpermissible Employment Arrangements.** Staff members of a member institution's athletics department shall not accept compensation or gratuities for representing a professional sports organization as a coach or scout, in the negotiating of a contract, or for the performance of other services that involve the observation of or contact with athletics talent. Except as provided in Bylaw 11.3.3.2, any compensational arrangement between a professional sports organization and a college staff member (e.g., for scouting other professional teams or assisting the professional employer in coaching its team) is evidence of an indirect arrangement to assure the staff member's assistance in evaluating or procuring college talent.
  - **11.3.3.1.1** Employment during Periods When Not under Contract with Member Institution. A staff member may not be compensated by a professional sports organization for services rendered during a period in which the staff member is not actually under contract to the institution or is

# Compensation and Remuneration/11.3.3.1.1—Recruit Off Campus/11.5.1.1

absent from campus (e.g., temporary leave of absence, paid or unpaid). This provision applies to individuals employed by the member institution on a regular and continuing basis, even though the contract may be for a period of less than a full year.

**11.3.3.1.2 Semiprofessional Organization**. Employment arrangements with semiprofessional sports organizations or with professional or semiprofessional organizations involved in a sport in which the NCAA conducts a championship (or one in which the playing rules and skills are directly related to the NCAA sport; e.g., box lacrosse, indoor soccer or indoor football) are subject to the limitations in this section.

#### 11.3.3.2 Permissible Employment or Income Arrangements

- **11.3.3.2.1 Employment Unrelated to Restricted Activities.** A staff member may be employed by a professional sports organization if the institution documents that the individual is in no way directly or indirectly involved in the coaching, scouting or contacting of athletics talent or the negotiation of a contract for such talent (e.g., participation as a player on a professional team).
- **11.3.3.2.2 Employees Not Associated with Athletics Department**. A staff member of a separate physical education school or department of an institution who has no relationship with or duties within the athletics department is not prohibited from working for a professional sports organization.
- **11.3.3.2.3 Income from the Sale of Stock.** A staff member may purchase and realize income from the sale of stock in a professional sports organization only if such a purchase does not place the staff member in a position of administrative or supervisory control of that organization.
- **11.3.3.2.4 Established Professional Enhancement Program.** A staff member may participate in an established, formal program administered by a professional sports organization and designed to enhance the skills associated with the staff member's profession. A staff member participating in the program may receive direct compensation (including stipends) and expenses from the sponsoring organization, consistent with the compensation and expenses received by other program participants. The Management Council shall establish necessary policies and guidelines regarding participation by an institution's staff members in such a program. (*Adopted: 1/16/93*)

#### 11.4 EMPLOYMENT OF HIGH-SCHOOL OR TWO-YEAR COLLEGE COACHES

- **11.4.1 General Regulations.** A member institution may not employ a high-school or two-year college coach who remains a coach in the same sport at the high school or two-year college. This provision does not preclude the part-time coaching employment of a high-school or two-year college coach in a different sport. Men's and women's teams in the same sport are considered different sports for purposes of this legislation. This would be the case even if a player from the opposite gender is playing on such a high-school or two-year college men's or women's team, provided the team is classified as a separate team (as opposed to a "mixed" team) by the appropriate institution or the state high-school or two-year college governing body. (Revised: 1/10/91)
  - **11.4.1.1 Contract for Future Employment.** A member institution is permitted to enter into a contractual agreement with a high-school, preparatory school or two-year college coach for an employment opportunity that begins with the next academic year, provided the employment contract with the member institution is not contingent upon the enrollment of a prospective student-athlete and the coach does not begin any coaching duties (e.g., recruiting, selection of coaching staff) for the member institution while remaining associated with the high school.
- **11.4.2 Graduate Teaching Assistant.** A member institution may provide legitimate compensation to a high-school coach who is also a graduate teaching assistant enrolled in a bona fide postgraduate program conducted by the institution.
- **11.4.3 Institutional Camp or Clinic.** See Bylaw 13.13.2.2 for regulations relating to the employment of high-school, preparatory school or two-year college coaches in institutional camps or clinics.

#### 11.5 CERTIFICATION TO RECRUIT OFF CAMPUS

- **11.5.1 Annual Certification Requirement.** Only those coaches who have been certified may contact or evaluate any prospective student-athletes off campus. Certification must occur on an annual basis. (*Adopted:* 1/10/91 effective 8/1/92)
  - 11.5.1.1 Certification Administration. Such certification procedures shall be established by the NCAA national office in a standardized format and administered for its member institutions by the member conferences of the Association or, in the case of an independent institution, by the NCAA national office or the conference office that administers the National Letter of Intent for that institution. Such certification procedures shall include a requirement that the coaches shall have passed a standardized national test covering NCAA recruiting legislation, including Bylaw 13 and other bylaws [e.g., Bylaws

# Recruit Off Campus/11.5.1.1—Scouting of Opponents/11.6.3.1

15.3.3 (institutional financial aid award) and 14.3 (freshman academic requirements)] that relate to the recruitment of prospective student-athletes as a condition for being permitted to engage in off-campus recruiting. (Adopted: 1/10/91 effective 8/1/92, Revised: 1/16/93)

11.5.1.2 NCAA Approval. Each member conference shall report annually to the NCAA, on a form approved by the Management Council, the procedures it utilizes to certify coaches to recruit off campus. (Adopted: 1/10/91 effective 8/1/92)

#### 11.6 LIMITATIONS ON SCOUTING OF OPPONENTS

- **11.6.1 Basketball, Football and Women's Volleyball Prohibition.** In basketball, football and women's volleyball, off-campus, in-person scouting of opponents is prohibited, except as provided in 11.6.1.1.1. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/14/97 effective 8/1/97)
  - **11.6.1.1 Regular-Season Tournaments, Double-Header Events or Postseason Tournaments.** An institution may pay the expenses of a member of its coaching staff to attend a regular-season or postseason tournament or, in the sport of basketball, a double-header event in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future tournament opponents also participating in the same tournament at the same site without being subject to the scouting prohibition. (Revised: 1/11/94 effective 8/1/94, Revised: 10/28/97 effective 8/1/98)
  - **11.6.1.2 Purchasing Videotapes—Postseason.** In the sports of basketball and women's volleyball, subsequent to the selection of any postseason championship field until the conclusion of the championship, it shall be permissible for the participating institution to pay the costs of purchasing videotapes or films for scouting purposes from individuals or professional scouting services. (Adopted: 1/12/99, Revised: 6/8/99)
- **11.6.2 Sports Other Than Basketball, Football and Women's Volleyball.** In sports other than basketball, football and women's volleyball, a member institution shall not pay or permit the payment of expenses incurred by its athletics department staff members or representatives (including professional scouting services) to scout its opponents or individuals who represent its opponents, except as provided in Bylaw 11.6.1.2.1 in lacrosse. (*Revised: 1/14/97 effective 8/1/97*)
  - **11.6.2.1 Lacrosse.** In lacrosse, it shall be permissible for an institution to pay the expenses of one person to scout each opponent on one occasion. When an individual is being reimbursed to travel by automobile to scout an opponent, others who travel with the individual in the same vehicle to the site are not considered to be receiving transportation expenses. Any coach can scout opponents at his or her own expense. (*Revised:* 1/11/94 effective 8/1/94, *Revised:* 1/14/97 effective 8/1/97)
    - **11.6.2.1.1 Regular-Season or Postseason Tournaments.** An institution may pay the expenses of a member of its coaching staff to attend a regular-season or postseason tournament in which the institution's intercollegiate team is a participant. Under such circumstances, the individual may scout future tournament opponents also participating in the same tournament at the same site without being subject to the one-occasion limitation. (*Revised:* 1/11/94 effective 8/1/94)
    - **11.6.2.1.2 Salary Increases to Cover Scouting Expenses.** It is not permissible for an institution to increase a staff member's salary to cover expenses incurred by the staff member in scouting opponents in accordance with the provisions of Bylaw 11.6.2.1. (Revised: 1/11/94 effective 8/1/94)
    - **11.6.2.1.3 Designating the Opponent.** If two opponents of an institution compete against each other and an individual receives expenses for scouting the contest, the institution may designate the opponent it is scouting and then pay expenses to scout the other opponent on another occasion. If two individuals receive expenses to scout the contest, each opponent shall be considered to have been scouted on one occasion. (Revised: 1/11/94 effective 8/1/94)
- **11.6.3 Cost of Exchanging Films in Any Sport.** It shall be permissible to pay the costs of exchanging films for scouting purposes in any sport, including the expenses of an individual traveling to pick up game films. (*Revised:* 1/11/94 *effective* 8/1/94)
  - **11.6.3.1 Use of Commercial Entity.** It shall be permissible in all sports for an institution to obtain videotapes of a future opponent's athletics contests for scouting purposes from a commercial entity that provides videotape recording/dubbing services, provided: (Adopted: 2/16/00)
  - (a) The future opponent has contracted with the commercial entity to make videotape copies of its athletics contests;
  - (b) The future opponent pays all fees and costs associated with such recording/dubbing services:
  - (c) The institution requesting the videotape pays no fees or expenses to obtain the videotape of the future opponent's athletics contests, except for providing a blank videotape and paying postage costs;

# Scouting of Opponents/11.6.3.1—Number and Duties of Coaches/11.7.2

- (d) All original videotapes to be copied and provided to other institutions are received by the commercial entity directly from the future opponent; and
- (e) The commercial entity does not analyze the videotape or provide any other serivces that could be construed as scouting activities.
- **11.6.4 Complimentary Game Tickets.** In sports other than lacrosse, it is not permissible for a member institution's representative to receive press-box tickets or complimentary tickets from an opponent for the purpose of scouting the opponent. Not only is a member institution prohibited from paying expenses incurred in scouting, the member institution's staff member is prohibited from accepting payment of any expenses, even if they are provided by the institution being scouted. (*Revised: 1/11/94 effective 8/1/94*)
  - **11.6.4.1 Lacrosse.** In lacrosse, receipt of press-box tickets or complimentary tickets from an opponent shall constitute a countable scouting opportunity. (Revised: 1/11/94 effective 8/1/94, Revised: 1/14/97 effective 8/1/97)

#### 11.7 LIMITATIONS ON THE NUMBER AND DUTIES OF COACHES

#### 11.7.1 General Provisions Applicable to All Sports with Numerical Coaching Limits

- **11.7.1.1 Designation of Coaching Category.** An individual who coaches and either is uncompensated or receives compensation or remuneration of any sort from the institution, even if such compensation or remuneration is not designated for coaching, shall be designated as a head coach, assistant coach, restricted coach, volunteer coach, graduate assistant coach or undergraduate assistant coach by certification of the institution. (*Revised:* 1/10/91 effective 8/1/92)
  - **11.7.1.1.1 Countable Coach.** An athletics department staff member must count against coaching limits as soon as the individual participates (in any manner) in the coaching of the intercollegiate team in practice, games or organized activities directly related to that sport, including any organized staff activity directly related to the sport.
    - **11.7.1.1.1 Noncoaching Activities.** Institutional staff members involved in noncoaching activities (e.g., administrative assistants, recruiting coordinators in sports other than football, academic counselors) do not count in the institution's coaching limitations, provided such individuals are not identified as coaches, do not engage in any on- or off-field coaching activities (e.g., attending meetings involving coaching activities, analyzing videotape or film involving the institution's or an opponent's team), and are not involved in any off-campus recruitment of prospects or scouting of opponents. (*Adopted: 1/16/93, Revised: 1/10/95*)
    - **11.7.1.1.1.2 Replacement Due to Extenuating Circumstances.** Subject to Management Council approval, an institution may replace temporarily or on a limited basis one of its countable coaches if the coach is unable to perform any or all of his or her duties because of extenuating circumstances (e.g., suspension, prolonged serious illness, pregnancy). The replacement coach may perform only those coaching, administrative or recruiting duties, including the telephoning of prospective student-athletes, that the replaced coach is unable to perform. (*Revised:* 1/11/94)
    - **11.7.1.1.1.3 Replacement for U.S. National or Olympic Team Coaches.** Subject to Management Council approval, an institution may replace a coach temporarily or on a limited basis when that coach takes a leave of absence to participate on or to coach either the U.S. national team or U.S. Olympic team, provided the replacement is limited to a one-year period and the coach who is replaced performs no recruiting or other duties on behalf of the institution. (*Adopted:* 1/14/97 effective 8/1/97)
    - **11.7.1.1.4 Use of Outside Consultants**. An institution may use or arrange for a temporary consultant to provide in-service training for the coaching staff, but no interaction with student-athletes is permitted unless the individual is counted against the applicable coaching limits. (Adopted: 1/10/92)
  - **11.7.1.1.2 Placement within Categories.** If an institution has not reached its limit on the number of coaches in any category, any type of coach may be counted in that category (e.g., full-time, restricted). (*Revised: 1/10/91 effective 8/1/92*)
  - **11.7.1.1.3 Category Limitations.** When a limit is set on the number of coaches in different coaching categories (i.e., head coach, full-time assistant, restricted, volunteer, graduate assistant), each category is considered to be a separate limit. However, an institution over the limit in one category may not continue to fill vacancies within the limitations of another category. (*Revised: 1/10/91 effective 8/1/92*)
- **11.7.2 Division I-A Football.** There shall be a limit of one head coach, nine assistant coaches and two graduate assistant coaches that may be employed by the institution in the sport of Division I-A football. (Revised: 1/10/91, 1/10/92 effective 8/1/92)

- 11.7.2.1 Exceptions to Number Limits. No individual other than coaches designated to fill the coaching categories set forth in Bylaw 11.7.2 may participate in any manner in the coaching of the intercollegiate team of a member institution during any football game, practice or other organized activity, with the following exceptions:
- 11.7.2.1.1 Weight or Strength Coach. A weight (strength and conditioning) coach may conduct flexibility, warm-up and physical conditioning activities prior to any game and prior to or during any practice or other organized activities without being included in the limitations on number of coaches.
- I-A

I-A

- 11.7.2.1.2 Undergraduate Coach. The limits on the number of coaches in this section do not apply to undergraduate coaches (per Bylaw 11.01.5). (Revised: 1/10/91 effective 8/1/92)
- 11.7.2.1.3 Lightweight Football. The limits on the number of coaches in this section do not apply to lightweight football programs. Lightweight football coaches are prohibited from off-campus recruiting in the sport of football.
- I-A
- 11.7.2.1.4 Additional Restricted Coaches—National Service Academies. National service academies may employ four additional restricted coaches, who shall be prohibited from recruiting off campus. (Revised: 1/10/91 effective 8/1/92)
- 11.7.2.1.5 Special Attrition Provision. The institution is permitted to meet these limitations through normal attrition only if the institution had in effect prior to September 15, 1990, a written obligation to the assistant coach through academic tenure, an enforceable contract or a formal security-of-employment commitment. (Revised: 1/10/91 effective 8/1/92)
- I-A
- 11.7.2.2 Contact and Evaluation of Prospects Limited to Full-Time Coaches. Only those coaches who are counted by the institution within the numerical limitations on full-time head and assistant coaches may contact or evaluate prospective student-athletes off campus. In addition, there is a limit of seven coaches (including the head coach) who may contact or evaluate prospective student-athletes off campus during any one calendar week (defined for purposes of this legislation as Sunday through Saturday). The institution shall certify those individuals who fall within these number limitations and are thereby permitted to contact or evaluate prospective student-athletes off campus. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)
- I-A
- 11.7.2.2.1 Banquets or Meetings. A coaching staff member who attends a banquet or meeting that is designed to recognize prospects that occurs during a contact or evaluation period is considered an off-campus recruiter, regardless of whether the coach is speaking at the banquet/meeting or only attending the function. Further, the coach is not permitted to attend such a recognition banquet or meeting that occurs outside a contact or evaluation period, unless the coach has been asked to speak at the function [see 13.1.11-(c)-2]. (Adopted: 6/8/99)
- I-A
- 11.7.2.2. Exception—Head Coach. The head coach shall be permitted to engage in off-campus recruiting activities at any time during a calendar week during a permissible contact or evaluation period, provided one of the other designated coaches engaged in off-campus recruiting has returned to campus. (Adopted: 1/12/99 effective 8/1/99)
- I-A
- 11.7.2.2.3 Additional Recruiters—National Service Academies. For the national service academies, the off-campus contact and evaluation of prospects shall be limited to a total of nine coaches at any one time, which may include the head coach but no restricted coaches. (Adopted: 1/10/95)
- I-A
- 11.7.2.3 Football Recruiting Coordination Functions. All football recruiting coordination functions (except routine clerical tasks) must be performed by the head coach or one or more of the full-time assistant coaches. Such functions include: (Revised: 1/10/95)
- I-A
- (a) Activities involving athletics evaluation and/or selection of prospects. (Adopted: 1/10/95)
- (b) Telephone calls to prospects (or prospects' parents or legal guardians or the prospects' high-school coach). (Adopted: 1/10/95)
- (c) Preparation of general recruiting correspondence to prospects (or prospects' parents or legal guardians). (Adopted: 1/10/95)
  - 11.7.2.3.1 Graduate-Assistant Coach Exception. A graduate assistant coach may perform the
  - functions set forth in Bylaw 11.7.2.3-(a) on campus only and (if the coach has successfully completed the coaches certification examination per Bylaws 11.5.1.1 and 11.7.2.3-(b), but not those functions set forth in Bylaw 11.7.2.3-(c). [See also 11.01.4-(g).] (Adopted: 1/10/95, Revised; 1/9/96 effective 8/1/96)
  - 11.7.2.3.2 Restricted Coach Exception—Service Academies. Restricted coaches at the Division I-A national service academies are exempt from the restrictions set forth in Bylaw 11.7.2.3. (Adopted: 1/10/95)
- 11.7.3 Division I-AA Football. There shall be a limit of seven head or assistant coaches and four

- I-A
- I-AA

### Number and Duties of Coaches/11.7.3—11.7.3.3

restricted coaches that may be employed by the institution in the sport of Division I-AA football. (Revised: 1/10/91 effective 8/1/92, Revised: 1/16/93, 1/9/96)

- I-AA 11.7.3.1 Exceptions to Number Limits. No individual other than coaches designated to fill the coaching categories set forth in 11.7.3 may participate in any manner in the coaching of the intercollegiate team of a member institution during any football game, practice or other organized activity, with the following exceptions:
- I-AA 11.7.3.1.1 Weight or Strength Coach. A weight (strength and conditioning) coach may conduct flexibility, warm-up and physical conditioning activities prior to any game and prior to or during any practice or other organized activities without being included in the limitations on number of coaches.
- 11.7.3.1.2 Undergraduate Coach. The limits on the number of coaches in this section do not I-AA apply to undergraduate coaches (per Bylaw 11.01.5). (Revised: 1/10/91 effective 8/1/92)
- 11.7.3.1.3 Varsity/Freshman Team Football Program. A Division I-AA member institution that conducts a football program that includes a varsity team and a freshman team may employ two additional restricted coaches. Freshman eligibility for varsity team participation must be prohibited by the institution and the freshman team must participate in five or more intercollegiate contests in order for the two additional restricted coaches to be employed. Such additional restricted coaches may perform football-related duties only during the permissible playing and practice seasons in the sport of football. (Revised: 1/10/91 effective 8/1/92)
- 11.7.3.1.4 Varsity/Junior Varsity/Freshman Team Football Program. A Division I-AA member institution that conducts a football program that includes a varsity team, a junior varsity team and a freshman team may employ four additional restricted coaches. Freshman eligibility for varsity or junior varsity team participation must be prohibited by the institution, the junior varsity team must participate in at least four intercollegiate contests and the freshman team must participate in at least five intercollegiate contests in order for the four additional restricted coaches to be employed. Such additional restricted coaches may perform football-related duties only during the permissible playing and practice seasons in the sport of football. (Revised: 1/10/91 effective 8/1/92)
- 11.7.3.1.5 Varsity/Junior Varsity Football Program. A Division I-AA member institution that con-Ι-ΔΔ ducts a football program that includes a varsity team and a junior varsity team may employ two additional restricted coaches. The institution's junior varsity team must participate in at least four intercollegiate contests in order for the two additional restricted coaches to be employed. Such additional restricted coaches may perform football-related duties only during the permissible playing and practice seasons in the sport of football. (Revised: 1/10/92 effective 8/1/92)
- I-AA 11.7.3.1.6 Lightweight Football. The limits on the number of coaches in this section do not apply to lightweight football programs. Lightweight football coaches are prohibited from off-campus recruiting in the sport of football.
  - 11.7.3.1.7 Special Attrition Provision. The institution is permitted to meet these limitations through normal attrition only if the institution had in effect prior to September 15, 1990, a written obligation to the assistant coach through academic tenure, an enforceable contract or a formal security-ofemployment commitment. (Revised: 1/10/91 effective 8/1/92)
- 11.7.3.2 Off-Campus Contact and Evaluation of Prospects. Off-campus contact and evaluation of I-AA prospects is limited to a total of nine coaches, which may include the head coach and any of the assistant coaches or restricted coaches. In addition, there is a limit of seven coaches (including the head coach) who may contact and evaluate prospects off campus during any one calendar week (defined as Sunday through Saturday). A list of permissible off-campus recruiters shall be designated prior to October 1. (Revised: 1/16/93 effective 8/1/93, Revised: 1/10/95 effective 8/1/95)
- I-AA 11.7.3.2.1 Banquets or Meetings. A coaching staff member who attends a banquet or meeting that is designed to recognize prospects that occurs during a contact or evaluation period is considered an off-campus recruiter, regardless of whether the coach is speaking at the banquet/meeting or only attending the function. Further, the coach is not permitted to attend such a recognition banquet or meeting that occurs outside a contact or evaluation period, unless the coach has been asked to speak at the function (see 13.1.11-(c)-2). (Adopted: 6/8/99)
- I-AA 11.7.3.3 Football Recruiting Coordination Functions. All football recruiting coordination functions (except routine clerical tasks) must be performed by the head coach or one or more of the full-time assistant coaches. Such functions include: (Revised: 1/10/95)
  - (a) Activities involving athletics evaluation and/or selection of prospects. (Adopted: 1/10/95)
  - (b) Telephone calls to prospects (or prospects' parents or legal guardians or the prospects' high-school coach). (Adopted: 1/10/95)
  - Preparation of general recruiting correspondence to prospects (or prospects' parents or legal guardians). (Adopted: 1/10/95)

- I-AA
- I-AA

- I-AA

I-AA

**11.7.3.3.1 Restricted Coach Exception**. Restricted coaches may make telephone calls to and prepare general correspondence for prospective student-athletes. (*Adopted:* 1/10/95)

**11.7.4 Sports Other Than Football—Limitations on Number.** There shall be a limit on the number of coaches who may be employed by an institution in each sport (other than football) as follows: (*Revised:* 1/10/91 effective 8/1/92, *Revised:* 1/9/96 effective 8/1/96)

	Head or	
	Assistant	Restricted
Sport	Coach	Coach
Archery, Women (Adopted: 1/9/96 effective 8/1/96)	<b>2</b>	0
Badminton, Women (Adopted: 1/9/96 effective 8/1/96)		0
Baseball		1
Basketball, Men		1
Basketball, Women		ī
Bowling, Women (Adopted: 1/9/96 effective 8/1/96)		0
Fencing, Men		1
Fencing, Women	_	1
Field Hockey	<del>-</del>	1
Golf, Men	<del>-</del>	1
Golf, Women	<del>-</del>	1
Gymnastics, Men.	<del>-</del>	1
Gymnastics, Women		1
Ice Hockey, Men		1
Ice Hockey, Women (Adopted: 1/9/96 effective 8/1/96,	2	1
Revised: 1/14/97)	2	1
Lacrosse, Men		1
Lacrosse, Women.	_	1
,		1
Rifle, Men		1
Rifle, Women	_	_
Rowing, Women (Adopted: 1/9/96 effective 8/1/96)		0
Skiing, Men		1
Skiing, Women		1
Soccer, Men	<del>-</del>	1
Soccer, Women.	<del>-</del>	1
Softball, Women	_	1
Squash, Women (Adopted: 1/9/96 effective 8/1/96)		0
Swimming, Men		1
Swimming and Diving, Men*		1*
Swimming, Women	1	1
Swimming and Diving, Women*	2	1*
Synchronized Swimming, Women	0	0
(Adopted: 1/9/96 effective 8/1/96)		0
Team Handball, Women (Adopted: 1/9/96 effective 8/1/96)	2	0
Tennis, Men	1	1
Tennis, Women		1
Cross Country, Men (Without Track and Field)		1
Track and Field, Men		1
Cross Country/Track and Field, Men		1
Cross Country, Women (Without Track and Field)	1	1
Track and Field, Women		1
Cross Country/Track and Field, Women		1
Volleyball, Men	2	1
Volleyball, Women		1
Water Polo, Men		1
Water Polo, Women (Adopted: 1/9/96 effective 8/1/96)		0
Wrestling (Revised: 1/10/92 effective 8/1/92)	2	1

<sup>\*</sup> An institution that conducts men's and women's swimming and diving programs may employ either one restricted coach each for both men's and women's swimming and diving or, in lieu of two restricted coaches, one head or assistant coach (with no limitations on earnings per Bylaw 11.01.2) to coach both men and women divers.

**<sup>11.7.4.1</sup> Combined Sports Program**. A member institution that conducts a combined program in a sport (i.e., one in which all coaching staff members in the same sport are involved in practice activities or competition with both the men's and women's teams on a daily basis) may employ the total number of coaches specified separately for men and for women in that sport. (*Adopted: 1/16/93*)

- **11.7.4.2 Exceptions to Number Limits**. No individual other than coaches designated to fill the coaching categories set forth in Bylaw 11.7.4 may participate in any manner in the coaching of the intercollegiate team of a member institution during any game, practice or other organized activity, with the following exceptions: (*Revised: 1/10/91 effective 8/1/92*)
  - **11.7.4.2.1 Weight or Strength Coach.** A weight (strength and conditioning) coach may conduct flexibility, warm-up and physical conditioning activities prior to any game and prior to or during any practice or other organized activities without being included in the limitations on number of coaches. (Revised: 1/10/91 effective 8/1/92)
  - **11.7.4.2.2 Undergraduate Coach**. The limits on the number of coaches in this section do not apply to undergraduate coaches (per Bylaw 11.01.5). (*Revised:* 1/10/91 effective 8/1/92)
  - **11.7.4.2.3 Volunteer Coach.** In sports other than football and basketball, a member institution may utilize the services of one volunteer coach (per Bylaw 11.01.6). Indoor track and field, outdoor track and field and cross country are separate sports for purposes of this provision. In sports in which the NCAA conducts separate men's and women's championships, a combined men's and women's program may utilize two volunteer coaches. (Adopted: 1/10/92 effective 8/1/92)
    - **11.7.4.2.3.1 Additional Volunteer Coach—Swimming and Diving.** An institution that conducts separate men's and women's swimming programs with a combined men's and women's diving program may employ three volunteer coaches, one for men's swimming, one for women's swimming and one for diving. (Adopted: 1/10/95 effective 8/1/95)
    - 11.7.4.2.3.2 Volunteer Coach—Cross Country/Track and Field. Institutions that conduct cross country, indoor track and field, or outdoor track and field as separate programs may utilize the services of one volunteer coach for each of the programs that it sponsors who may coach student-athletes in any of the three sports throughout the academic year. ( $Adopted: 4/27/00 \ effective \ 8/1/00$ )
  - **11.7.4.2.4 Assistant/Restricted Coaches.** In sports other than football and basketball, a coach designated as a head or assistant coach in one sport may be designated as a restricted coach in a second sport without being subject to the limitations specified in 11.01.3. (Adopted: 1/16/93 effective 8/1/93)
  - **11.7.4.2.5 Special Attrition Provision.** The institution is permitted to meet these limitations through normal attrition only if the institution had in effect prior to September 15, 1990, a written obligation to the assistant coach through academic tenure, an enforceable contract or a formal security-of-employment commitment. (*Revised: 1/10/91 effective 8/1/92*)
  - **11.7.4.2.6** Additional Restricted Coaches—National Service Academies. National service academies may employ two additional restricted coaches in the sport of basketball who shall be prohibited from recruiting off campus. (Revised: 1/10/91 effective 8/1/92)
  - 11.7.4.2.7 Exception for Lightweight Rowing. An institution that conducts a rowing program that includes a heavyweight rowing and a lightweight rowing may employ two additional coaches. Each of the institution's rowing teams must have at least one "eight" or two "fours" that compete in at least four spring events. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
- 11.7.4.3 Off-Campus Contact and Evaluation of Prospects. In the sport of basketball, off-campus contact and evaluation of prospects is limited to the head or assistant coach. In sports other than basketball, it is permissible for the restricted coach to contact or evaluate prospects off campus. (Revised: 1/10/92)

#### 11.7.5 Sports Other Than Football—Off-Campus Recruiting

11.7.5.1 Contact and Evaluation of Prospects Off Campus. There is a limit on the number of institutional coaching staff members who may contact or evaluate prospects off campus at any one time, as follows:  $(Adopted: 1/10/91 \ effective \ 8/1/91, Revised: 1/9/96 \ effective \ 8/1/96)$ 

Sport	Limit
Archery, Women (Adopted: 1/9/96 effective 8/1/96)	2
Badminton, Women (Adopted: 1/9/96 effective 8/1/96)	2
Baseball	2
Basketball, Men ( <i>Revised: 1/16/93, 2/9/95</i> )	3 (during the July
	evaluation period)
	2 (during the remainder
	of the year)

### Number and Duties of Coaches/11.7.5.1—11.7.5.1.1.2

Sport	Limit
Basketball, Women (Revised: 1/16/93, 2/9/95, 6/4/97)	3 (during the July
	evaluation period)
	2 (during the remainder
	of the year)
Bowling, Women (Adopted: 1/9/96 effective 8/1/96)	<b>2</b>
Fencing, Men	1
Fencing, Women	1
Field Hockey	2
Golf, Men	1
Golf, Women	1
Gymnastics, Men	1
Gymnastics, Women	2
Ice Hockey, Men	2
Ice Hockey, Women (Adopted: 1/9/96 effective 8/1/96)	2
Lacrosse, Men	2
Lacrosse, Women	2
Rifle, Men	1
Rifle, Women	1
Rowing, Women (Adopted: 1/9/96 effective 8/1/96)	2 (during the academic year)
	3 (during the summer)
Skiing, Men	1
Skiing, Women	1
Soccer, Men	2
Soccer, Women	2
Softball, Women	2
Squash, Women (Adopted: 1/9/96 effective 8/1/96)	2
Swimming and Diving, Men*	2
Swimming and Diving, Women*	2
Synchronized Swimming, Women	
(Adopted: 1/9/96 effective 8/1/96)	2
Team Handball, Women	
(Adopted: 1/9/96 effective 8/1/96)	2
Tennis, Men	1
Tennis, Women	2
Cross Country/Track and Field, Men	2
Cross Country/Track and Field, Women	2
Volleyball, Men ( <i>Revised: 4/22/98 effective 8/1/98</i> )	2
Volleyball, Women	2
Water Polo, Men	1
Water Polo, Women (Adopted: 1/9/96 effective 8/1/96)	$\frac{2}{2}$
Wrestling	2

<sup>\*</sup> For an institution that conducts men's and women's swimming and diving programs and employs one head or assistant coach for men and women divers in lieu of two restricted coaches, the diving coach may contact or evaluate prospects off campus in addition to the two men's and two women's coaches.

11.7.5.1.1 "At Any One Time." If the limit of coaches who are permitted to contact or evaluate prospects off campus at any one time is reached, another coach in that same sport may not leave campus to engage in off-campus contacts or evaluations until one of the other coaches who is off campus actually returns to campus. (Adopted: 1/10/92)

**11.7.5.1.1.1 Transportation Delay.** If the maximum number of authorized coaches is recruiting off campus and another coach is scheduled to depart for recruiting purposes when one of those coaches returns, that coach may depart at the scheduled time if the incoming coach encounters a transportation delay (e.g., flight difficulties), provided the departing coach does not leave prior to the time that the incoming coach is scheduled to return to the home transportation site (e.g., airport terminal, bus terminal), and the coach who is encountering the transportation delay does not engage in any additional recruiting activities on that trip. (*Adopted: 1/16/93*)

**11.7.5.1.1.2 Recruiting Travel Combined with Other Travel.** A coach who combines nonrecruiting travel (e.g., vacation, speaking engagement) with a recruiting trip may be replaced for purposes of recruitment with another authorized coach, provided the coach being replaced does not engage in additional recruiting activities until after he or she has returned to the institution's campus. The coach leaving campus may depart not earlier than the time the replaced coach normally would return to the home transportation site (if the coach had been returning to campus). (*Adopted: 1/16/93*)

## Number and Duties of Coaches/11.7.5.2

11.7.5.2 Banquets or Meetings. In sports other than football, a coaching staff member who attends a banquet or meeting that is designed to recognize prospects that occurs during a contact or evaluation period is considered an off-campus recruiter in the applicable sport, regardless of whether the coach is speaking at the banquet/meeting or only attending the function. In those sports with recruiting calendars (e.g., basketball, softball), a coach is not permitted to attend such a recognition that occurs outside a contact or evaluation period, unless the coach has been asked to speak at the function [see 13.1.11-(a)]. (Adopted: 6/8/99)

# FIGURE 11-1

# Coaches' Compensation and Benefits 2000-01 Academic Year

		Head Coach (Bylaw 11.01.2) Assistant Coach (Bylaw 11.01.2) Restricted Coach (Bylaw 11.01.3)	Volunteer Coach (Bylaw 11.011.6)	Graduate Assistant Coach (Bylaw 11.01.4)	Under- Graduate Assistant Coach (Bylaw 11.01.5
I. Com	pensation or Remuneration				
ai	excess of full grant-in- d based on nonresident atus	Х			
in	o more than full grant- -aid based on actual sident status			X	X
ne	ompensation or remu- eration from athletics epartment prohibited		Х		
cc at	ay receive summer-camp ompensation from hletics department in ddition to A, B & C	Х	X	X	X
ca so de	ay receive summer- amp compensation from ource other than athletics epartment in addition to B & C	Х	Х	X	X
at ar	mployment outside hletics department rranged by institution addition to A, B & C	Х	X	X (only during summer)	Х
fro ac at so de	ay receive compensation om institution for duties ctually performed outside hletics department, from ource outside of athletics epartment, in addition to , B & C	X	Х		X
	owl or postseason-play onuses	Х			
po ac	stablished graduate or ostgraduate award dministered outside the stitution in addition to B			X	
II. Bene	efits				
A. Co	omplimentary-ticket limit	Unlimited	2 (home contests or in coach's sport)	4 nly	4*
ab	raining table (over and bove I-A, B & C ompensation)	х			
ab	se of car (over and bove I-A, B & C compensation)	Х			

		Head Coach (Bylaw 11.01.2) Assistant Coach (Bylaw 11.01.2) Restricted Coach (Bylaw 11.01.3)	Volunteer Coach (Bylaw 11.01.6)	Graduate Assistant Coach (Bylaw 11.01.4)	Under- Graduate Assistant Coach (Bylaw 11.01.5
II. B	enefits (continued)				
D.	Country club/health club membership or similar complimentary services (over and above I-A, B or C compensation)	Х			
E.	Benefits available to all institutional employees (life insurance, health insurance, disability insurance, tuition waiver)	Х		Х	
F.	Reduction in teaching load without reduction in non- athletics department compensation in recognition of coaching duties (in addition to I-A, B or C compensation)	Х			

# FIGURE 11-2

# Coaches' Reimbursable Expenses 2000-01 Academic Year

	Head Coach (Bylaw 11.01.2) Assistant Coach (Bylaw 11.01.2) Restricted Coach (Bylaw 11.01.3)	Volunteer Coach (Bylaw 11.01.6)	Graduate Assistant Coach (Bylaw 11.01.4)	Under- Graduate Assistant Coach (Bylaw 11.01.5)
Expenses—Reimbursable (Room	, Board and Transportation)			
A. Away games	Х	X**	X	X
B. Off-campus recruiting contacts*	Х			
C. Evaluate prospect*	X			
D. Scout opponent (11.6)***	Х			

<sup>\*</sup> See Bylaws 11.7.2.2 (I-A football), 11.7.3.2 (I-AA football), 11.7.4.3 (basketball) and 11.7.5 (all other sports) for limitations on the number of coaches that are permitted to contact or evaluate prospects off campus.

<sup>\*\*</sup> Except in the sports of football and basketball.

<sup>\*\*\*</sup> Only in the sport of lacrosse.

# **BYLAW, ARTICLE 12**

# **Amateurism**

12.02 12.1		71 72	12.4 12.5	Use of Agents	8 9
	Teams	75		Outside Organizations8	3

#### **12.01 GENERAL PRINCIPLES**

- **12.01.1 Eligibility for Intercollegiate Athletics**. Only an amateur student-athlete is eligible for intercollegiate athletics participation in a particular sport.
- **12.01.2 Clear Line of Demarcation.** Member institutions' athletics programs are designed to be an integral part of the educational program. The student-athlete is considered an integral part of the student body, thus maintaining a clear line of demarcation between college athletics and professional sports.
- **12.01.3 "Individual" vs. "Student-Athlete."** NCAA amateur status may be lost as a result of activities prior to enrollment in college. If NCAA rules specify that an "individual" may or may not participate in certain activities, this term refers to a person prior to and subsequent to enrollment in a member institution. If NCAA rules specify a "student-athlete," the legislation applies only to that person's activities subsequent to enrollment.
- **12.01.4 Permissible Grant-in-Aid.** A grant-in-aid administered by an educational institution is not considered to be pay or the promise of pay for athletics skill, provided it does not exceed the financial aid limitations set by the Association's membership.
- **12.01.5 Compliance with Legislation for Emerging Sports.** A member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable amateurism legislation set forth in Bylaw 12. (*Adopted:* 1/10/95)

#### 12.02 DEFINITIONS AND APPLICATIONS

- **12.02.1 Individual.** An individual, for purposes of this bylaw, is any person of any age without reference to enrollment in an educational institution or status as a student-athlete.
- **12.02.2 Pay.** Pay is the receipt of funds, awards or benefits not permitted by the governing legislation of the Association for participation in athletics.
- **12.02.3 Professional Athlete.** A professional athlete is one who receives any kind of payment, directly or indirectly, for athletics participation except as permitted by the governing legislation of the Association.

#### **12.02.4 Professional Athletics Team.** A professional team is any organized team that:

- (a) Is a member of a recognized professional sports organization;
- (b) Is directly supported or sponsored by a professional team or professional sports organization, except as permitted in 12.6.1.8 (see also Bylaw 12.6.1.1);
- (c) Is a member of a playing league that is directly supported or sponsored by a professional team or professional sports organization (see also Bylaw 12.6.1.1); or
- (d) Has an athlete receiving for his or her participation any kind of payment, directly or indirectly, from a professional team or professional sports organization (see also Bylaw 12.6.1.1).
- **12.02.5 Student-Athlete.** A student-athlete is a student whose enrollment was solicited by a member of the athletics staff or other representative of athletics interests with a view toward the student's ultimate participation in the intercollegiate athletics program. Any other student becomes a student-athlete only when the student reports for an intercollegiate squad that is under the jurisdiction of the athletics department, as specified in Constitution 3.2.4.4. A student is not deemed a student-athlete solely on the basis of prior high-school athletics participation.

#### 12.1 GENERAL REGULATIONS

An individual must comply with the following to retain amateur status. (See Bylaw 14 regarding the eligibility restoration process.)

- **12.1.1 Amateur Status.** An individual loses amateur status and thus shall not be eligible for intercollegiate competition in a particular sport if the individual:
- (a) Uses his or her athletics skill (directly or indirectly) for pay in any form in that sport;
- (b) Accepts a promise of pay even if such pay is to be received following completion of intercollegiate athletics participation;
- (c) Signs a contract or commitment of any kind to play professional athletics, regardless of its legal enforceability or any consideration received;
- (d) Receives, directly or indirectly, a salary, reimbursement of expenses or any other form of financial assistance from a professional sports organization based upon athletics skill or participation, except as permitted by NCAA rules and regulations;
- (e) Competes on any professional athletics team and knows (or had reason to know) that the team is a professional athletics team (per Bylaw 12.02.4), even if no pay or remuneration for expenses was received; or
- (f) Enters into a professional draft or an agreement with an agent (see also Bylaw 12.2.4.2.1).
  - **12.1.1.1 Prohibited Forms of Pay.** "Pay" as used in Bylaw 12.1.1 above includes, but is not limited to, the following:
    - **12.1.1.1.1 Salary, Gratuity or Compensation.** Any direct or indirect salary, gratuity or comparable compensation.
    - 12.1.1.12 Division or Split of Surplus. Any division or split of surplus (bonuses, game receipts, etc.).
    - **12.1.1.13 Educational Expenses.** Educational expenses not permitted by the governing legislation of this Association (see Bylaw 15 regarding permissible financial aid to enrolled student-athletes).
      - 12.1.1.3.1 Educational Expenses from Outside Sports Team or Organization. Educational expenses provided to an individual by an outside sports team or organization that are based in any degree upon the recipient's athletics ability [except as specified in Bylaw 15.2.5.4-(h)], even if the funds are given to the institution to administer to the recipient. (Revised: 1/10/95)
        - **12.1.1.1.3.1.1 Educational Expenses—U.S. Olympic Committee.** An individual (prospective student-athlete or student-athlete) may receive educational expenses awarded by the U.S. Olympic Committee pursuant to the applicable conditions set forth in Bylaw 15.2.5.5. (*Adopted: 4/15/97*)
        - **12.1.1.3.1.2** Educational Expenses—U.S. National Governing Body. An individual (prospective student-athlete or student-athlete) may receive educational expenses awarded by a U.S. national governing body pursuant to the applicable conditions set forth in Bylaw 15.2.5.5. (Adopted: 10/28/97 effective 8/1/98)
    - **12.1.1.1.4 Expenses**, **Awards and Benefits**. Excessive or improper expenses, awards and benefits (see Bylaw 16 regarding permissible awards, benefits and expenses to enrolled student-athletes).
      - **12.1.1.1.4.1 Cash or Equivalent Award.** Cash, or the equivalent thereof (e.g., trust fund), as an award for participation in competition at any time, even if such an award is permitted under the rules governing an amateur, noncollegiate event in which the individual is participating. An award or a cash prize that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency.
        - **12.1.1.1.4.1.1 Exception—Prospect's Educational Institution.** A financial award may be provided to a prospect's educational institution in conjunction with the prospect being recognized as part of an awards program in which athletics participation, interests or ability is a criterion, but not the sole criterion, in the selection process. Such an award must also include nonathletics criteria, such as the prospect's academic record and nonathletics extracurricular activities and may not be based on the prospect's place finish or performance in a particular athletics event. In addition, it is permissible for an outside organization (other than a professional sports organization) to provide actual and necessary expenses for the prospect (and the prospect's parents or other relatives) to travel to a recognition event designed to recognize the prospect's accomplishments in conjunction with his or her selection as the recipient of a regional, national or international award. (*Adopted: 10/28/99*)

- **12.1.1.1.4.2** Expenses/Awards Prohibited by Rules Governing Event. Expenses incurred or awards received by an individual that are prohibited by the rules governing an amateur, noncollegiate event in which the individual participates.
- **12.1.1.1.4.3** Expenses from Outside Team or Organization. Expenses received from an outside amateur sports team or organization in excess of actual and necessary travel, room and board expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.12.2.3) for competition and practice held in preparation for such competition. Practice must be conducted in a continuous time period preceding the competition except for practice sessions conducted by a national team, which occasionally may be interrupted for specific periods of time preceding the competition. (*Revised: 1/10/90, 1/10/92*)
- **12.1.1.1.4.4 Unspecified or Unitemized Expenses.** Payment to individual team members or individual competitors for unspecified or unitemized expenses beyond actual and necessary travel, room and board expenses for practice and competition.
- **12.1.1.1.4.5** Expenses from Sponsor Other Than Parents/Legal Guardians or Nonprofessional Sponsor of Event. Actual and necessary expenses or any other form of compensation to participate in athletics competition (while not representing an educational institution) from a sponsor other than an individual upon whom the athlete is naturally or legally dependent or the nonprofessional organization that is sponsoring the competition.
- **12.1.1.1.4.6** Expenses for Parents/Legal Guardians of Participants in Athletics Competition. Expenses received by the parents or legal guardians of a participant in athletics competition from a nonprofessional organization sponsoring the competition in excess of actual and necessary travel, room and board expenses, or any entertainment expenses, provided such expenses are made available to the parents or legal guardians of all participants in the competition. (Adopted: 1/16/93, Revised: 1/11/97)
- **12.1.1.1.5 Payment Based on Performance.** Any payment, including actual and necessary expenses, conditioned on the individual's or team's place finish or performance or given on an incentive basis, or receipt of expenses in excess of the same reasonable amount for permissible expenses given to all individuals or team members involved in the competition.
- **12.1.1.1.6 Preferential Treatment, Benefits or Services.** Preferential treatment, benefits or services because of the individual's athletics reputation or skill or pay-back potential as a professional athlete, unless such treatment, benefits or services are specifically permitted under NCAA legislation. (Revised: 1/11/94)
- **12.1.1.17 Prize for Participation in Institution's Promotional Activity.** Receipt of a prize for participation (involving the use of athletics ability) in a member institution's promotional activity that is inconsistent with the provisions of Bylaw 12.5 or official interpretations approved by the Management Council.
- **12.1.1.2** Use of Overall Athletics Skill—Effect on Eligibility. Participation for pay in competition that involves the use of overall athletics skill (e.g., "superstars" competition) constitutes a violation of the Association's amateur-status regulations; therefore, an individual participating for pay in such competition is ineligible for intercollegiate competition in all sports. (See Bylaw 12.5.2.3.3 for exception related to promotional contests.)
- **12.1.1.3 Road Racing.** "Road racing" is essentially the same as cross country or track and field competition and cannot be separated effectively from those sports for purposes of Bylaw 12. Therefore, a student-athlete who accepts pay in any form for participation in such a race is ineligible for intercollegiate cross country or track and field competition.

#### 12.1.1.4 Exceptions to Amateurism Rule

**12.1.1.4.1** Exception for Insurance against Disabling Injury or Illness. An individual may borrow against his or her future earnings potential from an established, accredited commercial lending institution exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury or illness that would prevent the individual from pursuing a chosen career, provided a third party (including a member institution's athletics department staff members, its professional sports counseling panel or representatives of its athletics interests) is not involved in arrangements for securing the loan. The student-athlete shall report all such transactions and shall file copies of any loan documents associated with disability insurance with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. (*Revised: 1/16/93, 1/14/97 effective 8/1/97*)

# General Regulations/12.1.1.4.2—12.1.1.4.9

- **12.1.1.4.2** Exception for Institutional Fund-Raising Activities Involving the Athletics Ability of Student-Athletes. Institutional, charitable or educational promotions or fund-raising activities that involve the use of athletics ability by student-athletes to obtain funds (e.g., "swim-a-thons") are permitted only if:
- (a) The money is contributed directly to the institution by the donor;
- (b) The student-athletes receive no compensation or prizes for their participation; and
- (c) The provisions of Bylaw 12.5.1 are satisfied.
- **12.1.1.4.3** Exception for USOC Elite Athlete Health Insurance Program. An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program. (Adopted: 1/10/90)
- **12.1.1.4.4** Exception for Training Expenses. An individual (prospective or enrolled student-athlete) may receive actual and necessary expenses [including grants but not prize money, whereby the recipient has qualified for the grant based on his or her performance in a specific event(s)] to cover developmental training, coaching, facility usage, equipment, apparel, supplies, comprehensive health insurance, travel, room and board without jeopardizing the individual's eligibility for intercollegiate athletics, provided such expenses are approved and provided directly by the U.S. Olympic Committee (USOC) or the appropriate national governing body (NGB) in the sport (or, for foreign student-athletes, the equivalent organization of that nation). (Adopted: 1/10/91, Revised: 4/27/00)
- **12.1.1.4.5** Exception for Family Travel to Olympic Games. A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for an individual's spouse, parents, legal guardians or other relatives to attend the Olympic Games in which the individual will participate. (*Adopted: 1/11/94*)
- **12.1.1.4.6** Exception for Payment of Initial-Eligibility Clearinghouse Fee. A high-school booster club (as opposed to specific individuals) may pay the necessary fee for prospects at that high school to be certified by the Initial-Eligibility Clearinghouse, provided no particular prospect(s) is singled out because of his or her athletics ability or reputation. (*Adopted: 1/11/94*)
- **12.1.1.4.7** Exception for Camp Sponsored by a Charitable Foundation Funded by a Professional Sports Organization. An individual may receive actual and necessary expenses from a charitable foundation that is funded by a professional sports organization to attend a camp or clinic, provided: (*Adopted:* 1/10/95)
- (a) The charitable foundation is one that is defined as an exempt organization by the Internal Revenue Service;
- (b) No professional sports organization, NCAA institution or conference owns or operates the sponsoring charitable foundation;
- (c) No camp participant is a prospective student-athlete per Bylaw 13.02.10;
- (d) The charitable foundation provides to the participants nothing more than actual and necessary expenses to attend the camp or clinic and equipment/apparel necessary for participation; and
- (e) All camp participants fall within the sponsoring charitable foundation's guidelines for determining low-income, at-risk children.
- **12.1.1.4.8** Exception for Receipt of Free Equipment and Apparel Items by a Prospective Student-Athlete. It is permissible for prospects (as opposed to student-athletes) to receive free equipment and apparel items for personal use from apparel or equipment manufacturers or distributors under the following circumstances: (Adopted: 1/11/97)
- (a) The apparel or equipment items are related to the prospect's sport and are received directly from an apparel or equipment manufacturer or distributor;
- (b) The prospect does not enter into an arrangement (e.g., open account) with an apparel or equipment manufacturer or distributor that permits the prospect to select apparel and equipment items from a commercial establishment of the manufacturer or distributor; and
- (c) A member institution's coach is not involved in any manner in identifying or assisting an apparel or equipment manufacturer or distributor in determining whether a prospect is to receive any apparel or equipment items.
- **12.1.1.4.9** Expenses for Participation in Olympic Exhibitions. An individual may receive actual and necessary expenses from the U.S. Olympic Committee (USOC), national governing body (NGB) or the nonprofessional organizations sponsoring the event to participate in Olympic tours or exhibitions involving Olympic team members and/or members of the national team, provided that if the individual is a student-athlete, he or she misses no class time, and the exhibition does not conflict with dates of institutional competition. (Adopted: 10/28/97 effective 8/1/98)

- **12.1.2 Amateur Status if Professional in Another Sport.** A professional athlete in one sport may represent a member institution in a different sport. However, the student-athlete cannot receive institutional financial assistance in the second sport unless the student-athlete:
- (a) Is no longer involved in professional athletics;
- (b) Is not receiving any remuneration from a professional sports organization; and
- (c) Has no active contractual relationship with any professional athletics team. However, an individual may remain bound by an option clause in a professional sports contract that requires assignment to a particular team if the student-athlete's professional career is resumed.
  - **12.1.2.1 Professional at Later Date.** If the individual later becomes involved in professional athletics while still a student-athlete with remaining eligibility, the individual would be considered to have violated the principles of ethical conduct per Bylaw 10, thus rendering the individual ineligible for intercollegiate competition.

### 12.2 INVOLVEMENT WITH PROFESSIONAL TEAMS

## **12.2.1 Tryouts**

- **12.2.1.1 Tryout Prior to Enrollment.** A student-athlete remains eligible in a sport even though, prior to enrollment in a collegiate institution, the student-athlete may have tried out with a professional athletics team in a sport or received not more than one expense-paid visit from each professional team (or a combine including that team), provided such a visit did not exceed 48 hours and any payment or compensation in connection with the visit was not in excess of actual and necessary expenses. A self-financed tryout may be for any length of time.
- **12.2.1.2 Tryout after Enrollment.** A student-athlete shall not try out with a professional athletics team in a sport or permit a professional athletics team to conduct medical examinations during any part of the academic year (i.e., from the beginning of the fall term through completion of the spring term, including any intervening vacation period) while enrolled in a collegiate institution as a regular student in at least a minimum full-time academic load, unless the student-athlete has exhausted eligibility in that sport. The student-athlete may try out with a professional organization in a sport during the summer or during the academic year while not a full-time student, provided the student-athlete does not receive any form of expenses or other compensation from the professional organization. (*Revised:* 1/10/92)
  - **12.2.1.2.1 Medical-Examination Exception.** A single scouting bureau recognized by a professional league is permitted to conduct one medical examination per student-athlete during the academic year without jeopardizing the student-athlete's eligibility in that sport, provided the examination does not occur off campus. (Adopted: 1/16/93)
- **12.2.1.3 Outside Competition Prohibited.** During a tryout, an individual may not take part in any outside competition (games or scrimmages) as a representative of a professional team.
- **12.2.1.4 Professional Team Representative at College Practice.** A tryout with a professional team is not considered to have occurred when a representative of a professional team visits a member institution during the academic year and evaluates a student-athlete while the institution is conducting a regular practice session, physical education class or off-season conditioning program session that includes physical activities (e.g., speed trials, agility tests, strength tests), provided these activities are normally a part of and take place during regular practice, class or conditioning sessions.
- **12.2.2 Practice without Competition.** An individual may participate in practice sessions conducted by a professional team, provided such participation meets the requirements of NCAA legislation governing tryouts with professional athletics teams (see Bylaw 12.2.1) and the individual does not:
- (a) Receive any compensation for participation in the practice sessions;
- (b) Enter into any contract or agreement with a professional team or sports organization; or
- (c) Take part in any outside competition (games or scrimmages) as a representative of a professional team.
  - **12.2.2.1 Prohibited Involvement of Institution's Coach.** An institution's coaching staff member may not arrange for or direct student-athletes' participation in football or basketball practice sessions conducted by a professional team.

#### 12.2.3 Competition

- **12.2.3.1 Competition against Professionals.** An individual may participate singly or as a member of an amateur team against professional athletes.
- 12.2.3.2 Competition with Professionals. An individual shall not be eligible for intercollegiate athlet-

### Involvement with Professional Teams/12.2.3.2—12.2.5.1.1

ics in a sport if the individual ever participated on a team and knew (or had reason to know) that the team was a professional team (per Bylaw 12.02.4) in that sport. However, an individual may compete on a tennis, golf, two-person sand volleyball or two-person synchronized diving teams with persons who are competing for cash or a comparable prize, provided the individual does not receive payment of any kind for such participation. (Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97)

- **12.2.3.2.1 Professional Player as Team Member.** An individual may participate with a professional on a team, provided the professional is not being paid by a professional team or league to play as a member of that team (e.g., summer basketball leagues with teams composed of both professional and amateur athletes).
- **12.2.3.2.2 Professional Coach or Referee.** Participation on a team that includes a professional coach or referee does not cause the team to be classified as a professional team.
- **12.2.3.2.3 Amateur/Professional Leagues.** An individual may participate as a member of an amateur team in a league in which one or more teams are professional, provided the league is not a member of a recognized professional sports organization or is not directly supported or sponsored by a professional sports team or organization.
- **12.2.3.2.4 Major Junior A Ice Hockey.** Ice hockey teams in the United States and Canada, classified by the Canadian Amateur Hockey Association as major junior A teams, are considered professional teams under NCAA legislation.
  - **12.2.3.2.4.1 Limitation on Restoration of Eligibility.** An appeal for restoration of eligibility may be submitted on behalf of an individual who has participated on a major junior A ice hockey team under the provisions of Bylaw 14.12; however, such individual shall be denied at least the first year of intercollegiate athletics competition in the sport of ice hockey at the certifying institution and shall be charged with the loss of at least one season of eligibility in the sport of ice hockey. (*Revised:* 1/11/89)
- **12.2.3.3 Competition in Professional All-Star Contest.** A student-athlete who agrees to participate in a professional (players to be paid) all-star game becomes ineligible to compete in any intercollegiate contest that occurs subsequent to that agreement. Thus, a senior entering into such an agreement immediately following the last regular-season intercollegiate contest would not be eligible to compete in a bowl game, an NCAA championship or any other certified postseason collegiate contest.

#### 12.2.4 Draft and Inquiry

- **12.2.4.1 Inquiry.** An individual may inquire of a professional sports organization about eligibility for a professional-league player draft or request information about the individual's market value without affecting his or her amateur status.
- **12.2.4.2 Draft List.** An individual loses amateur status in a particular sport when the individual asks to be placed on the draft list or supplemental draft list of a professional league in that sport, even though:
- (a) The individual asks that his or her name be withdrawn from the draft list prior to the actual draft;
- (b) The individual's name remains on the list but he or she is not drafted; or
- (c) The individual is drafted but does not sign an agreement with any professional athletics team.
  - **12.2.4.2.1 Exception—Professional Basketball Draft.** A student-athlete in the sport of basketball may enter a professional league's draft one time during his or her collegiate career without jeopardizing eligibility in that sport, provided the student-athlete is not drafted by any team in that league and the student-athlete declares his or her intention to resume intercollegiate participation within 30 days after the draft. The student-athlete's declaration of intent shall be in writing to the institution's director of athletics. (Adopted: 1/11/94, Revised: 1/10/95, 1/14/97 effective 4/16/97)
- **12.2.4.3 Negotiations.** An individual may request information about professional market value without affecting his or her amateur status. Further, the individual, his or her legal guardians or the institution's professional sports counseling panel may enter into negotiations with a professional sports organization without the loss of the individual's amateur status. An individual who retains an agent shall lose amateur status. (Adopted: 1/10/92)

#### 12.2.5 Contracts and Compensation

- **12.2.5.1 General Rule.** An individual shall be ineligible for participation in an intercollegiate sport if he or she has entered into any kind of agreement to compete in professional athletics, either orally or in writing, regardless of the legal enforceability of that agreement. (*Revised:* 1/10/92)
  - **12.2.5.1.1 Nonbinding Agreements.** An individual who signs a contract or commitment that does not become binding until the professional organization's representative or agent also signs the doc-

ument is ineligible, even if the contract remains unsigned by the other parties until after the student-athlete's eligibility is exhausted.

# **12.3 USE OF AGENTS**

- **12.3.1 General Rule.** An individual shall be ineligible for participation in an intercollegiate sport if he or she ever has agreed (orally or in writing) to be represented by an agent for the purpose of marketing his or her athletics ability or reputation in that sport. Further, an agency contract not specifically limited in writing to a sport or particular sports shall be deemed applicable to all sports, and the individual shall be ineligible to participate in any sport.
  - **12.3.1.1 Representation for Future Negotiations.** An individual shall be ineligible per Bylaw 12.3.1 if he or she enters into a verbal or written agreement with an agent for representation in future professional sports negotiations that are to take place after the individual has completed his or her eligibility in that sport.
  - **12.3.1.2** Benefits from Prospective Agents. An individual shall be ineligible per Bylaw 12.3.1 if he or she (or his or her relatives or friends) accepts transportation or other benefits from: (Revised: 1/14/97)
  - (a) Any person who represents any individual in the marketing of his or her athletics ability. The receipt of such expenses constitutes compensation based on athletics skill and is an extra benefit not available to the student body in general; or
  - (b) An agent, even if the agent has indicated that he or she has no interest in representing the student-athlete in the marketing of his or her athletics ability or reputation and does not represent individuals in the student-athlete's sport. (Adopted: 1/14/97)
- **12.3.2 Legal Counsel.** Securing advice from a lawyer concerning a proposed professional sports contract shall not be considered contracting for representation by an agent under this rule, unless the lawyer also represents the student-athlete in negotiations for such a contract.
  - **12.3.2.1 Presence of a Lawyer at Negotiations.** A lawyer may not be present during discussions of a contract offer with a professional organization or have any direct contact (i.e., in person, by telephone or by mail) with a professional sports organization on behalf of the student-athlete. A lawyer's presence during such discussions is considered representation by an agent.
- **12.3.3 Athletics Scholarship Agent.** Any individual, agency or organization that represents a prospective student-athlete for compensation in placing the prospect in a collegiate institution as a recipient of institutional financial aid shall be considered an agent or organization marketing the individual's athletics ability or reputation.
  - **12.3.3.1 Talent Evaluation Services and Agents.** A prospect may allow a scouting service or agent to distribute personal information (e.g., high-school academic and athletics records, physical statistics) to member institutions without jeopardizing his or her eligibility, provided the fee paid to such an agent is not based on placing the prospect in a collegiate institution as a recipient of institutional financial aid.
- **12.3.4 Professional Sports Counseling Panel.** It is permissible for an authorized institutional professional sports counseling panel to:
- (a) Advise a student-athlete about a future professional career;
- (b) Provide direction on securing a loan for the purpose of purchasing insurance against a disabling injury; (Adopted: 1/16/93)
- (c) Review a proposed professional sports contract;
- (d) Meet with the student-athlete and representatives of professional teams;
- (e) Communicate directly (e.g., in-person, by mail or telephone) with representatives of a professional athletics team to assist in securing a tryout with that team for a student-athlete; (Adopted: 1/11/94)
- (f) Assist the student-athlete in the selection of an agent by participating with the student-athlete in interviews of agents, by reviewing written information player agents send to the student-athlete and by having direct communication with those individuals who can comment about the abilities of an agent (e.g., other agents, a professional league's players' association); and (*Adopted: 1/11/94*)
- (g) Visit with player agents or representatives of professional athletics teams to assist the student-athlete in determining his or her market value (e.g., potential salary, draft status). (Adopted: 1/11/94)
  - **12.3.4.1 Appointment by Chief Executive Officer.** This panel shall consist of at least three persons appointed by the institution's chief executive officer (or his or her designated representative from outside the athletics department).

# Use of Agents/12.3.4.2—Employment/12.4.3

**12.3.4.2 Composition.** No more than one panel member may be an athletics department staff member. All other panel members must be selected by the institution from among its full-time employees employed outside the athletics department. No institutional staff member who is a sports agent may be a member of the panel. All panel members shall be identified to the NCAA national office. (*Revised:* 1/11/94)

#### **12.4 EMPLOYMENT**

- **12.4.1 Criteria Governing Compensation to Student-Athletes.** All compensation received by a student-athlete must be consistent with the limitations on financial aid set forth in Bylaw 15. Compensation may be paid to a student-athlete:
- (a) Only for work actually performed, and
- (b) At a rate commensurate with the going rate in that locality for similar services.
  - **12.4.1.1 Athletics Reputation.** Such compensation may not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has obtained because of athletics ability.
  - **12.4.1.2 Employment on a Commission Basis.** An employer, other than the student-athlete's institution, may employ a student-athlete on a commission basis only if:
  - (a) The cost of any preliminary training program for such employees is borne by the student-athlete (i.e., such costs may not be paid by a member institution or a representative of its athletics interests);
  - (b) The personnel so employed consist of both student-athletes and nonathletes;
  - (c) The employment of student-athletes does not result in the company's use of athletics reputations of such individuals to promote the sale of the company's products; and
  - (d) The company is able to document that employees who are nonathletes receive earnings from sales commissions at a rate generally equivalent to the commission rate realized by the student-athletes employed by the company.

#### 12.4.2 Specific Athletically Related Employment Activities

- **12.4.2.1 Fee-for-Lesson Instruction.** A student-athlete may not receive compensation for teaching or coaching sports skills or techniques in his or her sport on a fee-for-lesson basis. However, such employment is permitted prior to enrollment in a collegiate institution. (*Revised:* 1/9/96 effective 8/1/96)
- **12.4.2.2 Broken-Time Payments.** An individual may not receive "broken-time" payments except as authorized and administered by the United States Olympic Committee during the period immediately preceding and including actual Olympic competition. A permitted broken-time payment may cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation during any other period and payments administered independently of the USOC by other sports governing bodies (e.g., the United States Ski Association) are prohibited.
  - **12.4.2.2.1** Exception When Individual Not Enrolled in Regular Term. An individual may receive broken-time payments administered by the United States Olympic Committee or the national governing body in the sport during a period when the individual is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers no more than the period from the date the individual begins practice with the national team following selection to that team to one week after the conclusion of the competition. (Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/96)
- **12.4.2.3 Athletics Equipment Sales.** A student-athlete may not be employed to sell equipment related to the student-athlete's sport if his or her name, picture or athletics reputation is used to advertise or promote the product, the job or the employer. If the student-athlete's name, picture or athletics reputation is not used for advertising or promotion, the student-athlete may be employed in a legitimate sales position, provided he or she is reimbursed at an hourly rate or set salary in the same manner as any nonathlete salesperson.
- **12.4.2.4 Goodwill Tour Commissions.** A student-athlete representing the institution in a goodwill tour during summer months, in conjunction with the tour, may sell such items as jackets, blazers or similar institutional promotional items to booster groups or other friends of the institution on a salary, but not a commission, basis.
- **12.4.3 Camp/Clinic Employment, General Rule.** A student-athlete may be employed by his or her institution, by another institution, or by a private organization to work in a camp or clinic as a counselor,

unless otherwise restricted by NCAA legislation (see Bylaw 13.13 for regulations relating to camps and clinics). Out-of-season playing and practice limitations may restrict the number of players from the same institution who may be employed in that institution's camp (see the specific sport in Bylaw 17 for these employment restrictions).

#### 12.5 PROMOTIONAL ACTIVITIES

#### 12.5.1 Permissible

- **12.5.1.1 Institutional, Charitable, Educational or Nonprofit Promotions.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete's participation in intercollegiate athletics, provided the following conditions are met: (*Revised:* 1/11/89, 1/10/91, 1/10/92)
- (a) The student-athlete receives written approval to participate from the director of athletics, subject to the limitations on participants in such activities as set forth in Bylaw 17; (Revised: 1/11/89)
- (b) The specific activity or project in which the student-athlete participates does not involve cosponsorship, advertisement or promotion by a commercial agency other than through the reproduction of the sponsoring company's officially registered regular trademark or logo on printed materials such as pictures, posters or calendars. The company's emblem, name, address and telephone number may be included with the trademark or logo. Personal names, messages and slogans (other than an officially registered trademark) are prohibited; (Revised: 1/11/89, 1/10/91)
- (c) The name or picture of a student-athlete with remaining eligibility may not appear on an institution's printed promotional item (e.g., poster, calendar) that includes a reproduction of a product with which a commercial entity is associated if the commercial entity's officially registered regular trademark or logo also appears on the item; (Adopted: 11/12/97)
- (d) The student-athlete does not miss class; (Revised: 1/11/89)
- (e) All moneys derived from the activity or project go directly to the member institution, member conference or the charitable, educational or nonprofit agency; (Revised: 1/11/89, 1/10/92)
- (f) The student-athlete may accept legitimate and normal expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity, provided it occurs within the state or, if outside the state, within a 100-mile radius of the member institution's campus; (Revised: 1/11/89, 1/10/92)
- (g) The student-athlete's name, picture or appearance is not utilized to promote the commercial ventures of any nonprofit agency; (Adopted: 1/10/92)
- (h) Any commercial items with names or pictures of student-athletes (other than highlight films or media guides per Bylaw 12.5.1.9) may be sold only at the member institution at which the student-athlete is enrolled, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable or educational organization, site of charitable event during the event); and (Adopted: 1/16/93, Revised: 1/9/96)
- (i) The student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement ensuring that the student-athlete's name, image or appearance is used in a manner consistent with the requirements of this section. (Revised: 1/11/89, 1/10/92)
  - 12.5.1.1.1 Exception—Expenses beyond 100 Miles. A student-athlete may receive legitimate and normal expenses to participate in promotional activities related to a competitive event regardless of the distance from the member institution's campus, provided the following conditions are satisfied:  $(Adopted: 1/14/97 \ effective \ 8/1/97)$
  - (a) The student-athlete has been selected to participate in the competition and such competition is included in Bylaw 14.7.3.1 (e.g., Olympic Games, Pan American Games, World Championships, World Cup and national team tryouts and competition);
  - (b) The promotional activity occurs no more than one calendar year prior to the start of the competition; and
  - (c) All conditions set forth in Bylaw 12.5.1.1 [other than Bylaw 12.5.1.1-(f)] are satisfied.
  - **12.5.1.1.2 Promotions Involving Commercial Locations/Sponsors.** A member institution or a charitable, educational or nonprofit organization may use the appearance, name or picture of an enrolled student-athlete to promote generally its fund-raising activities at the location of a commercial establishment, provided the commercial establishment is not a cosponsor of the event and the student-athlete does not promote the sale of a commercial product in conjunction with the

### Promotional Activities/12.5.1.1.2—12.5.1.4

fund-raising activity. A commercial establishment would become a cosponsor if the commercial establishment either advertises the presence of the student-athlete at the commercial location or is involved directly or indirectly in promoting the activity. (*Adopted:* 1/10/92)

- **12.5.1.1.3 Player/Trading Cards.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may distribute but may not sell player/trading cards that bear a student-athlete's name or picture. (Adopted: 1/11/94 effective 8/1/94)
  - 12.5.1.1.3.1 Exception—Olympic/National Team. A national governing body may sell player/trading cards that bear the name or picture of a student-athlete who is a member of the Olympic/national team in that sport, provided all of the funds generated through the sale of such cards are deposited directly with the applicable Olympic/national team. (Adopted: 1/6/96)
- **12.5.1.1.4 Improper Use of Student-Athlete's Name or Picture.** If an institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture in a manner contrary to Bylaw 12.5.1.1, the violation shall be considered an institutional violation; however, the student-athlete's eligibility shall not be affected. (*Adopted: 1/14/97*)
- **12.5.1.2** United States Olympic Committee/National Governing Body Advertisement Prior to Collegiate Enrollment. Prior to initial, full-time collegiate enrollment, an individual may receive payment for the display of athletics skill in a commercial advertisement, provided: (*Adopted:* 1/11/94)
- (a) The individual receives prior approval to appear in the advertisement from the U.S. Olympic Committee or the applicable national governing body;
- (b) The U.S. Olympic Committee or national governing body approves of the content and the production of the advertisement;
- (c) The individual forwards the payment to the U.S. Olympic Committee or national governing body for the general use of the organization(s); and
- (d) The funds are not earmarked for the individual.
- **12.5.1.3** Continuation of Modeling and Other Nonathletically Related Promotional Activities after Enrollment. If an individual accepts remuneration for or permits the use of his or her name or picture to advertise or promote the sale or use of a commercial product or service prior to enrollment in a member institution, continued remuneration for the use of the individual's name or picture (under the same or similar circumstances) after enrollment is permitted without jeopardizing his or her eligibility to participate in intercollegiate athletics only if all of the following conditions apply: (*Revised:* 1/14/97)
- (a) The individual's involvement in this type of activity was initiated prior to his or her enrollment in a member institution;
- (b) The individual became involved in such activities for reasons independent of athletics ability;
- (c) No reference is made in these activities to the individual's name or involvement in intercollegiate athletics;
- (d) The individual does not endorse the commercial product;
- (e) Any compensation received by the individual is consistent with applicable limitations on a student-athlete's maximum amount of financial aid; and
- (f) The individual's remuneration under such circumstances is at a rate commensurate with the individual's skills and experience as a model or performer and is not based in any way upon the individual's athletics ability or reputation.
- **12.5.1.4 Commercial Advertisement.** It is permissible for a student-athlete's name or picture, or the group picture of an institution's athletics squad, to appear in an advertisement of a particular business, commercial product or service, provided:
- (a) The primary purpose of the advertisement is to publicize the sponsor's congratulations to the student-athlete or team;
- (b) The advertisement does not include a reproduction of the product with which the business is associated or any other item or description identifying the business or service other than its name or trademark;
- (c) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or service of the advertiser;
- (d) The student-athlete has not signed a consent or release granting permission to use the student-athlete's name or picture in a manner inconsistent with the requirements of this section; and

- (e) If the student-athlete has received a prize from a commercial sponsor in conjunction with participation in a member institution's promotional activities and the advertisement involves the announcement of receipt of the prize, the receipt of the prize is consistent with the provisions of Bylaw 12.5.2.3.3 and official interpretations approved by the Management Council.
  - **12.5.1.4.1 Schedule Cards.** An advertisement on an institution's wallet-size playing schedule that includes the name or picture of a student-athlete may include language other than the commercial product's name, trademark or logo, provided the commercial language does not appear on the same page as the picture of the student-athlete. (*Adopted:* 1/10/92)
- 12.5.1.5 Distribution of Institutional Items through Commercial Outlets. A member institution may distribute noncommercial items (i.e., items not for sale) at commercial establishments, provided the institution generally distributes such items to other commercial establishments in the community and the distribution of the items does not require the recipient to make a purchase at the commercial establishment. (Adopted: 1/16/93)
- **12.5.1.6 Educational Products Related to Sport-Skill Instruction.** It is permissible for a student-athlete's name or picture to appear in books, articles and other publications, films, videotapes, and other types of electronic reproduction related to sport-skill demonstration, analysis or instruction, provided:
- (a) Such print and electronic media productions are for educational purposes;
- (b) There is no indication that the student-athlete expressly or implicitly endorses a commercial product or service;
- (c) The student-athlete does not receive, under any circumstances, any remuneration or expenses for such participation; and
- (d) The student-athlete has signed a release statement ensuring that the student-athlete's name or image is used in a manner consistent with the requirements of this section and has filed a copy of the statement with the student-athlete's member institution.
- **12.5.1.7 Institutionally Sponsored Summer Camps.** A member institution's summer camp may use the name or picture of any student-athlete to publicize or promote the camp, including the use of the student-athlete's name or picture in camp brochures or other advertising, provided the conditions set forth in Bylaw 12.5.1.1 are satisfied.
- **12.5.1.8 Privately Owned Summer Camps.** A privately owned summer camp may use a student-athlete's name, picture and institutional affiliation in its summer-camp brochure to identify the student-athlete as a staff member. However, a student-athlete's name or picture may not be used in any other way to directly advertise or promote the camp.
- **12.5.1.9 Promotion by Third Party of Highlight Film, Videotape or Media Guide.** Any party other than the institution or a student-athlete (e.g., a distribution company) may sell and distribute an institutional highlight film or videotape or an institutional or conference media guide that contains the names and pictures of enrolled student-athletes only if: (*Revised: 1/16/93*)
- (a) The institution specifically designates any agency that is authorized to receive orders for the film, videotape or media guide; (Revised: 1/16/93)
- (b) Sales and distribution activities have the written approval of the institution's athletics director;
- (c) The distribution company or a retail store is precluded from using the name or picture of an enrolled student-athlete in any poster or other advertisement to promote the sale or distribution of the film or media guide; and (*Revised:* 1/16/93)
- (d) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or services of the advertiser.
- **12.5.1.10 Promotion of NCAA and Conference Championships.** A student-athlete's name or picture may appear in a poster that promotes an NCAA championship or a conference championship, provided the poster is produced by a member institution that hosts a portion of the championship or by the NCAA or the conference. (*Adopted: 1/11/89*)
- **12.5.1.11 Olympic, Pan American, World Championships, World Cup and World University Games.** A student-athlete's name or picture may be used to promote Olympic, Pan American, World Championships, World Cup or World University Games as specified in this section. (Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96)
  - **12.5.1.11.1 Sale and Distribution of Promotional Items.** Promotional items (e.g., posters, postcards, film, videotapes) bearing the name or picture of a student-athlete and related to these events may be sold or distributed by the national or international sports governing body sponsoring these events or its designated third-party distributors. It is not permissible for such organiza-

tions to sell player/trading cards that bear a student-athlete's name or picture, except as noted in Bylaw 12.5.11.3.1. Promotional items may include a corporate sponsor's trademark or logo but not a reproduction of the product with which the business is associated. The name or picture of the student-athlete may not be utilized by the distribution company or retail store on any advertisement to promote the sale or distribution of the commercial item. (Adopted: 1/10/92, Revised: 1/16/93, Revised: 1/11/94 effective 8/1/94)

**12.5.1.11.1.1 Corporate Sponsors.** A corporate sponsor may sell a promotional item related to these events that utilizes the name or picture of a team but not an individual student-athlete. (Adopted: 1/10/92)

#### 12.5.2 Nonpermissible

- **12.5.2.1** Advertisements and Promotions Subsequent to Enrollment. Subsequent to becoming a student-athlete, an individual shall not be eligible for participation in intercollegiate athletics if the individual:
- (a) Accepts any remuneration for or permits the use of his or her name or picture to advertise, recommend or promote directly the sale or use of a commercial product or service of any kind, or
- (b) Receives remuneration for endorsing a commercial product or service through the individual's use of such product or service.
  - **12.5.2.1.1 Exceptions.** The individual's eligibility will not be affected, provided the individual participated in such activities prior to enrollment and the individual:
  - (a) Meets the conditions set forth in Bylaw 12.5.1.3 that would permit continuation of such activities; or
  - (b) Takes appropriate steps upon becoming a student-athlete to retract permission for the use of his or her name or picture and ceases receipt of any remuneration for such an arrangement.
  - **12.5.2.1.2 Improper Use of Student-Athlete's Name or Picture.** If an institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture in a manner contrary to Bylaw 12.5.2.1, the violation shall be considered an institutional violation; however, the student-athlete's eligibility shall not be affected. (*Adopted: 1/11/97*)
- **12.5.2.2** Use of a Student-Athlete's Name or Picture without Knowledge or Permission. If a student-athlete's name or picture appears on commercial items (e.g., T-shirts, sweatshirts, serving trays, playing cards, posters, photographs) or is used to promote a commercial product sold by an individual or agency without the student-athlete's knowledge or permission, the student-athlete (or the institution acting on behalf of the student-athlete) is required to take steps to stop such an activity in order to retain his or her eligibility for intercollegiate athletics. (*Revised:* 1/11/97)
- **12.5.2.3 Specifically Restricted Activities.** A student-athlete's involvement in promotional activities specified in this section is prohibited.
  - **12.5.2.3.1 Name-the-Player Contest.** A student-athlete may not permit use of his or her name or picture in a "name-the-player" contest conducted by a commercial business for the purpose of promoting that business.
  - **12.5.2.3.2 Athletics Equipment Advertisement.** A student-athlete's name or picture may not be used by an athletics equipment company or manufacturer to publicize the fact that the institution's team utilizes its equipment.
  - **12.5.2.3.3 Promotional Contests.** Receipt of a prize for winning a promotional activity (e.g., making a half-court basketball shot, being involved in a money scramble) held in conjunction with a member institution's intercollegiate competition by a prospective or enrolled student-athlete (or a member of his or her family) does not affect a student-athlete's eligibility, provided the prize is won through a random drawing in which all members of the general public or the student body are eligible to participate. (*Revised:* 1/9/96 effective 8/1/96)
  - **12.5.2.3.4 Appearance in Commercial Films.** Footage of an institution's intercollegiate game or event or of the individual performance of a student-athlete may not be used in a commercial movie unless all individuals appearing in the footage have exhausted their seasons of eligibility.

#### 12.5.3 Media Activities

(a) **During the Playing Season.** During the playing season, a student-athlete may appear on local radio and television programs (e.g., coaches shows) or engage in writing projects when the student-athlete's appearance or participation is related in any way to athletics ability or prestige, provided the student-athlete does not receive any remuneration for the appearance or participation in the activity. The student-athlete shall not make any endorsement, expressed or implied, of any commercial product or service. The student-athlete may, however, receive legitimate and normal expenses directly related to the

- appearance or participation in the activity, provided it occurs within a 30-mile radius of the institution's main campus. The institution also may provide such expenses for a student-athlete to appear on radio or television in the general locale of an institution's away-from-home competition. (Revised: 1/16/93, 1/14/97)
- (b) **Outside the Playing Season.** Outside the playing season, a student-athlete may participate in media activities (e.g., appearance on radio, television, in films or stage productions or participation in writing projects) when such appearance or participation is related in any way to athletics ability or prestige, provided the student-athlete is eligible academically to represent the institution and does not receive any remuneration for such appearance or participation. The student-athlete may not make any endorsement, expressed or implied, of any commercial product or service. The student-athlete may, however, receive legitimate and normal expenses directly related to such appearance or participation, provided the source of the expenses is the entity sponsoring the activity. (Revised: 1/16/93, 1/14/97)
- **12.5.4 Use of Logos on Equipment, Uniforms and Apparel.** A student-athlete may use athletics equipment or wear athletics apparel that bears the trademark or logo of an athletics equipment or apparel manufacturer or distributor in athletics competition and pre- and postgame activities (e.g., celebrations on the court, pre- or postgame press conferences), provided the following criteria are met. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the student-athlete's eligibility. (*Revised:* 1/11/94, 1/10/95, 1/9/96 effective 8/1/96)
- (a) Athletics equipment (e.g., shoes, helmets, baseball bats and gloves, batting or golf gloves, hockey and lacrosse sticks, goggles and skis) shall bear only the manufacturer's normal label or trademark, as it is used on all such items for sale to the general public; and (Revised: 1/10/95)
- (b) The student-athlete's institution's official uniform (including numbered racing bibs and warm-ups) and all other items of apparel (e.g., socks, head bands, T-shirts, wrist bands, visors or hats, swim caps and towels) shall bear only a single manufacturer's or distributor's normal label or trademark (regardless of the visibility of the label or trademark), not to exceed 2¼ square inches in area (i.e., rectangle, square, parallelogram) including any additional material (e.g., patch) surrounding the normal trademark or logo. The student-athlete's institution's official uniform and all other items of apparel shall not bear a design element similar to the manufacturer's trademark/logo or that is in addition to another trademark/logo that is contrary to the size restriction. (Revised: 1/11/94, 1/10/95, 2/16/00)
  - 12.5.4.1 Laundry Label. If an institution's uniform or any item of apparel worn by a student-athlete in competition contains washing instructions on the outside of the apparel on a patch that also includes the manufacturer's or distributor's logo or trademark, the entire patch must be contained within a four-sided geometrical figure (i.e., rectangle, square, parallelogram) that does not exceed  $2\frac{1}{4}$  square inches. (Adopted: 1/10/95)
  - **12.5.4.2 Pre- or Postgame Activities.** The restriction on the size of a manufacturer's or distributor's logo is applicable to all apparel worn by student-athletes during the conduct of the institution's competition, which includes any pre- or postgame activities (e.g., postgame celebrations on the court, pre- or postgame press conferences) involving student-athletes. (Adopted: 1/10/95)
  - **12.5.4.3 Outside Team Uniforms and Apparel.** The provisions of Bylaw 12.5.4-(b) do not apply to the official uniforms and apparel worn by outside teams.
  - **12.5.4.4 Title-Sponsor Recognition.** Racing bibs and similar competition identification materials (e.g., bowl-game patches) worn by participants may include the name of the corporate sponsor of the competition, provided the involved commercial company is the sole title sponsor of the competition.

#### 12.6 FINANCIAL DONATIONS FROM OUTSIDE ORGANIZATIONS

#### 12.6.1 Professional Sports Organizations

- **12.6.1.1 To Noncollegiate Amateur Team.** A noncollegiate amateur team or playing league shall not be considered a professional team or league, even if it receives financial support from a national amateur sports administrative organization or its equivalent that in turn receives developmental funds from a professional team or professional sports organization.
- **12.6.1.2 To Collegiate Event.** A professional sports organization may not serve as a financial sponsor of collegiate competition without jeopardizing the eligibility of student-athletes (see Bylaw 12.1.1) competing in that event. (Adopted: 1/10/92)
- **12.6.1.3 Developmental Funds to NCAA.** A professional sports organization may provide funds for intercollegiate athletics developmental purposes in a particular sport (e.g., officiating expenses, research and educational projects, the conduct of summer leagues, purchase of equipment). However, such funds shall be provided in an unrestricted manner and administered through the Association's national office.

#### Financial Donations/12.6.1.4—12.6.2.3

- **12.6.1.4 To Institution, Permissible.** A member institution may receive funds from a professional sports organization, provided:
- (a) The money is placed in the institution's general fund and used for purposes other than athletics; or
- (b) The money is placed in the institution's general scholarship fund and commingled with funds for the assistance of all students generally.
- **12.6.1.5 To Institution, Nonpermissible.** A member institution shall not accept funds from a professional sports organization if:
- (a) The funds are for the purpose of recognizing the development of a former student-athlete in a particular sport. The receipt of such funds by an institution would make additional moneys available that could benefit student-athletes and thus result in student-athletes indirectly receiving funds from a professional sports organization;
- (b) The money, even though not earmarked by the donor, is received and credited to institutional funds for the financial assistance of student-athletes generally; or
- (c) The money is placed in the institution's general fund and credited to the athletics department for an unspecified purpose.
- **12.6.1.6 Revenues Derived from Pro-Am Events.** The distribution of revenues from an event involving an intercollegiate athletics team and a professional sports team (e.g., a baseball game in which a member institution's team plays against a professional baseball team) or pro-am event (e.g., golf, tennis) that results in a member institution's receiving a share of receipts from such a contest is permitted, provided the institution has a formal agreement with the professional sports team regarding the institution's guarantee or share of receipts and the contractual terms are consistent with agreements made by the professional team or individuals for similar collegiate or nonprofessional competition.
- **12.6.1.7 Promotion of Professional Athletics Contests.** A member institution may host and promote an athletics contest between two professional teams from recognized professional sports leagues as a fund-raising activity for the institution. (Revised: 1/9/96 effective 8/1/96)
- **12.6.1.8 Youth Teams.** An individual may participate on a youth team, including a team consisting of prospects, sponsored by a professional sports team or organization without jeopardizing intercollegiate eligibility in that sport, provided the following conditions are met: (*Adopted: 1/14/97 effective 8/1/97*)
- (a) The youth team must otherwise be an amateur team; and
- (b) Sponsorship fees provided to the team are not earmarked for a particular individual.

#### 12.6.2 Organizations (Nonprofessional Sports Organizations)

- **12.6.2.1 Individual Athletics Performance.** A member institution shall not accept funds donated from a nonprofessional sports organization based on the place finish of a student-athlete or the number of student-athletes representing the institution in an event. However, the organization may donate an equal amount of funds to every institution with an athlete or team participating in a particular event.
- **12.6.2.2 Team Rankings.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on the team's national or regional ranking.
- **12.6.2.3 Academic Performance.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on an individual's or a team's academic performance (e.g., the number of academic all-American award recipients).

# **Recruiting**

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# **13.01 GENERAL PRINCIPLES**

**13.01.1 Eligibility Effects of Recruiting Violation.** The recruitment of a student-athlete by a member institution or any representative of its athletics interests in violation of the Association's legislation, as acknowledged by the institution or established through the Association's enforcement procedures, shall result in the student-athlete's becoming ineligible to represent that institution in intercollegiate athletics. The Academics/Eligibility/Compliance Cabinet may restore the eligibility of a student involved in such a violation only when circumstances clearly warrant restoration. A student is responsible for his or her involvement in a violation of NCAA regulations during the student's recruitment, and involvement in a major violation (see Bylaw 19.02.2.2) may cause the student to become permanently ineligible for intercollegiate athletics competition at that institution.

**13.01.2 Entertainment.** A member institution may provide entertainment (per Bylaw 13.5), at a scale comparable to that of normal student life and not excessive in nature, to a prospect and his or her parents (or legal guardians) or spouse only at the institution's campus (or, on an official visit, within 30 miles of the institution's campus). Entertainment of other relatives or friends of a prospect at any site is prohibited.

**13.01.3 Institutional Responsibility in Recruitment.** A member of an institution's athletics staff or a representative of its athletics interests shall not recruit a prospect except as permitted by this Association, the institution and the member conference, if any.

**13.01.4 Off-Campus Recruiting.** In-person, off-campus recruiting is limited to authorized athletics department staff members, and limitations may be placed on the number of staff members who are permitted to recruit off campus (see Bylaws 13.1.2.3 and 13.1.2.5).

**13.01.5 Recruiting by Representatives of Athletics Interests.** Representatives of an institution's athletics interests (as defined in Bylaw 13.02.12) are prohibited from making in-person, on- or off-campus recruiting contacts, or written or telephonic communications with a prospect or the prospect's relatives or legal guardians. Specific examples of the exceptions to application of this regulation are set forth in Bylaw 13.1.2.2 (see also Bylaw 13.1.3.5.1.1).

**13.01.6 Time Periods for Telephone Calls and Contacts.** Telephone calls or in-person, off-campus recruiting contacts shall not be made with a prospect or the prospect's relatives or legal guardians prior to July 1 (September 1 in Divisions I-A and I-AA football) following the prospect's completion of the junior year in high school. In Divisions I-A and I-AA football and basketball, such contacts are confined to specific contact periods. In all sports, time periods are established during which no on- or off-campus contacts are permitted (see Bylaw 30.11 and Figures 13-1 through 13-5). (Revised: 1/10/91 effective 7/1/91, Revised: 1/16/93, 1/11/94 effective 3/15/94, Revised: 1/10/95, 1/14/97 effective 5/1/97, Revised: 10/28/97)

**13.01.6.1 Exception—Divisions I-A and I-AA Football.** In Divisions I-A and I-AA football, one telephone call to a prospect [or the prospect's relatives or legal guardian(s)] may be made during the month of May of the prospect's junior year in high school. (*Adopted: 1/14/97 effective 5/1/97, Revised: 10/28/97*)

I-A/ I-AA

- **13.01.6.2 Exception—Basketball.** In the sports of men's and women's basketball, one telephone call to a prospect [or the prospect's parents or legal guardian(s)] may be made on or after June 21 of the prospect's junior year in high school. In addition, only three telephone calls to a prospect [or the prospect's parents or legal guardian(s)] may be made during the month of July following the prospect's junior year in high school, with no more than one telephone call per week. (Adopted: 4/22/98)
- **13.01.6.3 Exception—Ice Hockey.** In the sport of ice hockey, it is permissible for an institution to make one telephone call to a prospective student-athlete who is a resident of a foreign country during the month of July following the completion of the prospect's sophomore year in high school. (*Adopted:* 1/14/97 effective 8/1/97)
- **13.01.7 U.S. Service Academy Exceptions.** Exceptions to recruiting regulations for the United States service academies are set forth in Bylaw 13.17.
- **13.01.8 Compliance with Legislation for Emerging Sports.** A member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable recruiting legislation set forth in Bylaw 13, effective for student-athletes first entering the collegiate institution on or after August 1, 1996. (Adopted: 1/10/95)

#### 13.02 DEFINITIONS AND APPLICATIONS

## 13.02.1 Camps

- **13.02.1.1 Diversified Sports Camp.** A diversified sports camp is a camp that offers a balanced camping experience, including participation in seasonal summer sports and recreational activities, without emphasis on instruction, practice or competition in any particular sport.
- **13.02.1.2 Specialized Sports Camp.** A specialized sports camp is a camp that places special emphasis on a particular sport or sports and provides specialized instruction in the sport(s).
- **13.02.2 Competition Site.** The "competition site" is the facility in which athletics competition is actually conducted, including any dressing room or meeting facility utilized in conjunction with the competition.
- **13.02.3 Contact.** A contact is any face-to-face encounter between a prospect or the prospect's parents, relatives or legal guardian(s) and an institutional staff member or athletics representative during which any dialogue occurs in excess of an exchange of a greeting. Any such face-to-face encounter that is prearranged or that takes place on the grounds of the prospect's educational institution or at the site of organized competition or practice involving the prospect or the prospect's high-school, preparatory school, two-year college or all-star team shall be considered a contact, regardless of the conversation that occurs. (Revised: 1/11/94 effective 8/1/94)

#### I-A/ I-AA

**13.02.3.1 Evaluation Activities during Contact Period—Divisions I-A and I-AA Football.** In Divisions I-A and I-AA football, a visit to a prospect's high school, preparatory school or two-year college, or an evaluation at any site that occurs during a contact period shall constitute a contact (for all prospects in that sport at the educational institution) for that particular week even if no contact is made with a prospect. (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95*)

#### 13.02.4 Contact and Evaluation Periods

- **13.02.4.1 Contact Period.** A contact period is that period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.
- **13.02.4.2 Evaluation Period.** An evaluation period is that period of time when it is permissible for authorized athletics department staff members to be involved in off-campus activities designed to assess the academic qualifications and playing ability of prospects. No in-person, off-campus recruiting contacts shall be made with the prospect during an evaluation period.
- **13.02.4.3 Quiet Period.** A quiet period is that period of time when it is permissible to make in-person recruiting contacts only on the member institution's campus. No in-person, off-campus recruiting contacts or evaluations may be made during the quiet period.
- **13.02.4.4 Dead Period.** A dead period is that period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the member institution's campus or to permit official or unofficial visits by prospects to the institution's campus. The provision of complimentary admissions to a prospect during a dead period is prohibited, except as provided in Bylaw 13.8.2.5 for a prospect who visits an institution as part of a group. During such a dead period, a coaching staff member may not serve as a speaker at or attend a meeting or banquet at which prospects are in attendance, except as provided in Bylaw 13.1.10, and may not visit the prospects' educational institutions. It remains permissible, however, for an institutional staff member to write or telephone prospects during such a dead period. (*Revised:* 1/11/94)

**13.02.5 Enrolled Student-Athlete.** An enrolled student-athlete is an individual whose enrollment was solicited by a member of the athletics staff or other representative of athletics interests with a view toward the student's ultimate participation in the intercollegiate athletics program. Any other student becomes a student-athlete only when the student reports for an intercollegiate squad that is under the jurisdiction of the athletics department.

#### 13.02.5.1 Permissible Recruitment Activities for Enrolled Student-Athletes:

- (a) **Off-campus contacts.** Off-campus, in-person recruiting contacts that are unavoidable incidental contacts between enrolled student-athletes (or other enrolled students) and a prospect are permissible if such contacts do not occur at the direction of a coaching staff member.
- (b) **Telephone contact.** It is permissible for an enrolled student-athlete to receive telephone calls made at the expense of a prospect subsequent to July 1 following the completion of the prospect's junior year in high school. Telephone calls made by enrolled students (excluding student-athletes) pursuant to an institution's regular admissions program directed at all prospective students shall be permissible.
- (c) **Written correspondence.** It is permissible for an enrolled student-athlete to engage in written correspondence, provided it is not done at the direction and/or expense of the member institution. (Revised: 1/9/96 effective 8/1/96)
- (d) **Unavoidable incidental contact.** If unavoidable incidental contact occurs between a student-athlete and a prospect (even at the prospect's high school), such contact is permissible, provided the institution had no prior knowledge of the occurrence of the contact.
- (e) Official visits. An enrolled student-athlete may participate as a student host during a prospect's official visit to the institution's campus. As a student host, a student-athlete may receive the following:
  - (1) A maximum of \$30 for each day of the visit to cover all actual costs of entertaining the prospect (and the prospect's parents, legal guardians or spouse), excluding the cost of meals and admission to campus athletics events. These funds may not be used for the purchase of souvenirs such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$15 per day for each additional prospect the host entertains. (Revised: 1/9/96 effective 8/1/96)
    - In the event that several students host a prospect, the \$30 per day entertainment money may be utilized to cover the actual and necessary expenses incurred by the prospect and all hosts. Only one student host per prospect may be provided a free meal if restaurant facilities are utilized;
  - (2) A complimentary meal, provided the student host is accompanying the prospect during the prospect's official visit; and
  - (3) A complimentary admission to a campus athletics event, provided the admission is used to accompany a prospect to that event during the prospect's official visit.
- (f) **Unofficial visits.** A prospect on an unofficial visit may stay in an enrolled student-athlete's dormitory room, provided the prospect pays the regular institutional rate for such lodging.

#### 13.02.5.2 Impermissible Recruitment Activities for Enrolled Student-Athletes:

- (a) **Recruitment expenses.** An institution may not provide an enrolled student-athlete with transportation or expenses to recruit a prospect except those expenses specified as permissible when a student-athlete serves as a student host.
- (b) **Telephone calls.** Enrolled student-athletes or other enrolled students shall not make or participate in telephone calls to prospects at the direction of a coaching staff member or financed by the institution or a representative of its athletics interests.
- (c) **Transportation.** A student-athlete acting as a student host shall not be provided an automobile by the institution or representatives of its athletics interests for use by the host or the prospect during a prospect's official visit to the campus.
- (d) **Student host.** A student-athlete serving as a student host must be enrolled in the member institution being visited by the prospect. A nonqualifier (see Bylaw 14.02.9) may not serve as a student host during his or her first academic year of residence.
- **13.02.6 Evaluation.** Evaluation is any off-campus activity designed to assess the academic qualifications or athletics ability of a prospect, including any visit to a prospect's educational institution (during which no contact occurs) or the observation of a prospect participating in any practice or competition at any site. (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94)
  - 13.02.6.1 Exception—Football. In football, any evaluation that occurs during a contact period by a

## Definitions and Applications/13.02.6.1—13.02.12

coaching staff member is a countable contact per Bylaw 13.02.3.1 rather than a countable evaluation. (Adopted: 1/10/95 effective 8/1/95)

The following 13.02.7 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:

- **13.02.7 Evaluation Days—Basketball and Softball.** An evaluation day is defined as one coach engaged in the evaluation of any prospect on one day (12:01 a.m. to midnight); two coaches making evaluations on the same day shall use two evaluation days. The combined total of such days for all staff members shall not exceed 40 in the sport of basketball and 50 in the sport of softball. (*Adopted:* 1/9/96 effective 8/1/96, *Revised:* 1/12/99 effective 8/1/99)
- **13.02.7 Evaluation Days—Basketball and Softball.** An evaluation day is defined as one coach engaged in the evaluation of any prospect on one day (12:01 a.m. to midnight); two coaches making evaluations on the same day shall use two evaluation days. The combined total of such days for all staff members shall not exceed 40 in the sport of women's basketball, 70 in the sport of men's basketball and 50 in the sport of softball. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99, Revised 4/27/00 effective 8/1/01)
- **13.02.8 Home.** In general, a prospect's "home" is the prospect's legal residence, or the community of the educational institution in which the prospect is enrolled while residing there.
- **13.02.9 National Letter of Intent.** The National Letter of Intent referred to in this bylaw is the official document administered by the Collegiate Commissioners Association and utilized by subscribing member institutions to establish the commitment of a prospect to attend a particular institution.
- **13.02.10 Prospective Student-Athlete.** A prospective student-athlete ("prospect") is a student who has started classes for the ninth grade. In addition, a student who has not started classes for the ninth grade becomes a prospective student-athlete if the institution provides such an individual (or the individual's relatives or friends) any financial assistance or other benefits that the institution does not provide to prospective students generally. An individual remains a prospective student-athlete until one of the following occurs (whichever is earlier):
- (a) The individual officially registers and enrolls in a minimum full-time program of studies and attends classes in any term of a four-year collegiate institution's regular academic year (excluding summer); or
- (b) The individual participates in a regular squad practice or competition at a four-year collegiate institution that occurs before the beginning of any term. (Revised: 1/11/89, 1/10/90)
- **13.02.11 Recruiting.** Recruiting is any solicitation of a prospect or a prospect's relatives [or legal guardian(s)] by an institutional staff member or by a representative of the institution's athletics interests for the purpose of securing the prospect's enrollment and ultimate participation in the institution's intercollegiate athletics program.
  - **13.02.11.1 Recruited Prospective Student-Athlete.** Actions by staff members or athletics representatives that cause a prospective student-athlete to become a recruited prospective student-athlete at that institution are: (*Revised:* 1/10/90, 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
  - (a) Providing the prospect with an official visit; (Adopted: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
  - (b) Having an arranged, in-person, off-campus encounter with the prospect or the prospect's parent(s), relatives or legal guardian(s); or (Adopted: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
  - (c) Initiating or arranging a telephone contact with the prospect, the prospect's relatives or legal guardian(s) on more than one occasion for the purpose of recruitment. (Revised: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
- **13.02.12 Representative of Athletics Interests.** A "representative of the institution's athletics interests" is an individual, independent agency, corporate entity (e.g., apparel or equipment manufacturer) or other organization who is known (or who should have been known) by a member of the institution's executive or athletics administration to: (*Revised: 2/16/00*)
- (a) Have participated in or to be a member of an agency or organization promoting the institution's intercollegiate athletics program;
- (b) Have made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Be assisting or to have been requested (by the athletics department staff) to assist in the recruitment of prospects;

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- (d) Be assisting or to have assisted in providing benefits to enrolled student-athletes or their families; or
- (e) Have been involved otherwise in promoting the institution's athletics program.
  - 13.02.12.1 Once an individual, independent agency, corporate entity or other organization is identified as such a representative, the person, independent agency, corporate entity or other organization retains that identity indefinitely. (Revised: 2/16/00)
- **13.02.13 Telephone Calls.** Facsimiles and other electronically transmitted correspondence shall not be considered telephone calls. Prearranged electronically transmitted correspondence between an authorized institutional staff member and one or more prospects and any electronic correspondence sent by "instant messenger" or similar means shall be considered a telephone call. (Adopted: 1/10/95, Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97, 4/27/00 effective 8/1/00)

#### 13.02.14 Visits by Prospects

- 13.02.14.1 Official Visit. An official visit to a member institution by a prospective student-athlete is a visit financed in whole or in part by the member institution.
- 13.02.14.2 Unofficial Visit. An unofficial visit to a member institution by a prospective student-athlete is a visit made at the prospect's own expense. The provision of any expenses or entertainment by the institution or representatives of its athletics interests shall require the visit to become an official visit, except for the following:
- (a) The institution may provide complimentary admissions to an on-campus athletics event in which the institution's intercollegiate athletics team competes, in accordance with the provisions of Bylaw 13.8.2.1; and
- (b) The institution may provide transportation to the prospect, when accompanied by an institutional staff member, only to view off-campus practice and competition sites and other institutional facilities located within a 30-mile radius of the institution's campus, but the institution may not provide transportation to attend one of the institution's home athletics events (on or off campus) during the unofficial visit. (Revised: 1/11/89)
  - 13.02.14.2.1 Exception—Division I-AA Football. A Division I-AA football program that restricts its total number of official visits to 25 may provide one meal to any football prospect in the institution's on-campus student dining facilities without the visit counting as an official visit. The institution also may provide one meal to the same prospect's parents or legal guardians in the institution's on-campus student dining facilities without the visit counting as an official visit, provided it is the institution's normal policy to provide such a meal under similar circumstances to all prospective students' parents or legal guardians visiting the campus. A prospect who is given such a meal may not also be provided with an official visit by the institution in any sport. (Adopted: 1/11/94)

#### 13.1 CONTACTS AND EVALUATIONS

Recruiting contacts (per Bylaw 13.02.3) and telephone calls with a prospect (or the prospect's relatives or legal guardians) by institutional staff members and/or representatives of the institution's athletics interests are subject to the provisions set forth in this bylaw. (Revised: 1/10/91 effective 7/1/91)

#### 13.1.1 Contactable Prospects

- 13.1.1.1 High-School Prospects. In-person, off-campus recruiting contacts shall not be made with a prospect or the prospect's relatives or legal guardian(s) prior to July 1 following the prospect's completion of the junior year in high school. Telephone calls shall not be made with a prospect [or the prospect's parents or legal guardian(s)] prior to July 1 (September 1 in Divisions I-A and I-AA football) following the prospect's completion of the junior year in high school. U.S. service academy exceptions to this provision are set forth in Bylaw 13.17.1. (Revised: 1/10/91 effective 7/1/91, Revised: 1/11/94 effective 3/15/94, Revised: 1/10/95, 1/14/97 effective 5/1/97, Revised: 10/28/97)
  - 13.1.1.1 Exception—Divisions I-A and I-AA Football. In Divisions I-A and I-AA football, one telephone call may be made during the month of May of the prospect's junior year in high school. (Adopted: 1/14/97 effective 5/1/97, Revised: 10/28/97)
  - 13.1.1.12 Exception—Basketball. In the sports of men's and women's basketball, one telephone call to a prospect [or the prospect's parents or legal guardian(s)] may be made on or after June 21 of the prospect's junior year in high school. In addition, only three telephone calls to a prospect [or the prospect's parents or legal guardian(s)] may be made during the month of July following the prospect's junior year in high school, with no more than one telephone call per week. (Adopted: 4/22/98)
  - **13.1.1.13 Exception—Ice Hockey.** In the sport of ice hockey, it is permissible for an institution

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- to make one telephone call to a prospective student-athlete who is a resident of a foreign country during the month of July following the completion of the prospect's sophomore year in high school. (Adopted: 1/14/97 effective 8/1/97)
- **13.1.1.2 Two-Year College Prospects.** A prospect who was not a qualifier as defined in 14.02.9.1 and who is enrolled in the first year of a two-year college may not be contacted in person on or off an institution's campus for recruiting purposes by a member institution.
- **13.1.1.3 Four-Year College Prospects.** An athletics staff member or other representative of the institution's athletics interests shall not make contact with the student-athlete of another four-year collegiate institution, directly or indirectly, without first obtaining the written permission of the first institution's athletics director (or an athletics administrator designated by the athletics director) to do so, regardless of who makes the initial contact. If permission is not granted, the second institution shall not encourage the transfer and the institution shall not provide financial assistance to the student-athlete until the student-athlete has attended the second institution for one academic year. If permission is granted to contact the student-athlete, all applicable NCAA recruiting rules apply. (*Revised:* 1/10/91, 1/16/93, 1/11/94)
  - **13.1.1.3.1 Hearing Opportunity.** If the institution decides to deny a student-athlete's request to permit any other institution to contact the student-athlete about transferring, the institution shall inform the student-athlete in writing that he or she, upon request, shall be provided a hearing conducted by an institutional entity or committee outside of the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and nonathletics faculty/staff members). The institution shall have established reasonable procedures for promptly hearing such a request. (*Adopted:* 1/11/94)
  - **13.1.1.3.2 Student-Athlete Withdrawn from Four-Year College.** A member institution may contact a student-athlete who has withdrawn officially from a four-year collegiate institution without obtaining permission from the first institution only if at least one academic year has elapsed since the withdrawal.
  - **13.1.1.3.3 Transfer from Institution on Probation.** It is not necessary for an institution to obtain permission in writing to recruit a student-athlete at an institution that has been placed on probation with sanctions that preclude it from competing in postseason competition during the remaining seasons of the student-athlete's eligibility. However, the student-athlete's institution must be notified of the recruitment and may establish reasonable restrictions related to the contact (e.g., no visits during class time), provided such restrictions do not preclude the opportunity for the student-athlete to discuss transfer possibilities with the other institution [see also Bylaw 14.8.1.2-(c)]. (Adopted: 1/10/92)
  - **13.1.1.3.4 Transfer While Ineligible Due to Positive Drug Test.** If a student-athlete who is declared ineligible due to a positive drug test administered by the NCAA transfers to another NCAA institution, the institution from which the student-athlete transferred must notify the new institution of the student-athlete's ineligibility (see Bylaw 18.4.1.5.1). (*Adopted: 1/14/97 effective 8/1/97*)

#### 13.1.2 Permissible Recruiters

- **13.1.2.1 General Rule.** All in-person, on- and off-campus recruiting contacts with a prospect or the prospect's relatives or legal guardian(s) shall be made only by authorized institutional staff members. Such contact, as well as correspondence and telephone calls, by representatives of an institution's athletics interests is prohibited except as otherwise permitted in this section.
  - **13.1.2.1.1 Off-Campus Recruiters.** An institutional staff member is not permitted to recruit off campus until he or she has been certified on an annual basis as to knowledge of applicable recruiting rules per Bylaw 11.5.1.1. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the prospective student-athlete's eligibility. (Adopted: 1/10/91 effective 8/1/92; Revised: 4/27/00)
- 13.1.2.2 General Exceptions. This regulation is not applicable to:
- (a) **Admissions Program.** Off-campus recruiting contacts made by an institution's regular admissions program representative and directed at all prospective students including nonathletes.
- (b) **Coach Who Is Prospect's Parent or Legal Guardian.** Recruiting contact and evaluation limitations do not apply to a coaching staff member who is the parent (or legal guardian) of a participant in any activity being observed (e.g., practices, contests or camps), provided the attendance by the coaching staff member at such activity does not involve any personal contact with any other participating prospect. (*Revised:* 1/10/95, 1/14/97 effective 8/1/97)
- (c) **Spouse of Prospect's Coach.** Recruiting contact and evaluation limitations do not apply to a coaching staff member observing a contest that involves prospective student-athletes coached by

- his or her spouse, provided the attendance by the coaching staff member at such a contest does not involve any personal contact with any prospect participating in the contest. (Adopted: 1/11/94)
- (d) **Established Family Friend/Neighbor.** Contacts made with a prospect by an established family friend or neighbor, it being understood that such contacts are not made for recruiting purposes and are not initiated by a member of an institution's coaching staff.
- (e) Spouse of Staff Member
  - (1) On Campus. A spouse of an institutional staff member on campus.
  - (2) **Off Campus during Official Visit.** A spouse of an athletics department staff member during a prospect's official visit and within a 30-mile radius of the institution's main campus during the prospect's official visit.
- (f) **Interpreter.** An interpreter present during an institution's in-person, off-campus contact with a prospect or the prospect's parent(s) [or legal guardian(s)], provided that if the institution is involved in making the arrangements for the use of the interpreter, the interpreter must be a faculty member or a professional interpreter. It is not permissible for the interpreter to be an enrolled student-athlete, a family member of an enrolled student-athlete or a representative of the institution's athletics interests. (Adopted: 1/11/97)
- (g) Unavoidable Incidental Contact. An unavoidable incidental contact made with a prospect by representatives of the institution's athletics interests, provided the contact is not prearranged by the representative or an athletics department staff member, does not take place on the grounds of the prospect's educational institution or at the sites of organized competition and practice involving the prospect or the prospect's team (i.e., high-school, preparatory school, two-year college or all-star team), is not made for the purpose of recruitment of the prospect, and involves only normal civility.
- (h) Permissible Preenrollment Activities. Contacts between a prospect and an athletics representative regarding permissible preenrollment activities (e.g., a discussion of summeremployment arrangements that occurs subsequent to the prospect's signing of the National Letter of Intent).
- **13.1.2.3 General Restrictions—Staff Members and Governing Board.** The following are additional restrictions that apply to an institution's staff members and governing board. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the prospective student-athlete's eligibility. (*Revised:* 4/27/00)
- (a) **Institutional Staff Members—Off-Campus Contacts.** In all sports, only those coaches permitted to recruit off campus as specified in Bylaw 11.7 may contact prospects off campus. Faculty members may contact prospects for recruiting purposes in all sports, but only on campus. All institutional staff members (e.g., faculty members, athletics department staff members and administrators) may write prospects. (See Bylaws 11.7.2.3, 11.7.3.3 and 13.4.1 for additional restrictions in the sport of football.) (Revised: 1/10/91 effective 8/1/91)
- (b) **Board of Governors/Regents.** Recruiting contacts on or off campus between a member of the institution's board of governors (or regents) and a prospect are not permissible.
- **13.1.2.4 Other Restrictions, Athletics Representatives.** The following are additional restrictions that apply to athletics representatives:
- (a) **Telephone Conversation.** An athletics representative of a member institution may speak to a prospective student-athlete via the telephone only if the prospect initiates the telephone conversation and the call is not for recruiting purposes. Under such circumstances, the representative must refer questions about the institution's athletics program to the athletics department staff;
- (b) **Observing Prospect's Contest**. An athletics representative may view a prospect's athletics contest on his or her own initiative, subject to the understanding that the athletics representative may not contact the prospect on such occasions;
- (c) **Evaluation of Prospect.** An athletics representative may not contact a prospect's coach, principal or counselor in an attempt to evaluate the prospect; and
- (d) **Visiting Prospect's Institution.** An athletics representative may not visit a prospect's educational institution to pick up film/videotape or transcripts pertaining to the evaluation of the prospect's academic eligibility or athletics ability.
- 13.1.2.5 All Sports. In all sports, only those coaches who are identified by the institution, in accordance with Bylaws 11.7.2.2, 11.7.3.2 and 11.7.4.3, may contact or evaluate prospects off campus. (Revised: 1/10/91 effective 8/1/92)
  - **13.1.2.5.1** Written Certification. A member institution shall certify in writing and have on file a

list of those football and basketball coaches who are permitted to recruit or evaluate prospects off campus.

- **13.1.2.5.2 Department-Wide Responsibilities.** An athletics department staff member who has department-wide responsibilities (e.g., recruiting coordinator) may not recruit or evaluate prospects off campus in the sport of football or basketball unless the staff member is counted as a full-time coach in that sport. (See Bylaws 11.7.2.3 and 11.7.3.3 for restrictions related to recruiting coordination functions in Divisions I-A and I-AA football.)
- **13.1.2.6** Division I-A Head Football Coach Restrictions—Off-Campus Contact. In Division I-A football, the head coach may make in-person, off-campus contact with a prospect or the prospect's relatives or legal guardian(s) only during one calendar day. It is permissible for this contact to occur both at the site of the prospect's educational institution and away from the institutional grounds. During such contact, the head coach may be accompanied by an assistant(s) who otherwise is required to observe the restrictions contained in the bylaws. (Revised: 1/10/92 effective 8/1/92)
- **13.1.2.7 Student-Athlete.** An institution may not provide an enrolled student-athlete with transportation or expenses to recruit a prospect except those expenses specified in Bylaw 13.7.5.5 when the student-athlete serves as a student host.
- **13.1.2.8 Talent Scout.** An institution may not pay any costs incurred by an athletics talent scout or a representative of its athletics interests in studying or recruiting prospects. An institution may not provide any such person a fee or honorarium and thereby claim the person as a staff member entitled to expense money.
  - **13.1.2.8.1 Employment Prohibition.** An institution may not employ an individual for the primary purpose of recruiting or evaluating prospects and designate the individual as a coach if he or she does not reside in the institution's general locale. Such an individual would be considered an athletics talent scout rather than a regular institutional staff member.
  - **13.1.2.8.2 Expense Prohibition.** An institution may not pay expenses (other than meals provided in the institution's home community) for representatives of its athletics interests to visit its campus for the purpose of becoming familiar with the institution's academic and athletics programs and campus facilities in order to represent the institution better when recruiting prospects. The provision of such expenses would be considered payment of costs incurred by athletics talent scouts.

#### 13.1.3 Telephone Calls to Prospects

- **13.1.3.1 Time Period for Telephone Calls—General Rule.** In sports other than football and basketball, telephone calls to a prospect [or the prospect's relatives or legal guardian(s)] may not be made prior to July 1 following the completion of the prospect's junior year in high school; thereafter, staff members shall not make such telephone calls more than once per week. If more than one call per week occurs due to a scheduled official paid visit that subsequently is canceled by the prospect, the violation shall be considered an institutional violation per Constitution 2.8.1; however, it shall not affect the prospect's eligibility. (Revised: 1/10/91 effective 7/1/91, Revised: 1/16/93, 1/9/96 effective 8/1/96, Revised: 4/22/98)
  - **13.1.3.1.1 Exception—Divisions I-A and I-AA Football.** In the sports of Divisions I-A and I-AA football, one telephone call to a prospect [or a prospect's relatives or legal guardian(s)] may be made during the month of May of the prospect's junior year in high school. Additional telephone calls to a prospect [or a prospect's relatives or legal guardian(s)] may not be made prior to September 1 of the beginning of the prospect's senior year in high school; thereafter, such telephone contact is limited to once per week outside of a contact period. During a contact period, such telephone contact may be made at the institution's discretion. (Revised: 1/10/91 effective 7/1/91, Revised: 1/16/93, 1/11/94 effective 3/15/94, Revised: 1/10/95, 1/14/97 effective 5/1/97, Revised: 10/28/97)
  - **13.1.3.1.2 Exception—Basketball.** In the sports of men's and women's basketball, one telephone call to a prospect [or the prospect's parents or legal guardian(s)] may be made on or after June 21 of the prospect's junior year in high school. In addition, only three telephone calls to a prospect [or the prospect's parents or legal guardian(s)] may be made during the month of July following the prospect's junior year in high school, with no more than one telephone call per week; thereafter, staff members shall not make such telephone calls more than once per week. (Adopted: 4/22/98)
  - **13.1.3.1.3 Exception—Ice Hockey.** In the sport of ice hockey, it is permissible for an institution to make one telephone call to a prospective student-athlete who is a resident of a foreign country during the month of July following the completion of the prospect's sophomore year in high school. (*Adopted:* 1/14/97 effective 8/1/97)

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#### 13.1.3.2 Additional Regulations

- **13.1.3.2.1 During Conduct of Athletics Contest.** Telephone calls to a prospect [or the prospect's relatives or legal guardian(s)] may not be made during the conduct of any of the institution's intercollegiate athletics contests in that sport until the competition has concluded and the team has been dismissed by the coach. (Revised: 1/16/93, 1/9/96)
- **13.1.3.2.2 Telephone Calls Initiated by Prospect at Prospect's Expense.** Institutional staff members may receive telephone calls placed by a prospect at the prospect's own expense at any time, including prior to July 1 (August 15 in football) following the prospect's junior year in high school. (Adopted: 1/10/92, Revised: 1/10/95)

#### 13.1.3.3 Exceptions

- **13.1.3.3.1 Official-Visit Exception.** Institutional staff members may make unlimited telephone calls to a prospect during the five days immediately preceding the prospect's official visit (per Bylaw 13.7) to that institution. (Adopted: 1/10/92)
- **13.1.3.3.2** Letter-of-Intent Signing-Date Exception. Institutional staff members may make unlimited telephone calls to a prospect on the initial date for the signing of the National Letter of Intent and during the two days immediately following the initial signing date. (Adopted: 1/10/92)
  - **13.1.3.3.2.1 Football Exception.** Institutional staff members may make unlimited telephone calls to prospects during the period 48 hours prior to and 48 hours after 7 a.m. on the initial signing date for the National Letter of Intent. (Adopted: 1/10/92)
- **13.1.3.3.3 Telephone Calls Subsequent to National Letter of Intent Signing or Other Written Commitment.** Subsequent to the calendar day on which a prospect signs a National Letter of Intent, there shall be no limit on the number of telephone calls by the institution with which the prospect has signed. For an institution not utilizing the National Letter of Intent in a particular sport, there shall be no limit on the number of telephone calls to a prospect, the prospect's relatives or legal guardian(s) by that institution subsequent to the calendar day in which the prospect signs acceptance of the institution's written offer of admission and/or financial aid. (Adopted: 2/9/95, Revised: 11/12/97)
- **13.1.3.3.4 Off-Campus Contact Exception.** Institutional staff members may make unlimited telephone calls to a prospect on the day a permissible, in-person, off-campus contact occurs. (Adopted: 1/10/92, Revised: 1/16/93)

#### 13.1.3.4 Permissible Callers

- **13.1.3.4.1 Institutional Staff Members.** In all sports, institutional staff members may make telephone calls to a prospect [or the prospect's parents or legal guardian(s)] in accordance with the provisions of this bylaw. In Divisions I-A and I-AA football, all telephone calls to prospects [or the prospects' parents or legal guardian(s)] must be made by the head coach or one or more of the full-time assistant coaches. In Division I-A football, such telephone calls also may be made by the graduate assistant coach, provided the coach has successfully completed the coaches certification examination per Bylaw 11.5.1.1. In addition, restricted coaches, which, in the sport of football, are permissible only in Division I-AA and at the national service academies in Division I-A, may make such telephone calls. (*Revised:* 1/10/95, 1/9/96 effective 8/1/96)
  - **13.1.3.4.1.1** Chief Executive Officer/Director of Athletics. It is permissible for an institution's chief executive officer and director of athletics to return (as opposed to initiate) telephone calls from football prospects (or the prospects' parents or legal guardian). Under such circumstances, there are no restrictions on the content of the conversation that may occur during the call; however, any return call is subject to any applicable limitations on the number of telephone calls that an institution may place to football prospects. (Adopted: 6/8/99)
  - 13.1.3.4.1.2 Academic Advisors. It is permissible for academic advisors (including academic advisors within the athletics department) to make calls to or receive calls from football prospects (or the prospects' parents or legal guardians) related to admissions or academic issues, subject to any applicable limitation on the number of telephone calls an institution may place to prospects. (Adopted: 1/10/95)
  - **13.1.3.4.1.3 Compliance Coordinators.** It is permissible for a compliance coordinator to make telephone calls to a football prospect, provided the calls relate only to compliance issues and such calls occur subsequent to the prospect's signing a National Letter of Intent with that institution (or, for those institutions not subscribing to the National Letter of Intent, subsequent to the prospect's signed acceptance of the institution's written offer of admission and/or financial aid). (Adopted: 1/14/97)

#### 13.1.3.5 Nonpermissible Callers

- **13.1.3.5.1 Representatives of Athletics Interests.** Representatives of an institution's athletics interests (as defined in Bylaw 13.02.12) are prohibited from making telephonic communications with a prospect or the prospect's relatives or legal guardians.
  - **13.1.3.5.1.1 Prospect Initiates Call.** An athletics representative of a member institution may speak to a prospect via the telephone only if the prospect initiates the telephone conversation and the call is not for recruiting purposes. Under such circumstances, the representative must refer questions about the institution's athletics program to the athletics department staff.
- **13.1.3.5.2** Enrolled Students and Student-Athletes. Enrolled student-athletes or other enrolled students shall not make or participate in telephone calls to prospects at the direction of a coaching staff member or financed by the institution or a representative of its athletics interests; however, they may receive telephone calls at the expense of the prospect subsequent to July 1 following the completion of the prospect's junior year in high school. (*Revised: 1/10/91 effective 7/1/91*)
  - **13.1.3.5.2.1 Admissions Program Exception.** Telephone calls made by enrolled students (excluding student-athletes) pursuant to an institution's regular admissions program directed at all prospective students shall be permissible. (*Revised: 1/10/91 effective 7/1/91*)
- 13.1.3.6 Collect and Toll-Free Telephone Calls Institutional staff members may accept collect telephone calls placed by prospects, provided the calls are placed not earlier than July 1 following completion of the prospect's junior year in high school. The institution may utilize a toll-free number to receive telephone calls by prospective student-athletes, prospects' parents or legal guardian(s). (Adopted: 1/10/92, Revised: 1/11/94)

See Figures 13-1 through 13-5, pages 122-127, for 2000-01 recruiting calendars.

- **13.1.4 Recruiting Calendars.** Recruiting calendars limiting contact and evaluation periods apply in the sports of basketball and football and also include recruiting dead periods in all sports. The Management Council shall have the authority to establish in the Administrative Regulations (per Constitution 5.2.3.1) such calendars. (See Bylaw 30.11 for a complete listing of the calendars in effect at the time of the publication of this Manual.)
  - **13.1.4.1 Waiver of Contact Period.** The Management Council shall have the authority, by a two-thirds majority of its members present and voting, to waive provisions of the football and basketball contact periods for institutions with established admissions acceptance dates that occur after the normal contact deadline.

#### 13.1.5 Visit to Prospect's Educational Institution

- **13.1.5.1 Football and Basketball.** In the sports of football and basketball, institutional staff members may visit a prospect's educational institution on not more than one occasion during a particular week within a contact period, regardless of the number of prospects enrolled in the institution or whether any prospect is contacted on that occasion. (*Revised: 1/11/94 effective 8/1/94*)
  - **13.1.5.1.1 Approval by Executive Officer.** All such visits that will occur during that portion of the day when classes are being conducted for all students must receive the approval of the executive officer (or the executive officer's designated representative) of the prospect's educational institution. (Revised: 1/14/97)
  - **13.1.5.1.2 Visits during Contact Period—Divisions I-A and I-AA Football.** In Divisions I-A and I-AA football, one contact per prospect is permitted during each week of the contact period as specified in Bylaw 30.11.3 either at the prospect's educational institution or any other location (e.g., prospect's home). A visit to the prospect's educational institution and any other location (e.g., prospect's home) during the same calendar day shall be considered one contact. In addition, institutional staff members may visit a prospect's educational institution on not more than one occasion during a particular week, regardless of the total number of prospects enrolled in the institution. (Adopted: 1/10/92 effective 8/1/92, Revised: 1/11/94 effective 8/1/94)
  - **13.1.5.1.3 Visits during Evaluation Period—Basketball.** In basketball, institutional staff members may visit a prospect's educational institution on not more than one occasion during a particular week within an evaluation period that occurs during the academic year. During the July evaluation period, there are no limitations on the number of times an institutional staff member may visit a prospect's educational institution. (*Adopted: 1/11/94 effective 8/1/94, Revised: 8/12/97, 9/15/97*)
    - **13.1.5.1.3.1 Tournament Exception.** In basketball, visiting a prospect's educational institution on consecutive days during a particular week to observe a tournament or tier of a tournament shall count as a single visit. (*Revised:* 1/11/94 effective 8/1/94)

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- **13.1.5.1.4 Multiple-Sport Athlete.** If a prospect is a multisport athlete being recruited by the same institution for more than one sport, one of which is football or basketball, all staff members from the same institution are permitted only one visit per week to the prospect's educational institution, and all visits must take place on the same day of the week.
- 13.1.5.1.5 Competition Not Involving Educational Institution Visited. An institution does not utilize its one visit per week to an educational institution if the coach observes competition between prospects who do not attend that institution and the coach does not engage in recruitment activities with any prospect who attends the institution where the competition is being conducted. (Adopted: 1/16/93)
- **13.1.5.2 Sports Other Than Football and Basketball.** In sports other than football and basketball, visits to a prospect's educational institution that will occur during that portion of the day when classes are being conducted for all students must receive the approval of the executive officer (or the executive officer's designated representative) of the prospect's educational institution. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/14/97)
- **13.1.6 Permissible Number of Contacts.** In sports other than Divisions I-A and I-AA football, each institution shall be limited to not more than three in-person, off-campus recruiting contacts per prospect at any site [which shall include contacts made with the prospect's relatives or legal guardian(s) but shall not include contacts made during an official visit per Bylaw 13.7]. In Divisions I-A and I-AA football, each institution shall be limited to six in-person, off-campus recruiting contacts per prospect at any site [which shall include contacts made with the prospect's relatives or legal guardian(s), but shall not include contacts made during an official visit per Bylaw 13.7]. In basketball, each institution shall be limited to five recruiting opportunities (contacts and evaluations combined) per prospect and not more than three of the five opportunities may be contacts. In sports other than football and basketball, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospect and not more than three of the seven opportunities may be contacts. (Revised: 1/11/94 effective 8/1/94, Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99, Revised: 4/27/00 effective 8/1/00)
  - **13.1.6.1 On Same Day.** Any number of contacts made during the same day (defined as 12:01 a.m. to midnight) shall count as one contact. (*Revised:* 1/10/91 *effective* 8/1/91)
  - **13.1.6.2** Letter-of-Intent Signing. Any in-person, off-campus contact made with a prospect for the purpose of signing a letter of intent or other commitment to attend the institution or attendance at activities related to the signing of a letter of intent or other commitment to attend the institution shall be prohibited. (*Revised:* 1/10/95 effective 8/1/95)
    - 13.1.6.2.1 Delivery of Letter of Intent. In-person, off-campus delivery of a letter of intent by an institutional staff member shall be prohibited. The letter may be delivered by express mail, courier service, regular mail or facsimile machine. (Adopted: 1/10/92, Revised: 1/10/95 effective 8/1/95)
  - **13.1.6.3 Contacts Subsequent to National Letter of Intent Signing or Other Written Commitment.** Subsequent to the calendar day on which a prospect signs a National Letter of Intent, there shall be no limit on the number of contacts by the institution with which the prospect has signed. For an institution not utilizing the National Letter of Intent in a particular sport, there shall be no limit on the number of contacts with the prospect, the prospect's relatives or legal guardian(s) by that institution subsequent to the calendar day of the prospect's signed acceptance of the institution's written offer of admission and/or financial aid. However, the following conditions continue to apply. (Revised: 1/16/93, 1/11/94)
  - (a) Any contact at the prospect's educational institution in football and basketball shall be confined to the permissible contact period and shall not exceed one visit per week;
  - (b) No in-person, on- or off-campus contact may be made during a "dead period;" (Revised: 1/10/92)
  - (c) No in-person, on- or off-campus contact may be made with a nonqualifier enrolled in the first year of a two-year college;
  - (d) No on- or off-campus contact (including correspondence and telephone calls) may be made by a representative of the institution's athletics interests except those involving permissible preenrollment activities (e.g., a discussion of summer employment arrangements); and
  - (e) Contact at the site of a prospect's competition shall continue to be governed by the provisions of Bylaw 13.1.7.2, except that contact with the prospect's relatives or legal guardians at the site of the prospect's competition shall be permitted. (Revised: 1/10/92)
    - 13.1.6.3.1 Effect of Violation. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (Revised: 1/9/96 effective 8/1/96)

**13.1.6.4 Post-High-School Contacts.** The contact limitations apply to the period in which the prospect is enrolled in high school and the period beginning October 15 following the prospect's completion of high school. (*Revised:* 1/10/90)

### 13.1.7 Contact Restrictions at Specified Sites

- **13.1.7.1 Prospect's Educational Institution.** Any staff member desiring to contact a prospect at the prospect's high school, college preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or the executive officer's authorized representative). Contact may be made only when such permission is granted. Member institutions also are bound by this provision when recruiting foreign athletes.
- **13.1.7.2 Practice or Competition Site.** Recruiting contact may not be made with a prospect prior to any athletics competition in which the prospect is a participant during the day or days of competition, even if the prospect is on an official or unofficial visit. Contact includes the passing of notes to a prospect by a third party on behalf of an institutional staff member. Such contact shall be governed by the following: (*Revised:* 1/11/89, 1/10/91, 1/11/94, 1/9/96 effective 7/1/96)
- (a) Contact shall not be made with the prospect at any site prior to the contest on the day or days of competition; (Revised: 1/11/89, 1/11/94)
- (b) Contact shall not be made with the prospect from the time the prospect reports on call (at the direction of the prospect's coach or comparable authority) and becomes involved in competition-related activity (e.g., traveling to an away-from-home game) to the end of the competition even if such competition-related activities are initiated prior to the day or days of competition; (Revised: 1/11/94)
- (c) Contact shall not be made after the competition until the prospect is released by the appropriate institutional authority and departs the dressing and meeting facility; and
- (d) Contact shall not be made with the prospect involved in competition that requires more than one day of participation (e.g., a basketball tournament) until after the prospect's final contest is completed and he or she is released by the appropriate institutional authority and leaves the dressing and meeting facility. (Revised: 1/11/94)

# The following 13.1.7.2-(e) was adopted by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:

- (e) In men's basketball, contact shall not be made with a prospect at any basketball event that is not part of a prospect's normal high-school, preparatory-school or two-year-college season, or any event that is not approved, sanctioned, sponsored or conducted by the applicable state high-school association, National Federation of State High School Associations or the National Junior College Athletic Association. (Adopted: 4/27/00 effective 8/1/01)
  - **13.1.7.2.1 Approved Events.** It is permissible for athletics staff members who are responsible for conducting an approved athletics event (see Bylaw 13.12.3) involving high-school students to come in normal contact with participants; however, under no circumstances may recruitment take place.
  - **13.1.7.2.2 Athletics Events Outside Contact Period.** In-person contact with a prospect shall not be made on or off the member institution's campus at the site of practice or competition for any athletics event in which the prospect participates outside the permissible contact periods in the sports of football and basketball (see Bylaw 13.1.7). (*Revised:* 1/11/89)
  - 13.1.7.2.3 Bowl Games. During a dead period, attendance by a prospect at the site of an institution's bowl game practice session may occur only if the practice is open to the general public and the prospect observes the practice only from an area reserved for that purpose for the general public. No contact between the prospect and institutional staff members or representatives of the institution's athletics interests may occur. (Adopted: 1/10/92)
- **13.1.8 Limitations on Number of Evaluations—All Sports.** In Divisions I-A and I-AA football, institutional staff members shall be limited to three evaluations during the academic year during which the prospect competes or practices on any team. Not more than one evaluation may be used during the fall evaluation period and not more than two evaluations may be used during the April 15 through May 31 evaluation period per Bylaw 30.11.3. In basketball, each institution shall be limited to five recruiting opportunities (contacts and evaluations combined) per prospect and not more than three of the five opportunities may be contacts. In sports other than football and basketball, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospect and not more than three of the seven opportunities may be contacts. (Adopted: 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94, Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97; Revised: 1/12/99 effective 8/1/99, Revised: 4/27/00 effective 8/1/00)

- **13.1.8.1 Visit (without Contact) to Prospect's Educational Institution.** A visit (without contact) by a coaching staff member to a prospect's educational institution counts as an evaluation for all prospects in that sport at that educational institution. (Adopted: 1/10/92)
  - **13.1.8.1.1 Competition Not Involving Educational Institution Visited.** A member institution does not utilize an evaluation for prospects at an educational institution if the coach observes competition at that institution between prospects who do not attend that institution. (*Adopted: 1/11/94*)
- **13.1.8.2 Evaluations Are Sports Specific.** The limitation in Bylaw 13.1.8 is sports specific; therefore, a prospect being earnestly recruited [see Bylaw 15.5.7.8.1-(d)] by an institution in more than one sport may be evaluated on the permissible number of occasions in each of those sports during the academic year. Evaluations are counted against the sport of the coach making the evaluation. (Adopted: 1/10/92)
  - 13.1.8.2.1 Recruiting Opportunities in Cross Country and Track and Field. An institution is limited to a total of seven recruiting opportunities (contacts and evaluations combined) during the academic year during which the prospect competes in any or all of the sports of cross country and indoor and outdoor track and field, provided not more than three of the opportunities are contacts. (Adopted: 1/16/93)
- **13.1.8.3 On Same Day.** Any number of evaluations or observations made during the same calendar day (defined as 12:01 a.m. to midnight) shall count as one evaluation. (Adopted: 1/16/93)

The following 13.1.8.4 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:

- **13.1.8.4 Evaluation Days—Basketball.** In men's basketball, each institution is limited to 50 evaluation days, per Bylaw 13.02.7. In women's basketball, each institution is limited to 40 evaluation days per Bylaw 13.02.7. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/27/00 effective 8/1/00*)
- **13.1.8.4 Evaluation Days—Basketball.** In women's basketball, each institution is limited to 40 evaluation days, per Bylaw 13.02.7 during evaluation periods that occur during the academic year. In men's basketball, each institution is limited to 70 evaluation days, per Bylaw 13.02.7. In men's basketball, institutional coaching staff members are restricted to evaluating only basketball activities that are part of a prospect's normal high-school, preparatory-school or two-year college season, and events that are approved, sanctioned, sponsored or conducted by the applicable state high-school association, National Federation of State High School Associations or the National Junior College Athletic Association. (Adopted: 1/9/96 effective 8/1/96, Revised: 4/27/00 effective 8/1/00, Revised: 4/27/00 effective 8/1/01)

### 13.1.8.5 Football Evaluation Exceptions

- **13.1.8.5.1** Time Period for Counting Football Evaluations—Divisions I-A and I-AA. In Divisions I-A and I-AA football, the time period during which the three permissible evaluations may take place shall be from April 15 through April 14 of the following academic year. (Adopted: 1/10/92 effective 5/1/92, Revised: 1/16/93; 4/27/00 effective 8/1/00)
- **13.1.8.5.2** Limitations on the Number of Spring Evaluations. In the sport of football, institutional staff members shall not visit a prospect's educational institution on more than two calendar days during the Spring evaluation period. (*Adopted: 1/10/91 effective 8/1/91, Revised: 4/27/00 effective 8/1/00*)
- **13.1.8.6 Evaluations in Team Sports.** In team sports, an institution shall utilize an evaluation for each prospect participating in a contest observed by the institution's coach. (*Adopted: 1/10/92*)
- **13.1.8.7 Evaluation Days—Softball.** In softball, each institution is limited to 50 evaluation days (measured August 1 through July 31) per Bylaw 13.02.7, which do not include employment of coaches in instructional camps/clinics or the observation of prospects participating in high-school softball competition. (*Adopted:* 1/12/99 effective 8/1/99, Revised: 6/8/99)
- **13.1.8.8 Evaluations in Individual Sports.** An institution's coach who is attending a competition in an individual sport (e.g., track and field meet, swimming meet, tennis match) on a specific day shall utilize an evaluation only for those participants that the coach observes engaging in practice or competition. (Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94)
- 13.1.8.9 Observations. Observing a contest or practice during the academic year shall count as one of the permissible number of evaluations (set forth in Bylaw 13.1.8) for each prospect in the contest or practice, even if a contact is made during the same day. In football, such observation that occurs during a permissible contact period shall count only as a contact per Bylaw 13.02.3.1. (Revised: 1/10/95, 1/10/95 effective 8/1/95)
  - **13.1.8.9.1 Tournament Observations.** In all sports, the evaluation of each contest in a tournament held during the academic year shall count as a separate evaluation except as follows (see Bylaw 13.1.5.1.3.1):

I-A/ I-AA

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- (a) Evaluation of multiple contests in a tournament that occurs on consecutive days (and normally at the same site) shall count as a single evaluation.
- (b) Evaluation of multiple contests in a single tier of a tournament (e.g., sectional, district, regional) shall count as a single observation. If a particular tier of a tournament is subdivided into identifiable segments (e.g., conducted on different weekends), evaluation of contests in each identifiable segment counts as a single observation. (*Revised: 1/14/97*)
- 13.1.8.9.2 Women's Basketball—Olympic Festival Tryouts. Evaluation on more than one day at official tryouts for the USA Basketball Olympic Festival conducted during a permissible evaluation period (see Bylaw 30.11.2) shall count as a single evaluation for women's basketball. (Adopted: 1/10/95)
- **13.1.8.10 Evaluations/Contact at Prospect's Educational Institution.** In sports other than football, if a coach makes an in-person recruiting contact at a prospect's educational institution, all evaluations (other than observations of practice or competition) made on that calendar day at the prospect's educational institution shall not count among the permissible number of evaluations (set forth in Bylaw 13.1.8) for any prospect at that institution in the applicable sport. In football, any evaluation activity that occurs during a permissible contact period shall count only as a contact per Bylaws 13.02.3.1 and 13.02.6.1. (Adopted: 1/10/92, Revised: 1/10/95 effective 8/1/95)
- **13.1.8.11 Open Events in Which College Teams Compete.** An institution does not utilize an evaluation if the institution's team competes in an open meet (e.g., track and field meet) in which prospects also compete. (*Adopted:* 1/10/92)
- **13.1.8.12** Evaluations Subsequent to National Letter of Intent Signing or Other Written Commitment. Subsequent to the calendar day on which a prospect signs a National Letter of Intent, there shall be no limit on the number of evaluations by the institution with which the prospect has signed. For an institution that does not utilize the National Letter of Intent, there shall be no limit on the number of evaluations with the prospect subsequent to the calendar day of the prospect's signed acceptance of the institution's written offer of admission and/or financial aid. (Adopted: 1/10/95)
- **13.1.8.13 Effect of Violation.** Violations of Bylaw 13.1.8 shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (*Adopted:* 4/22/98)

### **13.1.9 Banquets and Meetings** (*Adopted: 9/15/97*)

- (a) All Sports Other Than Football or Basketball. In sports other than football and basketball, the coach may speak at a meeting or banquet (except for dead periods per Bylaw 13.02.4.4) at a prospect's educational institution without using one of the institution's permissible contacts or evaluations, provided:
  - (1) The meeting or banquet is initiated and conducted by the educational institution;
  - (2) The coach does not make a recruiting presentation in conjunction with the appearance;
  - (3) The coach does not have any direct contact with any prospect (or the prospect's parents) in attendance; and
  - (4) The coach does not engage in any evaluation activities at the educational institution.

### (b) Basketball

- (1) **During a Contact Period.** In basketball, an institution's basketball coaching staff member, who speaks at a meeting or banquet at a prospect's educational institution during the contact period, uses the institution's once-per-week visit to a prospect's educational institution during a contact period and also uses an evaluation for all basketball prospects at that educational institution. The coach does not use a contact, provided he or she does not make a recruiting presentation in conjunction with the appearance and has no direct contact with any prospect (or the prospect's parents) in attendance.
- (2) **Outside a Contact Period.** A member of the basketball coaching staff may speak at a meeting or banquet outside the recruiting contact periods (except for dead periods per Bylaw 13.02.4.4), provided:
  - (i) The coach does not make a recruiting presentation in conjunction with the appearance;
  - (ii) The coach does not have direct contact with any prospect in attendance; and
  - (iii) The coach does not engage in any evaluation activities. (Revised: 9/9/98)

# I-A/ (c) Football

(1) **During a Contact Period.** In Divisions I-A and I-AA football, an institution's football coaching

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staff member, who speaks at a meeting or banquet at a prospect's educational institution during the contact period, uses one of the institution's seven in-person, off-campus recruiting contacts per prospect and also uses the institution's once-per-week visit to a prospect's educational institution during a contact period.

- (2) **Outside a Contact Period.** In Divisions I-A and I-AA football, a member of the football coaching staff may speak at a meeting or banquet outside the contact period (except for dead periods per Bylaw 13.02.4.4), provided:
  - (i) The coach does not make a recruiting presentation in conjunction with the appearance;
  - (ii) The coach does not have direct contact with any prospect in attendance; and
  - (iii) The coach does not engage in any evaluation activities. (Revised: 9/9/98)
- **13.1.9.1 Postseason Bowl Game Exception.** A coach may speak at or attend a meeting or banquet (at which prospects are in attendance) in conjunction with the institution's appearance in a postseason contest that occurs during a dead period only if the meeting or banquet is open to the general public, it is a scheduled activity associated with the contest, and the coach does not make a recruiting presentation or have any direct contact with prospects in attendance. (*Adopted: 9/15/97*)

### 13.2 OFFERS AND INDUCEMENTS

**13.2.1 General Regulation.** An institution's staff member or any representative of its athletics interests shall not be involved, directly or indirectly, in making arrangements for or giving or offering to give any financial aid or other benefits to the prospect or the prospect's relatives or friends, other than expressly permitted by NCAA regulations. This prohibition shall apply regardless of whether similar financial aid, benefits or arrangements are available to prospective students in general, their relatives or friends. For violations of this bylaw in which the value of the offer or inducement is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff with the institution's self-report of the violation. (*Revised:* 10/28/97)

**13.2.2 Specific Prohibitions.** Specifically prohibited financial aid, benefits and arrangements include, but are not limited to, the following:

- (a) An employment arrangement for a prospect's relatives;
- (b) Gift of clothing or equipment;
- (c) Cosigning of loans;
- (d) Providing loans to a prospect's relatives or friends;
- (e) Cash or like items;
- (f) Any tangible items, including merchandise;
- (g) Free or reduced-cost services, rentals or purchases of any type;
- (h) Free or reduced-cost housing;
- (i) Use of an institution's athletics equipment (e.g., for a high-school all-star game); and
- (j) Sponsorship of or arrangement for an awards banquet for high-school, preparatory school or two-year-college athletes by an institution, representatives of its athletics interests or its alumni groups or booster clubs.
  - **13.2.2.1 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.2.2 in which the value of the offer or inducement is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (Adopted: 10/28/97)
- **13.2.3 Awards to Prospects.** A member institution is limited to providing the following awards to prospects: (Adopted: 1/10/91)
- (a) Awards to prospects for outstanding athletics accomplishments are prohibited, except as provided in (c) below;

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- (b) Awards to high-school, preparatory school or two-year-college athletics teams in the name of an NCAA member institution are prohibited, regardless of the institution's involvement (or lack thereof) in the administration of the award; and
- (c) Any award presented at regularly scheduled high-school, preparatory school and two-year-college athletics contests or matches under the provisions of Bylaw 13.12.3.5 must be limited in value to \$50 but may bear the institution's name and logo.

### 13.2.4 Employment of Prospects

- **13.2.4.1 Subsequent to Senior Year.** The arrangement of employment by an institution for a prospect shall be permitted, provided the employment does not begin prior to the completion of the prospect's senior year in high school.
  - **13.2.4.1.1 Two-Year College Prospects.** Once a prospect has enrolled as a full-time student in a two-year college, the arrangement of employment by an institution for such a prospect shall be permitted, provided the employment does not begin prior to the time period in which the prospect has officially withdrawn from or has completed requirements for graduation at the two-year college. (Adopted:1/12/99)
- **13.2.4.2 Transportation to Summer Job.** An institution or its representatives shall not provide a prospect free transportation to and from a summer job unless it is the employer's established policy to transport all employees to and from the job site.
- **13.2.5** Loans to Prospects. Arrangement of educational loans by an institution for a prospect shall be permitted, provided the loan is not made prior to the completion of the prospect's senior year in high school. When permitted, such loans must be from a regular lending agency and based on a regular repayment schedule.
- **13.2.6 Summer Housing for Prospects.** An institution may rent dormitory space to a prospect during the summer months at the regular institutional rate, provided it is the institution's policy to make such dormitory space available on the same basis to all prospective students.
- **13.2.7 Academic Support Services/Use of Training-Room Facilities.** A prospect who has signed a National Letter of Intent and is enrolled in the institution's summer term prior to the student's initial, full-time collegiate enrollment may be provided academic support services by the institution and also may utilize the institution's training-room facilities. (Adopted: 1/11/94)

### 13.3 ADMISSIONS AND GRADUATION DATA

### 13.3.1 Disclosure Report

- **13.3.1.1 Report Publication.** The Association's national office annually shall publish the admissions and graduation-rate data specified in Bylaw 30.1 and shall identify the information on an institution-specific basis. (Adopted: 1/10/90 effective immediately for data collection, effective 10/1/91 for disclosure)
- **13.3.1.2 Report Distribution.** Member institutions shall provide to prospects and to prospects' parents the information contained within the report. The NCAA shall provide a compilation of graduation data to the prospects' guidance offices and high-school and two-year college coaches. The information shall be provided at the earliest opportunity after the institution's first arranged in-person encounter with the prospect or upon request; however, in no event shall an institution provide the information later than the day prior to a prospect's signed acceptance of the National Letter of Intent or signed acceptance of the institution's written offer of admission and/or financial aid. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the prospective student-athlete's eligibility. (Adopted: 1/10/90 effective immediately for data collection, effective 10/1/91 for disclosure, Revised: 1/10/92, 1/16/93, 4/27/00)

### **13.4 RECRUITING MATERIALS**

**13.4.1 Printed Recruiting Materials.** A member institution may not provide recruiting materials to a prospect (including general correspondence related to athletics) until September 1 at the beginning of the prospect's junior year in high school. Member institutions are permitted to provide only the printed materials listed below to prospects, coaches of prospects or any other individual responsible for teaching or directing an activity in which a prospect is involved. A member institution is not permitted to provide prospects with any recruiting materials not listed below (e.g., films, videotapes, original newspaper clippings). Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92, 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 7/1/96)

- (a) **Correspondence.** General correspondence, including letters and postcards issued by the U.S. postal service (i.e., blank cards) and institutional note cards may be sent to a prospect only by mail. Attachments to general correspondence may include materials printed on plain white paper with black ink. In Divisions I-A and I-AA football, the content of all general recruiting correspondence to prospects (or prospects' parents or legal guardians) must be prepared by the head coach or one of the full-time assistant coaches. It is not permissible for an institution's chief executive officer or director of athletics to prepare general correspondence to football prospects. In addition, restricted coaches, which in the sport of football are permissible only in Division I-AA and at the national service academies in Division I-A, may prepare such correspondence. (Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95, Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 7/1/96, 6/8/99)
- (b) **Business Cards.** Business cards may be provided to a prospect. (*Adopted: 1/14/97*)
- (c) **Camp Brochures.** Camp brochures may be provided to a prospect. Information contained in camp brochures must relate specifically to camp events and activities (as opposed to information that may not be provided to prospects). (Note: These brochures may be provided to a prospect prior to September 1 at the beginning of the prospect's junior year in high school.) (Revised: 1/14/97)
- (d) **Electronic Transmissions.** Facsimiles and electronic mail may be sent to a prospect. Prearranged electronically transmitted correspondence between an authorized institutional staff member and one or more prospects and any electronic correspondence sent by "instant messenger" or similar means shall be considered a telephone call. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised: 4/27/00 effective 8/1/00)
- (e) **NCAA Educational Information.** An institution may provide educational information published by the NCAA (e.g., NCAA Guide for the College-Bound Student-Athlete). (Note: This information may be provided to a prospect prior to September 1 at the beginning of the prospect's junior year in high school.)
- (f) **Preenrollment Information.** Any necessary preenrollment information regarding orientation, conditioning, academics and practice activities may be sent to a prospect, provided the prospect has signed a National Letter of Intent or has been officially accepted for enrollment by the member institution. (Revised: 1/9/96)
- (g) **Programs.** Game programs (which may not include posters) may be provided to prospects only during official and unofficial recruiting visits and may not be mailed. (*Revised: 1/9/96 effective 8/1/96*)
- (h) **Publications (Athletics).** An institution may produce either a recruiting brochure or media guide (but not both) in each sport it sponsors and provide it to a prospect. The publication may have only one color of printing inside the covers. (*Revised: 1/11/94 effective 8/1/94*)
- (i) **Publications (Nonathletics) Available to All Students.** Official academic, admissions and student-services publications published by the institution and available to all students may be provided to prospects.
- (j) **Questionnaires.** Questionnaires may be provided to a prospect. (Note: These questionnaires may be provided to a prospect prior to September 1 at the beginning of the prospect's junior year in high school.)
- (k) Schedule Cards. One wallet-size playing schedule card per sport. (Adopted: 1/9/96 effective 8/1/96)
- (1) **Student-Athlete Handbook.** One student-athlete handbook, describing the institution's athletics department policies governing the conduct of student-athletes, may be provided to a prospect during official and unofficial recruiting visits or may be mailed once the prospect has signed a National Letter of Intent or has been accepted for enrollment by the member institution. The handbook may contain only one color of printing throughout the publication, including the cover. (Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
  - **13.4.1.1 Responding to Prospect's Request.** Institutional staff members (including athletics staff members) may respond to a prospect's letter requesting information from an institution's athletics department prior to September 1 at the beginning of the prospect's junior year in high school, provided the written response does not include information that would initiate the recruitment of the prospect or information related to the institution's athletics program (e.g., the reply contains an explanation of current NCAA legislation or a referral to the admissions department).
- **13.4.2 Video/Audio Materials.** A member institution may not produce video/audio materials to show or send to a prospect except as specified in Bylaws 13.4.2.1, 13.4.2.2 and 13.4.2.3. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/9/96 effective 8/1/96)
  - **13.4.2.1 Highlight Film/Videotape/Audio Tape.** An institution may show a highlight film/videotape/audio tape to a prospect or the prospect's coach but may not send it to or leave it with the prospect or coach. Highlight films/videotapes/audio tapes are "game clips" that contain informational material that is related to a particular event or sports season. Any narration on the highlight

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- film/videotape/audio tape must relate specifically to the event or sports season. (Adopted: 1/11/94 effective 8/1/94)
- **13.4.2.2 Videotapes/Audio Tapes (Nonathletics) Available to All Students.** Official academic admissions and student-services videotapes/audio tapes produced by the institution and available to all students may be provided to prospects.
- **13.4.2.3 Hall of Fame/Museum Videotape/Audio Tape.** A prospect may tour an institution's athletics hall of fame or museum during a recruiting trip and view videotapes/films or listen to audio tapes related to the institution's athletics programs (even if such videotapes/ films/audio tapes do not meet the definition of a highlight film), provided the facility is open to the general public on a year-round basis and the videotape/film/audio tape has been developed for the purpose of showing to the general public (as opposed to the recruitment of prospects). (*Adopted: 2/11/98*)
- **13.4.3 Conference Restrictions.** A member conference is precluded from providing recruiting materials to prospects. (*Revised:* 1/11/94 *effective* 8/1/94)

### 13.4.4 Advertisements and Promotions

- **13.4.4.1 Recruiting Advertisements.** The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted. Accordingly, a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospects (e.g., recruiting publications) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (*Revised:* 10/28/97)
  - **13.4.4.1.1 Interview to Recruiting Publication.** An institutional coaching staff member may not provide an interview for an article that will appear in a recruiting publication or newsletter. (Adopted: 11/12/97)
  - **13.4.4.1.2 Summer-Camp Advertisements.** Advertisements of an institution's summer camp or clinic in such recruiting publications are permissible if placed in a periodical (other than a high-school or two-year college game program) that includes a camp directory that meets the following requirements: (*Revised:* 1/11/94)
  - (a) The size (not to exceed one-half page) and format of such advertisements must be identical, and
  - (b) The camp directory must include multiple listings of summer camps on each page (i.e., at least two summer-camp advertisements of the same size must appear on each page).
- **13.4.4.2 Media Guides, Recruiting Brochures.** A member institution may not develop a separate media guide or recruiting brochure to send to the media or alumni that does not meet the specification outlined in Bylaw 13.4.1-(i). Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (*Revised:* 10/28/97)
- **13.4.4.3 NCAA or Conference Championship Posters.** An institution or a conference hosting an NCAA or conference championship may produce a poster promoting the championship and send it to a high-school coach and/or his or her educational institution. It is not permissible to send such a poster to a prospect. (*Adopted: 10/28/99, Revised: 4/6/00*)
- **13.4.4.4 Miscellaneous Promotions.** Member institutions and their representatives of athletics interests are prohibited from financing, arranging or utilizing recruiting aids (e.g., newspaper advertisements, bumper stickers, message buttons) designed to publicize the institution's interest in a particular prospect. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (*Revised: 10/28/97*)

### **13.5 ENTERTAINMENT**

- **13.5.1 General Restrictions.** An institution may entertain a prospect and his or her parents [or legal guardian(s)] or spouse, at a scale comparable to that of normal student life, only on the institution's campus (or, on an official visit, within 30 miles of the institution's campus). It is not permissible to entertain other relatives or friends of a prospect at any site.
  - **13.5.1.1 Athletics Representatives.** Entertainment and contact by representatives of the institution's athletics interests during the official visit is prohibited.
- **13.5.2 Excessive Entertainment.** A member institution may not arrange or permit excessive entertainment of a prospect on the campus or elsewhere (e.g., hiring a band for a dance specifically for the entertainment of the prospect, a chauffeured limousine, a helicopter).

- **13.5.3 Purchase of Game Tickets.** An institution may not reserve tickets for purchase by a prospect (or individuals accompanying the prospect) to attend one of its athletics contests except on an official visit (see Bylaw 13.7.5.2). Tickets may be purchased only in the same manner as any other member of the general public. (Adopted: 1/10/92)
- **13.5.4 Complimentary Admissions—Conference Tournaments.** Conferences approved to host an NCAA YES clinic in conjunction with their conference championship may provide complimentary admissions to YES clinic participants to attend the conference championship. (*Adopted:* 1/14/97)
- **13.5.5 Professional Sports Tickets.** Tickets to professional sports contests made available to a member institution on a complimentary basis may not be provided to prospects.
- **13.5.6 Alumni and Friends.** An institution's staff member or a representative of its athletics interests may entertain alumni or other friends of the institution in the home town of a prospect, provided those entertained are not friends of any particular prospect being recruited by the institution.

### 13.6 TRANSPORTATION

- **13.6.1 General Restrictions.** An institution may not provide transportation to a prospect other than on the official paid visit or, on an unofficial visit, to view a practice or competition site and other institutional facilities (located within a 30-mile radius of the institution's campus) when accompanied by an institutional staff member. However, an institution may not provide transportation to attend one of the institution's home contests (on or off campus) during the unofficial visit. For violations of this bylaw in which the value of the offer or inducement is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (*Revised: 1/11/89, 10/28/97*)
  - **13.6.1.1 Nonpermissible Transportation.** If nonpermissible transportation is provided, the institution may not avoid a violation of this rule by receiving reimbursement for mileage from the prospect.

### 13.6.2 Transportation on Official Paid Visit

- **13.6.2.1 General Restrictions**. A member institution may pay the prospect's actual round-trip transportation costs for his or her official visit to its campus, provided a direct route between the prospect's home and the institution's campus is used. Use of a limousine or helicopter for such transportation is prohibited.
- **13.6.2.2 Automobile Transportation.** When a prospect travels by automobile on an official paid visit, the institution may pay round-trip expenses to the individual incurring the expense (except the prospect's coach as provided in Bylaw 13.9.1.1) at the same mileage rate it allows its own personnel. Any automobile may be used by the prospect, provided the automobile is not owned or operated or its use arranged by the institution or any representative of its athletics interests. (*Revised: 1/11/94*)
  - **13.6.2.2.1 Prospect's Friends and Relatives.** A prospect's friends, relatives or legal guardian(s) may receive cost-free transportation to visit a member institution's campus only by accompanying the prospect at the time the prospect travels in an automobile to visit the campus.
  - **13.6.2.2.2 Use of Automobile.** The institution or representatives of its athletics interests shall not provide an automobile for use during the official visit by the prospect or by a student host.
  - **13.6.2.2.3 Coach Accompanying Prospect.** Except as permitted in Bylaw 13.6.2.4, coaching staff members shall not accompany a prospect in the coach's sport to or from an official visit unless the prospect travels only by automobile. If such transportation is used, the 48-hour period of the official visit shall begin when the coach begins transporting the prospect to campus. A coach who makes an in-person, off-campus contact (i.e., any dialogue in excess of an exchange of a greeting) with that prospect [or the prospect's parent(s)] during a permissible contact period prior to transporting the prospect to campus for an official visit is charged with a countable contact. Upon completion of the 48-hour period, the coach shall terminate contact with the prospect immediately. (Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97, Revised: 11/12/97)
    - 13.6.2.2.3.1 Division I-AA Football Exception. In Division I-AA football, any member of an institution's athletics department (except a volunteer coach per Bylaw 11.01.6) who has been certified pursuant to a conference certification program may provide such transportation for a prospect between the prospect's home or educational institution and the member institution. ( $Adopted: 1/10/91 \ effective \ 8/1/91$ )
- **13.6.2.3 Air Transportation.** The air fare for commercial transportation for the official visit may not exceed coach (or comparable) class. Coaching staff members shall not accompany a prospect to or from

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- an official visit when air travel is used, except as permitted in Bylaw 13.6.2.4. (Revised: 1/10/95 effective 8/1/95)
  - **13.6.2.3.1 Ticket Discounts.** An institution may not arrange payment of the airline ticket to allow a prospect [or the prospect's relatives, friends or legal guardian(s)] to take advantage of ticket bonuses, rebates, refunds or other benefits connected with the purchase of the ticket.
  - **13.6.2.3.2 Institution's Airplane.** An institution may use its own airplane to transport a prospect to the campus for an official visit, provided relatives, other friends or legal guardian(s) do not accompany the prospect.
  - **13.6.2.3.3 Noncommercial Airplane.** Whenever an aircraft (other than a commercial airplane) is used to transport a prospect, payment for its use shall be at the established charter rates at the airport where the craft is based. The institution shall be prepared to demonstrate satisfactorily that such payment has been made. (*Revised:* 1/10/90)
- **13.6.2.4 From Airport.** During the official visit, any member of an institution's athletics department staff may provide transportation for a prospect between the campus and the bus or train station or major airport nearest the campus.
- **13.6.2.5 To/From Site of Competition.** A prospect may be transported to campus for an official visit from the site of his or her athletics competition or the reverse arrangement, provided only actual transportation expenses are paid and the cost of the transportation does not exceed the cost of transportation between the prospect's home or educational institution and the institution's campus.
- **13.6.2.6 From Educational Institution.** An institution may pay actual transportation costs for the prospect to return to his or her home after an official visit that originated at the prospect's educational institution, provided the cost of the transportation to the legal residence does not exceed the cost of transportation to the educational institution.
- **13.6.2.7 Visiting Two or More Institutions.** Two or more institutions to which a prospect is making official visits on the same trip may provide travel expenses, provided there is no duplication of expenses, only actual and necessary expenses are provided, and the 48-hour visit limitation is observed at each institution.
- **13.6.2.8** Transportation of Prospect's Relatives, Friends or Legal Guardian(s). An institution shall not permit its athletics department staff members or representatives of its athletics interests to pay, provide or arrange for the payment of transportation costs incurred by relatives, friends or legal guardian(s) of a prospect to visit the campus or elsewhere; however, an institution may:
- (a) Provide automobile-mileage reimbursement to a prospect on an official visit, even if relatives or friends accompany the prospect; however, in that event the trip shall count as an official paid visit only for each recruited prospect in the automobile; and (Revised: 1/11/94)
- (b) Provide local transportation between its campus and the nearest airport for the parents, relatives or legal guardian(s) of a prospect making an official visit.
- 13.6.2.9 Eligibility Ramifications—Restitution for Receipt of Improper Benefits. For violations of Bylaw 13.6.2 in which the value of the transportation is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (Adopted: 10/28/97)
- **13.6.3 Transportation on Unofficial Visit.** During any unofficial recruiting visit, the institution may provide the prospect with transportation only to view off-campus practice and competition sites in the prospect's sport and other institutional facilities (located within a 30-mile radius of the institution's campus). An institutional staff member must accompany the prospect during such a trip. Payment of any other transportation expenses, including providing transportation to attend one of the institution's home contests (on or off campus), shall be considered a violation. For violations in which the value of transportation is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of her or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (*Revised: 1/11/89; 4/27/00*)
- **13.6.4 Transportation to Enroll.** An institution or its representatives shall not furnish a prospect, directly or indirectly, with transportation to the campus for enrollment. However, it is permissible for any

member of the institution's staff to provide transportation from the nearest bus or train station or major airport to the campus on the occasion of the student-athlete's initial arrival at the institution to attend classes.

# 13.7 OFFICIAL (PAID) VISIT

### 13.7.1 Limitations on Official Visit

- **13.7.1.1 One-Visit Limitation.** A member institution may finance only one visit to its campus for a prospect.
- **13.7.1.2 Number of Official Visits—Prospect Limitation.** A prospect may take a maximum of five expense-paid visits, with no more than one permitted to any single institution. This restriction applies regardless of the number of sports in which the prospect is involved.
  - 13.7.1.2.1 Written Notification Required. Each member institution shall be required to notify the prospect in writing, at the time of its invitation but prior to the visit, of the five-visit limitation. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (Revised: 1/9/96 effective 8/1/96)
  - **13.7.1.2.2 First Opportunity to Visit.** A prospect may not be provided an expense-paid visit earlier than the opening day of classes of the prospect's senior year in high school.

### 13.7.1.2.3 Academic Requirements for Official Visit

- 13.7.1.2.3.1 PSAT, SAT, PLAN (or PACT Plus) or ACT Score. A member institution may not provide an expense-paid visit to a high-school or preparatory school prospect who has not presented the institution with a score from a PSAT, an SAT, a PLAN (or PACT Plus) or an ACT test taken on a national testing date under national testing conditions. The score must be presented in writing through an official high-school or testing-agency document or through the use of the applicable testing agency's automated-voice system. A foreign or learning-disabled prospect who requires a special administration of the PSAT, SAT, PLAN (or PACT Plus) or ACT may present such a score upon the approval of the Academics/Eligibility/Compliance Cabinet or the Initial-Eligibility Waiver Committee. (Adopted: 1/10/91 effective 8/1/92, Revised: 1/10/92, 1/16/93, 9/15/97)
- **13.7.1.2.3.2 Academic Transcript.** A member institution may not provide an expense-paid visit to a prospect who has not presented the institution with a high-school (or college) academic transcript. The academic transcript may be an unofficial photocopy of an official document from the prospect's high school (or collegiate institution). (*Adopted: 1/16/93*)
- 13.7.1.2.3.3 Initial-Eligibility Clearinghouse. A prospect's fulfillment of these academic requirements may be certified by an initial-eligibility clearinghouse approved by the Executive Committee. (Adopted: 1/11/94)
- **13.7.1.2.3.4 Eligibility Ramifications.** Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (*Adopted: 1/9/96 effective 8/1/96*)
- **13.7.1.3 Post-High-School Visits.** The one-visit limitation and the limitations on total official visits apply separately to the period in which the prospect is in high school and to the period beginning October 15 following the prospect's completion of high school. Thus, a prospect may be provided a maximum of 10 official visits—five while in high school and five beginning with the October 15 following the prospect's completion of high school. (*Revised:* 1/11/89, 1/10/90)
  - **13.7.1.3.1 Nonqualifier in First Year.** A person who is not a qualifier (per Bylaw 14.3.2.2.1) and who is enrolled at a two-year college may not be provided an expense-paid visit to a member institution until he or she has completed an academic year at a two-year college.
  - **13.7.1.3.2 Transfer Student.** If a student-athlete attending a four-year institution desires to transfer and that institution provides the permission required (per Bylaw 13.1.1.3), it is permissible for a second institution to provide the student-athlete one official visit to that institution's campus.
- **13.7.1.4 Visit to Off-Campus Contest.** The official visit shall be made to the campus and not, for example, to an off-campus site where the institution may be appearing in an athletics contest. However, if an institution is required to play all of its home games in a given sport at a site located in a community other than its own because of conditions beyond its own control (e.g., fire, windstorm, earthquake or other disaster), the Management Council, by a two-thirds majority of its members present and voting, may grant permission to the institution to consider games played at the substitute site as on-campus competition.

- **13.7.1.5** Visit While Competing in Open Event. A host institution may pay the expenses of a recruited prospect to participate in an established "open" event, provided the expenses of all other competitors in that event are paid; the expenses are not paid from athletics department (nonmeet) funds; and the expenses of the prospect are limited to actual transportation, room and board. This trip shall be considered the one paid visit to the institution's campus with the prospect remaining no more than 48 hours.
- **13.7.1.6 Number of Official Visits—Institutional Limitation.** The total number of official visits a member institution may provide prospects in the following sports on an annual basis (August 1 through July 31) shall be limited to: (*Revised:* 11/12/97)
- (a) Football—56. (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94)
- (b) Basketball—12. (Revised: 1/11/89, 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94)
- (c) Baseball—25. (Adopted: 1/12/99 effective 8/1/99)
  - **13.7.1.6.1 Exception—National Service Academies—Football and Basketball.** The national service academies may provide 70 official visits in the sport of football, 56 of which may be provided prior to the initial National Letter of Intent signing date, and 15 official visits in the sport of basketball, 12 of which may be provided prior to the initial National Letter of Intent signing date. (Adopted: 1/10/95, Revised: 1/14/97 effective 8/1/97)
  - **13.7.1.6.2 Unused Visits—Division I-A Football.** In Division I-A football, an institution may retain a maximum of six unused visits from the previous academic year. Such visits may be used only during the subsequent academic year. (*Adopted: 1/14/97 effective 8/1/97*)
  - **13.7.1.6.3 Exception—National Service Academies—Division I-A Football.** The national service academies may provide 70 official visits in the sport of football, 56 of which may be provided prior to the initial National Letter of Intent signing date. (*Adopted: 1/10/95*)
  - **13.7.1.6.4** Exception—Institution That Does Not Subscribe to the National Letter of Intent. A member institution that does not subscribe to the National Letter of Intent may provide 70 official visits in the sport of football, 56 of which may be provided prior to the initial National Letter of Intent signing date. (Adopted: 1/11/94 effective 8/1/94)
  - **13.7.1.6.5 Written Record Required.** The institution must maintain a written record of the paid visits of its football, basketball and baseball prospects. (*Revised: 1/12/99 effective 8/1/99*)
  - **13.7.1.6.6 Multiple-Sport Prospects.** A prospect in football and one or more other sports (including basketball or baseball) shall be counted against the visit limitation in football. A prospect in basketball and one or more other sports (other than football) shall be counted against the visit limitation in basketball. A prospect in baseball and one or more other sports (other than football or basketball) shall be counted against the visit limitation in baseball. (*Revised:* 1/12/99 effective 8/1/99)
  - **13.7.1.6.7 Waiver of Institutional Limitation—Extraordinary Personnel Losses.** The Management Council, by a two-thirds majority of its members present and voting, may grant a waiver of the limit on paid visits for an institution that has suffered extraordinary personnel losses to its football, basketball or baseball team from an accident or illness of a disastrous nature. (*Revised:* 1/12/99 effective 8/1/99)
  - **13.7.1.6.8 Waiver of Institutional Limitation—Head Coaching Change.** The Management Council, by a two-thirds majority of its members present and voting, may grant a waiver of the limit on paid visits for an institution that has made a head coaching change in football, basketball or baseball after the institution has utilized all or nearly all of the permitted official visits in that sport. Such a waiver request shall be submitted by the institution's director of athletics. If the waiver request is granted, the Management Council shall establish an appropriate additional number of visits. (*Adopted:* 1/11/94 effective 8/1/94, *Revised:* 1/12/99 effective 8/1/99)
- **13.7.2 Length of Official Visit.** An official visit to an institution shall not exceed 48 hours. A prospect may remain in the locale in which the institution is located after the permissible 48-hour period for reasons unrelated to the official visit, provided that at the completion of the 48-hour visit, the individual departs the institution's campus, and the institution does not pay any expenses thereafter, including the cost of return transportation to the prospect's home. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, violations due to inclement weather shall not affect the prospective student-athlete's eligibility. Additionally, if the prospect does not return home prior to attending the institution, the one-way transportation to the campus would be considered a violation of Bylaw 13.6.4, which prohibits transportation to enroll. (*Revised: 1/9/96 effective 8/1/96*)
  - **13.7.2.1 48-Hour Period Defined.** The 48-hour period of the official visit begins at the time the prospect arrives on the institution's campus, rather than with the initiation of the prospect's transportation by a coach or the time of the prospect's arrival at the airport or elsewhere in the community (see Bylaw 13.7.2.1.1). The prospect's transportation to and from the campus must be without delay

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for personal reasons or entertainment purposes. The institution may not pay any expenses for entertainment (other than the actual and reasonable cost of meals) in conjunction with the prospect's transportation. At the completion of the 48-hour visit, the prospect must depart the institution's campus immediately; otherwise, the institution may not pay any expenses incurred by the prospect upon departure from the institution's campus, including the cost of the prospect's transportation home.

- **13.7.2.1.1 Coach Accompanying Prospect.** When a coach accompanies a prospect on an official visit by automobile, per Bylaw 13.6.2.2.3, the 48-hour period shall begin when the coach begins transporting the prospect to campus. A coach who makes an in-person, off-campus contact (i.e., any dialogue in excess of an exchange of a greeting) with the prospect or the prospect's parents during a permissible contact period prior to transporting the prospect to campus for an official visit is charged with a countable contact. Upon completion of the 48-hour period, the coach shall terminate contact with the prospect and his or her parents immediately. (*Adopted: 1/14/97 effective 8/1/97*)
- **13.7.3 Transportation on Official Visit.** For regulations relating to transportation on the official visit, see Bylaw 13.6.2.
- **13.7.4** Accommodations on Official Visit. A prospect on an official visit shall be provided lodging and take meals as regular students normally do. Local commercial facilities may be used but at a scale comparable to that of normal student life and only within a 30-mile radius of the institution's campus. (See Bylaw 13.7.5.7 for restrictions on meals provided to prospects on official visits.)

### 13.7.5 Entertainment/Tickets on Official Visit

- 13.7.5.1 General Restrictions. An institution may provide entertainment, which may not be excessive, on the official visit only for a prospect and the prospect's parents [or legal guardian(s)] or spouse and only within a 30-mile radius of the institution's main campus. Entertainment and contact by representatives of the institution's athletics interests during the official visit are prohibited. It is not permissible to entertain other relatives or friends (including dates) of a prospect at any time at any site. For violations of this bylaw in which the value of the entertainment is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (Revised: 10/28/97)
  - **13.7.5.1.1 Meals and Lodging While in Transit.** It is permissible for an institution to pay a prospect's actual costs for reasonable expenses (e.g., meals, lodging) incurred while traveling to and from campus on the official visit.
- **13.7.5.2 Complimentary Admissions.** During the official visit, complimentary admissions to a campus athletics event may be provided only to a prospect and the prospect's parents [or legal guardian(s)] or spouse. Such admissions may provide seating only in the general seating area of the facility utilized for conducting the event. Providing seating during the conduct of the event (including intermission) for the prospect or the prospect's parents [or legal guardian(s)] or spouse in the facility's press box, special seating box(es) or bench area is specifically prohibited. For violations of this bylaw in which the individual receives an excessive number of complimentary admissions, and the value of the excessive admissions is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (*Revised: 1/10/90 effective 8/1/90, Revised: 1/11/94, 10/28/97*)
  - **13.7.5.2.1 Conference Tournaments.** A member institution may not provide complimentary admissions to a prospect for a postseason conference tournament. The prospect may purchase tickets only in the same manner as any other member of the general public. (*Revised:* 1/10/91 effective 8/1/91)
  - **13.7.5.2.2 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospects for an NCAA championship (all rounds) or other postseason contests (e.g., bowl game, NAIA or NIT championship) constitutes excessive entertainment and is prohibited. The prospect may purchase these tickets only in the same manner as any other member of the general public. (*Revised: 1/10/92*)
  - **13.7.5.2.3 Purchase of Game Tickets in Same Locale.** An institution may reserve tickets, only for the use of immediate family members accompanying a prospect during an official visit and for

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- seat locations adjacent to the complimentary seats being provided to the prospect. These tickets must be purchased at face value. (Adopted: 1/10/92)
- **13.7.5.3 Parking.** An institution may arrange special on-campus parking for prospects during an official visit. (Adopted: 1/10/92)
- **13.7.5.4 Cash to Prospect.** The institution or representatives of its athletics interests shall not provide cash to a prospect for entertainment purposes.
- **13.7.5.5 Student Host.** The institution may provide the following to a student host entertaining a prospect:
- (a) A maximum of \$30 for each day of the visit to cover all actual costs of entertaining the prospect (and the prospect's parents, legal guardians or spouse), excluding the cost of meals and admission to campus athletics events. These funds may not be used for the purchase of souvenirs such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$15 per day for each additional prospect the host entertains; (Revised: 1/10/90 effective 8/1/90, Revised: 1/9/96 effective 8/1/96)
- (b) A complimentary meal, provided the student host is accompanying the prospect during the prospect's official visit; and (Adopted: 1/10/92)
- (c) A complimentary admission to a campus athletics event, provided the ticket is utilized to accompany a prospect to that event during the prospect's official visit.
  - **13.7.5.5.1 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.7.5.5 in which the value of the benefit to the individual (i.e., prospective or enrolled student-athlete) is \$25 or less, the eligibility of the individual shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (Adopted: 10/28/97)
  - **13.7.5.5.2 Multiple Hosts.** If several students host a prospect, the \$30 per day entertainment money may be utilized to cover the actual and necessary expenses incurred by the prospect and all hosts. Only one student host per prospect may be provided a free meal if restaurant facilities are utilized. (*Revised:* 1/10/92, 1/16/93, 1/9/96 effective 8/1/96)
  - **13.7.5.5.3 Nonqualifier Prohibition.** The student host must be enrolled in the member institution being visited by a prospect. A nonqualifier (see Bylaw 14.02.9) may not serve as a student host during his or her first academic year in residence. (*Revised: 3/19/97*)
  - **13.7.5.5.4 Use of Automobile.** The institution or representatives of its athletics interests shall not provide an automobile for use by the prospect or the student host.
- 13.7.5.6 Student Support Group Assisting in Recruiting. An institution may not provide a free meal or entertainment to a member of an institutional student support group that assists in the recruitment of a prospect during an official visit unless the student is designated as the one student host for that prospect. Any additional arrangement between the institution and members of such a support group (e.g., compensation, providing a uniform) is left to the discretion of the institution. (Adopted: 1/16/93)
- **13.7.5.7 Meals on Official Visit.** The cost of actual meals, not to exceed three per day, on the official visit for a prospect and the prospect's parents, legal guardian(s) or spouse need not be included in the \$30-per-day entertainment expense. A dessert or after-dinner snack at the coach's residence also may be excluded. (Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95)
  - **13.7.5.7.1** Entertainment at Staff Member's Home. A luncheon, dinner or brunch at the home of an institutional staff member (e.g., the athletics director, a coach, a faculty member or the institution's president) may be held for a prospect on an official visit, provided the entertainment is on a scale comparable to that of normal student life, is not excessive in nature and occurs on only one occasion. (Revised: 1/9/96)
  - **13.7.5.7.2** Eligibility Ramifications—Restitution for Receipt of Improper Benefits. For violations of Bylaw 13.7.5.7.1 in which the value of the excessive meals is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be

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forwarded to the enforcement services staff with the institution's self-report of the violation. (Adopted: 10/28/97)

- **13.7.5.8 Normal Retail Cost.** If a boat, snowmobile, recreational vehicle or similar recreational equipment (including those provided by an institutional staff member or a representative of the institution's athletics interests) is used to entertain a prospect or the prospect's parents, legal guardian(s) and spouse, the normal retail cost of the use of such equipment shall be assessed against the \$30-per-day entertainment figure; further, if such normal retail cost exceeds the \$30-per-day entertainment allowance, such entertainment may not be provided. (Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96)
- **13.7.6** Entertainment on Official Visit for Spouse, Parent or Legal Guardian of Prospect. A member institution shall limit entertainment, meals and lodging on the prospect's official visit to a prospect, the prospect's parents [or legal guardian(s)] and spouse.
- **13.7.7 Lodging for Additional Persons.** Additional persons (e.g., prospect's brother, sister, friend) may stay in the same room as the prospect or parents, spouse or legal guardian(s) of the prospect, but the institution shall not pay the costs resulting from the additional occupants. The additional occupants shall not be prospects being recruited by the institution. (Adopted: 1/10/92)

# 13.8 UNOFFICIAL (NONPAID) VISIT

**13.8.1 Number Permitted.** A prospect may visit a member institution's campus at his or her own expense an unlimited number of times. A prospect may make unofficial visits before his or her senior year in high school.

### 13.8.2 Entertainment/Tickets

- **13.8.2.1 General Restrictions.** During an unofficial visit, the institution may not pay any expenses or provide any entertainment except a maximum of three complimentary admissions (issued only through a pass list) to a campus athletics event in which the institution's intercollegiate team practices or competes. Such complimentary admissions are for the exclusive use of the prospect and those persons accompanying the prospect on the visit and must be issued on an individual-game basis. Such admissions may provide seating only in the general seating area of the facility utilized for conducting the event. Providing seating during the conduct of the event (including intermission) for the prospect or the prospect's parents [or legal guardian(s)] or spouse in the facility's press box, special seating box(es) or bench area is specifically prohibited. (Revised: 1/10/90 effective 8/1/90, Revised: 1/11/94)
  - **13.8.2.1.1 Meals** A prospect on an unofficial visit to an institution may pay the actual cost of meals (or the regular cost of training-table meals) and eat with other prospects who are on their official visits or with enrolled student-athletes.
    - **13.8.2.1.1.1** Exception—Division I-AA. A Division I-AA football program that restricts its total number of official visits to 25 may provide one meal to any football prospect in the institution's on-campus student dining facilities without the visit counting as an official visit. The institution also may provide one meal to the same prospect's parents or legal guardians in the institution's on-campus student dining facilities without the visit counting as an official visit, provided it is the institution's normal policy to provide such a meal under similar circumstances to all prospective students' parents or legal guardians visiting the campus. A prospect who is given such a meal may not also be provided by the institution with an official visit in any sport. (Adopted: 1/11/94)
    - **13.8.2.1.1.2** Exception—Sports Other Than Football and Basketball. In sports other than football and basketball, a prospect visiting an institution's campus as part of an admissions event (i.e., open house) may be provided with one meal in the institution's on-campus student dining facility and may have contact with institutional coaching staff members only during such an event without the visit counting as an official visit. The institution must be able to certify that it is the institution's normal policy to provide such a meal to all prospects (including nonathletes) attending the admissions event. (*Adopted: 1/14/97 effective 8/1/97*)
  - **13.8.2.1.2 Housing—Lodging in Dormitories.** A prospect on an unofficial visit may stay in an enrolled student-athlete's dormitory room only if the prospect pays the regular institutional rate for such lodging.
  - **13.8.2.1.3** Transportation during Unofficial Visit. For regulations relating to transportation on an unofficial visit, see Bylaw 13.6.3.
  - **13.8.2.1.4 Reserving Game Tickets.** An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by a prospect (or individuals accompanying the prospect) on an unofficial visit. Tickets may be purchased only in the same manner as any other member of the general public. (Adopted: 1/10/92)

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# Unofficial (Nonpaid) Visit/13.8.2.1.5—Employment of Coaches/13.9.1.3

- **13.8.2.1.5 Parking.** An institution may not arrange special parking for prospects to utilize while attending a member institution's campus athletics event during an unofficial visit. (*Adopted:* 1/10/92)
- **13.8.2.1.6 Academic Interviews.** An athletics department staff member may arrange academic interviews for a prospect on an unofficial visit.
- **13.8.2.2** Home Games outside the Community. If an institution schedules any regular-season home games at a site located in a community other than its own, the host institution may provide a maximum of three complimentary admissions to only one such game for the exclusive use of a prospect and those persons accompanying the prospect. Tournament and postseason games are excluded. The institution shall not arrange or permit any other entertainment or payment of expenses, including transportation.
- **13.8.2.3 Conference Tournaments.** A member institution may not provide complimentary admissions to a prospect for a postseason conference tournament. The prospect may purchase tickets only in the same manner as any other member of the general public. (*Revised:* 1/10/91 effective 8/1/91)
- **13.8.2.4 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospects for an NCAA championship (all rounds) or other postseason contests (e.g., bowl game, NAIA or NIT championship) constitutes excessive entertainment and is prohibited. The prospect may purchase tickets to such events only in the same manner as any other member of the general public. (*Revised: 1/10/92*)
- **13.8.2.5 Visit Unrelated to Recruitment.** The limitations on providing entertainment to a prospect shall not extend to a visit to the institution's campus for a purpose having nothing whatsoever to do with the prospect's athletics recruitment by the institution (e.g., band trip, fraternity weekend, athletics team's attendance at a sporting event with the high-school coach). The institution's athletics department or representatives of its athletics interests may not be involved in any way with the arrangements for the visit, other than providing (in accordance with established policy) free admissions to an athletics event on a group basis, rather than personally to the prospect.
- **13.8.2.6** Visit Related to National Student-Athlete Day or National Girls and Women in Sports Day. The limitations on providing entertainment to a prospect shall not extend to a visit to the institution's campus for activities related to National Student-Athlete Day and National Girls and Women in Sports Day. (Adopted: 1/14/97, Revised: 4/22/98 effective 8/1/98)
- **13.8.2.7 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.8.2 in which the value of the entertainment/tickets/meal is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement services staff with the institution's self-report of the violation. (*Adopted: 10/28/97*)

# 13.9 ENTERTAINMENT, REIMBURSEMENT AND EMPLOYMENT OF HIGH-SCHOOL/COLLEGE-PREPARATORY SCHOOL/TWO-YEAR COLLEGE COACHES

- **13.9.1 Entertainment Restrictions.** Entertainment of a high-school, college-preparatory school or two-year college coach or any other individual responsible for teaching or directing an activity in which a prospect is involved shall be confined to a member institution's campus and shall be limited to providing a maximum of two complimentary admissions (issued only through a pass list) to home athletics contests, which must be issued on an individual-game basis. Such entertainment shall not include food and refreshments, room expenses, or the cost of transportation to and from the campus. An institutional coaching staff member is expressly prohibited from spending funds to entertain the prospect's coach on or off the member institution's campus.
  - **13.9.1.1 Transportation Reimbursement.** An institution shall not reimburse a high-school, preparatory school or two-year college coach for expenses incurred in transporting a prospect to visit the campus.
  - **13.9.1.2 Transportation to Off-Campus Contest.** If a high-school, preparatory school or two-year college coach transports members of his or her athletics squad to an off-campus site to watch NCAA member institutions compete, an institution may not reimburse the coach for the transportation costs or provide complimentary tickets for the coach or any of the team members.
  - **13.9.1.3 Purchase of Game Tickets.** An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by high-school, college preparatory or two-year col-

lege coaches (or individuals accompanying them) to attend an institution's athletics contest. Tickets may be purchased only in the same manner as any other member of the general public. (Adopted: 1/10/92)

- **13.9.1.4 Noncoaching-Related Organization.** If a high-school, preparatory school or two-year college coach is a member of a noncoaching-related organization (e.g., state high-school principals association, college fraternity alumni organization, institution's alumni association), an institution may entertain the group, provided there is no direct involvement by the institution's athletics department.
- **13.9.2 Material Benefits.** Arrangements by an institution that involve a material benefit for a high-school, preparatory school or two-year college coach, or for any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved, (e.g., the provision of a gift such as a tangible item bearing the institution's insignia, the offer to pay a portion of the coach's or other individual's personal expenses, compensation based on the number of campers sent to an institution's camp, or an arrangement to provide transportation for the coach or other individual) are prohibited.
  - **13.9.2.1 Gifts at Coaches' Clinic.** An institution may not provide gifts to high-school, preparatory school or two-year college coaches in conjunction with its coaches' clinic or other events. This specifically prohibits the provision of a door prize to the coach, even if the cost of the prize is included in the cumulative admission fee (i.e., the admission fee charged to each person, when combined, would cover the cost of the prize). Materials (e.g., clipboards, file folders) may be provided to each person attending the clinic, provided the items are included in the registration or admission fee. (*Revised: 1/16/93, 1/11/94*)

### 13.9.3 Employment Conditions

- **13.9.3.1 Graduate Teaching Assistants.** A high-school, preparatory school or two-year college coach who is enrolled in a bona fide postgraduate program at a member institution is permitted to receive legitimate compensation as a graduate teaching assistant.
- **13.9.3.2** Employment in Different Sport. A high-school, preparatory school or two-year college coach who remains associated with the high school, preparatory school or two-year college in a coaching capacity in a different sport shall be permitted to engage in part-time employment as a member of an institution's coaching staff.
- **13.9.3.3 Employment in Same Sport.** A high-school, preparatory school or two-year college coach who remains associated with the high school, preparatory school or two-year college in a coaching capacity in the same sport shall not be employed as a member of an institution's coaching staff.
  - **13.9.3.3.1 Contract for Future Employment.** A member institution is permitted to enter into a contractual agreement with a high-school, preparatory school or two-year college coach for an employment opportunity that begins with the next academic year, provided the employment contract with the member institution is not contingent upon the enrollment of a prospect and the coach does not begin any coaching duties (e.g., recruiting, selection of coaching staff) for the member institution while remaining associated with the high school.

### 13.10 LETTER-OF-INTENT PROGRAMS, FINANCIAL AID AGREEMENTS

- **13.10.1 General Restrictions.** A member institution may not participate in an institutional or conference athletics letter-of-intent program or issue an institutional or conference financial aid agreement that involves a signing date that precedes the initial regular (as opposed to early) signing date for the National Letter of Intent program in the same sport.
  - **13.10.1.1 Mailing of Financial Aid Offer.** An institutional or conference financial aid form may be included in the normal mailing of the National Letter of Intent, but none of the forms enclosed in the mailing may be signed by the prospect prior to the initial signing date in that sport in the National Letter of Intent program.
  - **13.10.1.2 Offer of Aid Prior to Signing Date.** An institution may indicate in writing to a prospect that an athletically related grant-in-aid will be offered by the institution; however, the institution may not permit the prospect to sign a form indicating his or her acceptance of such an award prior to the initial signing date in that sport in the National Letter of Intent program.

### **13.11 PUBLICITY**

- **13.11.1 Presence of Media during Recruiting Contact.** A member institution shall not permit a media entity to be present during any recruiting contact made by an institution's coaching staff member. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Adopted: 1/9/96, Revised: 1/14/97*)
- **13.11.2 Comments Prior to Signing.** Prior to the signing of a prospect to a National Letter of Intent

### Publicity/13.11.2—13.11.8.1.1

or an institution's written offer of admission and/or financial aid, a member institution may comment publicly only to the extent of confirming its recruitment of the prospect. The institution may not comment generally about the prospect's ability or the contribution that the prospect might make to the institution's team; further, the institution is precluded from commenting in any manner as to the likelihood of the prospect's signing with that institution. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (Revised: 1/14/97)

- **13.11.2.1 Evaluations for Media, Recruiting Services.** Athletics department staff members shall not evaluate or rate a prospect for news media, scouting services or recruiting services prior to the prospect's signed acceptance of the institution's written offer of admission as a student and/or written tender of financial assistance to be provided upon the prospect's enrollment.
- **13.11.3 Radio/TV Show.** A member institution shall not permit a prospect or a high-school, college preparatory school or two-year college coach to appear, be interviewed or otherwise be involved (in person or via film, audio tape or videotape) on:
- (a) A radio or television program conducted by the institution's coach;
- (b) A program in which the institution's coach is participating; or
- (c) A program for which a member of the institution's athletics staff has been instrumental in arranging for the appearance of the prospect or coach or related program material.
  - **13.11.3.1 Announcer for High-School Broadcast.** A member of the athletics staff of a member institution may not serve as an announcer or commentator for a high-school, college preparatory school or two-year college contest, or appear (in person or by means of film, audio tape or videotape) on a radio or television broadcast of such contest. This restriction does not apply to contests involving national teams in which prospective student-athletes may be participants, including the Olympic Games. (*Revised:* 1/10/95, 1/12/99)
  - **13.11.3.2 Game Broadcast/Telecast.** A prospect may not be interviewed during the broadcast or telecast of an institution's intercollegiate contest. A member institution may not permit a station telecasting a game to show a videotape of competition involving high-school, preparatory school or two-year college players.
- **13.11.4 Prospect's Visit.** A member institution shall not publicize (or arrange for publicity of) a prospect's visit to the institution's campus. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. *(Revised: 1/14/97)*
- **13.11.5 Introduction of Prospect.** An institution may not introduce a visiting prospect at a function (e.g., the institution's sports award banquet or an intercollegiate athletics contest) that is attended by media representatives or open to the general public. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised:* 1/14/97)
- **13.11.6 Intent to Enroll.** A member institution shall not publicize (or arrange for publicity of) a prospect's intention to accept its offer of financial assistance. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised:* 1/14/97)
- **13.11.7 Photograph of Prospect.** It is permissible for an institution to photograph a prospect during a campus visit to be used in the institution's permissible publicity and promotional activities (e.g., press release, media guide), but the photograph may not be given to the prospect. (*Adopted: 1/16/93, Revised: 1/11/94*)
- **13.11.8 Announcement of Signing.** Publicity released by an institution concerning a prospect's commitment to attend the institution shall occur only after the prospect has signed a National Letter of Intent or subsequent to the prospect's signed acceptance of the institution's written offer of admission and/or financial aid. Such communications shall be limited to those media forms normally used by the institution. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised:* 1/14/97)
  - **13.11.8.1 Media Outlets.** Such communications shall be released only to those media outlets normally used by the institution and by the educational institutions currently and formerly attended by the prospect.
    - **13.11.8.1.1 Video Clips.** It shall be permissible for an institution to show video clips of a prospect through its normal media outlets (e.g., television, Web sites) during its announcement of the prospect's signing, provided such publicity occurs only after the prospect has signed a National Letter of Intent or subsequent to the prospect's signed acceptance of the institution's written offer of admission and/or financial aid. Other prospects may appear in the video clips, provided the focus of the clips is related to the prospect who has committed to the institution. (*Adopted: 2/16/00*)

- **13.11.8.2 Press Conferences.** Institutional press conferences, receptions, dinners and similar meetings held for the purpose of making such an announcement are expressly prohibited.
  - **13.11.8.2.1 One-Time Exception—Announcement of All Signings.** An institution may make an announcement on the institution's campus for the sole purpose of presenting at one time to the media the names of all prospects who have accepted offers of admission and/or athletically related aid in a particular sport from that institution, with the understanding that no prospects (or their friends or relatives) may be in attendance.
  - **13.11.8.2.2** Independent Announcement by Prospect. Press conferences to announce a signing may be arranged independently by the prospect (or the prospect's family), provided there is no arrangement or involvement whatsoever by the institution or representatives of its athletics interests.
  - 13.11.8.2.3 Booster Functions. An institution may produce a single highlight film (one per sport), which includes video clips of all prospects who have signed a National Letter of Intent or accepted a written offer of admission and/or financial aid from the institution, to show to its boosters in conjunction with booster club functions. Other prospects may appear in the video clips comprising the highlight film, provided the focus of the clips is related to the prospect who has committed to the institution. (Adopted: 2/16/00)
- **13.11.8.3 Staff Member Presence.** Institutional staff members are expressly prohibited from personal contact with media representatives at the site of the signing or at any other prearranged site when a prospect accepts an offer made by the institution. This does not preclude the presence of bona fide media representatives who are employed on a part-time basis by the institution.
- **13.11.8.4 Signings On Campus.** An institution is responsible for signings on its campus (whether involving an individual or a group of prospects). Any contact by the media with prospects on campus during the time the prospect signs a National Letter of Intent or tender of financial aid shall be considered to be prima facie evidence of institutional involvement in arranging media involvement and shall be prohibited.
- **13.11.8.5 Press-Release Content.** The contents of a properly issued press release may be determined by the institution and may include comments by its coaching staff members about the abilities of the prospect.

### **13.12 TRYOUTS**

- **13.12.1 Prohibited Activities.** A member institution, on its campus or elsewhere, shall not conduct (or have conducted on its behalf) any physical activity (e.g., practice session or test/tryout) at which one or more prospects (as defined in Bylaw 13.12.1.1) reveal, demonstrate or display their athletics abilities in any sport except as provided in Bylaws 13.12.2 and 13.12.3.
  - **13.12.1.1 Definition of "Prospect" for Tryout-Rule Purposes.** For purposes of the tryout rule, the phrase "prospective student-athlete" or "prospect" shall include any individual who has started classes for the ninth grade and is not enrolled in the member institution at the time of the practice or test therein described. (*Revised: 1/11/89*)
  - **13.12.1.2 Competition Against Prospects.** An institution's varsity intercollegiate team may compete against a two-year college team but may not compete against a high-school or preparatory school team. Subvarsity teams are not bound by this prohibition. The varsity team may participate in a contest against an established outside team that includes prospects, provided the outside team is ongoing and was not established for the specific purpose of competing against the collegiate team.
    - **13.12.1.2.1 Exception—Women's Volleyball**. In the sport of women's volleyball, a varsity team may not participate in a contest against an established outside team that includes high-school prospects, except as allowed in Bylaw 17.26.9.2. (Adopted: 10/28/99 effective 8/1/00)
  - **13.12.1.3** Competition in Conjunction with a High School, Preparatory School or Two-Year College. In the sports of basketball, football, gymnastics and volleyball, member institutions shall not permit competition between or among high schools, preparatory schools or two-year colleges to be conducted in conjunction with a collegiate athletics event (see Bylaw 13.16.1.4). (*Revised:* 1/10/90, 1/10/95)
    - 13.12.1.3.1 Criteria. An intercollegiate contest may be scheduled on the same day as a high-school, preparatory school or two-year college contest (without being considered to be scheduled "in conjunction" with that event) only if the college and high-school, preparatory school or two-year college events are conducted in separate sessions, separate tickets are sold for the events, and the playing facility is cleared between the contests. (Revised: 1/10/90, 1/10/95)
  - **13.12.1.4 Nonscholastic-Based Basketball.** A member of an institution's coaching staff may not participate in coaching activities involving nonscholastic-based basketball teams, regardless of the age or gender of the participants involved in such teams. In addition, coaching staff members may not partic-

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ipate on such teams that include individuals with eligibility remaining or that include individuals of prospect age or younger. (Revised: 1/10/95, 1/9/96 effective 8/1/96)

**13.12.1.5 Tryout Camps.** A member institution or conference may not conduct a tryout camp devoted to agility, flexibility, speed and strength tests for prospects. A member institution's staff members may not attend such a tryout camp sponsored by an outside organization if the camp invites only representatives from selected institutions or conferences to observe the camp. (*Adopted: 1/10/92*)

### 13.12.2 Permissible Activities

- **13.12.2.1 Preseason Practice and Competition.** A student-athlete who is not enrolled, but who has been accepted for admission to the institution in a regular full-time program of studies, shall be permitted to engage in preseason practice and competition in fall sports or practice occurring in midyear between terms on the academic calendar, provided such practice is not used to determine whether aid is to be awarded.
- **13.12.2.2 Recreational Activities.** A prospect visiting a member institution may participate in physical workouts or other recreational activities during a visit to an institution's campus, provided such activities:
- (a) Are not organized or observed by members of the athletics department coaching staff; and
- (b) Are not designed to test the athletics abilities of the prospect. (Revised: 1/11/94)
- **13.12.2.3 Local Sports Clubs.** In sports other than basketball, an institution's coach may be involved as a participant or in instructional or coaching activities in the same sport for a local sports club or organization located in the institution's home community, provided all prospects participating in said activities are legal residents of the area (within a 50-mile radius of the institution). Further, in club teams involving multiple teams or multiple sports, the 50-mile radius is applicable only to the team with which the institution's coach is involved; however, it is not permissible for the coach to assign a prospect who lives outside the 50-mile area to another coach of the club. A coach also may be involved in activities with individuals who are not of prospect age (i.e., prior to the ninth grade), regardless of where such individuals reside. (*Revised: 1/10/90, 1/16/93*)
  - **13.12.2.3.1 Institutional Sponsorship of Local Sports Club.** Neither an institution's athletics department nor an institution's athletics booster group may sponsor a local sports club that includes prospects. It is permissible for a department of the institution that operates independent of the athletics department (e.g., physical education department, recreation department) to sponsor a local sports club that includes prospects, provided no athletics department staff member is involved with the club team. (Adopted: 1/16/93, Revised: 1/11/94)
- **13.12.2.4 Sports Camps and Clinics.** An institution's coach may be employed in sports camps, coaching schools and clinics per Bylaw 13.13 without violating the tryout rule.

### 13.12.2.5 Medical Examinations

- **13.12.2.5.1 During Campus Visit.** During a prospect's official or unofficial visit to campus, a member institution, through its regular team or other designated physician, may conduct a medical examination to determine the prospect's medical qualifications to participate in intercollegiate athletics, provided no athletics department staff member other than the trainer is present and the examination does not include any test or procedure designed to measure the athletics agility or skill of the prospect.
- **13.12.2.5.2 After Signing or Acceptance for Enrollment.** It shall be permissible to administer medical examinations at any time to prospects who either have signed the National Letter of Intent with the involved institution or have been accepted for enrollment in a regular full-time program of studies at that institution, provided the examinations occur during an official paid visit or the prospects visit the institution at their own expense for this purpose. Such an examination may take place before or after, but not during, a prospect's visit to the campus to attend a general orientation session pursuant to Bylaw 13.16.2.4. (*Revised: 1/14/97*)

### **13.12.3 Tryout Exceptions** (*Revised: 1/11/89*)

- **13.12.3.1 Developmental Clinics.** Developmental clinics (including competition conducted in conjunction with the clinic) involving prospects shall not be considered tryouts, provided:
- (a) They are designed to develop fundamental skills in a sport (rather than to refine the abilities of skilled participants in the sport);
- (b) They are open to the general public (except for restrictions in age or number of participants);
- (c) They are conducted by and subject to the control of the host member institution;
- (d) They are conducted primarily for educational purposes and do not include material benefits for the participants (e.g., awards, prizes, merchandise gifts);

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- (e) Clinic participants do not receive a recruiting presentation; and (Adopted: 1/11/89)
- (f) Clinic participants reside in the state in which the host institution is located or within 100 miles of the host institution. (Adopted: 1/11/89)
- **13.12.3.2** "Open" Events. Participation by a prospect in "open" events conducted by or held on the campus of a member institution shall not be considered tryouts. Competition shall be considered "open" if the competitive event itself is not classified by age group or level of educational institution represented, and the selection of participants is not limited except by number, by geographical area or on the basis of some objective standard of performance.
- **13.12.3.3 Activities Not Involving Institution's Staff.** The use of a member institution's facilities for physical activities by a group that includes prospects shall not be considered a tryout, provided the institution's athletics department staff members or representatives of its athletics interests are not involved in the conduct, promotion or administration of the activity (other than activities incidental to supervising the use of the facilities) and are subject to all applicable NCAA recruiting legislation.
- **13.12.3.4 State, Regional, National or International Training Programs.** Participation by an institution's athletics department staff member in recognized state, regional, national or international training programs or competition organized and administered by the applicable governing body or athletics authority shall not be considered tryouts. A member institution's coaching staff member may not participate in noncoaching activities (e.g., consultant, on-site coordinator, participant selection), except as provided in Bylaws 13.12.3.4.1 and 13.12.3.4.2. (*Revised: 1/9/96, 11/10/97*)
  - **13.12.3.4.1 Coach/Prospect Competition.** It is permissible for an institution's coach to participate with or against prospects in recognized regional, national or international training programs or competition, provided the competition is regularly scheduled under the authority of an outside sports organization and both the coach and the prospect are eligible to enter the competition.
  - **13.12.3.4.2 Administration of "State Games."** A member institution serving as the site of "state games" is permitted to involve its staff members in the administration of the event but may not be involved in the selection or assignment of participants and coaches participating in the event. (Adopted: 1/10/91)
- **13.12.3.5** High-School, Preparatory School and Two-Year-College Contests. Regularly scheduled high-school, preparatory school and two-year-college athletics contests or matches, conducted by a member institution or sponsored jointly with an outside organization and held on the campus of a member institution, shall not be considered tryouts, provided the following conditions are met [see Bylaw 13.2.3-(c) for restrictions related to the provision of awards at such contests]:
- (a) The opportunity to participate in the event is not limited to specific prospects or educational institutions and all individual prospects or educational institutions in a specific geographical area are eligible to compete (limited only by number, by institutional classification or on the basis of some objective standard of performance);
- (b) The event appears on the schedules of the prospects' educational institutions; and
- (c) The event is conducted by a national sports federation of which the NCAA is a member or it has been approved prior to the season by the appropriate state or national authority.
- **13.12.3.6 High-School, Preparatory School and Two-Year-College Practice and Competition.** The regular or emergency use of a member institution's facilities by a high-school, preparatory school or two-year-college athletics team for its normal practice activities (conducted solely by its coaches) or for regularly scheduled high-school, preparatory school or two-year-college contests (conducted solely by those participating institutions) shall not be considered tryouts, provided the following conditions are met:
- (a) The competition is approved by the appropriate state or national authority; and
- (b) The member institution and representatives of its athletics interests are not involved in any way in the invitation or selection of participating schools or individuals.
- **13.12.3.7 Officiating.** An institution's coach may officiate competition that involves prospects, provided the competition is regularly scheduled under the authority of an outside sports organization.

### 13.13 SPORTS CAMPS AND CLINICS

### 13.13.1 Institution's Sports Camps and Clinics

**13.13.1.1 Definition.** An institution's sports camp or instructional clinic shall be any camp or clinic that is owned or operated by a member institution or an employee of the member institution's athletics department, either on or off its campus, and in which prospects participate. (Adopted: 1/11/89, Revised: 1/10/90)

# Sports Camps and Clinics/13.13.1.1.1—13.13.1.6

- **13.13.1.1.1 Purposes of Camps or Clinics.** An institution's sports camp or clinic shall be one that:
- (a) Places special emphasis on a particular sport or sports and provides specialized instruction, practice or competition;
- (b) Involves activities designed to improve overall skills and general knowledge in the sport; or
- (c) Offers a diversified experience without emphasis on instruction, practice or competition in any particular sport. (Adopted: 1/11/89, Revised: 1/10/90)
- **13.13.1.1.2 Football and Basketball.** An institution's football or basketball camp or clinic may be conducted only during the months of June, July and August, unless such activities meet the provisions regarding developmental clinics set forth in Bylaw 13.12.3.1. (Adopted: 1/11/89, Revised: 1/10/90)
- **13.13.1.2 Attendance Restriction.** A member institution's sports camp or clinic shall be open to any and all entrants (limited only by number and age). (*Revised: 1/11/89, 1/10/91, 1/11/94*)
  - **13.13.1.2.1 Exception—Football.** In the sport of football, a "senior prospect" shall not be permitted to enroll, participate or be employed at any such sports camp or clinic. Senior prospects may enroll or participate, but may not be employed, in those developmental clinics outlined in Bylaw 13.12.3.1. (Adopted: 1/10/95)
    - **13.13.1.2.1.1 Senior Prospect Defined.** For purposes of this legislation, a "senior prospect" is a prospect who is eligible for admission to a member institution or who has started classes for the senior year in high school. A preparatory school or two-year college student is considered to be a "senior prospect" for purposes of this rule. (Adopted: 1/10/95)
    - **13.13.1.2.1.2 No Physical Activity.** A "senior prospect" may attend a camp or clinic, provided the prospect does not participate in any physical activities. (*Adopted:* 1/10/95)
- **13.13.1.3 Recruiting Calendar Exceptions.** The interaction during sports camps and sports clinics between prospects and those coaches employed by the camp or clinic is not subject to the recruiting calendar restrictions. However, other coaches wishing to attend the camp as observers must comply with appropriate recruiting contact and evaluation periods. In addition, institutional camps or clinics may not be conducted during a dead period.
- **13.13.1.4 Advertisements.** Restrictions relating to advertisements of an institution's sports camps and clinics in recruiting publications are set forth in Bylaw 13.4. Such restrictions do not apply to sports camp and clinic advertisements in nonrecruiting publications (e.g., a member institution's game program).
- 13.13.1.5 Employment of Prospects/No Free or Reduced Admission Privileges
  - **13.13.1.5.1 General Rule.** An institution, members of its staff or representatives of its athletics interests shall not employ or give free or reduced admission privileges to a high-school, preparatory school or two-year college athletics award winner. For purposes of this rule, a high school includes the ninth-grade level, regardless of whether the ninth grade is part of a junior high-school system.
  - **13.13.1.5.2 Payment of Expenses.** A representative of an institution's athletics interests may not pay a prospect's expenses to attend a member institution's sports camp or clinic.

### 13.13.1.5.3 Concession Arrangement

- **13.13.1.5.3.1 Prospective Student-Athlete.** An institution may not permit or arrange for a prospect, at the prospect's own expense, to operate a concession to sell items related to or associated with the institution's camp.
- **13.13.1.5.3.2** Enrolled Student-Athlete. A student-athlete, at the student-athlete's own expense, may not operate a concession to sell items related to or associated with his or her institution's camp to campers or others in attendance because such an arrangement would be considered an extra benefit. However, the institution may employ the student-athlete at a reasonable rate to perform such services for the camp.
- **13.13.1.5.4 Awards.** Prospects may receive awards from a member institution's sports camp or clinic with the understanding that the cost of such awards is included in the admissions fees charged for participants in the camp or clinic. (Adopted: 1/10/92)
- 13.13.1.6 Educational Session. An institution's basketball sports camp or clinic must include an educational session detailing NCAA initial-eligibility standards to all camp and/or clinic participants. (Adopted: 1/14/97)

### 13.13.2 Employment at Camp or Clinic

- **13.13.2.1 Student-Athletes** A student-athlete who is employed in any sports camp or clinic must meet the following requirements:
- (a) The student-athlete must perform duties that are of a general supervisory character and any coaching or officiating assignments shall represent not more than one-half of the student-athlete's work time.
- (b) Compensation provided to the student-athlete shall be commensurate with the going rate for camp or clinic counselors of like teaching ability and camp or clinic experience and may not be paid on the basis of the value that the student-athlete may have for the employer because of the athletics reputation or fame the student-athlete has achieved. It is not permissible to establish varying levels of compensation for a student-athlete employed in a sports camp or clinic based on the level of athletics skills of the student-athlete. (Revised: 1/11/94)
- (c) A student-athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic. (Adopted: 1/11/94)
  - **13.13.2.1.1 Self-Employment.** A student-athlete with remaining eligibility is not permitted to conduct his or her own camp or clinic.
  - **13.13.2.1.2 Provision of Travel Expenses to All Employees.** A student-athlete may receive actual travel expenses (including lodging and meals in transit and prepaid plane tickets or cash advances) only if such travel expenses are paid and procedures for reimbursement of expenses are used for all employees of the camp/clinic. Credit cards may not be provided to a student-athlete to pay such expenses. (Adopted: 1/10/92)
  - **13.13.2.1.3 Cash Advances Based on Anticipated Earnings.** In the case where all employees do not receive travel expenses, a cash advance based on anticipated earnings may be provided to a student-athlete only if such advance does not exceed the estimated actual and necessary cost of travel or the student-athlete's estimated employment earnings, whichever is less, and such a benefit is available to all camp employees. (*Adopted: 1/10/92*)
  - 13.13.2.1.4 Transportation from Coach or Representative of Athletics Interests. Actual transportation from an institution's coach or representative of its athletics interests may be provided to a student-athlete only if travel expenses are paid for all employees of the camp/clinic. (Adopted: 1/10/92)
  - **13.13.2.1.2** Employment in Own Institution's Camp or Clinic—Sports Other Than Football. In sports other than football, a member institution (or employees of its athletics department) may employ its student-athletes as counselors in camps or clinics, subject to the following conditions: (*Revised:* 1/11/94, 1/10/95)
  - (a) The student-athlete shall not participate in organized practice activities other than during the institution's playing season in the sport (see Bylaw 17.1.1); and
  - (b) The member institution's director of athletics must give prior approval to the student-athlete's employment arrangement. (*Revised: 1/11/89*)

### 13.13.2.1.3 Employment in Camp or Clinic—Football

- **13.13.2.1.3.1** Employment in Specialized Camp—Student-Athlete's Institution. In football, no member of an institution's football squad who has eligibility remaining may be employed or otherwise participate in that institution's football camp. (Adopted: 1/10/95)
- 13.13.2.1.3.2 Employment in Specialized Camp—Other Than Student-Athlete's Institution. In football, a member of an institution's football squad who has eligibility remaining may be employed in any camp that specializes in the sport of football, other than his own institution's camp, provided not more than one football student-athlete from any one Division I institution is employed. (Adopted: 1/10/95)
- **13.13.2.1.3.3 Employment in Diversified Sports Camp.** In football, a member of an institution's football squad who has eligibility remaining may be employed as a counselor in any diversified camp, including a diversified camp conducted by the student-athlete's own institution, provided not more than one member with eligibility remaining of the previous year's football squad of any one Division I institution is employed. (*Adopted: 1/10/95*)
- **13.13.2.2 High-School, Preparatory School or Two-Year-College Coaches.** A member institution (or employees of its athletics department) may employ a high-school, preparatory school or two-year-college coach at its camp or clinic, provided: (*Revised: 1/11/94*)
- (a) The coach receives compensation that is commensurate with the going rate for camp counselors of like teaching ability and camp experience; and

# Sports Camps and Clinics/13.13.2.2—High-School All-Star Games/13.14.1

- (b) The coach is not paid on the basis of the value the coach may have for the employer because of the coach's reputation or contact with prospects. (Adopted: 1/11/89)
  - **13.13.2.2.1 Prohibited Compensation.** A member institution may not compensate or reimburse a high-school, preparatory school or two-year college coach based on the number of campers the coach sends to the camp.
- **13.13.2.3 Athletics Staff Members.** A member institution's athletics staff member may be involved in sports camps or clinics unless otherwise prohibited in this section.
  - **13.13.2.3.1 Camp/Clinic Providing Recruiting or Scouting Service.** No athletics department staff member may be employed (either on a salaried or a volunteer basis) in any capacity by a camp or clinic established, sponsored or conducted by an individual or organization that provides recruiting or scouting services concerning prospects. This provision does not prohibit an athletics department staff member from participating in an officiating camp where participants officiate for, but are not otherwise involved in, a scouting services camp. (Adopted: 1/11/89, Revised: 1/10/90, 1/10/92)
  - **13.13.2.3.2 Football and Basketball Employment in Noninstitutional Camp.** A member institution's coaching staff members in the sports of football and basketball may not be employed by (either on a salaried or a volunteer basis) or lecture at a noninstitutional (i.e., privately owned) football or basketball camp or clinic in which prospects of either gender participate. (Adopted: 1/10/90, Revised 1/10/91, 1/10/92)
- **13.13.3 FCA and AIA Camps and Conferences, NYSP and YES Clinics.** Camps, clinics and conferences conducted by the Fellowship of Christian Athletes, Athletes in Action, or as part of the National Youth Sports Program and Youth Education through Sports program are exempt from NCAA restrictions on camps and clinics. (Revised: 1/14/97 effective 8/1/97, Revised: 4/27/00 effective 8/1/00)
- **13.13.4 Privately Owned Camp.** An institution's athletics department personnel may not serve in any capacity (e.g., guest lecturer, consultant) in a privately owned camp, clinic or coaching school in which a high-school, preparatory school or two-year college athletics award winner is employed, and, in football, in which a senior prospect is enrolled, participates or is employed. (See Bylaw 13.13.2.3.2 for additional restrictions on football and basketball.) (*Revised:* 1/10/91, 1/11/94, 1/10/95)
  - **13.13.4.1 Payment of Expenses.** It is not permissible for a representative of an institution's athletics interests to pay a prospect's expenses to attend a privately owned sports camp, clinic or coaching school.
  - **13.13.4.2** Advertisements. Restrictions related to the advertising of private camps or clinics involving student-athletes are set forth in Bylaw 12.5.1.8.

The following 13.13.5 was revised at the NCAA Division I Board of Directors meeting April 27, 2000, effective August 1, 2001:

- **13.13.5 Coaches' Attendance at Basketball Events.** A member of an institution's basketball coaching staff may attend noninstitutional nonorganized events (e.g., pick-up games), institutional basketball camps per Bylaw 13.13.1.1 and noninstitutional organized events (e.g., camps, leagues, tournaments and festivals) that are certified per Bylaw 30.16. The violation shall be considered an institutional violation per Constitution 2.8.1; however, it shall not affect the prospect's eligibility. (Adopted: 1/16/93, Revised: 1/11/94, 1/10/95, 1/9/96 effective 8/1/96, Revised: 10/28/99)
- **13.13.5 Coaches' Attendance at Basketball Events.** In men's basketball, institutional coaching staff members are restricted to attending events that are approved, sanctioned, sponsored or conducted by the applicable high-school associations, National Federation of State High School Associations or the National Junior College Athletic Association. In women's basketball, a member of an institution's basketball coaching staff may attend noninstitutional nonorganized events (e.g., pick-up games), institutional basketball camps per Bylaw 13.13.1.1 and noninstitutional organized events (e.g., camps, leagues, tournaments and festivals) that are certified per Bylaw 30.16. The violation shall be considered an institutional violation per Constitution 2.8.1; however, it shall not affect the prospect's eligibility. (Adopted: 1/16/93, Revised: 1/11/94, 1/10/95, 1/9/96 effective 8/1/96, Revised: 10/28/99, 4/27/00 effective 8/1/01)

### 13.14 HIGH-SCHOOL ALL-STAR GAMES

**13.14.1 Coach Involvement.** A member institution shall not permit any coach or athletics department staff member directly involved in the recruiting of student-athletes to participate (directly or indirectly) in the management, coaching, officiating, supervision, promotion or player selection of any all-star team or contest involving interscholastic players or those who, during the previous school year, were members of high-school athletics teams.

# High-School All-Star Games/13.14.1.1—Precollege Expenses/13.16.1

- **13.14.1.1 Previous Contractual Agreement.** If a coach has made a contractual commitment to coach in a high-school all-star game prior to being employed by a member institution and then becomes a member of the institution's staff before the game is held, the coach shall be obligated to observe this provision and disassociate himself or herself from the all-star game.
- **13.14.2 Use of Institutional Facilities.** A member institution's facilities shall not be made available for a high-school all-star game unless the provisions of Bylaw 13.12.3.3 are met (also see Bylaw 30.2.1.4).
- **13.14.3 Use of Institutional Equipment.** It is not permissible for an institution to provide its athletics equipment to a prospect (e.g., for use in a high-school all-star game).

### 13.15 USE OF RECRUITING FUNDS

- **13.15.1 Institutional Control.** All funds for the recruiting of prospects shall be deposited with the member institution, which shall be exclusively and entirely responsible for the manner in which such funds are expended.
- **13.15.2 Visiting a Prospect.** A member institution's athletics department staff member may visit a prospect or the prospect's relatives or legal guardian(s) at any location for recruiting purposes. However, on any such visit, the staff member may not expend any funds other than the amount necessary for his or her own personal expenses.

# 13.15.3 Recruiting Services

- **13.15.3.1 Published Recruiting Services.** An institution may subscribe to a regularly published scouting service involving prospects, provided this service is made available to all institutions desiring to subscribe and at the same fee rate for all subscribers.
- **13.15.3.2 Video Services.** Member institutions are permitted to use video services so long as only regularly scheduled (regular season) high-school or two-year college contests are involved. The institution may not contract with the service in advance to have a particular contest videotaped, and the service must be available to all institutions at the same cost. Off-campus observation of a prospect on a videotape made available by a scouting service is considered an evaluation activity and is subject to applicable evaluation regulations. (*Revised:* 9/15/97)
- **13.15.4 Slush Funds.** An institution shall not permit any outside organization, agency or group of individuals to utilize, administer or expend funds for recruiting prospects, including the transportation and entertainment of, and the giving of gifts or services to, prospects or their relatives, legal guardian(s) or friends.
  - **13.15.4.1 Pooled Resources.** The pooling of resources for recruiting purposes by two or more persons shall constitute such a slush fund. However, this provision shall not apply to persons upon whom a prospect may be naturally or legally dependent.
  - **13.15.4.2 Company Funds.** The use of a company's funds to pay the expenses incurred in transporting a prospect to the campus constitutes the use of pooled resources.
- **13.15.5 Alumni Organizations.** Bona fide alumni organizations of an institution may sponsor luncheons, teas or dinners at which prospective students (athletes and nonathletes) of that immediate locale are guests. A member institution's area alumni organization may be considered a bona fide part of that institution, provided such an organization is accredited by the chief executive officer of the institution and meets these additional terms and conditions:
- (a) A staff member of the institution periodically shall inspect the financial records of the alumni organization and certify that the expenditures comply with the rules and regulations of the NCAA and the conference(s), if any, of which the institution is a member; and
- (b) A club official shall be designated by the chief executive officer as the institution's official agent in the administration of the club's funds, and said club official shall file regular reports to the institution relating the manner in which the club funds have been spent in the recruiting of student-athletes.
  - **13.15.5.1 Subject to NCAA Rules.** When an alumni organization is certified by the chief executive officer as being a bona fide part of the institution, said organization becomes subject to all of the limitations placed upon the member institution by NCAA legislation. A violation of such legislation by any member of the alumni organization shall be a violation by the member institution.

### 13.16 PRECOLLEGE EXPENSES

**13.16.1 Prohibited Expenses.** An institution or a representative of its athletics interests shall not offer, provide or arrange financial assistance, directly or indirectly, to pay (in whole or in part) the costs of the prospect's educational or other expenses for any period prior to his or her enrollment or so the prospect can obtain a postgraduate education.

### Precollege Expenses/13.16.1.1—13.16.2.2

- **13.16.1.1 Extent of Prohibition.** The provisions of Bylaw 13.16.1 apply to all prospects, including those who have signed a National Letter of Intent or an institutional offer of admission or written tender of financial assistance.
- **13.16.1.2 Fund Raising for High-School Athletics Program.** An institution may not provide funding, directly or through paid advertisements, to benefit a high-school athletics program. (*Adopted:* 1/10/92)
  - 13.16.1.2.1 Involvement by Local Representatives of Institution's Athletics Interests. A representative of an institution's athletics interests may provide funding to benefit a high-school athletics program located in the community in which the athletics representative resides, provided: (Adopted: 1/10/92)
  - (a) The representative acts independently of the institution;
  - (b) The funds are distributed through channels established by the high school or the organization conducting the fund-raising activity; and
  - (c) The funds are not earmarked directly for a specific prospect.
- **13.16.1.3** College Use of High-School Facility. An institution may not contribute to a high school or its athletics booster club any funds realized from an athletics contest played or a practice held at a high-school facility, except actual and necessary expenses for rental of the facility.
- 13.16.1.4 High-School Contest in Conjunction with College Competition. A high-school contest held in conjunction with an institution's intercollegiate contest shall be governed by the following: (Revised: 1/10/90, 1/10/95)
- (a) It shall involve a sport other than basketball, football, gymnastics or volleyball;
- (b) It shall be regularly scheduled and approved by the appropriate state high-school authority;
- (c) The member institution shall not provide the high school with any financial compensation, including transportation expenses or a guarantee or percentage of the income realized from the contest; and
- (d) The only expenses the member institution may incur are the operating and maintenance costs associated with its facility's use for the high-school contest.

### 13.16.1.5 Donation of Equipment

- **13.16.1.5.1 Athletics Equipment.** A member institution may not provide athletics equipment to a high school. However, a member institution is permitted to provide athletics equipment to bona fide youth organizations (e.g., the YMCA, a boy scout troop, a summer recreation league) that may consist of some prospects, provided the issuance of equipment is in accordance with the institution's regular policy regarding the discarding of equipment. Further, only those organizations within a 30-mile radius of the campus may be provided such equipment by the institution.
  - **13.16.1.5.1.1 Exception—Women's Rowing.** An institution may loan rowing equipment to a high school's or junior club program's women's team on an issuance and retrieval basis and may permit high schools' and junior club programs' women's teams to use its rowing facilities for practice and/or competition. (*Adopted: 1/9/96 effective 8/1/96*)
  - **13.16.1.5.1.2 Donation of Used Athletics Equipment to Foundation.** An institution may donate used athletics equipment to a nonprofit foundation established to distribute such equipment to high schools, provided the request for such donations is initiated by the foundation and the institutions have no part in selecting the high schools that are to receive the equipment. (Adopted: 1/11/94)
- **13.16.1.5.2 Nonathletics Equipment.** A member institution may provide nonathletics equipment (e.g., a computer) to a high school, provided there is no athletics department involvement and the equipment is not utilized to benefit only the high school's athletics program. (*Adopted: 1/10/91*)
- **13.16.1.6 Ticket Sales.** It is not permissible for a member institution to compensate a high school, preparatory school or two-year college for selling tickets to the institution's athletics contests. Specifically, the member institution may not provide such forms of compensation as a guarantee, a percentage of the income realized from the sale of the tickets or any other form of commission for providing such services.

### 13.16.2 Permissible Expenses

- **13.16.2.1 ACT and SAT Scores.** An institution may pay a fee required by the appropriate testing agency to obtain a prospect's official ACT or SAT scores. (*Adopted: 1/10/90*)
- **13.16.2.2 Collect and Toll-Free Telephone Calls.** Institutional staff members may accept collect telephone calls placed by prospects, provided the calls are placed no earlier than July 1 following comple-

# Precollege Expenses/13.16.2.2—U.S. Service Academy Exceptions/13.17.2

tion of the prospect's junior year in high school. The institution may utilize a toll-free number to receive telephone calls by prospects, prospects' parents or legal guardians. (Adopted: 1/10/91 effective 7/1/91, Revised: 1/10/92, 1/11/94)

- **13.16.2.3 Institution Providing Items to Athletics Youth Groups for Fund Raising.** An institution may provide items to assist in the fund-raising efforts of athletics youth groups composed of individuals who have not started classes for the ninth grade without causing such individuals to become prospective student-athletes per Bylaw 13.02.10. (*Adopted: 1/10/95*)
- **13.16.2.4 Student Orientation Sessions.** An institution may pay on-campus expenses (e.g., meals, lodging) for prospects to attend institutional orientation sessions conducted for all students. However, an institution may provide on-campus expenses to student-athletes to attend orientation sessions for a particular group of students selected on a basis unrelated to athletics ability only if the institution is providing expenses on a uniform basis to all members of that particular group. (Adopted: 1/10/95, Revised: 1/9/96)

### 13.17 U.S. SERVICE ACADEMY EXCEPTIONS

### 13.17.1 Contacts

- **13.17.1.1 During Junior Year in High School.** Members of the athletics staffs of the U.S. Air Force, Military, Coast Guard, Merchant Marine and Naval Academies, where Congressionally required institutional procedures applying to all cadets and midshipmen, regardless of athletics ability, are in conflict with the Association's legislation governing telephonic and off-campus recruiting contacts, may make inperson, telephonic and off-campus contacts during a prospect's junior year in high school. The total number of such contacts, including those subsequent to the prospect's junior year, shall not exceed the number permitted in the applicable sport.
- **13.17.1.2 After National Letter-of-Intent Signing Date.** There shall be no limit on the number of recruiting contacts with the prospect, the prospect's relatives or legal guardian(s) [consistent with the provisions of Bylaw 13.1.6.3-(a) through (f)] made by a national service academy to which the prospect has applied for admission after the National Letter of Intent signing date in the sport.
- **13.17.1.3** Freshmen at Summer Enrollment Programs. Freshmen entering the official summer enrollment program of one of the four national service academies (i.e., U.S. Air Force, Coast Guard, Military and Naval Academies) shall be considered student-athletes of a senior collegiate institution and may not be contacted by other member institutions without permission from the athletics director of the service academy.
- **13.17.2 Precollege Expenses.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of Bylaw 13.16, provided such waivers are limited to procedures involving preparation for entrance into one of the U.S. service academies (see Bylaw 30.17.1).

Men's Basketball Recruiting Calendar August 1, 2000 - July 31, 2001 (See Bylaw 30.11.1 for men's basketball calendar formula)

The dates in this calendar reflect the application of Bylaw 30.11 at the time of the publication of this Manual but are subject to change per Constitution 5.2.3.1 or if certain dates (e.g., National Letter of Intent signing dates) are altered.

(a)	August 1, 2000, through September 6, 2000:	Quiet Period
(b)	September 7, 2000, through October 6, 2000: [institutional staff members shall make contact with prospects for no more than 18 days during the 30-day period.]	Contact Period
(c)	October 7, 2000, through November 15,2000[except for (1) below]:	Quiet Period
	(1) November 6, 2000, through November 9, 2000:	Dead Period
(d)	November 16, 2000, through March 15, 2001 [except for (1) below]: 50 evaluation days selected at the discretion of the institution and designated in writing in the office of the director of athletics; institutional staff members shall not visit a prospect's educational institution on more than one day per week during this period:	Evaluation Period
	(1) Those days during November 16,2000, through March 15,2001, not designated above for evaluation purposes:	Quiet Period
(e)	March 16, 2001, through March 22, 2001:	Contact Period
(f)	March 23, 2001, through April 5, 2001 [except for (1) below]: (1) March 29, 2001, through April 3, 2001 (noon):	Quiet Period Dead Period
(g)	April 6, 2001, through April 20, 2001 [except for (1) below]:	Contact Period
	(1) April 9, 2001, through April 12, 2001:	Dead Period
(h)	April 21, 2001, through May 31, 2001:	Quiet Period
(i)	June 1, 2001, through July 7, 2001:	Quiet Period
(j)	July 8, 2001, through July 14, 2001:	<b>Evaluation Period</b>
(k)	July 15, 2001, through July 24, 2001:	Dead Period
(I)	July 25, 2001, through July 31, 2001:	<b>Evaluation Period</b>

Women's Basketball Recruiting Calendar August 1, 2000 - July 31, 2001 (See Bylaw 30.11.2 for women's basketball calendar formula)

The dates in this calendar reflect the application of Bylaw 30.11 at the time of the publication of this Manual but are subject to change per Constitution 5.2.3.1 or if certain dates (e.g., National Letter of Intent signing dates) are altered.

(a)	August 1, 2000, through September 8, 2000:	Quiet Period
(b)	September 9, 2000, through September 29, 2000:	Contact Period
(c)	September 30, 2000, through October 7, 2000:	Quiet Period
(d)	October 8, 2000, through February 28, 2001 [except for (1) and (2) below]—40 evaluation days selected at the discretion of the institution and designated in writing in the office of the director of athletics; institutional staff members shall not visit a prospect's educational institution on more than one day per week during	Evaluation Period
	this period:	
	(1) November 6, 2000, through November 9, 2000:	Dead Period
	(2) Those days during October 8, 2000, through February 28, 2001, not designated above for evaluation purposes:	Quiet Period
(e)	March 1, 2001, through March 27, 2001 [except for (1) below]—Sixteen person days selected at the discretion of the institution and designated in writing in the office of the director of athletics:	Contact Period
	(1) Those days during March 1, 2001, through March 27, 2001, not designated above for contact purposes:	Quiet Period
(f)	March 28, 2001, through noon April 2, 2001:	Dead Period
(g)	April 2, 2001, at 12:01 p.m. through April 8, 2001:	Contact Period
(h)	April 9, 2001, through April 12, 2001:	Dead Period
(i)	April 13, 2001, through July 7, 2001:	Quiet Period
(j)	July 8, 2001, through July 31, 2001:	<b>Evaluation Period</b>

# **Exceptions**

The following are exceptions to the women's basketball recruiting calendar:

Evaluation shall be permissible during the National Junior College Athletic Association championship competition.

Evaluation shall be permissible during official tryouts for the USA Basketball Olympic Festival.

Evaluation shall be permissible in the following states only during the time periods listed, provided evaluations outside of the July period are counted toward the 40 evaluation days set forth above:

Hawaii: March 1-May 31 and July 8-31

All states (except Hawaii) that play the high-school basketball season in the spring:

ng: April 8-28 and July 8-31

Football Recruiting Calendar August 1, 2000 - July 31, 2001 (See Bylaw 30.11.5 for football calendar formula)

The dates in this calendar reflect the application of Bylaw 30.11 at the time of the publication of this Manual but are subject to change per Constitution 5.2.3.1 or if certain dates (e.g., National Letter of Intent signing dates) are altered.

(a)	August 1, 2000, through November 25, 2000 [except for (1) below]:	Quiet Period
(b)	<ol> <li>Six days during the months of September 2000, October 2000, and through the last Saturday in November selected at the discretion of the institution (an authorized off-campus recruiter may visit a particular educational institution only once during this evaluation period):</li> <li>November 26, 2000, through February 3, 2001 [except for (1) through (6) below]—Six in-person off-campus contacts per prospective student-athlete shall be permitted during this time period with not more than one</li> </ol>	Evaluation Period
	permitted in any one calendar week (Sunday through Saturday) or partial calendar week:	Contact Period
	(1) December 17, 2000 (The Sunday after the third Saturday in December):	Quiet Period
	(2) December 18, 2000, through January 1, 2001:	Dead Period
	(3) January 2, 2001, through January 4, 2001:	Dead Period
	(4) January 5, 2001, through January 7, 2001:	Quiet Period
	(5) January 8, 2001, through January 11, 2001:	Dead Period
	(6) January 12, 2001, and January 13, 2001:	Quiet Period
	Additional restrictions in (1), (2) and (3) below for two-year college prospects and their educational institutions:	Quiet i enied
	(1) December 17, 2000:	Quiet Period
	(2) December 18, 2000, through December 21, 2000:	Dead Period
	(3) December 22, 2000:	Quiet Period
(c)	February 4, 2001:	Quiet Period
(d)	February 5, 2001, through February 8, 2001:	Dead Period
(e)	February 9, 2001, through April 14, 2001:	Quiet Period
(f)	April 15, 2001, through May 31, 2001 [except for (g) below]:	Evaluation Period
	Four weeks (excluding Memorial Day and Sundays) selected at the discretion of the institution and designated in writing in the office of the director of athletics (an authorized off-campus recruiter may use or evaluation to assess the prospect's athletics ability and one evaluation to assess the prospect's academic qualifications during this evaluation period	
(g)	Those days in April/May 2001 not designated above for evaluation opportunities:	Quiet Period
(h)	June 1, 2001, through July 31, 2001:	Quiet Period

Softball Recruiting Calendar August 1, 2000 - July 31, 2001 (See Bylaw 30.11.7 for softball calendar formula)

The dates in this calendar reflect the application of Bylaw 30.11 at the time of the publication of this Manual but are subject to change per Constitution 5.2.3.1 or if certain dates (e.g., National Letter of Intent signing dates) are altered.

(a)	August 1, 2000, through November 22, 2000* [except for (1) below]:	Contact/Evaluation Period
	(1) November 6, 2000, through November 9, 2000:	Dead Period
(b)	November 23, 2000, through January 1, 2001 [except for (1) below]:	Quiet Period
	(1) November 29, 2000 (12:01 a.m.), through December 4, 2000 (12:01 a.m.):	Dead Period
(c)	January 2, 2001, through July 31, 2001* [except for (1), (2)	0 ( 1/5   1 (
	and (3) below]:	Contact/Evaluation Period
	(1) April 9, 2001, through April 12, 2001:	Dead Period
	(2) May 22, 2001, through May 29, 2001 (noon):	Dead Period
	(3) May 29, 2001 (noon), through June 4, 2001 (8 a.m.):	Quiet Period

<sup>\*</sup>Each institution is limited to 50 evaluation days (August 1 - July 31) per Bylaw 13.02.7, which do not include employment of coaches in instructional camps/clinics or the observation of prospects participating in high-school softball competition.

Dead Periods in Sports Other Than Football, Basketball and Softball April 1, 2000 - May 31, 2001

(See Bylaw 30.11 for complete listing of recruiting calendars)

[Note: During the dead period surrounding the initial National Letter of Intent signing date, the National Letter of Intent may not be hand-delivered; it must be mailed.]

National Letter of i	ntent may not be nand-delivered; it must be mailed.]
Women's Gymnastics	<ul> <li>April 3, 2000, through April 6, 2000 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>April 12, 2000, through noon April 16, 2000 (Wednesday prior to the National Collegiate Women's Gymnastics Championships to noon on the Sunday after the championships).</li> <li>November 6, 2000, through November 9, 2000 (during the period Monday through Thursday of the initial week for the fall signing of the National Letter of Intent).</li> <li>April 9, 2001, through April 12, 2001 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>April 18, 2001, through noon April 22, 2001 (Wednesday prior to the National Collegiate Women's Gymnastics Championships to noon on the Sunday after the championships).</li> </ul>
Men's Ice Hockey	<ul> <li>April 3, 2000, through noon April 6, 2000 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>April 5, 2000, through April 9, 2000 (Wednesday prior to the Division I Men's Ice Hockey Championship to noon on the Sunday after the game).</li> <li>November 6, 2000, through November 9, 2000 (during the period Monday through Thursday of the initial week for the fall signing of the National Letter of Intent).</li> <li>April 9, 2001, through April 12, 2001 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>April 4, 2001, through noon April 8, 2001 (Wednesday prior to the Division I Men's Ice Hockey Championship to noon on the Sunday after the game).</li> </ul>
Women's Volleyball	<ul> <li>December 13, 2000, through December 31, 2000 (Wednesday prior to the Division I Women's Volleyball Championship game through December 31).</li> <li>February 5, 2001, through February 8, 2001 (during the period Monday through Thursday of the initial week for the signing of the National Letter of Intent).</li> </ul>
Field Hockey	<ul> <li>November 15, 2000, through noon November 20, 2000 (Wednesday prior to the National Collegiate Division I Field Hockey Championship to noon on the day after the game).</li> <li>February 5, 2001, through February 8, 2001 (during the period Monday through Thursday of the initial week for the signing of the National Letter of Intent).</li> </ul>
Men's Lacrosse	<ul> <li>April 3, 2000, through April 6, 2000 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>May 26, 2000, through noon May 30, 2000 (Friday prior to the National Collegiate Division I Men's Lacrosse Championship to noon on the Tuesday after the game).</li> <li>November 6, 2000, through November 9, 2000 (during the period Monday through Thursday of the initial week for the fall signing of the National Letter of Intent).</li> </ul>

	<ul> <li>April 9, 2001, through April 12, 2001 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>May 25, 2001, through noon May 29, 2001 (Friday prior to the National Collegiate Division I Men's Lacrosse Championship to noon on the Tuesday after the game).</li> </ul>
Women's Lacrosse	<ul> <li>April 3, 2000, through April 6, 2000 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>May 19, 2000, through noon May 21, 2000 (Friday prior to the National Collegiate Women's Lacrosse Championship through midnight on the day of the game).</li> <li>November 6, 2000, through November 9, 2000 (during the period Monday through Thursday of the initial week for the fall signing of the National Letter of Intent).</li> <li>April 9, 2001, through April 12, 2001 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>May 18, 2001, through May 20, 2001 (Friday prior to the National Collegiate Women's Lacrosse Championship through midnight on the day of the game).</li> </ul>
Soccer and Men's Water Polo	<ul> <li>February 5, 2001, through February 8, 2001 (during the period Monday through Thursday of the initial week for the signing of the National Letter of Intent).</li> </ul>
All Other Sports	<ul> <li>April 3, 2000, through April 6, 2000 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> <li>November 6, 2000, through November 9, 2000 (during the period Monday through Thursday of the initial week for the fall signing of the National Letter of Intent).</li> <li>April 9, 2001, through April 12, 2001 (during the period Monday through Thursday of the initial week for the spring signing of the National Letter of Intent).</li> </ul>

# Eligibility: Academic and General Requirements

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### **14.01 GENERAL PRINCIPLES**

**14.01.1 Institutional Responsibility.** An institution shall not permit a student-athlete to represent it in intercollegiate athletics competition unless the student-athlete meets all applicable eligibility requirements.

**14.01.2 Academic Status.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies, be in good academic standing and maintain satisfactory progress toward a baccalaureate or equivalent degree. A waiver of the minimum full-time enrollment requirement may be granted for a student enrolled in the final term of the baccalaureate program (see Bylaw 14.1.6.2.1.3). Also, a student may represent the institution while enrolled as a graduate or professional student or while enrolled and seeking a second baccalaureate degree at the same institution (see Bylaw 14.1.7).

**14.01.2.1 Good Academic Standing.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in good academic standing as determined by the academic authorities who determine the meaning of such phrases for all students of the institution, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. (Note: The restrictions, exceptions and waivers set forth in Bylaws 14.4.3.4.4, 14.4.3.4.5 and 14.4.3.7 also apply to the general requirement for good academic standing and satisfactory progress.)

**14.01.3 Compliance with Other NCAA and Conference Legislation.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in compliance with all applicable provisions of the constitution and bylaws of the Association and all rules and regulations of the institution and the conference(s), if any, of which the institution is a member. Specific attention is called to legislation affecting eligibility in the following areas.

**14.01.3.1 Amateurism.** A student-athlete shall not be eligible for participation in an intercollegiate sport if the individual takes or has taken pay, or has accepted the promise of pay in any form, for participation in that sport, or if the individual has violated any of the other regulations related to amateurism set forth in Bylaw 12.

**14.01.3.2 Awards, Benefits and Expenses.** Receipt by a student-athlete of nonpermissible awards, extra benefits, or excessive or improper expenses not authorized by NCAA legislation violates the Association's amateurism principle and renders the student-athlete ineligible for athletics participation in the sport for which the improper award, benefit or expense was received (see Bylaw 16).

**14.01.3.3 Ethical Conduct.** A prospective or enrolled student-athlete who is found to have engaged in unethical conduct (see Bylaw 10.1) shall be ineligible for intercollegiate competition in all sports. Unethical conduct consists of, but is not limited to: (Revised: 1/10/90)

- (a) Fraudulence in connection with entrance or placement examinations;
- (b) Engaging in any athletics competition under an assumed name or with intent otherwise to deceive;
- (c) Dishonesty in evading or violating NCAA regulations; or

# General Principles/14.01.3.3—Definitions and Applications/14.02.6

- (d) Knowingly furnishing the NCAA or the individual's institution false or misleading information concerning the student's involvement in or knowledge of matters relevant to a possible violation of NCAA regulations [see Bylaw 10.1-(d)]. (Revised: 1/10/90)
- **14.01.3.4 Financial Aid.** A student-athlete who receives financial assistance other than that authorized by the Association shall not be eligible for intercollegiate athletics competition (see Bylaw 15).
- **14.01.3.5 Recruitment.** Solicitation of a student-athlete's enrollment by the certifying institution or any representative of its athletics interests in violation of the Association's legislation shall render the student-athlete ineligible to represent that institution in intercollegiate athletics. A student-athlete is responsible during his or her recruitment for involvement in a violation of NCAA regulations, and the Academics/Eligibility/Compliance Cabinet may restore the eligibility of a student involved in such violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Academics/Eligibility/Compliance Cabinet in a unique case on the basis of specifically stated reasons (see Bylaw 13).
- **14.01.4** Student-Athlete Participating in Division II or Division III Sport While Enrolled in Division II. A student-athlete who participated in a sport classified in Division II or Division III while enrolled in a Division I institution prior to August 1, 1993, and who remains enrolled in that institution, shall remain subject to the applicable Division II or Division III eligibility legislation. (Adopted: 1/16/93 effective 8/1/93)

### 14.01.5 Compliance with Legislation for Emerging Sports

- **14.01.5.1 Seasons of Competition.** A member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable seasons-of-competition legislation set forth in Bylaw 14.2. (Adopted: 1/10/95)
- **14.01.5.2 Initial, Continuing and General Eligibility Requirements.** A member institution sponsoring an emerging sport for women shall comply fully in that program with all applicable initial, continuing and general eligibility legislation set forth in Bylaw 14, effective for student-athletes first entering the collegiate institution on or after August 1, 1996. (*Adopted: 1/10/95*)

### 14.02 DEFINITIONS AND APPLICATIONS

- **14.02.1 Branch School.** A branch school is an educational institution that usually offers two years of college work, does not award degrees independently, and is wholly controlled and operated by a four-year, degree-granting parent institution.
- **14.02.2 Church Mission, Official.** An official church mission is one that is required by the church of which the individual is a member and that results in the individual's being unable to attend a collegiate institution during the period of the mission.
- **14.02.3 Collegiate Institution.** A collegiate institution (for purposes of NCAA legislation) is an institution of higher education that:
- (a) Is listed as a college and coded with an H in the United States Department of Education's Directory of Postsecondary Institutions; or (Revised: 1/10/90)
- (b) Conducts an intercollegiate athletics program, even though not listed and/or coded with an H in the Directory of Postsecondary Institutions; or
- (c) Is located in a foreign country.
- **14.02.4 Exception.** An exception is the granting of relief from the application of a specific regulation (e.g., the residence requirement for a transfer student to become eligible for competition). Formal approval by the Management Council or an NCAA committee is not required. The action granting the exception may be taken solely by the certifying institution, based on evidence that the conditions on which the exception is authorized have been met (see Bylaw 14.02.12).
- **14.02.5** Good Academic Standing and Satisfactory Progress. The phrases "good academic standing" and "satisfactory progress" are to be interpreted at each member institution by the academic officials who determine the meaning and application of such phrases for all students, subject to the controlling regulations of the institution; the conference(s) (or similar associations), if any, of which the institution is a member, and applicable NCAA legislation (see Bylaw 14.4).
- **14.02.6 Intercollegiate Competition.** Intercollegiate competition occurs when a student-athlete in either a two-year or a four-year collegiate institution: (Revised: 1/10/95)
- (a) Represents the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (*Revised: 1/10/91*)

# Definitions and Applications/14.02.6—General Eligibility Requirements/14.1.2

- (b) Competes in the uniform of the institution or, during the academic year, utilizes any apparel (excluding apparel no longer utilized by the institution) or equipment received from the institution that includes institutional identification (see Bylaw 16.12.1.9 for regulations governing the use of equipment during the summer); or (Revised: 1/16/93, 1/11/94)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.
  - **14.02.6.1 Exempted Events.** Participation in events listed in Bylaw 16.8.1.3-(a) and (b) is exempted from the application of this legislation. (Revised: 1/10/92)
- **14.02.7 National Team.** A national team is one selected, organized and sponsored by the appropriate national governing bodies of the United States Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). The selection for such a team shall be made on a national qualification basis, either through a defined selective process or by actual tryouts, publicly announced in advance. In addition, the international competition in question shall require that the entrants officially represent their respective nations, although it is not necessary to require team scoring by nation.
- **14.02.8 Participation in Intercollegiate Athletics.** Participation in intercollegiate athletics occurs when a student-athlete either practices in a sport (see Bylaw 17.02.1) or competes in a sport, as defined in Bylaw 14.02.6. Eligibility rules for competition may differ from those for practice.

#### 14.02.9 Qualification Status

- **14.02.9.1 Qualifier.** A qualifier is a student who, for purposes of determining eligibility for financial aid, practice and competition, has met all of the following requirements (see Bylaw 14.3):
- (a) Graduation from high school;
- (b) Successful completion of a required core curriculum consisting of a minimum number of courses in specified subjects;
- (c) Specified minimum grade-point average in the core curriculum; and
- (d) Specified minimum SAT or ACT score.
- **14.02.9.2 Partial Qualifier.** A partial qualifier is a student who does not meet the requirements for a qualifier but who, at the time of graduation from high school, presents the core-curriculum grade-point average and the corresponding ACT or SAT score set forth in Bylaw 14.3.2.1. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92 effective 8/1/95, Revised: 1/10/95 effective 8/1/96, Revised: 1/9/96)*
- **14.02.9.3 Nonqualifier.** A nonqualifier is a student who has not graduated from high school or who, at the time specified in the regulation (see Bylaw 14.3), presented neither the core-curriculum gradepoint average and SAT/ACT score required for a qualifier.
- **14.02.10 Residence.** Residence is enrollment in a full-time academic program (as defined by the institution) at a collegiate institution during a regular term of an academic year. To satisfy an academic year of residence, a student shall meet the requirements of Bylaw 14.5.1.1. A summer term may not be used to satisfy a term of residence.
- **14.02.11 Transfer Student.** A transfer student, in the application of NCAA eligibility requirements, is a student who transfers from any collegiate institution after having met any one of the conditions set forth in Bylaw 14.5.2.
- **14.02.12 Waiver.** A waiver is an action exempting an individual or institution from the application of a specific regulation. A waiver requires formal approval (e.g., by the Management Council, an NCAA committee or a conference, as specified in the legislation) based on evidence of compliance with the specified conditions or criteria under which the waiver is authorized (see Bylaw 14.02.4).

#### 14.1 GENERAL ELIGIBILITY REQUIREMENTS

- **14.1.1 Postseason and Regular-Season Competition.** To be eligible for regular-season competition, NCAA championships, and for postseason football bowl games sanctioned by the Association, the student-athlete shall meet all of the Association's general eligibility requirements and any additional eligibility requirements adopted by the applicable membership division.
  - **14.1.1.1 Ineligibility for Use of Banned Drugs.** A student-athlete who is found to have utilized a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.1, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. (Adopted: 1/10/90 effective 8/1/90)
- 14.1.2 Validity of Academic Credentials. As a condition and obligation of membership, it is the

### General Eligibility Requirements/14.1.2—14.1.5.1.1

responsibility of a member institution to determine the validity of the information on which the eligibility of a student-athlete is based. Therefore, it is the responsibility of a member institution to determine whether a transcript is valid for purposes of applying appropriate NCAA legislation to the eligibility of a student-athlete when the institution receives notification, or otherwise has cause to believe, that a student-athlete's high-school, preparatory school or two-year college transcript is not valid.

**14.1.2.1 Initial-Eligibility Clearinghouse.** An institution shall utilize an initial-eligibility clearinghouse approved by the Executive Committee to determine the validity of the information on which the initial eligibility of a student-athlete is based. An institution may certify the initial eligibility of a student-athlete who graduated from high school prior to the spring term of 1986. (*Adopted: 1/16/93 effective 8/1/94 for student-athletes first entering a collegiate institution on or after 8/1/94, Revised: 1/10/95*)

#### 14.1.3 Student-Athlete Statement

- **14.1.3.1 Content and Purpose.** Prior to participation in intercollegiate competition each academic year, a student-athlete shall sign a statement in a form prescribed by the Management Council in which the student athlete submits information related to eligibility, recruitment, financial aid, amateur status, previous positive drug tests administered by any other athletics organization and involvement in organized gambling activities related to intercollegiate or professional athletics competition under the Association's governing legislation. Failure to complete and sign the statement shall result in the student-athlete's ineligibility for participation in all intercollegiate competition. Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete subsequently signs the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (Revised: 1/10/92 effective 8/1/92, Revised: 1/14/97, 2/19/97)
- **14.1.3.2 Administration.** The institution shall administer this form individually to each student-athlete prior to the individual's participation in intercollegiate competition each year. Details about the content, administration and disposition of the statement are set forth in Bylaw 30.12.
- **14.1.3.3 Institutional Responsibility—Notification of Positive Test.** The institution shall promptly notify in writing the NCAA's director of sports sciences regarding a student-athlete's disclosure of a previous positive test for banned substances administered by any other athletics organization. (Adopted: 1/14/97 effective 8/1/97)

#### 14.1.4 Drug-Testing Consent Form

- **14.1.4.1 Content and Purpose.** Each academic year a student-athlete shall sign a form prescribed by the Management Council in which the student consents to be tested for the use of drugs prohibited by NCAA legislation. Failure to complete and sign the consent form prior to practice or competition in sports in which the Association conducts year-round drug testing and prior to competition in all other sports shall result in the student-athlete's ineligibility for participation (i.e., practice and competition) in all intercollegiate athletics (see also Constitution 3.2.4.6). Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete subsequently signs the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 1/10/95 effective 8/1/95, Revised: 1/14/97)
  - **14.1.4.1.1 Exception—Nonrecruited Student-Athlete.** A nonrecruited student-athlete in sports other than those involved in the Association's year-round drug-testing program may participate in preseason practice activities prior to the team's first contest/date of competition without signing the drug-testing consent form. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95)
- **14.1.4.2 Administration.** The institution shall administer the consent form individually to each student-athlete (including recruited partial qualifiers and nonqualifiers) each academic year. Details about the content, administration and disposition of the consent form are set forth in Bylaw 30.5. ( $Adopted: 1/10/92 \ effective \ 8/1/92$ )

#### 14.1.5 Admission and Enrollment

- **14.1.5.1 Admission.** A student-athlete shall not represent an institution in intercollegiate athletics competition unless the student has been admitted as a regularly enrolled, degree-seeking student in accordance with the regular, published entrance requirements of that institution.
  - **14.1.5.1.1 Special Admission.** A student-athlete may be admitted under a special exception to the institution's normal entrance requirements if the discretionary authority of the chief executive officer (or designated admissions officer or committee) to grant such exceptions is set forth in an official document published by the university (e.g., official catalog) that describes the institution's admissions requirements.

#### 14.1.6 Full-Time Enrollment

- 14.1.6.1 Requirement for Practice. To be eligible to participate in organized practice sessions, a student-athlete shall be enrolled in a minimum full-time program of studies leading to a baccalaureate or equivalent degree as defined by the regulations of the certifying institution. The violation shall be considered an institutional violation per Constitution 2.8.1; however, it shall not affect the student-athlete's eligibility. (Revised: 1/10/92, 10/28/99)
  - **14.1.6.1.1 Practice Prior to Initial Enrollment.** A student-athlete may practice during the official vacation period immediately preceding initial enrollment, provided the student has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation; is no longer enrolled in the previous educational institution; and is eligible under all institutional and NCAA requirements.
  - **14.1.6.1.2 Drop/Add Course.** A student-athlete no longer shall be considered enrolled in a minimum full-time program of studies (after dropping a course that places the student below full-time status) when the dropped course becomes official in accordance with procedures determined by the institution for all students. A student who is adding a course to reach full-time status shall become eligible for practice and competition once the course has been approved by the appropriate department head (or designated representative) and submitted to the registrar. (*Adopted: 1/10/92*)
  - **14.1.6.1.3** Exception, Final Semester/Quarter. A student-athlete with athletics eligibility remaining may participate in organized practice sessions while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete the degree requirements, as determined by the faculty of the institution.
  - **14.1.6.1.4 Withdrawal or Dismissal.** A student-athlete who withdraws or is dismissed from an institution is considered to be a prospective student-athlete eligible for recruitment by other NCAA member institutions and may not continue to practice with the original institution's team.
  - **14.1.6.1.5** Exception—Practice during First Week of Class. A student-athlete may practice, but may not compete, during the institution's first five days of classes if the student-athlete is enrolled in less than a minimum full-time program of studies, provided the student is otherwise eligible under all institutional, conference and NCAA requirements. (Adopted: 1/10/95 effective 8/1/95)
  - **14.1.6.1.6 Waiver—United States Olympic Committee/National Governing Body.** A student with eligibility remaining who is not enrolled or who is enrolled in less than a minimum full-time program of studies or a former student-athlete may participate on a regular basis in organized practice sessions, provided the following conditions are met: (Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97)
  - (a) The practice sessions take place only at the institution(s) the individual previously attended as an undergraduate or currently attends or previously attended as a graduate student;
  - (b) The practice sessions involve an individual sport, rowing or synchronized swimming;
  - (c) The United States Olympic Committee or national governing body (NGB) in the sport has recommended the individual's participation;
  - (d) The individual does not participate in any coaching activities unless the institution designates the individual in the appropriate coaching limits; and
  - (e) In the case of a student-athlete with NCAA eligibility remaining in the sport, such participation occurs only during the academic year immediately preceding the Olympic Games.
    - **14.1.6.1.6.1 Administration.** This waiver shall be approved by the conference members of the Association or, in the case of independent institutions, by the Management Council. A member institution shall submit a waiver request that includes documentation that demonstrates that the conditions of Bylaw 14.1.6.1.6 have been met for each individual who wishes to participate in the institution's practice sessions. (Adopted: 1/9/96)
- **14.1.6.2 Requirement for Competition.** To be eligible for competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies leading to a baccalaureate or equivalent degree as defined by the institution, which shall not be less than 12 semester or quarter hours.
  - **14.1.6.2.1 Exceptions.** The following exceptions to the minimum 12-semester or 12-quarter-hour enrollment are permitted:
    - **14.1.6.2.1.1 Competition Prior to Initial Enrollment.** A student-athlete may compete during the official vacation period immediately preceding initial enrollment, provided the student has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation; is no longer enrolled in the previous educational institution; and is eligible under all institutional and NCAA requirements.

#### General Eligibility Requirements/14.1.6.2.1.2—14.1.6.2.4

- **14.1.6.2.1.2 Eligibility between Terms.** To be eligible for competition that takes place between terms, the student-athlete shall:
- (a) Have been registered for the required minimum full-time load (see Bylaw 14.1.6.2.2) at the conclusion of the term immediately preceding the date of competition, if the student is continuing enrollment; or
- (b) Be accepted for enrollment as a regular full-time student for the regular term immediately following if the student is either continuing enrollment or beginning enrollment (also see Bylaw 14.11). (Revised: 1/11/89)
- 14.1.6.2.1.3 Final Semester/Quarter. A student-athlete may compete while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete degree requirements. The student granted eligibility under this provision shall be eligible for any NCAA championship and for any postseason certified bowl game or National Invitation Tournament that begins within 60 days following said semester or quarter, provided the student has not exhausted the five years for completion of the individual's four seasons of eligibility (see Bylaw 14.2). Thereafter, the student shall forfeit eligibility in all sports, unless the student completes all degree requirements during that semester or quarter and is eligible to receive the baccalaureate diploma on the institution's next degree-granting date. The Management Council, or a committee designated by the Management Council to act for it, may waive the 60-day requirement when an NCAA championship, postseason certified bowl game or National Invitation Tournament is conducted at the conclusion of the traditional playing season but begins more than 60 days following the end of said term. (Revised: 1/10/92, 1/16/93, 1/10/95)
- **14.1.6.2.1.4 Graduate Program.** A student may compete while enrolled in a full-time graduate program as defined by the institution, but in any event not fewer than eight hours (see Bylaw 14.1.7).
- **14.1.6.2.2 Full-Time Program—12-Hour Requirement.** At the time of competition, a student-athlete shall be enrolled in not less than 12-semester or -quarter hours, regardless of the institution's definition of a minimum full-time program of studies.
  - **14.1.6.2.2.1 Waivers.** Waivers may be granted to the minimum 12-semester or 12-quarter-hour enrollment as follows:
    - **14.1.6.2.2.1.1 Nontraditional Academic Calendars or Cooperative Education Programs.** A student-athlete in an institution, that determines enrollment hours on a basis other than traditional semester or quarter hours or that conducts a cooperative educational program, may compete, if at the time of competition the student is enrolled for a comparable minimum academic load as determined by the Academics/Eligibility/Compliance Cabinet.
    - **14.1.6.2.2.1.2** Olympic, Pan American, World Championships, World Cup or World University Games. The Academics/Eligibility/Compliance Cabinet may waive the minimum full-time enrollment requirement for any participant in the Olympic, Pan American, World Championships, World Cup or World University Games who, because of such participation, may lose eligibility for practice and competition in any sport. (*Revised:* 1/10/91, 1/9/96)
    - **14.1.6.2.2.1.3** Learning-Disabled and Handicapped Student-Athletes. The Academics/Eligibility/Compliance Cabinet may waive the 12-hour requirement for a learning-disabled or handicapped student-athlete when objective evidence demonstrates that an institution defines full-time enrollment for that student-athlete to be less than 12 hours to accommodate for the student's learning disability or handicap. (Adopted: 1/10/95)
- **14.1.6.2.3 Noncredit Work.** Hours of noncredit work (including remedial courses) may be counted toward fulfilling the minimum full-time enrollment requirement, provided the noncredit work is given the same academic load value and is considered by the institution to be a requirement for the degree currently being pursued by the student.
- **14.1.6.2.4 Concurrent Courses at Two Institutions.** Courses taken concurrently at a second institution may be counted toward meeting the minimum 12-hour enrollment requirement, provided:
- (a) The certifying institution officially recognizes the student's combined hours as full-time enrollment for a minimum of 12 hours; and
- (b) Courses taken at the second institution will be included on the student's transcript at the institution where the student is seeking the degree.

### General Eligibility Requirements/14.1.6.2.5—Seasons of Competition/14.2.1

- **14.1.6.2.5** Cooperative Educational Exchange Program. A student-athlete may represent the certifying institution in intercollegiate athletics even though at the time of competition the student is enrolled in another institution in a cooperative educational exchange program, provided:
- (a) The certifying institution considers the student to be regularly enrolled in a minimum fulltime program of studies; and
- (b) All work is placed on the student's transcript and accepted toward his or her undergraduate degree at the certifying institution.
- **14.1.6.2.6 Extension Courses.** A student-athlete may use a combination of hours taken in residence during a regular term and extension courses taken from the certifying institution during that term to meet the minimum 12-hour enrollment requirement, provided the institution considers enrollment in such extension courses as regular course enrollment for all students during term time. (Revised: 1/11/94 effective 8/1/94)
- **14.1.7 Graduate Student/Postbaccalaureate Participation.** A student-athlete who is enrolled in a graduate or professional school of the same institution from which he or she previously received a baccalaureate degree, a student-athlete who is enrolled and seeking a second baccalaureate or equivalent degree at the same institution, or a student-athlete who has graduated and is continuing as a full-time student at the same institution while taking course work that would lead to the equivalent of another major or degree as defined and documented by the institution, may participate in intercollegiate athletics, provided the student has eligibility remaining and such participation occurs within the applicable five-year period set forth in Bylaw 14.2 (see also Bylaw 14.1.6.2.1.4). (Revised: 1/10/90, 1/16/93 effective 8/1/93)
  - **14.1.7.1 One-Time Transfer Exception.** A graduate student who is enrolled in a graduate program or professional school of an institution other than the institution from which he or she previously received a baccalaureate degree may participate in intercollegiate athletics if the student fulfills the conditions of the one-time transfer exception set forth in Bylaw 14.5.5.2.10 and has eligibility remaining per Bylaw 14.2. (Adopted: 1/9/96 effective 8/1/96 for those student-athletes who transfer to the certifying institution on or after 8/1/96)
  - 14.1.7.2 Foreign Student Exception. The remaining eligibility of a student who has received a foreign postsecondary degree that is identified as a "baccalaureate" but is not equivalent to a United States baccalaureate and who is entering an undergraduate program must be reviewed on a case-by-case basis by the Academics/Eligibility/Compliance Cabinet and its Foreign-Student Records Committee. (Adopted: 1/16/93)
  - 14.1.7.3 NCAA Championship following Last Term of Eligibility. A student-athlete who is eligible during the term in which degree work is completed (or is eligible as a graduate, per Bylaw 14.1.7) remains eligible for any NCAA championship for any postseason certified bowl game or National Invitation Tournament, that begins within 60 days after the end of the term in which the student completes the requirements for the degree (or graduate eligibility). The Management Council, or a committee designated by the Management Council to act for it, may waive the 60-day requirement when an NCAA championship, postseason certified bowl game or National Invitation Tournament is conducted at the conclusion of the traditional playing season but begins more than 60 days following the end of said term. (Revised: 1/16/93, 1/10/95)
- **14.1.8 Change in Eligibility Status.** If a student-athlete's academic eligibility changes at the end of a quarter or semester, the student-athlete shall become eligible or ineligible to compete on the date his or her eligibility officially is certified by the appropriate institutional authority. In a case in which the student becomes eligible at the end of the term, the earliest date on which the student can become eligible to compete is the day after the date of the last scheduled examination listed in the institution's official calendar for the term that is ending. In a case in which the student becomes ineligible, the ineligibility shall become effective not later than the first day of classes of the following semester or quarter. In any case, if the student-athlete is academically eligible to compete at the time of the student-athlete's or the institution's first participation in an NCAA championship, he or she shall remain eligible for the remainder of the championship.

#### 14.2 SEASONS OF COMPETITION: FIVE-YEAR/10-SEMESTER RULE

A student-athlete shall not engage in more than four seasons of intercollegiate competition in any one sport (see Bylaws 14.02.6 and 14.3.3). An institution shall not permit a student-athlete to represent it in intercollegiate competition unless the individual completes all of his or her seasons of participation in all sports within the time periods specified below:

**14.2.1 Five-Year Rule.** A student-athlete shall complete his or her seasons of participation within five calendar years from the beginning of the semester or quarter in which the student-athlete first registered for a minimum full-time program of studies in a collegiate institution, with time spent in the armed services, on official church missions or with recognized foreign aid services of the U.S. government being

### Seasons of Competition/14.2.1—14.2.1.6

excepted. For foreign students, service in the armed forces or on an official church mission of the student's home country is considered equivalent to such service in the United States.

- **14.2.1.1 Determining the Start of the Five-Year Period.** For purposes of starting the count of time under the five-year rule, a student-athlete shall be considered registered at a collegiate institution (domestic or foreign; see Bylaw 14.02.3) when the student-athlete initially registers in a regular term (semester or quarter) of an academic year for a minimum full-time program of studies, as determined by the institution, and attends the student's first day of classes for that term (see Bylaw 14.2.2).
- **14.2.1.2 Service Exceptions to the Five-Year Rule.** Time spent in the armed services, on official church missions or with recognized foreign aid services of the U.S. government is excepted from the application of the five-year rule. Among such services that qualify a student-athlete for an extension of the five-year rule are:
- (a) Military Sea Transport Service;
- (b) Peace Corps; or
- (c) Service as a conscientious objector ordered by the Selective Service Commission (or the equivalent authority in a foreign nation) in lieu of active military duty.
  - **14.2.1.2.1 Elapsed Time/Service to Enrollment.** If a student-athlete enrolls in a regular term of a collegiate institution at the first opportunity following completion of any one of the commitments described in the exceptions to this bylaw, the elapsed time (i.e., the exact number of calendar days) between completion of the commitment and the first opportunity for enrollment may be added to the exact number of days served on active duty in the armed services, with foreign aid services or on official church missions and will not count toward the student-athlete's five years of eligibility. It is not permissible to extend the five-year period by any additional time beyond the first opportunity to enroll; i.e., the opening day of classes of the first regular term at the institution in which the student-athlete enrolls as a regular student immediately following the termination of the active-duty commitment.
  - **14.2.1.2.2** Collegiate Enrollment Concurrent with Service Assignment. Any time in which a student athlete is enrolled for a minimum full-time load as a regular student in a collegiate institution while simultaneously on active duty in the United States military, on an official church mission or with a recognized foreign aid service of the United States government shall count against the five calendar years in which the four seasons of eligibility must be completed.
- **14.2.1.3 Pregnancy Exception.** A member institution may approve a one-year extension of the five-year period of eligibility for a female student-athlete for reasons of pregnancy.
- **14.2.1.4 Female Student-Athletes Who Enrolled Prior to 1981-82.** A female student-athlete whose initial collegiate enrollment occurred prior to the establishment of women's championships by the NCAA (1981-82 academic year) shall not be subject to the five-year rule but shall be subject to the 10-semester/15-quarter rule applicable in Divisions II and III. (*Adopted: 1/16/93*)
- **14.2.1.5 Athletics Activities Waiver.** The Academics/Eligibility/Compliance Cabinet, or a committee designated by it, shall have the authority to waive this provision by a two-thirds majority of its members present and voting to permit student-athletes to participate in:
- (a) Official Pan American, World Championships, World Cup, World University and Olympic training, tryouts and competition; (Revised: 1/10/91, 1/9/96)
- (b) Officially recognized training and competition directly qualifying participants for final Olympic tryouts; or
- (c) Official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport).
  - **14.2.1.5.1 Athletics Activity Waiver Criteria.** Extensions of the five-year period of eligibility for student-athletes by the Academics/Eligibility/Compliance Cabinet, or its designated committee, shall be based on the following criteria: The member institution in which the student-athlete is enrolled must establish to the satisfaction of the Academics/Eligibility/Compliance Cabinet (by objective evidence) that the student-athlete was unable to participate in intercollegiate athletics as a result of participation in one of the activities listed in the above legislation for a specific period of time. Further, such an extension shall be limited to one time and for a period not to exceed one year per student-athlete, per sport. (*Revised: 8/11/98*)
- **14.2.1.6 Additional Waivers.** The Academics/Eligibility/Compliance Cabinet, or a committee designated by the Academics/Eligibility/Compliance Cabinet to act for it, by a two-thirds majority of its mem-

bers present and voting, may approve such additional waivers to the five-year rule as it deems appropriate. (See Bylaw 30.6.1 for criteria.)

#### 14.2.2 Additional Applications of the Five-Year Rule

- **14.2.2.1 Athletics Competition.** Even though a student is enrolled for less than a minimum full-time program of studies at a collegiate institution, the student's five-year period of eligibility begins if the individual represents the institution in intercollegiate athletics.
- **14.2.2.2 Nonrecognized College.** Enrollment in a postsecondary, noncollegiate institution (e.g., technical school, seminary or business college) in the United States that is not listed as a college and coded with an H in the United States Department of Education's Directory of Postsecondary Institutions constitutes enrollment in the application of the five-year rule only if: (*Revised: 1/10/90*)
- (a) The student is enrolled in a minimum full-time program of studies at such an institution that conducts an intercollegiate athletics program; or
- (b) The student, whether enrolled for a minimum full-time program of studies or not, represents the institution in intercollegiate athletics.
- **14.2.2.3 Joint College/High-School Program.** A student-athlete's eligibility under the five-year rule does not begin while a student is enrolled in a collegiate institution in a joint high-school/college academic program for outstanding high-school students, in which the courses count as both high-school graduation credit and college credit, provided the student is classified as a special student, is not considered by the college to be regularly matriculated and is not eligible for the college's extracurricular activities, including athletics.
- **14.2.2.4 Vocational Program.** A student-athlete's eligibility under the five-year rule does not begin while the student is enrolled in a minimum full-time program of studies as a part of a special vocational program that combines enrollment in regular college courses and participation in vocational training courses, provided the student is not considered to be regularly matriculated by the institution, does not go through the customary registration and testing procedures required of all regular entering students and is not eligible for the institution's extracurricular activities, including athletics.
- **14.2.2.5 Eligibility for Practice.** A student-athlete receiving institutional financial aid after having engaged in four seasons of intercollegiate competition in a sport may continue to take part in organized, institutional practice sessions in that sport without being a counter (see Bylaw 15.02.3), provided the individual has eligibility remaining under the five-year rule.

#### 14.2.3 Criteria for Determining Season of Eligibility

- **14.2.3.1 Minimum Amount of Competition.** Any competition [including a scrimmage with outside competition (except for approved two-year college scrimmages per Bylaw 14.2.3.1.1)], regardless of time, during a season in an intercollegiate sport shall be counted as a season of competition in that sport. This provision is applicable to intercollegiate athletics competition conducted by a two-year or four-year collegiate institution at the varsity or subvarsity level. (*Revised:* 1/11/94)
  - **14.2.3.1.1 Exception—Two-Year College Scrimmages.** Participation in a two-year college scrimmage shall be exempt from counting as a season of competition, provided the competition meets all of the following conditions: (*Adopted: 1/11/94*)
  - (a) The scrimmage is approved by the two-year college;
  - (b) No official score is kept;
  - (c) No admission is charged;
  - (d) No official time is kept;
  - (e) The scrimmage is played prior to the two-year college's first regularly scheduled outside competition; and
  - (f) The student-athlete participates in not more than two such scrimmages or dates of competition per academic year.
- **14.2.3.2 Tennis.** Subsequent to graduating from high school (or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility), a student-athlete shall have one year in order to be immediately eligible and retain the opportunity for four seasons of competition upon initial, full-time collegiate enrollment. The student also must meet all applicable NCAA, institutional and conference eligibility requirements. A student who does not enroll in a collegiate institution as a full-time student during that one-year time period shall be subject to the following: (Adopted: 1/9/96 effective 8/1/97 for those student-athletes first entering a collegiate institution on or after 8/1/97)
- (a) The student-athlete shall be charged with a season of intercollegiate tennis eligibility for each cal-

# Seasons of Competition/14.2.3.2—14.2.4

- endar year subsequent to the one-year time period (i.e., from the time of graduation through the following summer) and prior to full-time collegiate enrollment during which the student-athlete has participated in organized tennis events (per Bylaw 14.2.3.5.3).
- (b) Subsequent to the one-year time period, if the student-athlete has engaged in organized tennis events (per Bylaw 14.2.3.5.3), upon matriculation at the certifying institution, the student-athlete must fulfill an academic year in residence before being eligible to represent the institution in intercollegiate tennis competition.
- **14.2.3.3 Track and Field and Cross Country.** Cross country, indoor track and field, and outdoor track and field shall be considered separate sports. (*Revised:* 1/10/90)
- **14.2.3.4 Intercollegiate Competition.** A student-athlete is considered to have engaged in a season of intercollegiate competition when he or she competes in an athletics event involving any one of the conditions characterizing intercollegiate competition (per Bylaw 14.02.6).
- **14.2.3.5 Participation after 21st Birthday.** Any participation as an individual or a team representative in organized sports competition by a student during each 12-month period after the student's 21st birthday and prior to initial full-time enrollment in a collegiate institution shall count as one year of varsity competition in that sport. Participation in organized competition during time spent in the U.S. armed services shall be excepted. (Revised: 1/10/90, 1/16/93, 1/10/95 effective 8/1/95 for those student-athletes first entering a collegiate institution on or after 8/1/95, Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/92)
  - **14.2.3.5.1 Track and Field and Cross Country.** A prospective student-athlete who participates in outside competition after the student's 21st birthday during a cross country, indoor track and field or outdoor track and field sports season (as opposed to general road racing events) would be charged with at least one season of competition in the sport in which the student participated. (Adopted: 1/10/92, Revised: 1/10/95 effective 8/1/95 for those student-athletes first entering a collegiate institution on or after 8/1/95, Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/92)
  - **14.2.3.5.2 Road Racing.** A prospective student-athlete who participates in road racing activities after the student's 21st birthday and prior to enrollment in a member institution shall be charged with at least one season of competition in each of the sports of cross country, indoor track and field and outdoor track and field. (Revised: 1/10/95 effective 8/1/95 for those student-athletes first entering a collegiate institution on or after 8/1/95, Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/92)
  - **14.2.3.5.3 Organized Competition.** Athletics competition shall be considered organized if any one of the following conditions exists:
  - (a) Competition is scheduled and publicized in advance;
  - (b) Official score is kept;
  - (c) Individual or team standings are maintained;
  - (d) Official timer or game officials are used;
  - (e) Admission is charged;
  - (f) Teams are regularly formed or team rosters are predetermined;
  - (g) Team uniforms are utilized;
  - (h) A team is privately or commercially sponsored; or
  - (i) The competition is either directly or indirectly sponsored, promoted or administered by an individual, an organization or any other agency.
- **14.2.3.6 Foreign-Tour Competition.** A student-athlete who did not compete during the institution's season just completed and who represents the institution in a certified foreign tour after that intercollegiate season and prior to the start of the next academic year or the first regular squad practice, whichever occurs earlier, shall not be charged with a season of competition (see Bylaw 30.7.2). (Revised: 8/11/98, effective immediately for tours conducted during the 1998-99 academic year and thereafter, Revised: 2/16/00)
- **14.2.4 Hardship Waiver.** A student-athlete may be granted an additional year of competition by the conference or the Academics/Eligibility/Compliance Cabinet for reasons of "hardship." Hardship is defined as an incapacity resulting from an injury or illness that has occurred under all of the following conditions:
- (a) The incapacitating injury or illness occurs in one of the four seasons of intercollegiate competition at any two-year or four-year collegiate institution; (Revised: 1/10/92 effective 8/1/92)
- (b) The injury or illness occurs prior to the completion of the first half of the playing season that concludes

- with the NCAA championship in that sport (measured by the number of completed contests or dates of competition rather than scheduled contests or dates of competition or calendar days) and results in incapacity to compete for the remainder of that playing season; and (Revised: 1/14/97 effective 8/1/97)
- (c) The injury or illness occurs when the student-athlete has not participated in more than two contests or dates of competition (whichever is applicable to that sport) or 20 percent (whichever number is greater) of the institution's completed contests or dates of competition in his or her sport. Only competition (excluding scrimmages and exhibition contests) against outside participants during the playing season that concludes with the NCAA championship, or, if so designated, during the official NCAA championship playing season in that sport (e.g., spring baseball, fall soccer), shall be countable under this limitation in calculating both the number of contests or dates of competition in which the student-athlete has participated and the number of completed contests or dates of competition during that season in the sport. (Revised: 1/10/92, 1/14/97 effective 8/1/97)
  - **14.2.4.1** Additional Criteria—Two-Year College Hardship. Institutions shall apply the following additional conditions if the injury or illness occurred at a two-year college: (Adopted: 1/10/92 effective 8/1/92, Revised: 1/11/94)
  - (a) The appropriate two-year college athletics association (e.g., National Junior College Athletic Association, Community College League of California) shall review and approve each hardship waiver request;
  - (b) Subsequent to approval by the appropriate two-year college athletics association, the request shall be reviewed and approved by the appropriate NCAA member conference or, in the case of an independent member institution, by the Academics/Eligibility/Compliance Cabinet, to ensure compliance with the provisions of NCAA legislation;
  - (c) Waiver requests shall be submitted only from two-year college organizations that apply the same or more stringent rules related to the administration of hardship waivers (i.e., compliance with all of the regulations of Bylaw 14.2.4); and
  - (d) Each waiver request must be accompanied by a signed statement by the physician who treated the student-athlete, indicating the reason(s) why the injury or illness was incapacitating.
  - **14.2.4.2** Administration of Hardship Waiver. The hardship waiver shall be administered by the member conferences of the Association or, in the case of an independent member institution, by the Academics/Eligibility/Compliance Cabinet.
  - **14.2.4.3 Criteria for Administration of Hardship Waiver.** The following criteria are to be employed in the administration of the hardship waiver:
    - **14.2.4.3.1 Nature of Injury/Illness.** It is not necessary for the incapacitating injury or illness to be the direct result of the student's participation in the institution's organized practice or game competition. The student-athlete may qualify for the hardship waiver as a result of any incapacitating injury or illness occurring after the individual becomes a student-athlete by reporting on call for regular squad practice or after attending the first day of classes as a full-time student at a member institution.
    - **14.2.4.3.2 Medical Documentation.** Contemporaneous or other appropriate medical documentation, from a physician who administered care at the time of the injury or illness, that establishes the student-athlete's inability to compete as a result of that injury or illness shall be submitted with any hardship-waiver request. (Adopted: 4/20/99)
    - **14.2.4.3.3 First-Half-of-Season Calculation.** In determining if an injury or illness occurs in the first half of the season that concludes with the NCAA championship in a sport with an odd number of contests or dates of competition, the injury or illness must have occurred prior to the beginning of the varsity contest or date of competition that starts the second half of the season that concludes with the NCAA championship (e.g., an injury or illness occurring at any time after the beginning of the sixth game of an 11-game football schedule would be considered to be after the first half of the institution's season and would not qualify the student-athlete for a hardship waiver). (*Revised:* 1/14/97 effective 8/1/97)
    - **14.2.4.3.4 Reinjury in Second Half of Season.** A student-athlete who suffers an injury in the first half of the season that concludes with the NCAA championship, attempts to return to competition during the second half of that season and then is unable to participate further as a result of aggravating the original injury does not qualify for the hardship waiver.
    - **14.2.4.3.5 Percent Calculation.** The following requirements are to be met in determining the percent calculation under this waiver provision: (Note: The percent calculation requirements set forth in Bylaws 14.2.4 and 14.2.4.3.5 apply only to the waiver provisions of this section and do not apply to the maximum- and minimum-contests requirements in Bylaw 20.)

### Seasons of Competition/14.2.4.3.5.1—Freshman Academic Requirements/14.3

- **14.2.4.3.5.1 Denominator in Percent Computation.** The denominator in the institution's percent calculation shall be based on the institution's number of completed varsity contests or dates of competition [see Bylaw 14.2.4-(c)] as computed for playing and practice season purposes in Bylaw 17 for the applicable sport. (Note: Exempted events in Bylaw 17 are included in the percent calculation, except as provided in Bylaw 14.2.4.3.5.3.) (Revised: 1/14/97 effective 8/1/97, Revised: 2/11/98)
- **14.2.4.3.5.2 Fraction in Percent Computation.** Any computation of the percent limitation that results in a fractional portion of a contest or date of competition shall be rounded to the next whole number (e.g., 20 percent of a 28-game basketball schedule—5.4 games—shall be considered six games). (Revised: 1/14/97 effective 8/1/97)
- **14.2.4.3.5.3 Conference Championships.** A conference championship shall be counted as one contest or date of competition in determining the institution's completed contests or dates of competition in that sport, regardless of the number of days or games involved in the championship. However, for purposes of this regulation, the calculation of completed contests or dates of competition in a particular season does not include postseason competition conducted subsequent to the completion of the institution's regular-season schedule and conference tournament. (*Revised:* 1/14/97 effective 8/1/97)
- 14.2.4.3.5.4 Transfer Student-Athletes. The calculation for percentage of completed contests or dates of competition for a transfer student-athlete may be based on the method that would be most beneficial to the student-athlete (i.e., the rule applicable to the division where the injury or illness occurred or the Division I rule). (Adopted: 11/12/97)
- **14.2.4.3.6 Foreign-Tour Competition.** A student-athlete who qualifies for a hardship for the previous academic year would utilize a season of competition if the student-athlete represents the institution on a certified foreign tour during the summer-vacation period at the conclusion of that academic year. (*Adopted: 1/10/92*)
- **14.2.5 Season-of-Competition Waiver.** In conjunction with a request for restoration of eligibility and any conditions imposed thereon per Bylaw 14.12, a student-athlete may be granted an additional season of competition by the Academics/Eligibility/Compliance Cabinet when the student-athlete participated in a limited amount of competition as a result of a good-faith, erroneous formal declaration of eligibility by the institution's appropriate certifying authority; or the student-athlete's good-faith, erroneous reliance on a coaching staff member's decision to put the student-athlete into competition prior to the coaching staff member receiving a formal declaration of the student-athlete's eligibility from the institution's appropriate certifying authority. The competition must have occurred under all of the following conditions: (Adopted: 1/16/93, Revised: 4/20/99)
- (a) The competition occurred while the student-athlete was representing an NCAA member institution;
- (b) The competition occurred within 60 days of the date the student-athlete first reported for athletics participation;
- (c) The student-athlete did not participate in more than two events or 10 percent (whichever number is greater) of the institution's completed events in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of events in which the student-athlete participated and the number of completed events during that season (both segments) in the sport; (Revised: 1/14/97 effective 8/1/97)
- (d) The student-athlete was involved innocently and inadvertently in the erroneous determination or declaration of eligibility, which permitted the student-athlete to compete while ineligible; and (Revised: 4/20/99)
- (e) In the case of a coaching staff member's erroneous decision, the student-athlete had reason to believe he or she would be eligible to participate, and the student-athlete did not contribute to the coaching staff member's erroneous decision to allow the student-athlete to participate. (Adopted: 4/20/99)
  - **14.2.5.1 Administrative Criteria.** The following criteria shall be employed in the administration of the season-of-competition waiver: (*Adopted:* 1/16/93)
    - **14.2.5.1.1 Ten-Percent Calculation.** The requirements specified in Bylaw 14.2.4.3.5 shall apply to the 10-percent calculation specified in this waiver. (*Adopted:* 1/16/93)

#### 14.3 FRESHMAN ACADEMIC REQUIREMENTS

See Figures 14-1 (core-curriculum and grade-point average requirements for initial eligibility), 14-2 (definition of a partial qualifier and nonqualifier), 14-3 (relationships between academic requirements, recruitment, financial aid and eligibility for high-school graduates), 14-4 (initial eligibility), 14-5 and 14-6 (academic requirements for eligibility for two-year college transfers) and 14-7 (application of satisfactory-progress requirements), beginning on page 167.

**14.3.1 Eligibility for Financial Aid, Practice and Competition.** A student-athlete who enrolls in a member institution as an entering freshman with no previous full-time college attendance shall meet the following academic requirements, as certified by an initial-eligibility clearinghouse approved by the Executive Committee, and any applicable institutional and conference regulations, to be considered a qualifier and thus be eligible for financial aid, practice and competition during the first academic year in residence. In the sport of tennis, an entering freshman also shall fulfill the eligibility requirements specified in Bylaw 14.2.4.2 to be eligible for competition. (Revised: 1/16/93 effective 8/1/94, Revised: 1/9/96 effective 8/1/97 for those student-athletes first entering a collegiate institution on or after 8/1/97)

**14.3.1.1 Qualifier.** A qualifier is defined as one who is a high-school graduate and who presented the following academic qualifications: (*Revised:* 1/10/92 *effective* 8/1/95)

(a) A minimum cumulative grade-point average as specified in Bylaw 14.3.1.1.1 (based on a maximum 4.000) in a successfully completed core curriculum of at least 13 academic courses per Bylaw 14.3.1.2, including the following:

English (Revised: 1/16/93 effective 8/1/96)	4 years
Mathematics (two years of mathematics courses at the level of Algebra I or higher) (Revised: 1/16/93 effective 8/1/96; Revised: 1/11/94 effective 8/1/96; Revised: 1/11/00 effective 8/1/00 for those student-athletes first entering a collegiate institution on or after 8/1/00)	2 years
Natural or physical science (including at least one laboratory course if offered by the high school)	2 years
Additional courses in English, mathematics, or natural or physical science (Revised: 1/16/93 effective 8/1/96)	1 year
Social science	2 years
Additional academic courses [in any of the above areas or foreign language, computer science, philosophy or nondoctrinal religion (e.g., comparative religion) courses]	2 years

The record of the above courses and course grades must be certified by the initial-eligibility clear-inghouse using an official high-school transcript or official correspondence forwarded directly from the high school or upon a high-school transcript forwarded by an institution's admissions office, and (Revised: 2/9/95)

(b) A minimum combined score on the SAT verbal and math sections or a minimum sum score on the ACT as specified in Bylaw 14.3.1.1.1. The required SAT or ACT score must be achieved under national testing conditions on a national testing date [i.e., no residual (campus) testing or regional testing dates]. (Revised: 1/10/90, 1/10/92, 1/16/93)

**14.3.1.1.1 Initial-Eligibility Index.** Freshmen may establish eligibility using the following eligibility index: (Adopted: 1/10/92 effective 8/1/95, Revised: 1/10/95 effective 8/1/96, Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/96)

Core GPA	SAT*	SAT Recentered**	Sum ACT
2.500 & above	700	820	68
2.475	710	830	69
2.450	720	840-850	70
2.425	730	860	70
2.400	740	860	71
2.375	750	870	72
2.350	760	880	73
2.325	770	890	74
2.300	780	900	75
2.275	790	910	76
2.250	800	920	77
2.225	810	930	78
2.200	820	940	79
2.175	830	950	80
2.150	840	960	80
2.125	850	960	81

### Freshman Academic Requirements/14.3.1.1.1—14.3.1.2.4

Core GPA	SAT*	SAT Recentered**	Sum ACT
2.100	860	970	82
2.075	870	980	83
2.050	880	990	84
2.025	890	1000	85
2.000	900	1010	86

\*If taken prior to April 1, 1995. \*\*If taken on or subsequent to April 1, 1995.

- **14.3.1.2 Core-Curriculum Requirements.** For purposes of meeting the core-curriculum requirement to establish eligibility at a member institution, a "core course" must meet all the following criteria: (Revised: 1/11/00 effective 8/1/00 for those student-athletes first entering a collegiate institution on or after 8/1/00)
- (a) A course must be a recognized academic course and qualify for high-school graduation credit in one or a combination of the following areas: English, mathematics, natural/physical science, social science, foreign language, computer science or nondoctrinal religion/philosophy;
- (b) A course must be considered college preparatory by the high school. College preparatory is defined for these purposes as any course that prepares a student academically to enter a four-year collegiate institution upon graduation from high school;
- (c) A mathematics course must be at the level of Algebra I or a higher level mathematics course;
- (d) A course must be taught by a qualified instructor as defined by the appropriate academic authority (e.g., high school, school district or state agency with authority of such matters); and
- (e) A course must be taught at or above the high school's regular academic level (i.e., remedial, special education or compensatory courses shall not be considered core courses). However, the prohibition against the use of remedial or compensatory courses is not applicable to courses designed for student's with learning disabilities (see Bylaw 14.3.1.2.1.1).
  - **14.3.1.2.1 Core-Curriculum Time Limitation.** Generally, only courses completed in grades nine through 12 may be considered core courses, unless a student repeats a regular term or academic year of secondary studies following completion of the requirements necessary for high-school graduation. In the latter instance, if the core-curriculum requirements are completed during a repeated term, the student's initial, full-time collegiate enrollment shall not occur until the following academic year, and the core-curriculum courses used to satisfy the requirements of Bylaw 14.3 must be taken at the high school from which the student completes the requirements necessary for high-school graduation. Courses taken following the completion of the student's eighth semester (e.g., summer school after the senior year) shall not be used to satisfy core-curriculum requirements, except as provided in Bylaw 14.3.1.2.1.1. (*Revised:* 1/10/90, 9/15/97)
    - **14.3.1.2.1.1 Students with Learning Disabilities.** A student diagnosed with a learning disability is permitted to use all core courses completed prior to initial full-time enrollment at a collegiate institution. The determination as to whether courses taken at a high school are core courses shall be made on the basis of the 48-H confirmation statement issued to the high school where the student completed the classes. Courses taken at a collegiate institution must be approved by the Academics/Eligibility/Compliance Cabinet. (Adopted: 1/14/97 effective 8/1/97)
  - 14.3.1.2.2 Nontraditional Courses. Courses taught via the Internet, distance learning, independent study, individualized instruction, correspondence, and courses taught by similar means may be used to satisfy NCAA core-course requirements if all of the following conditions are satisfied: (Adopted: 1/11/00 effective 8/1/00 for those student-athletes first entering a collegiate institution on or after 8/1/00)
  - (a) The course meets all requirements for a core course as defined in Bylaw 14.3.1.2;
  - (b) The instructor and the student have access to one another during the duration of the course for purposes of teaching, evaluating and providing assistance to the student;
  - (c) Evaluation of the student's work is conducted by the appropriate academic authorities in accordance with the high school's established academic policies; and
  - (d) The course is acceptable for any student and is placed on the high-school transcript.
  - **14.3.1.2.3 College Courses.** College courses may be utilized to satisfy core-curriculum requirements if accepted by the high school, provided the courses are accepted for any other student, meet all other requirements for core courses and are placed on the student's high-school transcript.
  - **14.3.1.2.4 English as a Second Language Course.** It is permissible to use an advanced level English as a Second Language (ESL) course to satisfy core-curriculum requirements, provided it is

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reviewed through the NCAA Initial-Eligibility Waiver process. Other ESL courses taught in disciplines other than English (e.g., social studies) may satisfy a core-course requirement, provided they are qualitatively and quantitatively the same as the comparison course in the regular-course offering. (Adopted: 11/17/98)

- 14.3.1.2.5 Courses for Students with Disabilities. The Academics/Eligibility/Compliance Cabinet may approve the use of high-school courses for students with disabilities to fulfill the core-curriculum requirements, even if such courses appear to be taught at a level below the high school's regular academic instructional level (e.g., special education courses), if the high-school principal submits a written statement to the NCAA indicating that the courses are substantially comparable, quantitatively and qualitatively, to similar core-course offerings in that academic discipline. Students with disabilities still must complete the required core courses and achieve the minimum required grade-point average in this core curriculum. The fact that the title of a course includes a designation such as "remedial," "special education," "special needs," or other similar titles used for courses designed for students with learning disabilities does not, in and of itself, disqualify a course from satisfying core-curriculum requirements. (Revised: 1/14/97 effective 8/1/97, Revised: 2/11/98)
- 14.3.1.2.6 Grade Value of Core Courses. The following grade values are to be used in determining a student's grade-point average in the core courses: A = 4 quality points, B = 3 quality points, C = 2 quality points, D = 1 quality point. In determining the core-curriculum grade-point average, each grade earned in a course (including all numerical grades) must be converted to this 4.000 scale on an individual-course basis. Pluses or minuses within a grade level shall not receive greater or lesser quality points. A school's normal practice of weighting honors or advanced courses may be used to compute the quality points awarded in those courses and the cumulative gradepoint average, provided a written statement verifying the grading policy accompanies the prospective student-athlete's official grade transcript. An honors or advanced course shall receive no greater than 1.000 additional quality point (e.g., A=5.000). In calculating the grade in a weighted honors or advanced course, if a high school does not assign quality points to its courses, quality points shall be added to each course prior to calculating the student's grade-point average and not added to a student's cumulative core-course grade-point average. The core-curriculum grade-point average may be calculated using the student's 13 best grades from courses that meet the distribution requirements of the core curriculum. Additional core courses (beyond the 13 required) may be used to meet the core-curriculum grade-point average, provided the distribution requirements are met. (Revised: 1/10/92 effective 8/1/95, Revised: 1/14/97, 3/10/98)
- **14.3.1.2.7 Pass-Fail Grades.** Courses that are awarded pass-fail grades may be used to satisfy corecurriculum requirements. The NCAA Initial-Eligibility Clearinghouse shall assign the course the lowest passing grade that the high school assigns for a pass-fail course. (*Revised: 1/14/97 effective 8/1/97, Revised: 4/15/97*)
- **14.3.1.2.8 Repeat Courses.** A repeated course may be used only once to satisfy core-curriculum requirements. The best grade in that course may be used to calculate the grade-point average in the core curriculum.
- **14.3.1.2.9 Multiple High-School Attendance.** For a student-athlete who attends more than one high school, a Form 48-H (core-course form) and an official transcript from each high school the student-athlete attended must be utilized. However, the NCAA Initial-Eligibility Clearinghouse may receive the official transcript from either the student-athlete's original high school or the high school from which the student-athlete graduated. (*Adopted: 1/10/92, Revised: 4/22/98 effective 8/1/98 for all entering freshmen whose initial eligibility will be certified by the Initial-Eligibility Clearinghouse for the 1998-99 academic year and thereafter)*
- **14.3.1.3 Test-Score Requirements.** The minimum required SAT or ACT score [see Bylaw 14.3.1.1-(b)] must be achieved under national testing conditions on a national testing date [i.e., no residual (campus) testing or regional testing dates].
  - **14.3.1.3.1 Test-Score Time Limitation.** The minimum required SAT or ACT score shall be achieved prior to the individual's first full-time enrollment in a collegiate institution. (*Revised:* 1/11/89, 1/16/93, 1/10/95)
  - **14.3.1.3.2 Combined Test Scores.** For students utilizing the SAT examination, the highest scores achieved on the verbal and mathematics sections of the SAT from two different national testing dates may be combined in determining whether the student has met the minimum test-score requirements. For students utilizing the ACT examination, the highest scores achieved on the individual subtests of the ACT from more than one national testing date may be combined in determining whether the student's sum score has met the minimum test-score requirement.
  - **14.3.1.3.3 Nonstandard Test Administration.** The Academics/Eligibility/Compliance Cabinet may approve the use of scores achieved during a nonstandard administration of the SAT or ACT for learning-disabled or handicapped students. A student who takes a nonstandard SAT or ACT still

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must achieve the minimum required test score; however, the test does not have to be administered on a national testing date.

- **14.3.1.4 Requirements Applicable to Entering Freshman.** In the application of the freshman academic requirements set forth in this section, a student-athlete shall meet either the initial-eligibility requirements for a qualifier in effect at the time of the student's graduation from high school or the initial-eligibility requirements in effect at the time of the student-athlete's initial enrollment in a collegiate institution.
- **14.3.1.5 Early Admissions Program Waiver.** A waiver may be granted by the Academics/ Eligibility/Compliance Cabinet for a student who left high school after completion of the junior year or during the senior year to enter a member institution under an early admissions program (open to students solely on the basis of outstanding academic performance and promise), provided the following conditions are met: (*Revised:* 1/14/97 effective 8/1/97)
- (a) For the last four semesters completed in high school, the student maintained a cumulative, minimum grade-point average of 3.500 (based on a maximum of 4.000) and ranked in the top 20 percent of the student's class;
- (b) The student has not met the requirements for graduation from high school; and
- (c) Any remaining deficiency must be in the core-course area of English (i.e., the student is lacking only the fourth year of English). (Revised: 4/15/97)
- **14.3.1.6 Other Management Council-Authorized Waivers.** The Academics/Eligibility/Compliance Cabinet shall have the authority to authorize additional waivers of the initial-eligibility requirements of this legislation based on objective evidence that demonstrates circumstances in which a student's overall academic record warrants the waiver of the normal application of this regulation. The Academics/Eligibility/Compliance Cabinet shall establish the process for granting such waivers, shall monitor the actions taken under this authorization and shall report annually to the membership the actions taken, in summary, aggregate form.

# 14.3.2 Eligibility for Financial Aid, Practice and Competition—Partial Qualifier and Nonqualifier

**14.3.2.1 Partial Qualifier.** A partial qualifier is a student who does not meet the requirements for a qualifier but who, at the time of graduation from high school, presents the following core-curriculum grade-point average and the corresponding ACT or SAT score: (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92 effective 8/1/95, Revised: 1/10/95 effective 8/1/96, Revised: 1/9/96)

Core GPA	SAT*	SAT Recentered**	Sum ACT
2.750 & above	600	720	59
2.725	610	730	59
2.700	620	730	60
2.675	630	740-750	61
2.650	640	760	62
2.625	650	770	63
2.600	660	780	64
2.575	670	790	65
2.550	680	800	66
2.525	690	810	67

\*If taken prior to April 1, 1995. \*\*If taken on or subsequent to April 1, 1995.

- **14.3.2.1.1 Eligibility for Aid, Practice and Competition.** An entering freshman with no previous college attendance who enrolls in a member institution and who is a partial qualifier may receive institutional financial aid (see Bylaws 15.02.4.1 and 15.5.1.2.2), including athletically related financial aid, and may practice only on campus or at the institution's regular practice facility but may not compete during the first academic year in residence. (*Revised: 1/10/90 effective 8/1/90, Revised: 1/10/95 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/96)*
- **14.3.2.2 Nonqualifier.** A nonqualifier is a student who has not graduated from high school or who, at the time specified in the regulation (see Bylaw 14.3), presented neither the core-curriculum gradepoint average and SAT/ACT score required for a qualifier.
  - **14.3.2.2.1** Eligibility for Aid, Practice and Competition. An entering freshman with no previous college attendance who was a nonqualifier at the time of enrollment in a member institution shall not be eligible for regular-season competition or practice during the first academic year in residence. However, such a student shall be eligible for nonathletics institutional financial aid that is not from an athletics source and is based on financial need only, consistent with institutional and conference regulations. (Revised: 1/10/95 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/96)

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(Note: The flow chart in Figure 14-3 shows the relationships between academic requirements, recruitment, financial aid and eligibility.)

- **14.3.2.3 Employment.** A recruited prospective student-athlete who is not a qualifier and who receives assistance from a representative of the institution's athletics interests in obtaining a summer job, must terminate such employment at the end of the summer-vacation period. (Adopted: 1/10/92)
- **14.3.2.4 Practice-Session Attendance.** A student-athlete who is a nonqualifier and who, therefore, is not eligible for practice may not attend any practice sessions in any capacity, nor may the student-athlete attend any meeting characterized as practice (see Bylaw 17.02.1). (Revised: 1/10/95 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/96, Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/96)
- **14.3.2.5 Outside Competition—Partial Qualifier and Nonqualifier.** A partial qualifier or nonqualifier may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but during the first year of enrollment, such an individual is not permitted to practice or compete on an institutional club team or on an outside sports team.
- **14.3.3 Seasons of Competition—Partial Qualifier and Nonqualifier.** Partial qualifiers and nonqualifiers, recruited or nonrecruited, shall not engage in more than three seasons of competition in any one sport. A student who transfers to a Division I member institution from another collegiate institution shall not engage in more than four seasons of competition with not more than three of those seasons in Division I.
  - **14.3.3.1 Fourth Season of Competition—Partial Qualifier and Nonqualifier.** A fourth season of intercollegiate competition shall be granted to a partial qualifier (per Bylaw 14.02.9.2) and a nonqualifier (per Bylaw 14.02.9.4), provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has received a baccalaureate degree. (*Adopted:* 1/14/97 effective 8/1/97, *Revised:* 4/27/00 effective 8/1/00)
    - **14.3.3.1.1 Waiver.** The Academics/Eligibility/Compliance Cabinet Subcommittee on Continuing-Eligibility Issues shall have the authority to grant a fourth season of intercollegiate competition to a partial qualifier or a nonqualifier when the student-athlete has verifiably completed requirements for his or her baccalaureate degree subsequent to the beginning of the fifth academic year of the student-athlete's initial full-time collegiate enrollment. (Adopted: 1/13/98 effective 8/1/98, Revised: 4/27/00 effective 8/1/00)
  - **14.3.3.2 Fourth Season of Competition—Students with Learning Disabilities.** A fourth season of intercollegiate competition shall be granted to a student-athlete with a diagnosed learning disability, provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has completed at least 75 percent of his or her designated degree program. (*Adopted: 1/12/99 effective 8/1/99*)
    - **14.3.3.2.1 Procedures.** The procedures for earning a fourth season of competition pursuant to Bylaw 14.3.3.2 are as follows: (Adopted: 1/12/99 effective 8/1/99)
    - (a) At any time subsequent to a student-athlete's freshman year, the student shall take a copy of his or her diagnosed disability, Individualized Education Program (IEP) or disability assessment and college transcript to the recognized professional staff on campus that evaluates and/or assists students with disabilities for review;
    - (b) The on-campus professional is responsible for evaluating whether the student-athlete's diagnosed disability is such that the student will not progress at a rate to earn a baccalaureate degree by the beginning of his or her fifth year of full-time enrollment. In the event that no such professional staff exists on campus, the off-campus professionals normally used by the institution shall make such an evaluation. Additional evidence supporting a student-athlete meeting this criteria include a student's need to take a reduced course load during a term or terms. The professional staff is not required to retest the student-athlete for the disability; and
    - (c) If the professional staff is satisfied that the student-athlete meets the criteria set forth in subparagraphs (a) and (b), a letter of confirmation should be sent to the athletics department and the student. The athletics department is responsible for communicating the information to the NCAA national office. In the event that the national office does not have on file a copy of the most recent diagnosis of the student-athlete's learning disability, a copy must be submitted to the national office with a signed letter from the professional staff.
      - **14.3.3.2.1.1 Waiver.** A student-athlete who does not satisfy the criteria set forth in 14.3.3.2 to earn a fourth season of competition may submit an appeal to the Academics/Eligibility/

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Compliance Cabinet subcommittee on continuing-eligibility issues for consideration of a fourth season. (Adopted: 1/12/99 effective 8/1/99)

**14.3.4 Residence Requirement—Partial Qualifier or Nonqualifier.** A partial qualifier must fulfill an academic year of residence in order to be eligible to compete and to practice away from the institution. A nonqualifier must fulfill an academic year of residence in order to be eligible for practice, competition and athletically related financial aid (see Bylaw 14.3.2.2.1.1) The requirements that must be met to fulfill an academic year of residence are set forth in Bylaw 14.5.1.1. (Revised: 1/10/90 effective 8/1/90, Revised: 1/10/95 effective 8/1/96 for those student-athletes first entering a collegiate institution on or after 8/1/96)

#### 14.3.5 Determination of Freshman Eligibility

#### 14.3.5.1 Participation Prior to Certification

- **14.3.5.1.1 Recruited Student-Athlete.** If a recruited student-athlete reports for athletics participation before the high-school core-curriculum grade-point average and test score have been certified, the student may practice, but not compete, for a maximum of two weeks, provided the student is enrolled full time or has been accepted for enrollment as a regular full-time student. After this two-week period, the student shall have established minimum requirements as a qualifier (as certified by the NCAA Initial-Eligibility Clearinghouse) to continue practicing or to compete, or the minimum requirements as a partial qualifier to continue practicing. (*Revised: 1/11/89*)
- **14.3.5.1.2 Nonrecruited Student-Athlete.** If a nonrecruited student-athlete reports for athletics participation before the high-school core-curriculum grade-point average and test score have been certified, the student may practice, but not compete, for a maximum of 45 days, provided the student is enrolled full time or has been accepted for enrollment as a regular full-time student. After this 45-day period, the student shall have established minimum requirements as a qualifier (as certified by the NCAA Initial-Eligibility Clearinghouse) to continue practicing or to compete, or the minimum requirements as a partial qualifier to continue practicing.
  - **14.3.5.1.2.1 Exception—Women's Rowing.** A nonrecruited student in the sport of women's rowing may practice, but not compete, during the nonchampionship season in that sport. Thereafter, the student shall have established minimum-eligibility requirements as a qualifier (as certified by the NCAA Initial-Eligibility Clearinghouse) to continue practicing or to compete or the minimum requirements as a partial qualifier to continue practicing. (*Adopted:* 1/9/96 effective 8/1/96)
- **14.3.5.2 GED Test/Equivalency Diploma.** A prospect who does not graduate from high school but who subsequently completes the General Educational Development (GED) test and obtains a state high-school equivalency diploma may satisfy the graduation requirement of Bylaw 14.3, but not the corecurriculum or test-score requirement, if the following conditions are met:
- (a) Only scores from a GED test taken by the prospect after one calendar year has elapsed from the date the prospect's high-school class (i.e., the last class of which the student was a member while enrolled in high school) normally graduates shall be utilized;
- (b) The prospect must present the state high-school equivalency diploma prior to initial enrollment as a full-time, regularly matriculated student in a collegiate institution;
- (c) The prospect may qualify for athletically related financial aid and practice at the institution's regular home facility, but not for competition, by presenting a minimum average score of 45 on the five-part GED test and satisfying the applicable core-curriculum grade-point average and corresponding ACT or SAT score for a partial qualifier as set forth in the sliding scale index in Bylaw 14.3.2.1.1; and (Adopted: 1/12/99 effective 8/1/99 for entering freshmen whose initial collegiate enrollment occurs during the 1999-00 academic year and thereafter)
- (d) To qualify for financial aid, practice and competition, the prospect must meet the core-curriculum grade-point average and test-score requirements (see Bylaw 14.3.1.1) in addition to presenting a minimum average score of 45 on the five-part GED test.
- **14.3.5.3 Advanced Placement.** If the student-athlete is admitted with a minimum of 24-semester hours or a minimum of 36-quarter hours of advanced placement from a College Entrance Examination Board (CEEB) examination (or from a similar proficiency examination) and/or concurrent high-school/college credit without previous enrollment at a collegiate institution, the student-athlete shall be immediately eligible. Credits earned from extension or summer-session courses may not be counted in satisfaction of this requirement.
- **14.3.5.4 International Academic Standards.** A student from a foreign country shall satisfy both the requirements outlined in the NCAA Guide to International Academic Standards for Athletics Eligibility and the test-score requirements set forth in Bylaw 14.3.1.1-(b).

#### 14.4 SATISFACTORY-PROGRESS REQUIREMENTS

- **14.4.1 Satisfactory-Progress Requirements—All Divisions.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall maintain satisfactory progress toward a baccalaureate or equivalent degree at that institution as determined by the regulations of that institution. As a general requirement, "satisfactory progress" is to be interpreted at each member institution by the academic authorities who determine the meaning of such phrases for all students, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. (See Constitution 3.2.4.10 regarding the obligations of members to publish their satisfactory-progress requirements for student-athletes.) (Note: The restrictions, exceptions and waivers set forth in Bylaws 14.4.3.4.4, 14.4.3.4.5 and 14.4.3.7 also apply to the general requirements for good academic standing and satisfactory progress.)
  - **14.4.1.1 Exchange Student.** A bona fide exchange student as defined in Bylaw 14.5.1.6.1 shall maintain satisfactory progress toward a baccalaureate or equivalent degree at the student's preceding educational institution.
  - **14.4.1.2 Temporary Student.** A student-athlete having the status of temporary, transient or exchange student shall not represent an institution in intercollegiate athletics competition unless such status is specifically allowed and governed by provisions adopted by the membership.
- **14.4.2 Eligibility for Financial Aid and Practice.** Eligibility for institutional financial aid and practice during each academic year after a student-athlete's initial year in residence or after the student-athlete has utilized one season of eligibility in a sport shall be based upon the rules of the institution and the conference(s), if any, of which the institution is a member.

#### 14.4.3 Eligibility for Competition

- **14.4.3.1 Fulfillment of Credit-Hour Requirements.** Eligibility for competition for a midyear transfer student-athlete for a student-athlete subsequent to the student-athlete's first academic year in residence or after the student-athlete has utilized one season of eligibility in any sport at the certifying institution shall be determined by the student-athlete's academic record in existence at the beginning of the fall term or at the beginning of any other regular term of that academic year, based upon: (*Revised:* 1/10/92)
- (a) Satisfactory completion prior to each fall term of a cumulative total of academic semester or quarter hours equivalent to an average of at least 12-semester or -quarter hours during each of the previous academic terms in academic years in which the student-athlete has been enrolled in a term or terms, or
- (b) Satisfactory completion of 24-semester or 36-quarter hours of academic credit since the beginning of the previous fall term or since the beginning of the certifying institution's preceding regular two semesters or three quarters.
  - **14.4.3.1.1 Academic Year in Residence.** The definition of "year in residence" for purposes of initiating the requirement for satisfactory-progress certification is based on full-time enrollment and attendance during any portion of a term in an academic year, except that when a student-athlete is granted a medical-absence waiver per Bylaw 14.4.3.6-(a) during the first year of academic residence, that term is not counted in determining whether the student-athlete has been in residence at the certifying institution for one academic year. (*Adopted:* 1/10/92)
  - **14.4.3.1.2 Part-Time Enrollment.** Semester or quarter hours earned by a student-athlete while enrolled in less than a full-time program of studies (per Bylaw 14.1.6.2.2) shall not be used to meet satisfactory-progress requirements of Bylaws 14.4.3.1-(a) and 14.4.3.1-(b), unless the student-athlete is held accountable for the term(s) of part-time enrollment at the time of certification. (Adopted: 1/11/94 effective 8/1/94 for credit hours earned during the 1994-95 academic year and thereafter, Revised: 1/10/95)
  - **14.4.3.1.3 Hours Earned during Regular Academic Year.** A student-athlete shall earn at least 75 percent of the minimum number of semester or quarter hours required for satisfactory progress during the regular academic year. The student-athlete shall earn no more than 25 percent of the minimum number of semester or quarter hours required for satisfactory progress during the summer or through correspondence courses taken during the 1993-94 academic year and thereafter. (Adopted: 1/10/92 effective 8/1/92 for credit hours earned during the 1992-93 academic year and thereafter, Revised: 1/11/94)
    - **14.4.3.1.3.1 Regular Academic Year.** For purposes of Bylaw 14.4.3.1.3, the regular academic year consists of the time beginning with the opening of the institution's fall term and concluding with the institution's spring commencement exercises. (Adopted: 1/9/96)
    - **14.4.3.1.3.2 Waiver Procedures.** The Satisfactory-Progress Waiver Committee shall have the authority to authorize waivers of this requirement based upon objective evidence that demonstrates the satisfactory of the satisfactor

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strates circumstances that warrant the waiver of the normal application of this regulation. The committee shall establish the process for granting such waivers and shall report at least annually to the Management Council and to the membership the actions taken in summary aggregate form. (Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 10/28/97)

- **14.4.3.1.3.3 Waiver or Exception—Prorating Hours.** A student-athlete who qualifies for an exception to or waiver of the satisfactory-progress rule set forth in Bylaws 14.4.3.5 and 14.4.3.6 may prorate the 75-percent requirement based on the number of hours the student-athlete must earn to meet satisfactory-progress requirements. For example, a student-athlete beginning his or her second year of enrollment at the certifying institution who qualifies for a missed term during the freshman year must earn nine  $(12 \times .75 = 9)$  of those hours during the regular academic year. (Adopted: 1/9/96)
- **14.4.3.1.4 Designation of Degree Program.** A student-athlete shall designate a program of studies leading toward a specific baccalaureate degree at the certifying institution by the beginning of the third year of enrollment (fifth semester or seventh quarter) and thereafter shall make satisfactory progress toward that specific degree. This provision shall be applicable to the eligibility not only of a continuing student, but also of a transfer student from a four-year or two-year collegiate institution who is entering his or her third year of collegiate enrollment, even if the student has not yet completed an academic year in residence or utilized a season of eligibility in a sport at the certifying institution. Designation of a specific baccalaureate degree program may be accomplished by:
- (a) Formal enrollment by the student-athlete in a specific baccalaureate degree program; or
- (b) Approval by an appropriate academic official (who must not be an academic adviser/counselor employed by the athletics department) of the program leading to the specific baccalaureate degree that the student-athlete is pursuing.
  - **14.4.3.1.4.1 Documentation of Degree Program Designation.** If the designation is in accordance with (a) above, the official enrollment records of the institution shall constitute the documentation of the program against which satisfactory progress under this regulation shall be measured. If the designation is in accordance with (b), the record of the degree program designation, approved by the appropriate academic official, shall constitute that documentation. For purposes of certifying eligibility for competition, an appropriate academic official shall affirm in writing the number of credit hours applicable to the designated degree program that have been completed satisfactorily. The institution's records for all student-athlete degree program designations and satisfactory-progress evaluations shall be retained for inspection (upon request) by an authorized representative of the NCAA.
    - **14.4.3.1.4.1.1 Eligibility Ramifications.** Violations of Bylaw 14.4.3.1.4 shall be considered institutional violations per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility. (*Adopted: 4/20/99 effective 8/1/99*)
- **14.4.3.1.5 Hours Earned or Accepted for Degree Credit.** The provision that the calculation of credit hours under the satisfactory-progress regulation shall be based on hours earned or accepted for degree credit at the certifying institution in a student-athlete's specific baccalaureate degree program (see Bylaw 14.4.3.1.4) shall be met as follows:
- (a) During the first two years of enrollment, a student-athlete who has not yet designated a specific baccalaureate degree program may use credits acceptable toward any of the institution's degree programs;
- (b) By the beginning of the third year of enrollment (fifth semester or seventh quarter), a studentathlete shall be required to have designated a program of studies leading toward a specific baccalaureate degree. From that point, the credits used to meet the satisfactory-progress requirements must be degree credit toward the student's designated degree program;
- (c) A student-athlete who changes his or her designated degree program may comply with the satisfactory-progress requirements if
  - (1) The change in programs is documented appropriately by the institution's academic authorities;
  - (2) The credits earned prior to the change are acceptable toward the degree previously sought; and
  - (3) The credits earned from the time of the change are acceptable toward the new desired degree.
- (d) A student-athlete who has designated a specific degree program with an identified major may not use a course to fulfill the credit-hour requirement for meeting satisfactory progress even if the course fulfills an elective component of the student-athlete's degree program, if the stu-

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dent ultimately must repeat the course to fulfill the requirements of the student's major. (Adopted: 1/14/97)

- **14.4.3.2 Fulfillment of Percentage of Degree Requirements.** A student-athlete who is entering his or her third year of collegiate enrollment shall have completed successfully at least 25 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fourth year of collegiate enrollment shall have completed successfully at least 50 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fifth year of collegiate enrollment shall have completed successfully at least 75 percent of the course requirements in the student's specific degree program. The course requirements must be in the student's specific degree program (as opposed to the student's major). (Adopted: 1/10/92 effective 8/1/92, Revised: 1/9/96)
  - **14.4.3.2.1 Five-Year Degree Program.** If the student-athlete's degree program is identified in the institution's official catalog as a five-year program or otherwise requires the completion of a minimum of 150-semester or 225-quarter hours, the student-athlete who is entering his or her third year of collegiate enrollment shall have completed successfully 20 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fourth year of collegiate enrollment shall have completed successfully 40 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fifth year of collegiate enrollment shall have completed successfully 60 percent of the course requirements in the student's specific degree program. (*Adopted: 1/14/97*)
  - **14.4.3.2.2 Application of Rule to Transfer and Continuing Student.** The provisions of Bylaw 14.4.3.2 shall be applicable to the eligibility not only of a continuing student, but also of a transfer student from a two-year or four-year collegiate institution, even if the student has not yet completed an academic year in residence or utilized a season of eligibility in a sport at the certifying institution. (Adopted: 1/10/92 effective 8/1/92 for student-athletes first entering a collegiate institution on or after August 1, 1992.)
  - **14.4.3.2.3 Timing of Certification.** A student-athlete's eligibility under this provision shall be determined on the basis of the student's academic record in existence at the beginning of that student's third or subsequent academic year (i.e., fifth semester or seventh quarter) of full-time enrollment. If the student-athlete is ineligible under the provisions of the satisfactory-progress legislation at the beginning of that term, eligibility may be reinstated at the beginning of any other regular term of that student's specific academic year, based upon the student's subsequent fulfillment of the necessary degree requirements. (*Adopted: 1/11/94, Revised: 1/10/95*)
  - **14.4.3.2.4 Waiver Procedures.** The Satisfactory-Progress Waiver Committee shall have the authority to authorize waivers of the degree-requirement provisions of this legislation based upon objective evidence that demonstrates circumstances that warrant the waiver of the normal application of this regulation. The committee shall establish the process for granting such waivers and shall report at least annually to the Management Council and to the membership the actions taken in summary aggregate form. (*Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 10/28/97*)
- **14.4.3.3 Fulfillment of Minimum Grade-Point Average Requirements.** A student-athlete shall meet the "satisfactory completion" provision of this requirement by maintaining a grade-point average that places the individual in good academic standing, as established by the institution for all students who are at an equivalent stage of progress toward a degree.
  - **14.4.3.3.1 General Rule.** A student-athlete who is entering his or her third year of collegiate enrollment shall present a cumulative minimum grade-point average (based upon a maximum of 4.000) that equals at least 90 percent of the institution's overall cumulative minimum grade-point average required for graduation. A student-athlete who is entering his or her fourth or subsequent year of collegiate enrollment shall present a cumulative minimum grade-point average (based upon a maximum of 4.000) that equals 95 percent of the institution's overall cumulative minimum grade-point average required for graduation. If the institution does not have an overall grade-point average for graduation, it is permissible to utilize the lowest grade-point average required for any of the institution's degree programs in determining the cumulative minimum grade-point average. The minimum grade-point average must be computed pursuant to institutional policies applicable to all students. (Adopted: 1/10/92 effective 8/1/92 for student-athletes first entering a collegiate institution on or after 8/1/92)
    - **14.4.3.3.1.1 Application of Rule to Transfer and Continuing Student.** The provisions of Bylaw 14.4.3.3.1 shall be applicable to the eligibility of a midyear transfer student from a two-year or four-year collegiate institution who has completed an academic term in residence, a student who has completed an academic year in residence, or a student who has utilized a season of eligibility in a sport at the certifying institution. (*Adopted: 1/10/92 effective 8/1/92 for student-athletes first entering a collegiate institution on or after 8/1/92, Revised: 1/16/93)*

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- **14.4.3.3.1.2 Timing of Certification.** A student-athlete's eligibility under this provision shall be determined on the basis of the student's academic record in existence at the beginning of that student's third or subsequent academic year (i.e., fifth semester or seventh quarter) of full-time enrollment. If the student-athlete is ineligible under the provisions of the satisfactory-progress legislation at the beginning of that term, eligibility may be reinstated at the beginning of any other regular term of that student's specific academic year, based upon the student's subsequent fulfillment of the minimum grade-point average requirement. (*Adopted: 1/11/94, Revised: 1/10/95*)
- **14.4.3.3.1.3 Waiver Procedures.** The Satisfactory-Progress Waiver Committee shall have the authority to authorize waivers of the grade-point average provisions of this legislation based upon objective evidence that demonstrates circumstances that warrant the waiver of the normal application of this regulation. The committee shall establish the process for granting such waivers and shall report at least annually to the Management Council and to the membership the actions taken in summary aggregate form. (Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 10/28/97)

#### 14.4.3.4 Regulations for Administration of Satisfactory Progress

- **14.4.3.4.1 Calculation of Credit Hours.** The calculation of credit hours to meet this requirement (see Bylaw 14.4.3.1) shall be based upon hours earned or accepted for degree credit toward any of the institution's degree programs or, if the student-athlete has designated a specific baccalaureate degree program, toward credit in that degree program. Hours earned in the period following the regular academic year at the institution (e.g., hours earned in summer school) may be utilized to satisfy the academic credit requirements of this regulation.
- **14.4.3.4.2 Nontraditional Terms.** An institution that determines registration other than on a traditional semester- or quarter-hour basis shall submit a statement describing the continuing eligibility requirements applicable to its student-athletes for approval by the Academics/Eligibility/ Compliance Cabinet.
- **14.4.3.4.3 Advanced-Placement Tests/Credit by Examination.** Credit received through advanced-placement tests or by examination may be utilized by the student to meet the minimum satisfactory-progress requirement, provided the subject for which the examination is an alternative is offered by the institution as acceptable degree credit.
- **14.4.3.4.4 Prior Approval—Summer Courses at Other Institutions.** Prior approval by appropriate academic officials of the certifying institution is required if courses taken during another institution's summer term are to be utilized in determining the student's academic status (i.e., good academic standing and satisfactory progress). Under limited circumstances, the Academics/Eligibility/Compliance Cabinet may grant waivers for student-athletes who did not request or receive prior approval.
- **14.4.3.4.5** Correspondence and Extension Courses from Another Institution. Correspondence, extension and credit-by-examination courses taken from an institution other than the one in which a student-athlete is enrolled as a full-time student shall not be used in determining a student's academic standing or satisfactory progress.
  - **14.4.3.4.5.1 Waivers.** Waivers of this restriction may be granted by the Satisfactory-Progress Waiver Committee in response to written requests from member institutions. (*Revised:* 1/9/96 effective 8/1/96, Revised: 10/28/97)
  - **14.4.3.4.5.2 Centralized Correspondence-Course Exception.** In a state that centralizes the offering of correspondence courses through one state institution, a student enrolled in another of the state's institutions may use a correspondence course from the institution authorized to offer such courses in determining the student's academic standing or satisfactory progress.
- **14.4.3.4.6 Remedial, Tutorial or Noncredit Courses.** Remedial, tutorial or noncredit courses may be used by the student to satisfy the minimum academic progress requirement of Bylaw 14.4.3.4.1 only if they meet all of the following conditions:
- (a) The courses must be considered by the institution to be prerequisites for specific courses acceptable for any degree program;
- (b) The courses must be given the same weight as others in the institution in determining the student's status for full-time enrollment;
- (c) Noncredit courses may not exceed the maximum institutional limit for such courses in any baccalaureate degree program (or the student's specific baccalaureate degree program once a program has been designated); and
- (d) For those students first enrolled in the certifying institution beginning with the 1986-87 acad-

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emic year, the credit in such courses shall not exceed 12-semester or 18-quarter hours, and the courses must be taken during the student's first academic year of collegiate enrollment.

- **14.4.3.4.7 Incomplete Grades.** A student who receives an incomplete grade in a course may utilize the course in question to fulfill the minimum satisfactory-progress requirements, subject to the following conditions:
- (a) The incomplete grade must have been removed in accordance with the institution's regulations applicable to all students;
- (b) Such a course may be counted only once after a grade has been achieved that is acceptable to the institution for determining satisfactory progress; and
- (c) The course with the acceptable grade shall be counted either during the term in which the student initially enrolled in the course or during the term in which the incomplete grade was removed and acceptable credit was awarded.
- **14.4.3.4.8 Repeated Courses.** Credit for courses that are repeated may be used by a student to satisfy the minimum academic progress requirements only under the following conditions:
- (a) A course repeated due to an unsatisfactory initial grade may be utilized only once, and only
  after it has been satisfactorily completed;
- (b) Credit for a course that may be taken several times (e.g., a physical education activities course) shall be limited by institutional regulations; and
- (c) Credits earned in courses that may be taken several times may not exceed the maximum institutional limit for credits of that type for any baccalaureate degree program (or for the student's specific baccalaureate degree program once a program has been designated).
- **14.4.3.4.9 "Banked" Credit Hours.** All credit hours (including those "banked" or earned in excess of the average of 12 per term prior to the designation of a specific baccalaureate degree program, even if such hours are not applicable to the designated degree program) may continue to be used to maintain satisfactory progress. (Revised: 1/9/96)
- **14.4.3.4.10 Credit from Other Institutions.** Credit hours earned at another institution prior to initial enrollment at the certifying institution may not be utilized to satisfy minimum academic progress requirements at that institution, except that such hours may be utilized to fulfill the percentage of degree requirements specified in Bylaw 14.4.3.2. However, a student-athlete's total academic record subsequent to initial full-time enrollment at the certifying institution, including the record at other institutions later attended, may be considered in evaluating eligibility under the satisfactory-progress legislation. (*Revised: 1/16/93*)

#### 14.4.3.5 Exceptions to Satisfactory-Progress Rule (Adopted: 1/10/90)

- (a) **Missed term.** One time during a student-athlete's entire period of collegiate enrollment, the provisions of Bylaw 14.4.3.1-(a) may be prorated at 12 hours per term of actual attendance if the student-athlete misses a complete term or consecutive terms during an academic year, subject to the following conditions:
  - (1) The student-athlete engaged in no outside competition in the sport during the academic term or terms in which the student was not in attendance;
  - (2) The student was eligible for enrollment during the student's absence; and
  - (3) At the time of certification, the student has fulfilled the satisfactory-progress requirements (per Bylaw 14.4.3.1) for the terms in which the student was in attendance.

A transfer student from a two-year college is not eligible to utilize this one-time exception during the first academic year of residence at the certifying institution in order to maintain eligibility during the second year in residence. Hours earned while enrolled as a part-time student during the "missed term" may not be counted in meeting the satisfactory-progress requirement. (Revised: 1/10/90)

- (b) **Midyear enrollment.** For students entering the institution at the beginning of the second semester or the second or third quarter of an academic year, the credit hours required under the satisfactory-progress regulation of Bylaw 14.4.3.1-(a) may be prorated at 12 units per term of actual attendance during the initial regular academic year of attendance. (Revised: 1/10/90)
- (c) **Nonrecruited**, **nonparticipant**. A student-athlete may qualify for an exception to the application of the satisfactory-progress regulation for the initial season of eligibility if the student has been in residence at the certifying institution for at least one academic year; was not recruited; has not received athletically related financial assistance; has never practiced or participated in intercollegiate athletics, except that a student may have participated in limited preseason tryouts; and is otherwise eligible under all institutional, conference and NCAA rules. The student-athlete's eligi-

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- bility in subsequent seasons would be governed by the provisions of the satisfactory-progress rule, which would be applied from the beginning of the first term the student began participation. This exception shall not apply to the percentage-of-degree (Bylaw 14.4.3.2) and minimum grade-point average (Bylaw 14.4.3.3) requirements. (Revised: 1/10/90, 1/10/92, 1/9/96)
- (d) **Graduate Student Exception.** A graduate student who is otherwise eligible for regular-season competition shall be exempt from the provisions of this regulation.
- **14.4.3.6 Waivers of Satisfactory-Progress Rule.** The Division I Satisfactory-Progress Waiver Committee shall establish appropriate criteria for waivers of this legislation. Such waivers shall be administered by the conference members of the Association or, in the case of an independent institution, by the Division I Satisfactory Progress Waiver Committee. Waivers of the satisfactory-progress rule may be granted under any of the following conditions: (*Revised:* 10/28/97, 4/27/00)
- (a) **Medical absence.** The credit hours required under the satisfactory-progress regulation of Bylaw 14.4.3.1-(a) may be prorated at 12 units per term of actual attendance during an academic year in which a student misses a term or is unable to complete a term as a full-time student as a result of an injury or illness. Such an exception may be granted only when circumstances clearly supported by appropriate medical documentation establish that a student-athlete is unable to attend a collegiate institution as a full-time student as a result of an incapacitating physical injury or illness involving the student-athlete or a member of the student-athlete's immediate family. Credits earned by the student during the term to which the waiver applies may not be used in determining satisfactory progress.
- (b) International competition. The credit hours required under the satisfactory-progress regulation of Bylaw 14.4.3.1-(a) may be prorated at 12 hours per term of actual attendance during an academic year in which a student is not enrolled for a term or terms or is unable to complete a term as a result of participation in the Pan American, Olympic, World Championships, World Cup or World University Games (including final Olympic tryouts and the officially recognized training program that directly qualifies participants for those tryouts). This waiver provision may be applied to no more than two semesters or three quarters. (Revised: 1/9/96)
- **14.4.3.7 Waiver—Olympic Games.** The Division I Satisfactory-Progress Waiver Committee may waive this general satisfactory-progress requirement for any participant in the Olympic Games who, because of such participation, may lose eligibility for practice and competition in any sport. (*Revised:* 10/28/97)
- **14.4.3.8 Waiver—Learning-Disabled and Handicapped Student-Athletes.** The Division I Satisfactory-Progress Waiver Committee may waive the general satisfactory-progress requirements for a learning-disabled or handicapped student-athlete when objective evidence demonstrates that the institution has defined full-time enrollment for that student-athlete to be less than 12 hours to accommodate for the student's learning disability or handicap. (*Adopted: 1/10/95, Revised: 10/28/97*)
- **14.4.3.9 Additional Satisfactory-Progress Waivers.** The Division I Satisfactory-Progress Waiver Committee shall have the authority to waive all other satisfactory-progress requirements based on objective evidence that demonstrates circumstances that warrant the waiver of the normal application of those regulations. The committee shall establish the process for granting such waivers and shall report at least annually to the Management Council and to the membership the actions taken in summary aggregate form. (*Adopted: 1/9/96 effective 8/1/96, Revised: 10/28/97*)

### 14.5 TRANSFER REGULATIONS

The following 14.5.1 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001.

- **14.5.1 Residence Requirement—General Principle.** A student who transfers (see Bylaw 14.5.2) to a member institution from any collegiate institution is required to complete one full academic year of residence at the certifying institution before being eligible to compete for or to receive travel expenses from the member institution (see Bylaw 16.8.1.2), unless the student satisfies the applicable transfer requirements or receives an exception or waiver as set forth in this bylaw. (Revised: 1/10/91 effective 8/1/91)
- **14.5.1 Residence Requirement—General Principle.** A student who transfers (see Bylaw 14.5.2) to a member institution from any collegiate institution is required to complete one full academic year of residence at the certifying institution before being eligible to compete for or to receive travel expenses from the member institution (see Bylaw 16.8.1.2), unless the student satisfies the applicable transfer requirements or receives an exception or waiver as set forth in this bylaw. In the sport of basketball, a transfer student-athlete who satisfies the applicable transfer requirements or receives an exception or

waiver as set forth in this section, but initially enrolls as a full-time student subsequent to the first term of the academic year shall not be eligible for competition until the ensuing academic year. (Revised: 1/10/91 effective 8/1/91, Revised: 4/27/00 effective 8/1/01 for those student-athletes first entering the certifying institution on or after 8/1/01)

- **14.5.1.1 Determination of Year of Residence.** To satisfy an academic year of residence, a student shall:
- (a) Be enrolled in and complete a minimum full-time program of studies for two full semesters or three full quarters; or
- (b) Be enrolled in a minimum full-time program of studies for two full semesters or three full quarters and pass a number of hours that is at least equal to the sum total of the minimum load of each of the required terms.
  - **14.5.1.1.1 Summer Term.** A summer term shall not be used to satisfy a term of residence, but hours earned at the certifying institution during the summer may be used to satisfy the requirements of (b) above.
- **14.5.1.2 Fulfillment of Residence Requirement in Night School.** When a student transfers to a member institution and is required to fulfill a residence requirement before being eligible to participate in competition, it is permissible for the transfer student to meet the requirement by attending an institution's night school, provided the following conditions are met:
- (a) The night school has regular terms (semesters or quarters) that are the same as the institution's day school;
- (b) The student is enrolled in a minimum full-time program of studies during each night term counted; and
- (c) The student is considered by the institution to be a regularly matriculated student in each term.
- **14.5.1.3 Disciplinary Suspension.** A student who transfers to any NCAA institution from a collegiate institution while the student is disqualified or suspended from the previous institution for disciplinary reasons (as opposed to academic reasons) must complete one calendar year of residence at the certifying institution. (*Revised:* 1/14/97 effective 8/1/97)
- 14.5.1.4 Outside Competition—Partial Qualifier or Nonqualifier. A two-year college transfer student who is a nonqualifier or partial qualifier and does not meet the applicable transfer requirements may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but such an individual is not permitted to practice or compete on an institution's club team or an outside sports team during the first academic year in residence. A four-year college transfer student who is a nonqualifier or partial qualifier and who has not completed an academic year in residence may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but such an individual is not permitted to practice or compete on an institution's club team or an outside sports team during the first academic year in residence. (Adopted: 1/14/97)
- **14.5.1.5 Eligibility for Championship in Progress.** A transfer student shall be eligible for any NCAA championship that is in progress after a full calendar year has elapsed and at the time he or she has completed two full semesters or three full quarters of academic work.
- **14.5.1.6 Foreign Institution Transfers.** A transfer student from a foreign collegiate institution (college, university or two-year college), except one entering as a bona fide exchange student, shall comply with the one-year residence requirement set forth in Bylaw 14.5.5.1.
  - **14.5.1.6.1** Bona Fide Foreign Exchange Student Exception. A bona fide foreign exchange student is an individual who is sponsored by his or her nation's government, or is sponsored by the U.S. Department of State, Rotary International, the Ford Foundation, the Institute of International Education or a similar organization. For a student to be considered a bona fide exchange student, the sponsoring organization shall identify the student prior to the student's departure from his or her home country and make the necessary arrangements to finance the student's education under the foreign exchange program. If these arrangements have not been completed before the student's enrollment at the member institution, the student is considered to be a transfer student and may not represent the certifying institution in competition until the individual has met the required residence requirement.
- **14.5.2 Conditions Affecting Transfer Status.** A transfer student is an individual who transfers from a collegiate institution after having met any one of the following conditions at that institution:
- (a) The student was officially registered and enrolled in a minimum, full-time program of studies in any quarter or semester of an academic year, as certified by the registrar or admissions office, provided the student was present at the institution on the opening day of classes;

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- (b) The student attended a class or classes in any quarter or semester in which the student was enrolled in a minimum full-time program of studies, even if the enrollment was on a provisional basis and the student was later determined by the institution not to be admissible;
- (c) The student is or was enrolled in an institution in a minimum full-time program of studies in a night school that is considered to have regular terms (semesters or quarters) the same as the institution's day school, and the student is or was considered by the institution to be a regularly matriculated student;
- (d) The student attended a branch school that does not conduct an intercollegiate athletics program, but the student had been enrolled in another collegiate institution prior to attendance at the branch school:
- (e) The student attended a branch school that conducted an intercollegiate athletics program and transfers to an institution other than the parent institution;
- (f) The student reported for a regular squad practice (including practice or conditioning activities that occur prior to certification per Bylaws 14.3.5.1 and 14.5.4.6.5), announced by the institution through any member of its athletics department staff, prior to the beginning of any quarter or semester, as certified by the athletics director. Participation only in picture-day activities would not constitute "regular practice;"
- (g) The student participated in practice or competed in a given sport even though the student was enrolled in less than a minimum full-time program of studies; or
- (h) The student received institutional financial aid while attending a summer term, summer school or summer-orientation program (see Bylaw 15.2.7.1.2). (Adopted: 1/10/90)
- **14.5.3 Conditions Not Constituting Transfer Status.** Unless otherwise covered by conditions set forth in Bylaw 14.5.2, a student-athlete is not considered a transfer under the following enrollment conditions:
  - **14.5.3.1 Summer School, Extension Courses or Night School.** The student has been enrolled in or attended classes only in a summer school, extension course or night school, unless the night school is considered by the institution to be a regular term (semester or quarter) the same as its day school, the student is enrolled for a minimum full-time load in this regular night term, and the student is considered by the institution to be a regularly enrolled student.
  - **14.5.3.2 Branch School.** The student has been enrolled in or attended classes only in a branch school, provided the branch school does not conduct an intercollegiate athletics program. If the branch school conducts an intercollegiate athletics program, the student shall not be considered a transfer only upon enrollment at the parent institution directly from the branch school. (For definition of "branch school," see Bylaw 14.02.1.)
  - **14.5.3.3 Second Campus of Institution.** The student is in residence at an institution's campus that is not in the same city as the institution's main campus, provided the campus at which the student is in residence does not conduct an intercollegiate athletics program, classes on the campus are taught by the same instructors who teach classes on the main campus, the credits received by all class enrollees are considered as regular credits by the institution's main campus, and the degrees awarded to all students come from the institution's main campus.
  - **14.5.3.4** Academic Exchange Program. The student participates in a regular academic exchange program between two four-year institutions that requires a participant to complete a specified period of time at each institution, and the program provides for the student-athlete to receive at least two baccalaureate or equivalent degrees at the conclusion of this joint academic program.
- **14.5.4 Two-Year College Transfers.** A student who transfers to a member institution from a two-year college or from a branch school that conducts an intercollegiate athletics program must complete an academic year of residence unless the student meets the following eligibility requirements applicable to the division of which the certifying institution is a member. Further, a transfer student-athlete admitted after the 12th class day may not utilize that semester or quarter for the purpose of establishing residency. (*Revised:* 1/10/92)

See Figure 14-7, page 174, for a summary of eligibility requirements for two-year college students who transfer to Division I institutions and who first enrolled in a collegiate institution subsequent to August 1, 1985.

- **14.5.4.1 Qualifier.** A transfer student from a two-year college who was a qualifier (per Bylaw 14.3.1.1) is eligible for competition in Division I institutions the first academic year in residence only if the student has:
- (a) Spent at least two semesters or three quarters in residence at the two-year college (excluding sum-

- mer sessions), presented a minimum of 24-semester hours or 36-quarter hours of transferable-degree credit with a cumulative minimum grade-point average of 2.000 (see Bylaw 14.5.4.6.3.2) and satisfactorily completed an average of at least 12-semester or -quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution for each academic term of attendance at the two-year college; or
- (b) Graduated from the two-year college and completed satisfactorily a minimum of 48-semester or 72-quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution. In addition, a student who first enrolled as a regular student in a two-year college after August 1, 1988, must have a minimum cumulative grade-point average of 2.000 (see Bylaw 14.5.4.6.3.2).
  - **14.5.4.1.1 Initial Two-Year College Enrollment.** To be immediately eligible, a student who first enrolled as a regular student in a two-year college before August 1, 1985, shall complete satisfactorily an average of at least 12-semester or -quarter hours of credit during each academic term of attendance at the two-year college. When initial two-year college enrollment occurred subsequent to August 1, 1985, the required credit hours must be acceptable as degree credit in any of the colleges, schools or departments of the certifying institution in Division I.

The following 14.5.4.1.2 was adopted by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001, for those student-athletes first entering the certifying institution on or after August 1, 2001:

- **14.5.4.1.2 Basketball—Midyear Enrollee.** In the sport of basketball, a qualifier who satisfies the provisions of 14.5.4.1.1, but initially enrolls as a full-time student subsequent to the conclusion of the institution's first term of the acadeemic year, shall not be eligible for competition until the ensuing academic year. (*Adopted: 4/27/00 effective 8/1/01 for those student-athletes first entering the certifying institution on or after 8/1/01)*
- 14.5.4.2 Partial Qualifier or Nonqualifier—Sports Other Than Football and Men's Basketball. In sports other than football and men's basketball, and in all sports for student-athletes first entering a two-year collegiate institution prior to August 1, 1996, a student who was not a qualifier (per Bylaw 14.3.1.1) is eligible for institutional financial aid, practice and competition the first academic year in residence only if the student has graduated from the two-year college, has completed satisfactorily a minimum of 48-semester or 72-quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution and has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms). In addition, such a student must have a cumulative grade-point average of 2.000 (see Bylaw 14.5.4.6.3.2). (Revised: 1/10/90 effective 8/1/90, Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a two-year collegiate institution on or after 8/1/96)
  - **14.5.4.2.1 Use of Hours Earned during Summer Terms.** Not more than a total of 18-semester or 27-quarter hours of the transferable-degree credit may be earned during summer terms, and not more than nine-semester or 13.5-quarter hours of the transferable-degree credit may be earned during the summer term(s) immediately prior to the transfer. (Adopted: 1/9/96 effective 8/1/97 for those student-athletes first entering the certifying institution on or after 8/1/97)
  - **14.5.4.2.2 Three-Semester/Four-Quarter Attendance Requirement.** A student-athlete is not permitted to satisfy the three-semester/four-quarter attendance requirement during one academic year. (*Adopted: 6/8/99*)

The following 14.5.4.2.3 was adopted by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001, for those student-athletes first entering the certifying institution on or after August 1, 2001:

- **14.5.4.2.3 Women's Basketball—Midyear Enrollee.** In women's basketball, a partial qualifier or nonqualifier who satisfies the provisions of 14.5.4.2, but initially enrolls as a full-time student subsequent to the conclusion of the institution's first term of the academic year, shall not be eligible for competition until the ensuing academic year. (Adopted: 4/27/00 effective 8/1/01 for those student-athletes first entering the certifying institution on or after 8/1/01)
- 14.5.4.3 Partial Qualifier or Nonqualifier—Football and Men's Basketball. In the sports of football and men's basketball for student-athletes first entering a two-year collegiate institution on or after August 1, 1996, a student who was not a qualifier (per Bylaw 14.3.1.1) is not eligible for competition during the first academic year in residence at the certifying institution. Such a student is eligible for institutional financial aid and practice the first academic year in residence only if the student has graduated from the two-year college, has completed satisfactorily a minimum of 48-semester or 72-quarter hours of transferable-degree credit acceptable toward any baccalaureate

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degree program at the certifying institution and has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms). In addition, such a student must have a cumulative grade-point average of 2.000 (see Bylaw 14.5.4.6.3.2). (Revised: 1/9/96 effective 8/1/96 for those student-athletes first entering a two-year collegiate institution on or after 8/1/96)

- **14.5.4.3.1 Exception.** A two-year college transfer student who was not a qualifier and who has successfully completed at least 35 percent of the course requirements in the student's specific baccalaureate degree program at the certifying institution is eligible for institutional financial aid, practice and competition during the first academic year in residence if the student has met the requirements of Bylaw 14.5.4.2. (Adopted: 1/9/96 effective 8/1/96 for those student-athletes first entering a two-year collegiate institution on or after 8/1/96)
  - **14.5.4.3.1.1 Correspondence and Extension Courses from Another Institution.** A two-year college transfer student who was not a qualifier shall not use correspondence, extension and credit-by-examination courses taken from an institution other than the two-year college at which the student-athlete was enrolled as a full-time student to satisfy the 35 percent degree completion requirement set forth in 14.5.4.3.1 (see 14.4.3.4.5). (Note: The waiver and the centralized correspondence course exception set forth in 14.4.3.4.5.1 and 14.4.3.4.5.2 are applicable.) (Adopted: 2/16/00)
  - **14.5.4.3.2** Use of Hours Earned during Summer Terms. Not more than a total of 18-semester or 27-quarter hours of the transferable-degree credit may be earned during summer terms, and not more than nine-semester or 13.5-quarter hours of the transferable-degree credit may be earned during the summer term(s) immediately prior to the transfer. (Adopted: 1/9/96 effective 8/1/97 for those student-athletes first entering the certifying institution on or after 8/1/97)
- **14.5.4.3.3** Three Semester/Four Quarter Attendance Requirement. A student-athlete is not permitted to satisfy the three semester/four quarter attendance requirement during one academic year. (*Adopted: 6/8/99*)

The following 14.5.4.3.3 was adopted by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001, for those student-athletes first entering the certifying institution on or after August 1, 2001:

- **14.5.4.3.3 Men's Basketball—Midyear Enrollee.** In men's basketball, a partial qualifier or non-qualifier who satisfies the provisions of 14.5.4.3.1, but initially enrolls as a full-time student subsequent to the conclusion of the institution's first term of the academic year, shall not be eligible for competition until the ensuing academic year. (*Adopted: 4/27/00 effective 8/1/01 for those student-athletes first entering the certifying institution on or after 8/1/01*)
- **14.5.4.4 Status as Qualifier or Nonqualifier.** A prospective student-athlete who does not graduate from high school before enrolling as a regular student in a two-year college may not transfer work back to the high school, graduate from the high school and establish initial eligibility at a member institution on the basis of the revised high-school record. Such a student is considered to be a two-year college transfer who was a nonqualifier.
- **14.5.4.5 Status of Nonqualifier, Nonrecruited.** A two-year college student-athlete who transferred to a Division I institution without meeting the requirements of Bylaw 14.5.4.2 shall not be eligible for regular-season competition and practice during the first academic year in residence. However, such a student who was not recruited (per Bylaw 13.02.11) and for whom admission and financial aid were granted without regard to athletics ability shall be eligible for nonathletics institutional financial aid, provided there is on file in the office of the athletics director certification by the faculty athletics representative, the admissions officer and the chair of the financial aid committee that admission and financial aid were so granted.
- **14.5.4.6 Two-Year College Transfer Regulations.** The following regulations shall be applied in administering the eligibility requirements for two-year college transfers.
  - **14.5.4.6.1 Multiple Two-Year Colleges.** When a student-athlete has been in residence at two or more two-year colleges, the terms of residence at all two-year colleges may be combined in order to satisfy the residence requirement. All grades and all course credits that are transferable from the two-year colleges to the member institution shall be considered in determining the student-athlete's eligibility under Bylaw 14.5 (see also Bylaw 14.5.6). In addition, at least 25 percent of the credit hours used to fulfill the student's academic degree requirements must be earned at the two-year college that awards the degree per Bylaw 14.5. (*Revised:1/11/94*)
  - **14.5.4.6.2 Credit Earned at Four-Year Institution.** If a student-athlete attends a two-year college and, prior to regular enrollment at a member institution, attains additional credits as a part-time

student in a four-year collegiate institution, the hours accumulated at the four-year institution may be utilized by the member institution in determining the student-athlete's eligibility under the two-year college transfer provisions, provided:

- (a) These hours are accepted by the two-year college and are placed on the transcript or other official document by the two-year college from which the student-athlete transfers prior to the date of initial regular enrollment at the NCAA member institution; and
- (b) Any official document (other than the student's transcript) utilized by the two-year college for this purpose includes the official seal of the two-year college, is signed by the appropriate academic official of the two-year college and is forwarded directly from the two-year college to the appropriate admissions official of the certifying institution.
- **14.5.4.6.3 Determination of Transferable Degree Credit.** For the purpose of determining transferable degree credit, the institution may count those courses accepted as degree credit in any of its colleges, schools or departments.
  - **14.5.4.6.3.1 Transferable Credit, Unacceptable Grade.** Credit hours for courses with grades not considered acceptable for transferable degree credit for all students at an institution shall not be counted in determining whether the transfer requirement for total number of hours is satisfied.
  - **14.5.4.6.3.2** Calculation of Grade-Point Average for Transferable Credit. Grades earned in all courses that are normally transferable to an institution shall be considered in determining the qualitative grade-point average for meeting transfer requirements, regardless of the grade earned or whether such grade makes the course unacceptable for transferable degree credit. Only the last grade earned in a course that has been repeated shall be included in the grade-point-average calculation.
- **14.5.4.6.4 Degree Requirement.** In order to satisfy the two-year-college graduation requirement for eligibility immediately upon transfer from a two-year college to a member institution, a student-athlete must receive an associate or equivalent degree in an academic or technical, rather than a vocational, curriculum. The Academics/Eligibility/ Compliance Cabinet shall have the authority to determine whether a two-year college degree is academic or technical, rather than vocational, in nature. (Revised: 1/10/95, 1/12/99 effective 8/1/99)
- **14.5.4.6.5** Transfer to Four-Year College Prior to Completion of Requirements. The requirements set forth in Bylaw 14.5.4 must be met prior to a student-athlete's transfer to the certifying institution. Thus, if a two-year college student transfers (as defined in Bylaw 14.5.2) to a member institution prior to the completion of applicable transfer requirements, the student is subject to the one-year residence requirement at the certifying institution, even though the student transfers back to the two-year college and completes the necessary requirements.

#### 14.5.4.6.6 Participation Prior to Certification

- **14.5.4.6.6.1 Temporary Certification, Recruited Two-Year College Transfer.** If a recruited two-year college transfer reports for athletics participation before the student's high-school or two-year college academic record has been certified, the student may practice, but not compete, for a maximum of two weeks. After this two-week period, the student shall have established minimum requirements as a transfer to continue practicing or to compete. (*Adopted: 1/10/90*)
- 14.5.4.6.6.2 Temporary Certification, Nonrecruited Two-Year College Transfer. If a nonrecruited two-year college transfer reports for athletics participation before the student's high-school or two-year college academic record has been certified, the student may practice, but not compete, for a maximum of 45 days. After this period, the student shall have established minimum requirements as a transfer to continue practicing or to compete. (Adopted: 1/10/90)
- **14.5.4.6.7 Competition in Year of Transfer.** A transfer student from a two-year college is not eligible for regular-season or NCAA championship competition in a sport if the student-athlete has competed at the two-year college in any competition other than regularly scheduled scrimmages in that sport during the same academic year. Such scrimmages must meet the following criteria: (*Revised:* 1/11/94)
- (a) The scrimmage is approved by the two-year college; (Adopted: 1/11/94)
- (b) No official score is kept; (Adopted: 1/11/94)
- (c) No admission is charged; (Adopted: 1/11/94)
- (d) No official time is kept; (Adopted: 1/11/94)
- (e) The scrimmage is played prior to the two-year college's first regularly scheduled outside competition; and (Adopted: 1/11/94)

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- (f) The student-athlete participates in not more than two such scrimmages or dates of competition per academic year. (Adopted: 1/11/94)
- **14.5.4.7 Exceptions or Waivers for Transfer from Two-Year Colleges.** A transfer student from a two-year college or from a branch school that conducts an intercollegiate athletics program is not subject to the residence requirement at the certifying institution if any one of the following conditions is met. An individual who is a partial qualifier or nonqualifier shall not be permitted to use the exceptions under this bylaw.
  - **14.5.4.7.1 Discontinued/Nonsponsored-Sport Exception.** The student changed institutions in order to continue participation in a sport because the student's original two-year college dropped the sport from its intercollegiate program (even though it may subsequently establish that sport on a club basis) or never sponsored the sport on the intercollegiate level while the student was in attendance at that institution, provided the student never attended any other collegiate institution that offered intercollegiate competition in that sport and the student earned at least a minimum 2.000 grade-point average (see Bylaw 14.5.4.6.3.2) at the two-year college. (*Revised:* 1/11/89, 1/10/90)
    - **14.5.4.7.1.1 Original Collegiate Institution.** In applying this provision for an exception to the residence requirement, the original collegiate institution shall be the two-year college in which the student was enrolled immediately prior to the transfer to the certifying institution, provided that, if the student is transferring from a two-year college that never sponsored the sport on the intercollegiate level, the student never shall have attended any other collegiate institution that offered intercollegiate competition in that sport.

#### 14.5.5 Four-Year College Transfers

See Bylaw 13.1.1.3 for prohibition against contacting student-athletes of another four-year collegiate institution without permission of that institution's athletics director.

- **14.5.5.1 General Rule.** A transfer student from a four-year institution shall not be eligible for intercollegiate competition at a member institution until the student has fulfilled a residence requirement of one full academic year (two full semesters or three full quarters) at the certifying institution. Further, a transfer student-athlete admitted after the 12th class day may not utilize that semester or quarter for the purpose of establishing residency. (*Revised: 1/10/91 effective 8/1/91*)
  - **14.5.5.1.1 Attendance for One Academic Year.** A transfer student from a four-year institution who attended a four-year institution at least one academic year shall be eligible for financial aid and practice at a member institution under the rules of the institution and the conference of which the institution is a member, regardless of the student's qualification status (per Bylaw 14.3.1.1) at the time of initial enrollment.
  - **14.5.5.1.2** Attendance for Less Than One Academic Year. A transfer student from a four-year institution who was a partial qualifier (as defined in Bylaws 14.02.9.2 and 14.02.9.3) or a non-qualifier (as defined in Bylaw 14.02.9.3) and who attended the four-year institution less than one full academic year shall not be eligible for competition during the first academic year of attendance at the certifying institution. Participation in practice sessions and the receipt of financial aid during the first academic year of attendance at the certifying institution by such students is governed by the provisions of Bylaws 14.3.2.1 (partial qualifiers) and 14.3.2.2 (non-qualifiers).

The following 14.5.5.2 was adopted by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001, for those student-athletes first entering the certifying institution on or after August 1, 2001:

14.5.5.2 Exceptions or Waivers for Transfers from Four-Year Colleges. A transfer student (other than one under disciplinary suspension per Bylaw 14.5.1.4) from a four-year collegiate institution is not subject to the residence requirement for intercollegiate competition, provided the student does not have an unfulfilled residence requirement at the institution from which he or she is transferring and any of the following exceptions is satisfied. During the student-athlete's first academic year of full-time collegiate enrollment, such conditions may serve as a basis for a waiver of or an exception to the residence requirement only for transfer students who, at the time of initial collegiate enrollment, met the requirements for "qualifiers" (set forth in Bylaw 14.3.1) in the division to which they are transferring. In the sport of basketball, a student-athlete who initially enrolls as a full-time student subsequent to the conclusion of the first term of the academic year and qualifies for an exception to the one-year residence requirement shall not be eligible for competition until the ensuing academic year. (Revised: 1/10/90, 1/10/91 effective 8/1/91)

14.5.5.2 Exceptions or Waivers for Transfers from Four-Year Colleges. A transfer student (other than one under disciplinary suspension per Bylaw 14.5.1.3) from a four-year collegiate institution is not subject to the residence requirement for intercollegiate competition, provided the student does not have an unfulfilled residence requirement at the institution from which he or she is transferring and any of the following exceptions is satisfied. During the student-athlete's first academic year of full-time collegiate enrollment, such conditions may serve as a basis for a waiver of or an exception to the residence requirement only for transfer students who, at the time of initial collegiate enrollment, met the requirements for "qualifiers" (set forth in Bylaw 14.3.1) in the division to which they are transferring. In the sport of Division I basketball, a student-athlete who initially enrolls as a full-time student subsequent to the conclusion of the first term of the academic year and qualifies for an exception to the one-year residence requirement shall not be eligible for competition until the ensuing academic year. (Revised: 1/10/90, 1/10/91 effective 8/1/91, Revised: 4/27/00 effective 8/1/01 for those student-athletes first entering the certifying institution on or after 8/1/01)

- **14.5.5.2.1 Educational Exchange Exception.** The student returns to his or her original institution under any of the following conditions:
- (a) After participation in a cooperative educational exchange program, provided the student is to receive a baccalaureate degree from the institution from which the student transferred to participate in the exchange program;
- (b) After one semester or quarter of attendance at another institution for purposes of taking academic courses not available at the original institution, regardless of whether they are required in the degree program the student-athlete is pursuing at the first institution. In such an instance, the student also may take additional courses that were available at the first institution; or
- (c) After one academic year of attendance at another collegiate institution, in accordance with the program recommended by the appropriate academic officer at the original institution, provided the student was in good academic standing at the time the student left the original institution
- **14.5.5.2.2 Exchange Student Exception.** The student is enrolled in the certifying institution for a specified period of time as a bona fide exchange student participating in a formal educational exchange program that is an established requirement of the student-athlete's curriculum. (*Revised:* 1/11/89, 1/10/92)
- **14.5.5.2.3 Discontinued Academic Program Exception.** The student changed institutions in order to continue a major course of study because the original institution discontinued the academic program in the student's major. (*Revised:* 4/27/00)
- **14.5.5.2.4** Foreign Student Program Exception. The individual is a foreign student who is required to transfer (one or more times) because of a study program predetermined by the government of the student's nation or the sponsoring educational organization.
- **14.5.5.2.5 Military-Service, Church-Mission Exception.** The student returns from at least 18 months of active service in the armed forces of the United States, or from at least 18 months of active service on an official church mission.
  - **14.5.5.2.5.1** Collegiate Enrollment Concurrent with Military Service. The amount of time that an individual is enrolled as a regular student in a collegiate institution while concurrently on active military duty or engaged in active service on an official church mission may not be counted as a part of the 18-month active-duty period that qualifies a student for an exception to the transfer-residence requirement.
- **14.5.5.2.6 Discontinued/Nonsponsored-Sport Exception.** In a particular sport when the student transfers at any time to the certifying institution and participates in the sport on the intercollegiate level after any of the following conditions has occurred:
- (a) The student's original four-year collegiate institution dropped the sport (in which the student has practiced or competed at that institution in intercollegiate competition) from its intercollegiate program; or
- (b) The student's original four-year collegiate institution reclassified the sport (in which the student has practiced or competed at that institution in intercollegiate competition) from Division I to Division III status, and the student subsequently had not competed in that sport on the Division III level: or
- (c) The student's original four-year collegiate institution never sponsored the sport on the intercollegiate level while the student was in attendance at the institution, provided the student had never transferred from any other collegiate institution that offered intercollegiate competition in that particular sport.

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- **14.5.5.2.6.1 Original Institution.** In applying this provision for an exception to the residence requirement, the original collegiate institution shall be the one in which the student was enrolled immediately prior to transfer to the certifying institution, it being understood that, if the student is transferring from an institution that never sponsored the sport on the intercollegiate level, the student never shall have attended any other collegiate institution that offered intercollegiate competition in that sport.
- **14.5.5.2.7 Two-Year Nonparticipation Exception.** The student transfers to the certifying institution from another four-year college and, for a consecutive two-year period immediately prior to the date on which the student begins participation (practice and/or competition), the student has neither practiced nor competed in the involved sport in intercollegiate competition, or has neither practiced nor competed in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. This two-year period does not include any period of time prior to the student's initial collegiate enrollment. (*Revised:* 1/10/95)
- **14.5.5.2.8** Return to Original Institution without Participation Exception. The student enrolls at a second four-year collegiate institution, does not practice or compete in the involved sport at the second institution and returns to the original institution.
- **14.5.5.2.9 Nonrecruited Student Exception.** The student transfers to the certifying institution, and the following conditions are met:
- (a) The student-athlete was not recruited by the certifying institution (per Bylaw 13.02.11.1);
- (b) No athletically related financial assistance has been received by the student-athlete; and
- (c) The student-athlete has neither practiced nor competed in intercollegiate athletics prior to transfer, except that a student may have participated in limited preseason tryouts.
- **14.5.5.2.10 One-Time Transfer Exception.** The student transfers to the certifying institution from another four-year collegiate institution, and all of the following conditions are met (for graduate students, see also Bylaw 14.1.7.1):
- (a) The student is a participant in a sport other than basketball, Division I-A football or men's ice hockey at the institution to which the student is transferring. A participant in Division I-AA football at the institution to which the student is transferring may utilize this exception only if the participant transferred to the certifying institution from an institution that sponsors Division I-A football; (Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94, 1/10/95)
- (b) The student has not transferred previously from one four-year institution unless, in the previous transfer, the student-athlete received an exception per Bylaw 14.5.5.2.6 (discontinued/nonsponsored sport exception); (Revised: 1/11/94, 1/11/97)
- (c) The student is in good academic standing and meets the satisfactory-progress requirements. The transferring student must be one who would have been academically eligible had he or she remained at the institution from which the student transferred, and he or she also must be eligible at the certifying institution as a regularly enrolled, full-time, degree-seeking student who was admitted in accordance with the regular, published entrance requirements of the institution. The student shall not be required to fulfill the necessary percentage-of-degree requirements per Bylaw 14.4.3.2 at the previous institution; (Revised: 1/10/95, 1/9/96)
- (d) The student's previous institution certifies in writing that it has no objection to the student's being granted an exception to the transfer-residence requirement. If the student's previous institution denies his or her request for the release, the institution shall inform the student-athlete in writing that he or she, upon request, shall be provided a hearing conducted by an institutional entity or committee outside of the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and nonathletics faculty/staff members). The institution shall have established reasonable procedures for promptly hearing such a request; and (Revised: 1/11/94)
- (e) If the student transfers to the certifying institution from a Division III member institution and meets the foregoing conditions, he or she may be eligible to compete but shall not receive athletically related financial aid during the first academic year in residence at the certifying institution.
- **14.5.5.3 Competition in Year of Transfer.** A transfer student from a four-year institution, who has received a waiver of or exception to the transfer residence requirement (per Bylaw 14.5.5.2), is not eligible to compete during the segment that concludes with the NCAA championship at the certifying institution if the student-athlete has competed during that segment of the same academic year in that

### Transfer Regulations/14.5.5.3—Outside Competition/14.7.1

sport at the previous four-year institution. (Adopted: 1/10/95 effective 8/1/95; Revised: 1/14/97 effective 8/1/97)

**14.5.6** "4-2-4" **College Transfers.** A student who transfers from a four-year college to a two-year college and then to the certifying institution shall complete one academic year of residence at the certifying institution prior to engaging in intercollegiate competition, unless the student has completed a minimum of 24-semester or 36-quarter hours at the two-year college following transfer from the four-year college most recently attended, one calendar year has elapsed since the student's departure from the previous four-year college (i.e., one year since the date that the student-athlete takes formal action with the appropriate institutional authorities required for all students to indicate that the student-athlete is leaving the previous four-year institution and no longer will be attending classes) and the student has graduated from the two-year college. The 24-semester or 36-quarter hours must be transferable-degree credit to the certifying institution with a cumulative minimum grade-point average of 2.000 (see also Bylaws 14.5.4.6.1 and 14.5.4.6.3.2). (*Revised:* 1/10/90, 2/16/00)

**14.5.6.1 Exceptions.** A "4-2-4" transfer student who does not meet the requirements of Bylaw 14.5.6 is not subject to the residence requirement for intercollegiate competition if any of the following conditions is met:

- (a) The student returns to the four-year college from which he or she transferred to the two-year college, provided the student did not have an unfulfilled residence requirement at the time of the transfer from the four-year college. The amount of time originally spent in residence at the first four-year college may be used by the student in completing the unfulfilled residence requirement at that institution; or
- (b) The student initially was enrolled in a four-year collegiate institution that never sponsored the student's sport on the intercollegiate level while the student was in attendance at the institution (provided the student never had attended any other four-year collegiate institution that offered intercollegiate competition in that particular sport) and provided the student was a qualifier and satisfactorily completed an average of at least 12-semester or -quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution during each academic term of attendance with an accumulative minimum grade-point average of 2.000 (see Bylaw 14.5.4.6.3.2), and spent at least two semesters or three quarters in residence at the two-year college (excluding summer sessions). (Adopted: 1/11/89)
- **14.5.6.2 Calendar-Year Time Lapse.** It is not required that the calendar year specified in Bylaw 14.5.6 elapse prior to the student's initial enrollment as a regular student in the second four-year institution, but the calendar year must elapse before the student represents the certifying institution in intercollegiate competition. However, the student-athlete shall be eligible on the first day of classes or on the date of the first scheduled intercollegiate contest that falls earlier than the first day of classes in the regular academic term in which the student would become eligible, provided the student has fulfilled the one-academic-year requirement.
- **14.5.6.3 Transfer Prior to Completion of Requirements.** All transfer requirements set forth in Bylaw 14.5.6, except the calendar-year time-lapse requirement (see Bylaw 14.5.6.2), must be met prior to the student-athlete's transfer to the certifying institution. Thus, if a student-athlete transfers from a four-year institution to a two-year college and, prior to completing the applicable transfer requirements, transfers to a member institution, the student-athlete shall be subject to the one-year residence requirement, even though during the course of that one-year residence at the certifying institution, the student-athlete may complete the necessary requirements.

# 14.6 HIGH-SCHOOL ALL-STAR GAMES, EFFECTS ON ELIGIBILITY

A student-athlete shall be denied the first year of intercollegiate athletics competition if, following completion of high-school eligibility in the student-athlete's sport and prior to the student-athlete's high-school graduation, the student-athlete competes in more than two all-star football contests or two all-star basketball contests. (See Bylaw 30.2.1.1 for definition of high-school all-star contest.)

# 14.7 OUTSIDE COMPETITION, EFFECTS ON ELIGIBILITY

The eligibility of a student-athlete who engages in outside competition (see Bylaw 17.02.9) is affected as set forth in the following regulations.

**14.7.1 Outside Competition, Sports Other Than Basketball.** A student-athlete in any sport other than basketball who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition becomes ineligible for intercollegiate competition in that sport for the remainder of the year and for the next academic year (see Bylaws 14.7.3 and 14.7.4 for exceptions and waivers), unless restored to eligibility prior to that time by the Academics/Eligibility/Compliance Cabinet. A student-athlete is permitted to practice on such a team. (Adopted: 1/10/91 effective 8/1/91, Revised: 1/16/93, 1/11/94)

### Outside Competition/14.7.1.1—14.7.2.3

- **14.7.1.1 Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed the applicable limits set forth in Bylaw 17.30.2. (Adopted: 1/11/94 effective 8/1/94)
- **14.7.1.2** Exception—Soccer, Women's Volleyball, Field Hockey and Men's Water Polo. In the sports of soccer, women's volleyball, field hockey and men's water polo, a student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: (Adopted: 1/14/97 effective 8/1/97, Revised: 4/22/98 effective 8/1/98, Revised: 1/12/99 effective 5/1/99)
- (a) Such participation occurs no earlier than May 1;
- (b) In the sports of soccer, women's volleyball and field hockey, the number of student-athletes from any one institution does not exceed the applicable limits set forth in 17.30.2; (Revised: 1/12/99 effective 5/1/99)
- (c) The competition is approved by the institution's director of athletics;
- (d) No class time is missed for practice activities or for competition; and
- (e) In the sport of women's volleyball, all practice and competition is confined to doubles tournaments in outdoor volleyball, either on sand or grass.
- **14.7.1.3 Competition as Individual/Not Representing Institution.** It is permissible for a student-athlete to participate in outside competition as an individual during the academic year in the student-athlete's sport, as long as the student-athlete represents only himself or herself in the competition and does not engage in such competition as a member of or receive expenses from an outside team.
- **14.7.1.4 Exempt Teams.** In individual sports (see Bylaw 17.02.12.2), such units as "pro-am" golf teams, doubles tennis teams and relay teams in track and field are not considered to be outside teams for purposes of this legislation.
- **14.7.2 Outside Competition, Basketball.** A student-athlete who participates in any organized basketball competition except while representing the institution in intercollegiate competition in accordance with the permissible playing season specified in Bylaw 17.5 becomes ineligible for any further intercollegiate competition in the sport of basketball (see Bylaws 14.7.3 and 14.7.4 for exceptions and waivers).
  - **14.7.2.1 Organized Basketball Competition.** Outside basketball competition, including competition involving teams with fewer than five players, shall be considered "organized" if any one of the following conditions exists:
  - (a) Teams are regularly formed or team rosters are predetermined;
  - (b) Competition is scheduled and publicized in advance;
  - (c) Official score is kept;
  - (d) Individual or team standings are maintained;
  - (e) Official timer or game officials are used;
  - (f) Team uniforms are used;
  - (g) Admission is charged;
  - (h) A team is privately or commercially sponsored; or
  - Competition is either directly or indirectly sponsored, promoted or administered by an individual, an organization or any other agency.
  - **14.7.2.2** Individual Subject to Outside-Competition Regulations. Once a student-athlete becomes a candidate for the institution's basketball team (i.e., has reported for the squad), or if the student was recruited by a member institution in part for basketball ability and subsequently enrolls in the institution, this prohibition against outside organized basketball competition remains applicable until the student-athlete's intercollegiate basketball eligibility has been exhausted. This includes time while the student is officially withdrawn from college, fulfilling a residence requirement subsequent to transfer to another college, awaiting transfer or enrolled in a nonmember collegiate institution.
  - **14.7.2.3 Nonrecruited, Nonparticipant.** Participation by a student-athlete in organized basketball competition while enrolled in a member institution and having participated in a sport other than basketball would not jeopardize the student's eligibility in the sport of basketball if the individual had not been recruited in basketball and had not participated on or been a candidate for the institution's intercollegiate basketball team.

### Outside Competition/14.7.3—Additional Waivers/14.8.1.1

**14.7.3 Exceptions to Outside-Competition Regulations.** The following exceptions to the outside-competition regulations are permitted.

#### 14.7.3.1 In All Sports:

- (a) **High-School Alumni Game.** A student-athlete may compete in one game a year involving players from the student-athlete's former high school and its alumni team. In sports other than basketball, this game must take place during an official vacation period of the institution's academic year.
- (b) **Olympic Games.** A student-athlete may participate in the official Olympic Games, in final tryouts that directly qualify competitors for the Olympic Games, and in officially recognized competition directly qualifying participants for final Olympic Games tryouts. (Revised: 1/14/9 effective 8/1/97)
- (c) **Official Pan American Games Tryouts and Competition.** A student-athlete may participate in official Pan American Games tryouts and competition. (*Adopted: 1/14/97 effective 8/1/97*)
- (d) **U.S. National Teams.** A student-athlete may participate in official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for the student-athletes representing another nation, the equivalent organization of that nation or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). (Adopted: 1/14/97 effective 8/1/97)
- (e) Official World Championships and World Cup Tryouts and Competition. A student-athlete may participate in official World Championships and World Cup tryouts and competition. (Adopted: 1/14/97 effective 8/1/97)
- (f) **Multisport Events.** A student-athlete may participate in officially recognized state and national multisport events. (Adopted: 1/14/97 effective 8/1/97)

#### 14.7.3.2 Additional Exceptions for Basketball Only:

- (a) **Summer League.** A student-athlete may compete during the period between June 15 and August 31 or the institution's opening day of classes (see Bylaw 17.02.11.3), whichever comes earlier, on a team in a league approved by the Management Council per Bylaw 30.15, provided the student-athlete has received written permission from the institution's athletics director (or the director's official representative) for participation in the league. If the student-athlete is transferring and has been officially accepted for enrollment in a second institution, and if the previous institution certifies that the student has withdrawn and does not intend to return to that institution for the next term, this written permission is to be obtained from the member institution to which the student-athlete is transferring. Under such circumstances, the student-athlete does not count on the summer-league roster as a representative of either institution. (Revised: 1/11/94, 1/14/97 effective 8/1/97, Revised: 10/28/99 effective 8/1/00)
- (b) **Puerto Rico Superior Basketball League.** A student-athlete who is a resident of Puerto Rico may participate in the Superior Basketball League of Puerto Rico.
- (c) **United States vs. U.S. National Teams.** A student-athlete may participate in the United States against U.S. national teams. (Adopted: 1/14/97 effective 8/1/97)
- (d) **Outside-Team Tours.** A student-athlete may participate in an outside team summer foreign basketball tour (see Bylaw 17.30.2).
- **14.7.3.3 National-Team Criteria.** The criteria for determining a national team under this regulation are set forth in Bylaw 30.8.1. (*Adopted:* 1/14/97 effective 8/1/97)
- **14.7.4 Collegiate All-Star Contests.** A student-athlete who competes as a member of a squad in any college all-star football or basketball contest shall be denied further intercollegiate eligibility in that sport.

### 14.8 ADDITIONAL WAIVERS FOR ELIGIBILITY REQUIREMENTS

Conditions under which exceptions are permitted or waivers may be granted to specific eligibility requirements in this bylaw are noted in other sections of this bylaw. Other than these, there shall be no waiver by the Association of any of the provisions of this bylaw except as follows.

- **14.8.1 Management Council Waivers.** The Management Council, by a two-thirds majority of its members present and voting, may waive specific provisions of this bylaw as follows.
  - **14.8.1.1 Academic and General Requirements.** The academic and general eligibility requirements may be waived under the following conditions or circumstances:
  - (a) For student-athletes in times of national emergency;
  - (b) For member institutions that have instituted a trimester or other accelerated academic program, provided any member institution applying for a waiver shall demonstrate a reasonable need for

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### Additional Waivers/14.8.1.1—Certification of Eligibility/14.10.2

such waiver. Further, the Management Council shall grant no waiver that permits a student-athlete to compete in more than four seasons of intercollegiate competition. Under the waiver allowed, if a student in an accelerated academic program completes the requirements for a degree before completing eligibility, the student may participate in competition that begins within 90 days after completion of the requirements for the degree. The Management Council shall include a report of each such exception in its annual report to the Convention; and

- (c) For institutions that have suffered extraordinary personnel losses from one or more of their intercollegiate athletics teams due to accident or illness of a disastrous nature.
- **14.8.1.2 Residence Requirement.** The one-year residence requirement for student-athletes may be waived under the following conditions or circumstances:
- (a) For a student-athlete who transfers to a member institution for reasons of health. Such request for waiver shall be initiated by the member institution from which the student-athlete is transferring and shall be supported by medical recommendations of that institution's team physician and/or the student-athlete's personal physician;
- (b) For a student-athlete who transfers to a member institution after loss of eligibility due to a violation of the regulation prohibiting pay for participation in intercollegiate athletics (see Bylaw 12.1.1); a violation of recruiting regulations (see Bylaw 13.01.1), or for a student-athlete who transfers to a Division I institution after loss of eligibility due to involvement in a violation of the freshman or transfer eligibility requirements for financial aid, practice and competition set forth in Bylaws 14.3.1, 14.5.4, 14.5.5 and 14.5.6. The Management Council may waive these requirements only upon a determination of the innocence or inadvertent involvement of the student-athlete in the violation;
- (c) On the recommendation of the Committee on Infractions, for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution was placed on probation by the NCAA with sanctions that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility (see also Bylaw 13.1.1.3.3); and (Revised: 1/10/92)
- (d) On the recommendation of the Committee on Athletics Certification for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution, per Bylaw 23.3.3, is placed in a membership category that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility. (Adopted: 1/16/93 effective 1/1/94)

#### 14.9 U.S. SERVICE ACADEMIES, SPECIAL ELIGIBILITY PROVISIONS

- **14.9.1 Five-Year Rule.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the five-year rule (see Bylaw 14.2) for student-athletes of the national service academies who have exhausted eligibility in one sport but wish to compete in another sport or sports in which they have eligibility remaining.
- **14.9.2 Transfer Status.** A student who has attended as a freshman (plebe) only in the official summer-enrollment program of one of the four national service academies is not considered a transfer in the application of the transfer regulations of Bylaw 14.5.

#### 14.10 CERTIFICATION OF ELIGIBILITY

- **14.10.1 Institutional Responsibility for Eligibility Certification.** The chief executive officer is responsible for approving the procedures for certifying the eligibility of an institution's student-athletes under NCAA legislation. The chief executive officer may designate an individual on the institution's staff to administer proper certification of eligibility.
  - **14.10.1.1 Eligibility Form—International Student-Athlete.** The eligibility of an international student-athlete shall be certified on a form approved by the Management Council. Failure to complete and sign such a form prior to participating in practice or competition shall result in the student-athlete's ineligibility for practice or intercollegiate competition. The completed form shall be maintained on file at the institution with other eligibility documents and shall be available for examination upon request by the NCAA, and, if the institution is a member of a conference, an authorized conference representative. (Adopted: 1/10/95 effective 8/1/95 for those student-athletes first entering an NCAA institution on or after 8/1/95)
- **14.10.2 Squad-List Form.** The institution's athletics director shall compile on a form approved by the Management Council a list of the squad members in each sport on the first day of competition and shall

# Certification of Eligibility/14.10.2—Restoration of Eligibility/14.12.1

indicate thereon the status of each member in the designated categories. A student-athlete's name must be on the official institutional form in order for the student to be eligible to represent the institution in intercollegiate competition. Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight and the student-athlete is subsequently added to the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (See Bylaw 30.13 for details about the administration of the squad list.) (Revised: 1/14/97)

#### 14.11 INELIGIBILITY

- **14.11.1 Obligation of Member Institution to Withhold Student-Athlete from Competition.** If a student-athlete is ineligible under the provisions of the constitution, bylaws or other regulations of the Association, the institution shall be obligated to apply immediately the applicable rule and to withhold the student-athlete from all intercollegiate competition. The institution may appeal to the Academics/ Eligibility/Compliance Cabinet for restoration of the student-athlete's eligibility as provided in Bylaw 14.12 if it concludes that the circumstances warrant restoration.
- **14.11.2 Ineligibility Resulting from Recruiting Violation.** An institution shall not enter a student-athlete (as an individual or as a member of a team) in any intercollegiate competition if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated the Association's legislation in the recruiting of the student-athlete. The institution may appeal to the Academics/Eligibility/Compliance Cabinet for restoration of the student-athlete's eligibility as provided in Bylaw 14.12 if it concludes that circumstances warrant restoration.
  - **14.11.2.1 Payment of Legal Fees during Appeal.** A member institution may provide actual and necessary expenses for a prospect to attend proceedings conducted by the institution, its athletics conference or the NCAA that relate to the prospect's eligibility to participate in intercollegiate athletics, provided the prospect either has signed a National Letter of Intent with the institution or (if the institution is not a subscribing member of the National Letter of Intent program) the prospect has been accepted for enrollment by the institution and has provided written confirmation of his or her intent to enroll at the institution. The cost of legal representation in such proceedings also may be provided by the institution (or a representative of its athletics interests).
- **14.11.3 Application of Ineligibility Ruling Pending Appeal.** Once an official interpretation (per Constitution 5.4.1.2) applicable to a member institution has been issued and results in the ineligibility of a student-athlete, it is necessary for the institution to apply the rule to the eligibility of the student-athlete, even if review of the interpretation (per Constitution 5.4.1.2 or 5.4.1.4) at the request of the institution is pending. Failure to withhold such a student-athlete from competition is a violation of the conditions and obligations of membership.

#### 14.11.4 Ineligible Participation

- **14.11.4.1** Loss of Eligibility. A student-athlete shall be denied eligibility for intercollegiate competition in a sport if he or she participates in intercollegiate competition in that sport while ineligible under this bylaw or other applicable NCAA legislation. The certifying institution may appeal to the Academics/Eligibility/Compliance Cabinet for restoration of the student-athlete's eligibility if it concludes that the circumstances warrant restoration (see Bylaw 14.12).
- **14.11.4.2 Penalty for Ineligible Participation in NCAA Championship.** An ineligible student-athlete who participates in an NCAA championship forfeits eligibility for all NCAA championships for one season. The one season shall be the season immediately following disclosure of the ineligible participation.
- 14.11.4.3 Penalty for Ineligible Participation or Receipt of Improper Aid. A student-athlete who practices or competes in intercollegiate athletics and/or receives institutional financial assistance (based in some degree on athletics ability) while ineligible for such aid, practice or competition per Bylaw 14.3 shall be charged with the loss of one year of eligibility for practice and varsity competition for each year gained improperly. The student-athlete shall be declared ineligible at the time it is determined that eligibility was gained improperly. Prior to declaring the student-athlete ineligible, the institution may provide the student-athlete an opportunity for a hearing. The institution may appeal to the Academics/Eligibility/Compliance Cabinet for a reduction in the period of ineligibility. The loss of eligibility shall apply only to the institution involved in the violation.

#### 14.12 RESTORATION OF ELIGIBILITY

**14.12.1 Basis for Appeal.** When a student-athlete is determined to be ineligible under any applicable provision of the constitution, bylaws or other regulations of the Association, the member institution, having applied the applicable rule and having withheld the student-athlete from all intercollegiate competition, may appeal to the Academics/Eligibility/Compliance Cabinet for restoration of the student's eligibility, provided the institution concludes that the circumstances warrant restoration of eligibility.

### Restoration of Eligibility/14.12.2—14.12.3

**14.12.2 Participation in Appeal Hearing.** Any appeal to restore a student-athlete's eligibility shall be submitted in the name of the institution by the chief executive officer (or an individual designated by the chief executive officer), faculty athletics representative, senior woman administrator or athletics director (for the men's or women's program). At least one of those individuals must participate in any hearing of the appeal that involves direct participation by the student-athlete or other individuals representing the institution or the student. (*Revised:1/11/94*)

**14.12.3 Student Responsibility, Relationship to Restoration of Eligibility.** A student-athlete is responsible for his or her involvement in a secondary or major violation of NCAA regulations (as defined in Bylaws 19.02.2.1 and 19.02.2.2), and the Academics/Eligibility/Compliance Cabinet may restore the eligibility of a student involved in any violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Academics/Eligibility/Compliance Cabinet in a unique case on the basis of specifically stated reasons.

## FIGURE 14-1 Minimum Core-Curriculum and Grade-Point Average Requirements for Initial Eligibility

Courses	Rule in effect prior to August 1, 1995	Effective August 1, 1995 (for those student-ath- letes first entering a col- legiate institution on or after August 1, 1995)	Effective August 1, 1996 (for those student-ath- letes first entering a col- legiate institution on or after August 1, 1996)
English	3 years	3 years	4 years
Mathematics	2 years	2 years	2 years (at the level of Algebra I or above)
Natural/physical science	2 years	2 years	2 years
Social science	2 years	2 years	2 years
Additional academic courses in English, math or natural/ physical science	_	2 years	1 year
Additional academic courses in any of the above areas or foreign language, computer science, philosophy or nondoctrinal religion	2 years	2 years	2 years
Core curriculum grade-point average	2.000	2.000	See Bylaw 14.3.1.1.1 for initial-eligibility index

## **FIGURE 14-2**Summary of the Definition of a Qualifier, Partial Qualifier and Nonqualifier

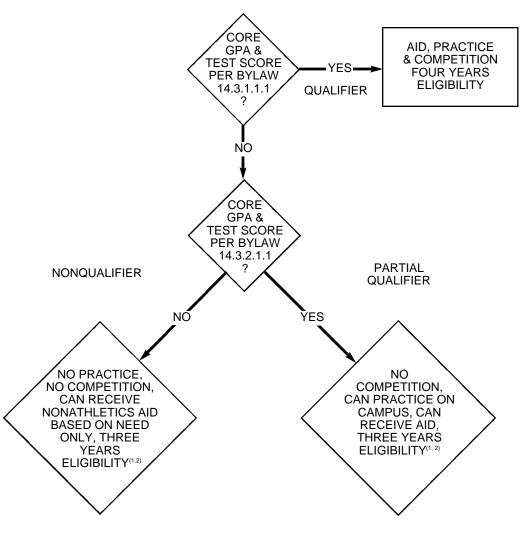
For Students First Entering:	August 1, 1995	August 1, 1996
Qualifier		
High-school GPA	. 2.000 core	Index (see Bylaw 14.3.1.1.1)
Core courses	. 13	13
Test score	. 700/17	Index (see Bylaw 14.3.1.1.1)
Practice in first year?	. Yes	Yes
Compete in first year?	. Yes	Yes
Athletics aid in first year?	. Yes	Yes
Division I seasons of competition	. 4	4
Partial Qualifier		
High-school GPA	. 2.000 cumulative	Index (see Bylaw 14.3.2.1.1)
Core courses	. N/A	13
Test score	. None	Index (see Bylaw 14.3.2.1.1)
Practice in first year?	. No	Yes, on campus
Compete in first year?	. No	No
Athletics aid in first year?	. No	Yes
Division I seasons of competition	. 3	3 <sup>(1, 2)</sup>
Nonqualifier		
Practice in first year?	. No	No
Compete in first year?	. No	No
Athletics aid in first year?	. No	No
Nonathletics need-based aid first year?		Yes
Division I seasons of competition	. 3	3 <sup>(1,2)</sup>

<sup>(1)</sup> A fourth season of intercollegiate competition shall be granted to a partial qualifier (per Bylaw 14.02.9.2) or non-qualifier (per Bylaw 14.02.9.3) provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has received a baccalaureate degree (see Bylaw 14.3.3.1).

<sup>(2)</sup> A fourth season of intercollegiate competition shall be granted to a student-athlete with a diagnosed learning disability, provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has completed at least 75 percent of his or her designated-degree program and the specified conditions are met (see Bylaws 14.3.3.2 and 14.3.3.2.1).

Relationships between Academic Requirements, Recruitment, Financial Aid and Eligibility (Bylaw 14.3) for High-School Graduates

First Entering during the 2000-01 Academic Year



- (1) A fourth season of intercollegiate competition shall be granted to a partial qualifier (per Bylaw 14.02.9.2) or non-qualifier (per Bylaw 14.02.9.3) provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has received a baccalaureate degree (see Bylaw 14.3.3.1).
- (2) A fourth season of intercollegiate competition shall be granted to a student-athlete with a diagnosed learning disability, provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has completed at least 75 percent of his or her designated-degree program and the specified conditions are met (see Bylaws 14.3.3.2 and 14.3.3.2.1).

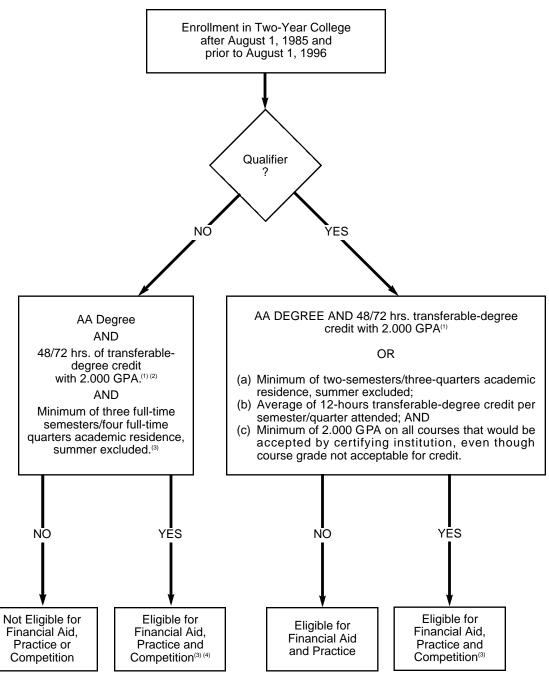
#### Initial Eligibility

How 2000-01 legislation (NCAA Bylaw 14.3) affects student-athletes during their initial year of college attendance.

Are they eligible for	Qualifier	Partial Qualifier	Nonqualifier
Academic/tutoring services	Yes	Yes	Yes
Competition	Yes	No	No
Complimentary admissions			
One for self; all regular-season home athletics contests	Yes	Yes	Yes
Four complimentary admissions; home or away contests in the student-athlete's sport	Yes	Yes (home contests only)	No
Conditioning program (including workout apparel)	Yes	Yes	Yes (supervised only by institution's strength coach or trainer)
Drug-rehabilitation expenses	Yes	Yes	Yes
Financial aid			
Regular term	Yes	Yes	Yes (aid must be based on financial need and may not be from an athletics source)
Summer-orientation program (subject to the conditions of Bylaw 15.2.7.1.2)	Yes	Yes	Yes
Summer school prior to initial year (subject to the conditions of Bylaw 15.2.7.1.2)	Yes	Yes	Yes
Training table	Yes	Yes	No (unless student-athlete pays full cost)
Institutional awards Banquets—expenses/meals	Yes	Yes	No
Insurance, athletics medical	Yes	Yes	Yes
Outside competition (basketball)			
During the season	No (except intramurals)	No (except intramurals)	No (except intramurals)
During remainder of the academic year (other than during the season)	No (except intramurals)	No (except intramurals)	No (except intramurals)
Summer after initial academic year	Yes (only in approved summer league)	Yes (only in approved summer league)	Yes (only in approved summer league)

Are they eligible for	Qualifier	Partial Qualifier	Nonqualifier
Outside competition (sports other than basketball)			
During the season	No (except intramurals and individual competition)	No (except intramurals and individual competition)	No (except intramurals and individual competition)
During remainder of the academic year (other than during the season)	No (except intramurals and individual competition)	No (except intramurals and individual competition)	No (except intramurals and individual competition)
Summer after initial academic year	Yes	Yes	Yes
Tryouts for NCAA-approved outside teams	Yes	Yes	Yes
Practice	Yes	Yes (on campus only)	No
Promotional materials, inclusion of photos in media guide, game programs, brochures, etc.	Yes	Yes	Yes
Rehabilitation expenses (postsurgical) for injury unrelated to athletics participation	Yes (when rehab is necessary to prepare for practice and competition)	Yes (when rehab is necessary to prepare for practice and competition)	Yes (when rehab is necessary to prepare for practice and competition)
Student host	Yes	Yes	No
Surgical expenses for injury during voluntary workout	Yes	Yes	Yes
Team manager	Yes	No	No
Team travel	Yes	No	No
Training-room facilities (in conjunction with weight-training program)	Yes	Yes	Yes
Weight training	Yes	Yes	Yes (supervised only by institution's strength coach or trainer)

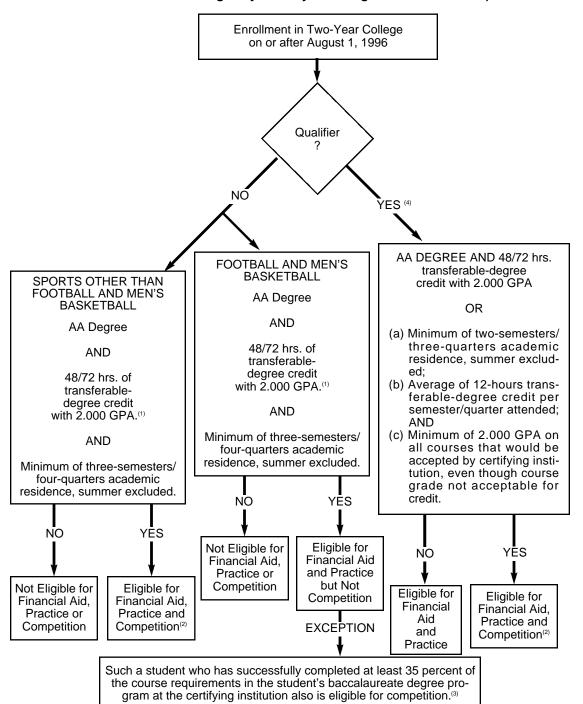
Academic Requirements for Eligibility for Two-Year College Transfer to a
Division I Institution (Bylaw 14.5.4.1) (For those student-athletes first entering a
two-year college on or after August 1, 1985, and prior to August 1, 1996)
(Note: There are additional continuing-eligibility requirements set forth in Bylaw 14.4.3
that can affect the eligibility of two-year college transfer students.)



- (1) 2.000 requirement effective for those students who first enrolled as regular students in a two-year college after August 1, 1988.
- (2) See Bylaws 14.5.4.1.2.1 and 14.5.4.1.3.2 for limitations on the number of transferable-degree credit hours that may be earned during summer terms.
- (3) Residence requirement effective for those students who first enrolled as regular students in a two-year college after August 1, 1990.
- (4) Midyear transfer may not compete if competed in two-year college in same academic year.

Academic Requirements for Eligibility for Two-Year College Transfer to a Division I Institution (Bylaw 14.5.4.1) (For those student-athletes first entering a two-year college on or after August 1, 1996)

(Note: There are additional continuing-eligibility requirements set forth in Bylaw 14.4.3 that can affect the eligibility of two-year college transfer students.)



- (1) See Bylaws 14.5.4.1.2.1 and 14.5.4.1.3.2 for limitations on the number of transferable degree credit hours that may be earned during summer terms.
- (2) Midyear transfer may not compete if competed in two-year college in same academic year.
- (3) See Bylaw 14.5.4.1.3.1.
- (4) See Bylaw 14.5.4.5 for exceptions or waivers for transfer from two-year colleges.

Figure 14-7

**FIGURE 14-7**Application of Satisfactory-Progress Requirements (per Bylaw 14.4)

Bylaw	Description	Application
14.01.2, 14.01.2.1	Good academic standing.	All students.
14.4.3.1	Completion of 24/36 hours or average of 12 per term.	All student-athletes who have been in residence one year, utilized one season or midyear transfers.
14.4.3.1.3	Hours earned during regular academic year (75/25).	All student-athletes who have been in residence one year, utilized one season or midyear transfers
14.4.3.1.4	Designation of degree program.	All student-athletes beginning third year of enrollment (fifth semester/seventh quarter) and thereafter.
14.4.3.2.1	Fulfillment of degree requirement (50).	Student-athlete with initial collegiate enrollment in 1991-92 and entering his or her fourth or subsequent year of collegiate enrollment shall complete at least 50 percent of course requirements in the student-athlete's specific degree program.
14.4.3.2.2	Percent of degree requirements (25/50/75).	Student-athlete entering collegiate institution on or after August 1, 1992 is required to have completed at least the following percent of course requirements in the student's specific degree program:
		Entering third year of collegiate enrollment—25 percent. (No more than 75 percent of a student-athlete's course requirements may remain for degree credit.)  Entering fourth year of collegiate enrollment—50 percent. (No more than 50 percent of a student-athlete's course requirements may remain for degree credit.)  Entering fifth year of collegiate enrollment—75 percent. (No more than 25 percent of a student athlete's course requirements may remain for degree credit.)
14.4.3.3	Fulfillment of minimum grade-point average requirement (90/95).	Student-athlete is required to present at least the following cumulative minimum grade-point average (based on a maximum of 4.000) of the institution's overall cumulative minimum grade-point average required for graduation:  Entering third year of collegiate enrollment—90 percent  Entering fourth or subsequent year of collegiate enrollment—95 percent

# **ICIAL AID**

## **Financial Aid**

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		15.5 Maximum Institutional Grant-in- Aid Limitations by Sport Figure 15-1 Figure 15-2 Figure 15-3

#### 15.01 GENERAL PRINCIPLES

**15.01.1 Institutional Financial Aid Permitted.** A student-athlete may receive scholarships or educational grants-in-aid administered by (see Bylaw 15.02.1) an educational institution that do not conflict with the governing legislation of this Association.

**15.01.1.1 Financial Aid to Attend Another Institution.** An institution may not provide financial aid to a student-athlete to attend another institution, except as specifically authorized by NCAA legislation. (*Adopted: 1/16/93*)

**15.01.2 Improper Financial Aid.** Any student-athlete who receives financial aid other than that permitted by the Association shall not be eligible for intercollegiate athletics.

**15.01.3 Financial Aid Not Administered by Institution.** Any student who receives financial aid other than that administered by the student-athlete's institution shall not be eligible for intercollegiate athletics competition, unless it is specifically approved under the Association's rules of amateurism (see Bylaw 12) or the aid is:

- (a) Received from one upon whom the student-athlete is naturally or legally dependent;
- (b) Awarded solely on bases having no relationship to athletics ability;
- (c) Awarded through an established and continuing program to aid students, of which athletics participation shall not be the major criterion (e.g., National Merit Scholarship), under the conditions listed in Bylaw 15.2.5.3; or
- (d) Awarded through an established and continuing program for recognition of outstanding high-school graduates, of which athletics participation may be a major criterion (e.g., honorary high-school award), under the conditions listed in Bylaw 15.2.5.4.

**15.01.4 Contributions by Donor.** An individual may contribute funds to finance a scholarship or grant-in-aid for a particular sport, but the decision as to how such funds are to be allocated in the sport shall rest exclusively with the institution. It is not permissible for a donor to contribute funds to finance a scholarship or grant-in-aid for a particular student-athlete.

**15.01.5 Eligibility of Student-Athletes for Institutional Financial Aid.** A student-athlete must meet applicable NCAA (see Bylaw 14), conference and institutional regulations to be eligible for institutional financial aid. If these regulations are met, the student-athlete may be awarded institutional financial aid during any term in which a student-athlete is in regular attendance [i.e., was enrolled initially in a minimum full-time program of studies as defined by the certifying institution during that term (see Bylaw 14.1.6.2.1.3 for final term exception and Bylaw 15.2.7 for summer-term exception)] under the following circumstances: (Revised: 6/8/99)

- (a) The student-athlete is an undergraduate with eligibility remaining under Bylaw 14.2 (five-year rule);
- (b) The student-athlete is a graduate student eligible under Bylaw 14.1.7; or
- (c) Within six years after initial enrollment in a collegiate institution (provided the student does not receive such aid for more than five years during that period); however, after the six-year period expires, this restriction shall apply only to unearned athletics aid for which the athletics department intercedes on behalf of the student-athlete.

(Note: See Bylaw 13.1.1.3 for the financial aid implications in the prohibition against contacting student-athletes of another four-year collegiate institution without permission of that institution's athletics director.)

- **15.01.6 Institutional Financial Aid to Professional Athlete.** An institution may not award financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization (see Bylaw 15.3.1.4).
- **15.01.7 Maximum Institutional Financial Aid to Individual.** An institution shall not award financial aid to a student-athlete that exceeds the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution or that exceeds the limitations established by Division I, whichever is less. Any financial aid permitted by a division that would result in a student-athlete's total financial aid exceeding the value of tuition and fees, room and board and required course-related books shall be based upon the demonstrated financial need of the individual student-athlete (see Bylaws 15.02.4, 15.2.3, 15.5.2, 15.5.3, 15.5.4 and 15.5.5).
  - **15.01.7.1 Special Assistance Fund.** The receipt of monies from the NCAA Special Assistance Fund for student-athletes (see Bylaw 16.13.2) is not included in determining the permissible amount of financial aid that a member institution may award to a student-athlete. (*Adopted: 1/14/97*)
- **15.01.8 Nonrecruited Student-Athlete.** If a student-athlete was not recruited and receives institutional financial aid unrelated to athletics ability and in excess of a full grant-in-aid, the student may retain the aid for the period of the award without any adjustment. Once the original period of the award expires, renewal of the same financial aid in excess of the limitation is permissible only if the aid is renewed on the same basis as originally awarded.
- **15.01.9 Sport-by-Sport Financial Aid Limitations.** Division I may establish limitations on the number of financial aid awards a member institution may provide to countable student-athletes (counters) (see Bylaws 15.5.2, 15.5.3, 15.5.4 and 15.5.5).

#### 15.02 DEFINITIONS AND APPLICATIONS

- **15.02.1 "Administered By."** Financial aid is administered by an institution if the institution, through its regular committee or other agency for the awarding of financial aid to students generally, makes the final determination of the student-athlete who is to receive the award and of its value.
- **15.02.2 Cost of Attendance.** The "cost of attendance" is an amount calculated by an institutional financial aid office, using federal regulations, that includes the total cost of tuition and fees, room and board, books and supplies, transportation, and other expenses related to attendance at the institution. (Adopted: 1/11/94)
  - **15.02.2.1 Calculation of Cost of Attendance.** An institution must calculate the cost of attendance for student-athletes in accordance with the cost-of-attendance policies and procedures that are utilized for students in general. Accordingly, if an institution's policy allows for students' direct and indirect costs (e.g., tuition, fees, room and board, books, supplies, transportation, child care, cost related to a disability and miscellaneous personal expenses) to be adjusted on an individual basis from the institution's standard cost figure, it is permissible to make the same adjustment for student-athletes, provided the adjustment is documented and is available on an equitable basis to all students with similar circumstances who request an adjustment. (*Adopted: 1/11/94*)
- **15.02.3 Counter.** A "counter" is an individual who is receiving institutional financial aid that is countable against the aid limitations in a sport.
  - **15.02.3.1 Initial Counter.** An "initial counter" is a counter who is receiving countable financial aid in a sport for the first time. (See Bylaw 15.5.5.3 for instances in which the institution is permitted to defer the counting of such financial aid until the following academic year.)
- **15.02.4 Financial Aid.** "Financial aid" is funds provided to student-athletes from various sources to pay or assist in paying their cost of education at the institution. As used in NCAA legislation, "financial aid" includes all institutional financial aid and other permissible financial aid as set forth below.
  - **15.02.4.1 Institutional Financial Aid.** The following sources of financial aid are considered to be institutional financial aid:
  - (a) All funds administered by the institution, which include but are not limited to the following: (Revised: 1/11/94 effective 8/1/94, Revised: 1/14/97 effective 8/1/97)
    - (1) Scholarships;
    - (2) Grants;
    - (3) Tuition waivers;
    - (4) Employee dependent tuition benefits;
    - (5) Loans;
    - (6) During the student-athlete's initial academic year in residence at the certifying institution,

I-A/ I-AA

#### Definitions and Applications/15.02.4.1—15.02.4.3

- on-campus employment (including work-study program assistance) in the athletics department and on-campus employment outside the athletics department for which the athletics interests of the institution intercede on behalf of the student-athlete (other than institutional staff members responsible for assisting all students in securing on-campus employment, including work study, in the same manner); (Revised: 4/22/98 effective 8/1/98)
- (7) Subsequent to the student-athlete's first academic year in residence at the certifying institution, on-campus employment earnings (including work-study program assistance) in the athletics department or an athletics facility (owned or operated by the athletics department), with the exception of compensation from employment (not to exceed \$2,000) within the institution's recreational sports unit. Compensation from employment within an institution's recreational unit that is in the athletics department or an athletics facility (owned or operated by the athletics department) that exceeds \$2,000 shall be considered institutional financial aid; and (Adopted: 4/22/98 effective 8/1/98)
- (8) Subsequent to the student-athlete's first academic year in residence at the certifying institution, on-campus employment earnings outside the athletics department and any athletics facility (owned or operated by the athletics department) for which the athletics interests of the institution intercede on behalf of the student-athlete (other than institutional staff members responsible for assisting all students in securing on-campus employment, including work study, in the same manner) that exceed \$2,000. (Adopted: 4/22/98 effective 8/1/98)
- (b) Aid from government or private sources for which the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient;
- (c) During the student-athlete's initial academic year in residence at the certifying institution, off-campus employment earnings for which the athletics interests of the institution intercede on behalf of the recipient; (Revised: 1/14/97 effective 8/1/98, Revised: 4/22/98 effective 8/1/98)
- (d) Subsequent to the student-athlete's first academic year in residence at the certifying institution, off-campus employment earnings for which the athletics interests of the institution intercede on behalf of the recipient that exceed \$2,000; and (Adopted: 4/22/98 effective 8/1/98)
- (e) For the student-athlete recruited by the institution, financial aid awarded through an established and continuing outside program (e.g., National Football Foundation) for the recognition of outstanding high-school graduates in which athletics participation may be a major criterion, as outlined in Bylaw 15.2.5.5. This aid counts against an institution's sport-by-sport financial aid limitations and also against the individual's full-grant-in-aid limit.

#### 15.02.4.2 Other Permissible Financial Aid. The following sources of financial aid are also permitted:

- (a) Financial aid received from anyone upon whom the student-athlete is naturally or legally dependent;
- (b) Financial aid awarded solely on bases having no relationship to athletics ability;
- (c) Financial aid awarded through an established and continuing outside program (e.g., National Merit Scholar) in which athletics participation is not the major criterion, as outlined in Bylaw 15.2.5.3, and which does not count against an institution's sport-by-sport financial aid limitations, but which counts against the recruited student-athlete's full-grant-in-aid limit;
- (d) For the student-athlete not recruited by the institution, financial aid awarded through an established and continuing outside program (e.g., National Football Foundation) for the recognition of outstanding high-school graduates, in which athletics participation may be a major criterion, as outlined in Bylaw 15.2.5.5. This aid does not count against an institution's sport-by-sport financial aid limitations but does count against the individual's full-grant-in-aid limit; and
- (e) Educational expenses awarded by the U.S. Olympic Committee, which count against an institution's sport-by-sport financial aid limitations and against the individual's full-grant-in-aid limit. (Adopted: 1/10/95 effective 8/1/95)
- **15.02.4.3 Exempted Institutional Financial Aid.** The following institutional financial aid is exempt and is not counted in determining a student-athlete's full grant-in-aid or in the institution's financial aid limitations:
- (a) An honorary award for outstanding academic achievement or an established institutional research grant that meets the criteria set forth in Bylaw 15.02.6 (and must be included in determining if the student-athlete's cost of attendance has been met); (Revised: 1/10/91, 1/10/92)
- (b) Legitimate loans, based upon a regular repayment schedule, available to all students and administered on the same basis for all students;
- (c) Employment during official vacation periods; and (Adopted: 1/10/91)

#### Definitions and Applications/15.02.4.3—Maximum Limit on Financial Aid/15.1.2.1

- (d) Employment subsequent to a student-athlete's first academic year pursuant to Bylaw 15.2.6.1. (Adopted: 1/14/97 effective 8/1/98)
- **15.02.4.4 Exempted Government Grants.** Government grants listed in Bylaw 15.2.4.1 shall not be included when determining the permissible amount of a full grant-in-aid or the cost of attendance for a student-athlete. (Revised: 1/11/89)
- **15.02.4.5** Exempted Employment Earnings. Employment earnings of a student-athlete who has exhausted eligibility in a particular sport shall not be included when determining the permissible amount of a full grant-in-aid or the cost of attendance for a student-athlete, provided the student-athlete subsequently does not practice or compete in intercollegiate athletics. (Adopted: 1/10/90, Revised: 1/16/93, 1/11/94)
- **15.02.5 Full Grant-in-Aid.** A full grant-in-aid is financial aid that consists of tuition and fees, room and board, and required course-related books.
- **15.02.6 Honorary Academic Award/Research Grant.** An honorary academic award for outstanding academic achievement or a research grant is an award that meets the following criteria:
- (a) The award or grant is published in the institution's catalog and is a standing scholarship award or an established research grant;
- (b) The basis for the award or grant shall be the candidate's academic record at the awarding institution;
- (c) The award or grant shall be determined by competition among the students of a particular class or college of the institution; and
- (d) The award or grant is included in determining if the student-athlete's cost-of-attendance limitation has been met. (Revised: 1/10/91, 1/10/92)

#### 15.1 MAXIMUM LIMIT ON FINANCIAL AID—INDIVIDUAL

See Figure 15-1, page 197, for financial aid maximum limits.

A student-athlete shall not be eligible to participate in intercollegiate athletics if he or she receives financial aid that exceeds the value of a full grant-in-aid as defined in Bylaw 15.02.5 (also see Bylaw 15.01.8).

- **15.1.1 Types of Aid Included in Limit.** In determining whether a student-athlete's financial aid exceeds the value of a full grant-in-aid, all institutional financial aid (per Bylaw 15.02.4.1) and all funds received from the following and similar sources shall be included:
- (a) **Employment.** Employment during semester or term time (which is an all-inclusive period from the opening to the closing of classes of the regular semester, quarter or term), except for the legitimate on- or off-campus employment of student-athletes who may receive earnings per Bylaw 15.2.6.1 up to the value of a full grant plus \$2,000 (also see Bylaw 15.2.6); (Revised: 1/16/93, 1/14/97, 4/22/98 effective 8/1/98)
- (b) **Government Grants.** Government grants for educational purposes, except for those listed in Bylaw 15.2.4;
- (c) **Other Scholarships and Grants.** Other institutional or outside scholarships or grants-in-aid, except an honorary academic award or research grant that is exempted and outside educational grants that may be awarded up to the cost of attendance per Bylaw 15.2.5.2.2; (Revised: 1/10/95 effective 8/1/95)
- (d) **Gifts.** The value of gifts given to a student-athlete following completion of eligibility in appreciation for or recognition of the student-athlete's athletics accomplishments;
- (e) **Professional Sports Stipend.** Any bonus or salary (no matter when received or contracted for) from a professional sports organization;
- (f) Athletics Participation Compensation. Any other income (no matter when received or contracted for) from participation in an athletics event unless eligibility has been exhausted in that sport; and (Revised: 1/11/94 effective 8/1/94)
- (g) **Loans.** Loans, except legitimate loans that are based upon a regular repayment schedule, available to all students and administered on the same basis for all students.
- **15.1.2 Recruited Student-Athlete Receiving Institutional Financial Aid.** Unearned financial aid (excluding loans) administered by an institution to an athletically recruited student is considered to be athletically related financial aid and is subject to the limitations set forth in Bylaw 15.1.
  - **15.1.2.1 Exception for Nonrecruited Student-Athlete.** If a student-athlete was not recruited and receives financial aid unrelated to athletics ability and in excess of a full grant-in-aid, the student may retain the aid for the period of its award without any adjustment. Once the original period of the

#### Maximum Limit on Financial Aid/15.1.2.1—Elements of Financial Aid/15.2.2.1.3

award expires, renewal of the same financial aid in excess of the limitation is permissible only if the aid is renewed on the same basis as originally awarded.

**15.1.3 Reduction When Excess Aid is Awarded.** In the event that a student-athlete's financial aid from the sources listed in Bylaw 15.1.1, which includes institutional financial aid, will exceed a full grantin-aid for the balance of the academic year, the institution shall reduce institutional financial aid so as not to exceed a full grant. Payments credited to a student-athlete's account that are not refundable by the institution to the scholarship office or other appropriate institutional agency shall not become the student's obligation.

#### 15.2 ELEMENTS OF FINANCIAL AID

- **15.2.1 Tuition and Fees.** An institution may provide a student-athlete financial aid that includes the actual cost of tuition and required institutional fees.
  - **15.2.1.1 Permissible Fees.** A student-athlete may be awarded financial aid that covers the payment of fees for a course in which the student-athlete is enrolled, if the course is part of the institution's regular curriculum (included in the institution's catalog of classes), and the institution pays these same fees for other students enrolled in the course who receive fees as a part of a grant-in-aid or scholarship.
  - **15.2.1.2 Optional Fees.** An institution may not pay fees for services offered on an optional basis to the student body in general (e.g., health insurance).
  - **15.2.1.3 Noninstitutional Fees and Expenses.** Fees paid by an institution are confined to required institutional fees and do not include noninstitutional fees or expenses (e.g., the cost of typing reports for student-athletes).
  - **15.2.1.4 Fees and Related Expenses for Prospects.** An institution shall not waive, pay in advance or guarantee payment of the following expenses for a prospective student-athlete, unless such benefits generally conform to institutional policy as it applies to other prospective student-grantees:
  - (a) The institution's processing fee required prior to the admissions office's evaluation of the prospect's application;
  - (b) The orientation-counseling tests fee required of all incoming freshmen;
  - (c) The preadmission academic testing fee;
  - (d) Advance tuition payment or room deposit;
  - (e) Damage deposits for dormitory rooms;
  - (f) ROTC deposits for military equipment; or
  - (g) Any other preenrollment fees required of prospective student-grantees.
    - **15.2.1.4.1 Fees Rebate.** If the prospect enrolls and is awarded financial aid covering institutional fees, the fees described in (a) through (d) above may be rebated as a part of the institution's regular fees.
- **15.2.2 Room and Board.** An institution may provide a student-athlete financial aid that includes the cost of room and board, based on the official allowance for a room as listed in the institution's official publication (e.g., catalog) and a board allowance that consists of three meals per day, even if the institution's maximum permissible award allowance for all students represents a lesser cost figure. (*Revised: 10/28/99 effective 8/1/00*)
  - **15.2.2.1 Off-Campus Room and Board Stipend.** If a student-athlete lives and eats in noninstitutional facilities, the institution may pay the student-athlete an amount equal to the institution's official on-campus room allowance as listed in its catalog, or the average of the room costs of all of its students living on campus. The institution also may pay the student-athlete an amount that is equivalent to an on-campus 7-day or 21-meal board plan, excluding those meals provided as part of the training table. Meals provided on the training table shall be deducted at the regular cost figure from such a student-athlete's board allowance. (*Revised: 1/10/92, 11/12/97, 10/28/99 effective 8/1/00*)
    - **15.2.2.1.1 Determination of Off-Campus Room Costs.** An institution with several official oncampus room rates listed in its catalog must use the average of the room cost for all students living on campus (based on a weighted average for all students who reside in on-campus facilities). (*Revised:* 11/12/97)
    - **15.2.2.1.2 Determination of Off-Campus Board Costs.** An institution with several on-campus board plans (e.g., 5-day, 7-day, 14-meal, 17-meal or 21-meal plans) may provide a student-athlete living in noninstitutional facilities with the equivalent of a 7-day or 21-meal plan at that institution. (Adopted: 1/10/92, Revised: 11/12/97, 10/28/99 effective 8/1/00)
    - 15.2.2.1.3 Institution with No On-Campus Room and Board Facilities. If an institution does not

#### Elements of Financial Aid/15.2.2.1.3—15.2.4.1

- provide an official dollar amount for room and board in its catalog and does not have on-campus student room and board facilities, the figure provided to student-athletes for off-campus student room and board shall be the amount determined by the institution's office of financial aid as being commensurate with the average cost a student at that institution normally would incur living and eating in off-campus facilities. (Adopted: 11/12/97)
- **15.2.2.1.4 Married-Student Housing.** Married student-athletes who live in noninstitutional housing are permitted to receive the same room and board allowance that is provided to married students with on-campus housing. If the institution does not provide on-campus room and board facilities for married students but has other on-campus dormitory facilities, it must utilize the provisions of Bylaw 15.2.2.1 in determining the amount of room-and-board expenses a married student-athlete who lives in noninstitutional facilities may receive. (*Adopted: 1/10/92*)
- **15.2.2.1.5 Cost-Free Apartment.** It is permissible for the institution to arrange for a cost-free, off-campus apartment rather than to give a student-athlete an amount equal to the institution's official room allowance (as listed in its catalog), provided the apartment is not rented by the institution at a reduced rate. However, if the actual rental rate is more than the institutional room allowance, the student-athlete shall pay the additional amount from the student-athlete's own resources.
- **15.2.2.1.6 Training-Table Meals.** The cost of meals provided on the institution's training table shall be deducted from a student-athlete's board allowance, even if the student-athlete is not receiving a full grant-in-aid. In determining the cost figure to be deducted, the institution may use the actual meal costs listed in the institution's catalog or the average meal costs of its student-athletes living on campus.
- **15.2.2.1.7 Game-Related Meals.** The cost of meals provided for away-from-home practices and contests and pregame or postgame meals at home contests need not be deducted from a student-athlete's board allowance. Such meals also may be received by a student-athlete who is not receiving athletically related financial aid inasmuch as they constitute a benefit incidental to athletics participation.
- **15.2.2.2 Facility Designated by Institution.** It is permissible for the institution to require a grant-in-aid recipient to obtain room and board in a facility designated by the institution, provided the requirement is contained in the written statement outlining the amount, duration, conditions and terms of the financial aid agreement (see Bylaw 15.3.2.3).
- **15.2.2.3 Summer Dormitory Rentals.** An institution may rent dormitory space to a prospective or enrolled student-athlete during the summer months at the regular institutional rate, if it is the institution's policy to make such dormitory space available on the same basis to all prospective or enrolled students.
- **15.2.2.4 Sunday Evening Meals.** If the regular eating facility utilized by a student-athlete who is receiving an award that covers the cost of meals is not available for a given meal (e.g., Sunday evening meal), the institution may provide actual expenses to cover the cost of the meal, provided the meal is similar to those served at the university's regular dining facilities and is not excessive in nature.
- **15.2.2.5 Food Stamps.** A grant-in-aid recipient who lives and eats off campus may utilize the money provided for his or her board to purchase governmental food stamps, provided the stamps are available to the student body in general. Additionally, the student-athlete must be eligible for such stamps without any special arrangements on the part of athletics department personnel or representatives of the institution's athletics interests.
- **15.2.3 Books.** A member institution may provide a student-athlete financial aid that covers the actual cost of required course-related books.
  - **15.2.3.1 Dollar Limit.** There is no dollar limit for books a student-athlete may receive, provided each book is required for a course in which the student-athlete is enrolled. The institution may provide the student-athlete with cash to purchase books, as long as the amount of cash provided is equal to the actual cost of the books purchased.
- **15.2.4 Government Grants.** Government grants for educational purposes shall be included when determining the permissible amount of a full grant-in-aid for a student-athlete, except for those listed in Bylaw 15.2.4.1. (*Revised:* 1/11/89)
  - **15.2.4.1 Exempted Government Grants.** The following government grants for educational purposes shall not be included when determining the permissible amount of a full grant-in-aid or cost of attendance of a student-athlete: (*Adopted: 1/11/89*)
  - (a) AmeriCorps Program. Benefits received by student-athletes under the AmeriCorps Program; (Adopted: 1/9/96 effective 8/1/96)
  - (b) Disabled Veterans. State government awards to disabled veterans, provided such awards are

- approved by the Management Council by a two-thirds majority of its members present and voting;
- (c) **Military Reserve Training Programs.** Payments to student-athletes for participation in military reserve training programs (e.g., payments by the U.S. government for a student's participation in advanced ROTC or National Guard training shall not be construed under this principle to be "employment" during semester or term time);
- (d) **Montgomery G.I. Bill.** Benefits received by student-athletes under the Montgomery Bill—Active Duty and the Montgomery G.I. Bill—Selected Reserve;
- (e) **Pell Grants.** Payments received as part of the Pell Grant program;
- (f) **Special U.S. Government Entitlement Programs.** Payments by the U.S. government under the terms of the Dependents Education Assistance Program (DEAP), Social Security Insurance Program [including the Reinstated Entitlement Program for Survivors (REPS)] or Non-Service-Connected Veteran's Death Pension Program;
- (g) **Veterans Educational Assistance Program (VEAP).** Benefits received by student-athletes under the VEAP;
- (h) **Vocational Rehabilitation for Service-Disabled Veterans Program.** Benefits received by student-athletes under the Vocational Rehabilitation for Service-Disabled Veterans Program; or
- (i) **Welfare Benefits.** Welfare benefits received from a state or federal government. (*Adopted:* 1/14/97 effective 8/1/97)

#### 15.2.5 Financial Aid from Outside Sources

- **15.2.5.1 Parents and Legal Guardians.** A student-athlete may receive financial aid from anyone upon whom the student-athlete is naturally or legally dependent.
- **15.2.5.2 No Relationship to Athletics Ability.** A student-athlete may receive financial aid awarded solely on bases having no relationship to athletics ability.
  - **15.2.5.2.1 Athletics Participation or Achievement as Part of Extracurricular Activities.** A scholarship administered outside the institution that permits an applicant to include athletics participation or achievements as part of the application process may not be awarded under the provisions of Bylaw 15.2.5.2. (*Adopted: 1/11/94*)
  - **15.2.5.2.2 Outside Educational Grants.** A student-athlete may receive an outside educational grant awarded solely on bases having no relationship to athletics ability up to the cost of attendance, provided: (*Adopted:* 1/10/95 effective 8/1/95)
  - (a) The recipient's choice of institutions is not restricted by the donor of the aid; and
  - (b) The awarding individual or organization and the donor of the aid are not representatives of an institution's athletics interests or an athletics booster group of a member institution.
- **15.2.5.3** Athletics Participation Not Major Criterion. A student-athlete may receive financial aid through an established and continuing program to aid students, provided the following conditions are met:  $(Revised: 4/22/98 \ effective \ 8/1/98)$
- (a) The award shall be made on the basis of the recipient's past performance and overall record, as measured by established criteria of which athletics participation is not the major criterion;
- (b) Disbursement of the aid may be through the awarding agency for the recipient's educational expenses, provided the aid is awarded prior to the recipient's initial enrollment at a member institution, and the awarding agency provides written notification of the award to the institution. Subsequent to enrollment at a member institution, disbursement of the aid shall be through the institution for the recipient's educational expenses while attending the institution. A violation of this bylaw shall be considered an institutional violation per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility, provided the student-athlete deposits the aid with the institution and the aid does not result in an overaward of financial aid to the student-athlete; and (Adopted: 1/10/95 effective 8/1/95, Revised: 4/20/99 effective 8/1/99)
- (c) The recipient's choice of institutions shall not be restricted to a single designated institution or conference by the donor of the aid. (Revised: 1/11/94)
- **15.2.5.4 Athletics Participation as a Major Criterion.** A student-athlete may receive financial aid through an established and continuing program for the recognition of outstanding high-school graduates, provided the following conditions are met: (Revised: 4/22/98 effective 8/1/98)
- (a) The award shall be made on the basis of the recipient's past performance and overall record, as measured by established criteria of which athletics participation may be a major criterion;
- (b) Disbursement of the aid may be through the awarding agency for the recipient's educational

#### Elements of Financial Aid/15.2.5.4—15.2.6.1.1

expenses, provided the aid is awarded prior to the recipient's initial enrollment at a member institution and the awarding agency provides written notification of the award to the institution. Subsequent to enrollment at a member institution, disbursement of the aid shall be through the institution for the recipient's educational expenses while attending the institution. A violation of this bylaw shall be considered an institutional violation per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility, provided the student-athlete deposits the aid with the institution and the aid does not result in an overaward of financial aid to the student-athlete; (Adopted: 1/10/95 effective 8/1/95, Revised: 4/20/99 effective 8/1/99)

- (c) The recipient's choice of institutions shall not be restricted by the donor of the aid;
- (d) The awarding individual or organization and the donor of the aid shall not be representatives of the athletics interests or an athletics booster group of a member institution;
- (e) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of a full grant-in-aid;
- (f) The award may be provided to the recipient on only one occasion;
- (g) If the recipient is recruited, the recipient is considered a counter per Bylaw 15.5.1, and the amount is applied to the maximum awards limitations of Bylaw 15.5 for the sport in question; and
- (h) The award is not received from an outside sports team or organization that conducts a competitive sports program by a member of that team.
  - **15.2.5.4.1 Award Limited to Athletes.** If an individual is considered for a financial aid award only if the individual is a participant in athletics, the provisions of Bylaw 15.2.5.4 shall be applicable.
  - **15.2.5.4.2 Eligibility Effects of Improper Aid from Outside Organization.** It is not permissible for a student-athlete to receive financial aid, directly or indirectly, from a source outside the institution (e.g., a foreign government, a sports association, a high-school booster club) for expenses related to attendance at a member institution, if the award of such financial aid is based in any degree upon the recipient's athletics ability, except as permitted in Bylaws 15.2.5.3 and 15.2.5.4. Receipt of financial aid from such a source renders the student-athlete ineligible for all intercollegiate athletics participation.
- **15.2.5.5 Educational Expenses—U.S. Olympic Committee or U.S. National Governing Body.** A student-athlete may receive educational expenses awarded by the U.S. Olympic Committee or a U.S. national governing body. The amount of the financial assistance shall be subject to the following limitations: (Adopted: 1/10/95 effective 8/1/95, Revised: 10/28/97 effective 8/1/98)
- (a) Disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution;
- (b) The recipient's choice of institutions shall not be restricted by the U.S. Olympic Committee or, in Divisions I and II, a U.S. national governing body; (Revised: 4/22/98)
- (c) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of a full grant-in-aid; and
- (d) The recipient shall be considered a counter per Bylaw 15.5.1, and the amount shall be applied to the maximum awards limitations of Bylaw 15.5 for the sport in question.
- **15.2.6 Employment.** The institution must include earnings from the student-athlete's employment during semester or term time [per Bylaw 15.1.1-(a)] in determining whether his or her full grant-in-aid has been reached.
  - **15.2.6.1 Exception—Employment Earnings.** As student-athlete may receive earnings from legitimate on- or off-campus employment during semester or term time in combination with other financial aid included in the student-athlete's individual financial aid limit up to the value of a full grant plus \$2,000, provided: (Adopted: 1/14/97, Revised: 4/22/98 effective 8/1/98)
  - (a) The student-athlete has spent one academic year in residence at the certifying institution; and
  - (b) The student-athlete is eligible academically to compete for the institution.
    - **15.2.6.1.1 Written Statement.** Prior to the commencement of the employment, the student-athlete and the employer must sign a written statement to be kept on file in the athletics department, which specifies the following: (Adopted: 1/14/97 effective 8/1/98)
    - (a) The student-athlete's compensation may not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has obtained because of athletics ability;
    - (b) The student-athlete is to be compensated only for work actually performed; and

- (c) The student-athlete is to be compensated at a rate commensurate with the going rate in that locality for similar services.
- **15.2.6.1.2 Athletics Booster Club Prohibition.** It is not permissible for a student-athlete to receive compensation related to his or her speaking/lecturing at an institutional athletics booster-club function. (*Adopted:* 9/9/98)
- **15.2.6.2 No Institutional Aid Received.** A student-athlete who is not receiving institutional financial aid may earn legitimate income in excess of a full grant-in-aid, provided neither members of the athletics department nor representatives of the institution's athletics interests are involved in arranging the employment.
- **15.2.6.3 Christmas Vacation Employment.** A student-athlete receiving financial aid under this section may obtain a job within seven days prior to the beginning of the institution's Christmas vacation period, provided it is a prerequisite to securing the employment. The income so derived from the additional week's employment need not be computed in determining the student's maximum allowable financial aid. However, any earnings for work performed after the first day of classes shall be countable.
- **15.2.6.4** After Eligibility Exhausted. Employment earnings of a student-athlete who has exhausted eligibility in a particular sport shall be exempt from this limitation, provided the student-athlete subsequently does not practice or compete in intercollegiate athletics. Compensation received from an outside entity for participation in activities related to the student-athlete's name, picture or appearance need not be included in the student-athlete's financial aid limits, but such activities may not be arranged by athletics department staff members or representatives of the institution's athletics interests. (Adopted: 1/11/89 effective 8/1/89, Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94 effective 8/1/94, Revised: 1/14/97)
- **15.2.6.5 Earnings Deposited with Institution.** Earnings received by a student-athlete for employment during term time are not countable against the student's full grant-in-aid, if these earnings are:
- (a) Deposited directly by the employer with the institution; and
- (b) Used by the institution as it determines; or
- (c) Designated by the institution for some special purpose related to athletics (e.g., payment of travel expenses of the student-athlete to represent the institution in competition).
- **15.2.6.6 Ineligible Student-Athlete.** A student-athlete who is ineligible for participation in intercollegiate athletics but who is receiving institutional financial aid remains bound by the provisions of Bylaw 15.1, and any employment income must be counted in calculating his or her full grant-in-aid.
- **15.2.7 Summer Financial Aid.** Summer financial aid may be awarded only to attend the awarding institution's summer term, summer school or summer-orientation program, provided the following conditions are met: (Revised: 1/10/90)
- (a) The student has been in residence a minimum of one term during the regular academic year;
- (b) The student is attending a summer term, summer school or summer-orientation program and financial aid is administered pursuant to Bylaw 15.2.8.1.1 for enrolled student-athletes or Bylaw 15.2.8.1.2 for incoming student-athletes during the summer prior to their initial full-time collegiate enrollment; or (Revised: 1/10/90, 1/10/92)
- (c) The student is a two-year or a four-year college transfer student and is receiving aid to attend the awarding institution's summer-orientation program. (Adopted: 1/10/92)
  - **15.2.7.1 General Stipulations.** A student-athlete who is eligible for institutional financial aid during the summer is not required to be enrolled in a minimum full-time program of studies. However, the student-athlete may not receive financial aid that exceeds the cost of a full grant-in-aid for attendance in that summer term.
    - **15.2.7.1.1 Enrolled Student-Athletes.** Subsequent to initial full-time enrollment during a regular academic year, a student-athlete shall not receive athletically related financial aid to attend the certifying institution's summer term or summer school unless the student-athlete received such athletically related aid from the certifying institution during the student-athlete's previous academic year at that institution. Further, such aid may be awarded only in proportion to the amount of athletically related financial aid received by the student-athlete during the student-athlete's previous academic year at the certifying institution, except that this proportionality restriction shall not apply to a student-athlete who has exhausted his or her eligibility and is enrolled in a summer program of studies that will permit the student-athlete to complete his or her degree requirements. (Adopted: 1/10/90 effective 8/1/90, Revised: 1/10/91, 1/10/92, 11/12/97)
      - **15.2.7.1.1.1** Attendance during Only One Term of Previous Academic Year. A student-athlete who attended the institution on a full-time basis for only one regular term during the previous academic year may receive the same percentage of financial aid during the following

#### Elements of Financial Aid/15.2.7.1.1.1—15.2.7.2

- summer term that the student-athlete received during the term in which the student-athlete was enrolled on a full-time basis. (Adopted: 1/10/92)
- **15.2.7.1.1.2 Multiple Summer Sessions.** An institution that conducts multiple summer sessions may not award athletically related financial aid to attend any one session that exceeds the proportion of the amount of athletically related financial aid received by the student-athlete during the previous academic year. (*Adopted: 1/10/92*)
- **15.2.7.1.1.3** Effect of Reduction When Excess Aid Is Awarded. If an institution provides a student-athlete with a full athletics grant during the academic year but is required to reduce the grant in accordance with Bylaw 15.1.3 (reduction when excess aid is awarded), the institution may provide the student-athlete full athletically related financial aid to attend the institution's summer term.
- **15.2.7.1.1.4 Exception for Nonqualifiers.** A nonqualifier may receive athletically related financial aid to attend an institution's summer term or summer school subsequent to the first academic year in residence under the following conditions: (Adopted: 1/10/92, Revised: 1/14/97 effective 8/1/97)
- (a) The student-athlete has satisfied satisfactory-progress requirements and, thus, would be eligible for competition for the succeeding year (i.e., the student-athlete must have successfully completed 24-semester hours or 36-quarter hours during the previous academic year and have a grade-point average that places the student-athlete in good academic standing at the institution);
- (b) The student-athlete has been awarded athletically related financial aid for the succeeding academic year; and
- (c) The student-athlete receives athletically related financial aid to attend the institution's summer term or summer school only in proportion to the amount of athletically related financial aid the student will receive for the succeeding year.
- **15.2.7.1.2** Summer Financial Aid—Prior to Initial, Full-Time Collegiate Enrollment—Sports Other Than Basketball. In sports other than basketball, the following conditions apply to the awarding of financial aid to a student-athlete to attend an institution in the summer prior to the student's initial, full-time collegiate enrollment: (*Revised:* 1/10/90, 1/10/92)
- (a) The recipient shall be admitted to the awarding member institution in accordance with regular, published entrance requirements; (Adopted: 1/10/90)
- (b) The recipient, if recruited, is subject to NCAA transfer provisions pursuant to Bylaw 14.5.2-(h); (Adopted: 1/10/90)
- (c) During the summer term or orientation period, the recipient shall not engage in any organized athletics practice activities (see Bylaw 17.02.1); and (Adopted: 1/10/90)
- (d) The awarding institution certifies in writing that the student's financial aid was granted without regard in any degree to athletics ability. The written certification shall be on file in the office of the director of athletics and shall be signed by the faculty athletics representative and the director of financial aid. (Adopted: 1/10/90)
- **15.2.7.1.3** Summer Financial Aid—Prior to Initial, Full-Time Collegiate Enrollment—Basketball. In the sport of basketball, it shall be permissible to award athletically related financial aid to a prospective student-athlete (including a prospective student-athlete not certified by the clearing-house as a qualifier) to attend an institution in the summer prior to the prospective student's initial, full-time collegiate enrollment, provided: (*Adopted: 4/27/00 effective 8/1/00 for those student-athletes attending 2001 summer sessions and thereafter*)
- (a) The recipient shall be admitted to the awarding member institution in accordance with regular, published entrance requirements;
- (b) The recipient is enrolled in a minimum of six hours of academic course work (other than physical education activity courses) that is acceptable degree credit toward any of the institution's degree programs;
- (c) The recipient, if recruited, is subject to NCAA transfer provisions pursuant to Bylaw 14.5.2-(h); and
- (d) During the summer term or orientation period, the recipient shall not engage in any organized athletics practice activities (see Bylaw 17.02.1).
- **15.2.7.2 Separate Award Required.** It is necessary to make an additional award for a summer term, inasmuch as a member institution is limited to the award of financial aid for a period not in excess of one academic year.

#### Elements of Financial Aid/15.2.7.3—Institutional Financial Aid/15.3.2.1

- **15.2.7.3 Employment during Summer.** A student-athlete may receive legitimate summer-employment earnings without any restriction on the amount of compensation received even if the student-athlete is attending summer school as a recipient of institutional financial aid. Further, such employment earnings are not considered in determining the amount of athletically related financial aid the student-athlete may receive for the summer term.
- **15.2.7.4 Branch School.** An institution may not provide a student-athlete with financial aid to attend a summer session at a branch campus of the institution.
- **15.2.7.5 Olympic Waivers.** Waivers of the restriction that financial aid may be utilized only to attend the awarding institution's summer term or summer school may be approved by the Management Council, by a two-thirds majority of its members present and voting, for member institutions that have summer terms or summer schools curtailed because of the use of their facilities for the Olympic Games.

### 15.3 TERMS AND CONDITIONS OF AWARDING INSTITUTIONAL FINANCIAL AID

- **15.3.1 Eligibility of Student-Athletes for Financial Aid.** Institutional financial aid may be awarded for any term during which a student-athlete is in regular attendance as an undergraduate with eligibility remaining under Bylaw 14.2, or within six years after initial enrollment in a collegiate institution (provided the student does not receive such aid for more than five years during that period), or as a graduate eligible under Bylaw 14.1.7.
  - **15.3.1.1 Applicable Requirements.** A student-athlete must meet applicable NCAA (see Bylaw 14), conference and institutional regulations to be eligible for institutional financial aid (also see Bylaws 15.01.5, 15.01.6 and 15.01.7).
  - **15.3.1.2 Withdrawal from Institution.** A student-athlete who withdraws from the institution may not receive financial aid during the remainder of the term.
  - **15.3.1.3 Retroactive Financial Aid.** Institutional financial aid awarded to an enrolled student-athlete subsequent to the first day of classes in any term may not exceed the remaining room and board charges and educational expenses for that term and may not be made retroactive to the beginning of that term.
  - **15.3.1.4 Institutional Financial Aid to Professional Athlete.** It is not permissible to award institutional financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization. Payments credited to a student-athlete's account that are not refundable need not become the student's obligation. However, a student-athlete who currently is receiving institutional financial aid and signs a contract with or receives compensation from an agent or a professional sports organization may continue to receive such aid for the remainder of the term of the award, provided the student-athlete has completed his or her four seasons of competition. (*Revised:* 8/11/98)
    - **15.3.1.4.1 Exception for Former Professional Athlete.** A former professional athlete may receive institutional financial aid, provided the following conditions are met:
    - (a) The student-athlete no longer is involved in professional athletics;
    - (b) The student-athlete is not receiving any remuneration from a professional sports organization; and
    - (c) The student-athlete has no active contractual relationship with any professional athletics team, although the student-athlete may remain bound by an option clause [i.e., a clause in the contract that requires assignment to a particular team if the student-athlete's professional athletics career is resumed, as opposed to a clause that suspends the contractural relationship only for a specified period of time (e.g., during the academic year while the professional athletics team is not competing) and permits the contractural relationship to be reinstated by the student-athlete or the professional athletics team or organization]. (Revised: 2/16/00)
      - **15.3.1.4.1.1 Later Professional Involvement.** If the student-athlete later becomes involved in professional athletics while still a student-athlete with eligibility remaining, the individual would be considered to have violated the principles of ethical conduct per Bylaw 10, thus rendering the individual ineligible for intercollegiate competition.

#### 15.3.2 Terms of Institutional Financial Aid Award

**15.3.2.1 Conformance to Institutional and Conference Regulations.** Financial aid awarded by an institution to a student-athlete shall conform to the rules and regulations of the awarding institution and of that institution's conference(s), if any.

- **15.3.2.2 Physical Condition of Student-Athlete.** Financial aid awarded to a prospect may not be conditioned on the recipient reporting in satisfactory physical condition. If a student-athlete has been accepted for admission and awarded financial aid, the institution shall be committed for the term of the original award, even if the student-athlete's physical condition prevents him or her from participating in intercollegiate athletics.
- **15.3.2.3 Written Statement Requirement.** In all cases, the institutional agency making the financial aid award shall give the recipient a written statement of the amount, duration, conditions and terms of the award. The chair of the regular committee or other agency for the awarding of financial aid to students generally, or the chair's official designee, shall sign the written statement. The signature of the athletics director, attesting to the committee's award, does not satisfy this requirement.

#### 15.3.3 Period of Institutional Financial Aid Award

- **15.3.3.1 One-Year Limit.** Where a student's athletics ability is taken into consideration in any degree in awarding financial aid, such aid shall not be awarded in excess of one academic year.
  - **15.3.3.1.1 Financial Aid Authority Precedent.** A staff member may inform a prospect that the athletics department will recommend to the financial aid authority that the prospect's financial aid be renewed each year for a period of four years and may indicate that the authority always has followed the athletics department's recommendations in the past. However, the prospect must be informed that the renewal will not be automatic.
  - **15.3.3.1.2 Injury or Illness Policy.** It is not permissible for an institution to assure the prospect that it automatically will continue a grant-in-aid past the one-year period if the recipient sustains an injury that prevents him or her from competing in intercollegiate athletics, but an institutional representative may inform the prospect of the regular institutional policy related to renewal or continuation of aid past the one-year period for recipients who become ill or injured during their participation.
- **15.3.3.2 Regular Term versus Summer Term.** An institution may award financial aid to a student-athlete for an academic year or part thereof. An institution also may award financial aid for a summer term or summer-orientation period, provided the conditions of Bylaw 15.2.7 have been met.
  - **15.3.3.2.1 Summer Term as Additional Award.** It is necessary to make an additional award for a summer term, inasmuch as a member institution is limited to the award of financial aid for a period not in excess of one academic year.

#### 15.3.4 Reduction and Cancellation during Period of Award

- **15.3.4.1 Reduction or Cancellation Permitted.** Institutional financial aid based in any degree on athletics ability may be reduced or canceled during the period of the award if the recipient: (Revised: 1/11/94, 1/10/95)
- (a) Renders himself or herself ineligible for intercollegiate competition; or
- (b) Fraudulently misrepresents any information on an application, letter of intent or financial aid agreement (see Bylaw 15.3.4.1.1); or
- (c) Engages in serious misconduct warranting substantial disciplinary penalty (see Bylaw 15.3.4.1.2); or
- (d) Voluntarily withdraws from a sport at any time for personal reasons; however, the recipient's financial aid may not be awarded to another student-athlete in the term in which the aid was reduced or cancelled. (Revised: 1/10/92, 1/11/94, 1/10/95, 1/9/96)
  - **15.3.4.1.1 Fraudulent Misrepresentation.** If a student-athlete is awarded institutional financial aid on the basis of declaring intention to participate in a particular sport by signing a letter of intent, application or tender, action on the part of the grantee not to participate (either by not reporting for practice or after making only token appearances as determined by the institution) would constitute fraudulent misrepresentation of information on the grantee's application, letter of intent or financial aid agreement and would permit the institution to cancel or reduce the financial aid. (*Revised: 1/11/94*)
  - **15.3.4.1.2 Misconduct.** An institution may cancel or reduce the financial aid of a student-athlete who is found to have engaged in misconduct by the university's regular student disciplinary authority, even if the loss-of-aid requirement does not apply to the student body in general. (*Revised:* 1/11/94)
  - **15.3.4.1.3 Hearing Opportunity Required.** Any reduction or cancellation of aid per Bylaw 15.3.4.1 is permissible only if such action is taken for proper cause by the regular disciplinary or financial aid authorities of the institution and the student-athlete has been provided written notice of an opportunity for a hearing. (*Revised:* 1/11/94)

#### Institutional Financial Aid/15.3.4.2—Grant-in-Aid Limitations/15.5.1.1

- **15.3.4.2 Increase Permitted.** Institutional financial aid may be increased as follows: (Adopted: 1/11/94)
- (a) Between the period of time when the student-athlete signs the financial aid award letter and the beginning of the period of the award, and
- (b) Subsequent to the date on which the student-athlete receives any benefits as part of the student's financial aid grant (which is determined on the first day of classes for a particular academic term or the first day of practice, whichever is earlier), an institution may increase the student-athlete's financial aid if the institution can demonstrate that such an increase is unrelated in any manner to an athletics reason (see Bylaw 15.3.4.3).
- **15.3.4.3 Reduction or Cancellation Not Permitted.** Institutional financial aid based in any degree on athletics ability may not be increased, decreased or canceled during the period of its award: *(Revised: 1/11/94)*
- (a) On the basis of a student's athletics ability, performance or contribution to a team's success; or
- (b) Because of an injury that prevents the recipient from participating in athletics; or
- (c) For any other athletics reason.
  - **15.3.4.3.1 Athletically Related Condition Prohibition.** An institution may not set forth an athletically related condition (e.g., financial aid contingent upon specified performance or playing a specific position) that would permit the institution to reduce or cancel the student-athlete's financial aid during the period of the award if the conditions are not satisfied. (Adopted: 1/16/93, Revised: 1/11/94)
  - **15.3.4.3.2 Decrease Not Permitted.** An institution may not decrease a student-athlete's financial aid from the time the student-athlete signs the financial aid award letter until the conclusion of the period set forth in the financial aid agreement, except under the conditions set forth in Bylaw 15.3.4.1. (*Adopted:* 1/11/94)

#### 15.3.5 Renewals and Nonrenewals

- **15.3.5.1 Institutional Obligation.** The renewal of institutional financial aid based in any degree on athletics ability shall be made on or before July 1 prior to the academic year in which it is to be effective. The institution shall promptly notify in writing each student-athlete who received an award the previous academic year and who has eligibility remaining in the sport in which financial aid was awarded the previous academic year (under Bylaw 14.2) whether the grant has been renewed or not renewed for the ensuing academic year. Notification of financial aid renewals and nonrenewals must come from the institution's regular financial aid authority and not from the institution's athletics department. (Revised: 1/10/95)
  - **15.3.5.1.1 Hearing Opportunity Required.** If the institution decides not to renew or decides to reduce financial aid for the ensuing academic year, the institution shall inform the student-athlete in writing that he or she, upon request, shall be provided a hearing before the institutional agency making the award. The institution shall have established reasonable procedures for promptly hearing such a request and shall not delegate the responsibility for conducting a nonrenewal hearing to the university's athletics department or its faculty athletics committee. The decision to renew or not renew the financial aid is left to the discretion of the institution, to be determined in accordance with its normal practices for students generally.
- **15.3.5.2 Reconsideration of Nonrenewal.** It is permissible for an institution that has notified a student-athlete that he or she will not be provided institutional financial aid for the next academic year subsequently to award financial aid to that student-athlete.

## 15.5 MAXIMUM INSTITUTIONAL GRANT-IN-AID LIMITATIONS BY SPORT

See Figure 15-1, page 197, for financial aid maximum limits and Figure 15-2, page 198, for how to determine a counter.

- **15.5.1 Counters.** A student-athlete shall be a counter and included in the maximum awards limitations set forth in this bylaw under the following conditions.
  - **15.5.1.1 Athletics Aid Received.** A student-athlete who is receiving financial aid based in any degree upon athletics ability shall become a counter for the year during which the student-athlete receives the financial aid.

#### 15.5.1.2 Recruited Student-Athlete

**15.5.1.2.1 Football or Basketball, Varsity Competition.** In football or basketball, a student-athlete who was recruited by the awarding institution and who receives institutional financial aid (as set forth in Bylaw 15.02.4.1) granted without regard in any degree to athletics ability does not have to be counted until the student-athlete engages in varsity intercollegiate competition (as opposed to freshman, B-team, subvarsity, intramural or club competition) in those sports. For this provision to be applicable, there shall be on file in the office of the athletics director certification by the faculty athletics representative, the admissions officer and the chair of the financial aid committee that the student's admission and financial aid were granted without regard in any degree to athletics ability. (*Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94*)

- **15.5.1.2.1.1 Exception—Division I-AA Football.** Division I-AA football programs that meet the following criteria are exempt from the Division I-AA football counter and initial-counter requirements of Bylaws 15.5.1 and 15.5.5.2, even if there are student-athletes who participate in football and one or more other sports who receive athletics aid in a sport(s) other than football:  $(Adopted: 1/11/94 \ effective \ 8/1/94, Revised: 1/10/95)$
- (a) In the sport of football, the institution awards financial aid only to student-athletes who demonstrate financial need, except that loans, academic honor awards, nonathletics achievement awards, or on-campus employment and certain aid from outside sources may be provided without regard to financial need;
- (b) The institution uses methodologies for analyzing need that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete must be consistent with the methodologies used by the institution's financial aid office for all students; and (Revised: 1/10/95)
- (c) The composition of the financial aid package offered to football student-athletes is consistent with the policy established for offering financial assistance to all students. The financial aid packages for football student-athletes also shall meet the following criteria:
  - (1) The institution shall not consider athletics ability as a criterion in the formulation of any football student-athlete's financial aid package, and
  - (2) The procedures used to award financial aid to football student-athletes must be the same as the existing financial aid procedures utilized for all students at the institution.
- **15.5.1.2.2 Football or Basketball—Partial Qualifier.** In football or basketball, a student-athlete who is a partial qualifier (as set forth in Bylaw 14.02.9.2) and who was recruited by the awarding institution and who receives institutional financial aid that is not from athletics sources and that is based on financial need only (as set forth in Bylaw 14.3.2.1.1.1) shall be a counter and included in the maximum awards limitations set forth in this bylaw when the student-athlete participates in an institutional practice session or represents the institution against outside competition. (*Revised:* 1/16/93 effective 8/1/93, Revised: 1/11/94)
- **15.5.1.2.3 Sports Other Than Football and Basketball.** In all sports other than football and basketball, a student-athlete who was recruited by the awarding institution and who is receiving institutional financial aid (as set forth in Bylaw 15.02.4.1) shall be a counter unless there is on file in the office of the athletics director certification by the faculty athletics representative and the director of financial aid that the student's financial aid was granted without regard in any degree to athletics ability. (*Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94*)
- **15.5.1.2.4 Employment in Athletics Department or Athletics Facilities.** The provisions of Bylaws 15.5.1.2.1 and 15.5.1.2.3 cannot be used to exempt a recruited student-athlete who is receiving income earned through employment in an athletics department, in athletics facilities (owned or operated by the athletics department) or in other athletics facilities when such employment is arranged by a representative of the institution's athletics interests. (*Revised: 1/10/95*)
  - **15.5.1.2.4.1** Exception—Recreational Sports Unit—Subsequent to First Academic Year. Subsequent to the first academic year in residence at the certifying institution, a student-athlete's earnings from employment (not to exceed \$2,000) in the institution's recreational sports unit is exempt from the institution's maximum grant-in-aid limitations in the applicable sport. (*Adopted:* 4/22/98 effective 8/1/98)
- **15.5.1.2.5 No Institutional Financial Aid.** A student-athlete who was recruited by the institution but who does not receive institutional financial aid (as set forth in Bylaw 15.02.4.1) shall not be a counter.

#### 15.5.1.3 Nonrecruited Student-Athlete

I-AA

- **15.5.1.3.1 No Institutional Financial Aid.** A student-athlete who was not recruited by the institution and who is not receiving any institutional financial aid (as set forth in Bylaw 15.02.4.1) is not a counter.
- **15.5.1.3.2 Certification.** A student-athlete who was not recruited by the awarding institution and who is receiving institutional financial aid (as set forth in Bylaw 15.02.4.1) shall not be a counter if there is on file in the office of the athletics director certification by the faculty athletics representative and the director of financial aid that the student's financial aid was granted without regard in any degree to athletics ability. (*Revised:* 1/10/95)
- **15.5.1.3.3** Employment in Athletics Department or Athletics Facilities during First Academic Year. During the student-athlete's first academic year of residence at the certifying institution, the provisions of Bylaw 15.5.1.3.2 may not be used to exempt a nonrecruited student-athlete who is receiving income earned through employment in an athletics department or athletics facilities (owned or operated by the athletics department) in the student-athlete's sport; or in other athletics facilities when such employment is arranged by a representative of the institution's athletics interests. During the student-athlete's first academic year of residence at the certifying institution, the provisions of Bylaw 15.5.1.3.2 may not be used to exempt a nonrecruited student-athlete who is receiving income earned through employment in an athletics department or athletics facilities in a sport other than the student-athlete's sport if the athletics department intercedes on behalf of the student-athlete; or, if the athletics facilities are not owned or operated by the athletics department, the athletics department may not intercede on behalf of the student-athlete. (Adopted: 1/10/92, Revised: 1/10/95, 4/22/98 effective 8/1/98)
- **15.5.1.3.4** Employment in Athletics Department or Athletics Facilities Subsequent to First Academic Year. Subsequent to the student-athlete's first academic year of residence at the certifying institution, the provisions of Bylaw 15.5.1.3.2 may not be used to exempt a nonrecruited student-athlete who is receiving income earned through employment in an athletics department or athletics facilities owned or operated by the athletics department, with the exception of employment in the recreational sports unit (not to exceed \$2,000). (Adopted: 4/22/98 effective 8/1/98)
- **15.5.1.4 Counter Who Becomes Injured or III.** A counter who becomes injured or ill to the point that the player apparently never again will be able to participate in intercollegiate athletics shall not be considered a counter beginning with the academic year following the incapacitating injury or illness.
  - **15.5.1.4.1 Injury or Illness Prior to Initial Practice.** If an incapacitating injury or illness occurs prior to an institution's initial practice and results in a student-athlete's inability to compete ever again, the student-athlete shall not be counted within the institution's maximum financial aid awards limitations for the current, as well as subsequent, academic years. (*Adopted: 1/10/91*)
  - **15.5.1.4.2 Injury or Illness after Initial Practice.** If an incapacitating injury or illness occurs on or subsequent to a student-athlete's initial practice in the sport, and results in the student-athlete's inability to compete ever again, the student-athlete shall be counted in the institution's maximum financial aid limitations for the current academic year but need not be counted in subsequent academic years.
  - **15.5.1.4.3 Change in Circumstances.** If circumstances change and the student-athlete subsequently practices or competes at any institution, the student-athlete again shall become a counter, and the institution shall be required to count that financial aid under the limitations of this bylaw in the sport in question during each academic year in which the financial aid was received.
  - **15.5.1.4.4 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive the requirements of Bylaw 15.5.1.4.3 upon determination that sufficient documentation is available from competent medical authorities to indicate that the original injury or illness clearly appeared to be incapacitating and that there was no reasonable expectation that the student-athlete ever again would be able to participate in intercollegiate athletics.
- 15.5.1.5 Aid Not Renewed, Successful Appeal. If an institution does not renew financial aid for a counter in a subsequent year, and a hearing before the institution's regular financial aid authority results in a successful appeal for restoration of aid, the student-athlete shall continue to be a counter if the individual continues to receive athletically related financial aid. However, the student-athlete shall not be a counter if he or she receives institutionally arranged or awarded, nonathletically related financial aid available to all students, provided such financial aid was granted or arranged without regard in any degree to athletics ability. If the student-athlete ever participates again in intercollegiate athletics at that institution, he or she will be considered to have been a counter during each year the financial aid was received.
- **15.5.1.6 Cancellation of Aid.** Once an individual becomes a counter in a head-count sport (see Bylaws 15.5.2, 15.5.4 and 15.5.5), the individual normally continues as a counter for the remainder of the academic year. However, if he or she voluntarily withdraws from the team prior to the first day of classes or

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before the first contest of the season (whichever is earlier) and releases the institution from its obligation to provide financial aid, the individual no longer would be considered a counter (see Bylaws 15.5.2.2 and 15.5.5.4.1).

- **15.5.1.7 Eligibility Exhausted.** A student-athlete receiving institutional financial aid after having exhausted his or her eligibility in a sport is not a counter in that sport in subsequent academic years following completion of eligibility in the sport. For this provision to be applicable, the student-athlete is otherwise eligible for the aid and is not permitted to take part in organized, institutional practice sessions in that sport unless the individual has eligibility remaining under the five-year rule. (See Bylaw 15.3.1 for eligibility for financial aid.) (*Revised: 1/10/91*)
- **15.5.1.8** Aid after Student-Athlete Becomes Permanently Ineligible. A student-athlete receiving institutional financial aid after becoming permanently ineligible due to a violation of NCAA regulations (e.g., amateurism legislation) may receive athletics aid during the subsequent academic year without counting in the institution's financial aid limitations, provided the student-athlete is otherwise eligible for the aid and subsequently does not practice or compete in intercollegiate athletics. If circumstances change and the student-athlete subsequently practices or competes, the institution is required to count the financial aid received by the student-athlete during each academic year in which the aid was received. (See also Bylaw 15.3.1.4.) (Adopted:1/11/94)
- **15.5.1.9 Summer-Term Aid.** Institutional financial aid received during a summer term is not countable in these limitations and does not make a student-athlete a counter.
- **15.5.1.10 Offers Exceeding Maximum Allowable Awards.** An institution may offer more than the maximum number of permissible awards in a sport (per Bylaws 15.5.2, 15.5.3, 15.5.4 and 15.5.5) in anticipation that not all of the offers will be accepted, but the institution shall not exceed the awards limitation in the sport in question.

#### 15.5.2 Head-Count Sports Other Than Football and Basketball

15.5.2.1 Maximum Limits.	An institution shall be	limited in any	academic y	year to the	total	number o
counters (head count) in each	of the following sports:	(Revised: 1/10	/91 effectiv	e 8/1/92)		

Women's Gymnastics	Women's Volleyball	12
Women's Tennis8		

- **15.5.2.2 Voluntary Withdrawal.** An institution may replace a counter who voluntarily withdraws from the team in a head-count sport by providing the financial aid to another student who already has enrolled in the institution and is a member of the team. For this replacement to occur, the counter must withdraw prior to the first day of classes or before the first game of the season, whichever is earlier, and release the institution from its obligation to provide financial aid [see also Bylaw 15.3.4.1-(d)].
- **15.5.2.3 Midyear Graduate Replacement—Women's Volleyball.** In women's volleyball, the financial aid of a counter who graduates at midyear may be provided to another student-athlete without making the second student-athlete a counter for the remainder of that academic year. (*Adopted: 1/11/89*)

#### 15.5.3 Equivalency Sports

#### 15.5.3.1 Maximum Equivalency Limits

**15.5.3.1.1 Men's Sports.** There shall be a limit on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in the following men's sports: (*Revised:* 1/10/91, 1/10/92, 1/16/93 effective 8/1/93)

Baseball11.7	Skiing6.3
Cross Country/Track and Field12.6	Soccer9.9
Fencing4.5	Swimming9.9
Golf4.5	Tennis4.5
Gymnastics6.3	Volleyball4.5
Lacrosse	Water Polo4.5
Rifle3.6	Wrestling9.9

**15.5.3.1.2 Women's Sports.** There shall be a limit on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in the following women's sports: (Revised: 1/10/91, 1/10/92 effective 8/1/94, Revised: 1/16/93, 1/11/94 effective 9/1/94)

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Archery5	Skiing7
Badminton	Soccer
Bowling5	Softball12
Cross Country/Track and Field18	(Revised: 1/9/96 effective 8/1/96)
(Revised: 1/9/96 effective 8/1/96)	Squash
Fencing5	(Revised: 1/9/96 effective 8/1/96)
Field Hockey12	Swimming14
(Revised: 1/9/96 effective 8/1/96)	Synchronized Swimming5
Golf6	Team Handball10
Lacrosse12	(Revised: 1/9/96 effective 8/1/96)
(Revised: 1/9/96 effective 8/1/96)	Water Polo8
Rowing20	

**15.5.3.1.3 Maximum Equivalency Limits—Institutions That Sponsor Cross Country but Do Not Sponsor Track and Field.** There shall be a limit of five on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in the sport of men's cross country, if the institution does not sponsor indoor or outdoor track and field for men. There shall be a limit of six on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in the sport of women's cross country, if the institution does not sponsor indoor or outdoor track and field for women. (Adopted: 1/10/91 effective 9/1/94, Revised: 1/9/96 effective 8/1/96)

**15.5.3.2 Equivalency Computations.** In equivalency sports, each institutional financial aid award (per Bylaw 15.02.4.1) to a counter shall be computed as follows:

- (a) Once a student becomes a counter, the institution shall count all institutional aid (per Bylaw 15.02.4.1) received for room, board, tuition and fees, as well as books (which shall count for calculation purposes as \$400 in the denominator and, if they are provided or their cost covered by the institution, as \$400 in the numerator, regardless of the actual amount received). Exempted government grants per Bylaw 15.2.4 and exempted institutional aid per Bylaw 15.02.4.3 specifically are excluded from this computation. (Revised: 1/9/96 effective 8/1/96)
- (b) A fraction shall be created, with the amount received by the student-athlete as the numerator and the full grant-in-aid value for that student-athlete as the denominator based upon the actual cost or average cost of a full grant for all students at that institution. (Revised: 1/10/90)
- (c) The sum of all fractional and maximum awards received by counters shall not exceed the total limit for the sport in question for the academic year as a whole.
  - **15.5.3.2.1 Exception.** Academic honor awards that are part of an institution's normal arrangements for academic scholarships, based solely on the recipient's high-school record and awarded independently of athletics interests and in amounts consistent with the pattern of all such awards made by the institution, are exempt from an institution's equivalency computation, provided the recipient was ranked in the upper 10 percent of the high-school graduating class or achieved a core-course grade-point average of at least 3.500 (based on a maximum of 4.000) or a minimum ACT sum score of 105 or a minimum SAT score of 1200. (Adopted: 1/12/99 effective 8/1/99)

**15.5.3.2.1.1 Additional Requirements.** The following additional requirements shall be met:  $(Adopted: 1/12/99 \ effective \ 8/1/99)$ 

- (a) The awards may include additional, nonacademic criteria (e.g., interviews, essays, need analysis), provided the additional criteria are not based on athletics ability, participation or interests, and the awards are consistent with the pattern of all such awards provided to all students;
- (b) No quota of awards shall be designated for student-athletes;
- (c) Athletics participation shall not be required prior to or subsequent to collegiate enrollment;
- (d) No athletics department staff member shall be involved in designating the recipients of such awards;
- (e) Any additional criteria shall not include athletics ability, participation or interests; and
- (f) There must be on file in the office of the director of athletics certification by the financial aid director or the chair of the financial aid committee that such awards are part of the

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institution's normal arrangements for academic scholarships, awarded independently of athletics ability, participation and interests and in amounts consistent with the pattern of all such awards made by the institution.

- **15.5.3.2.1.2 Renewals.** The renewal of an academic honor award (per Bylaw 15.5.3.3.1) is exempt from a Division I institution's equivalency computation, provided: (Adopted: 1/12/99 effective 8/1/99)
- (a) The recipient achieves a cumulative grade-point average of at least 3.000 (based on a maximum of 4.000) at the certifying institution; and
- (b) The recipient meets all NCAA, conference and institutional satisfactory-progress requirements.

#### 15.5.4 Basketball Limitations

The following 15.5.4.1 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:

- **15.5.4.1 Men's Basketball.** There shall be an annual limit of 13 on the total number of counters in the sport of men's basketball at each institution. (*Adopted:* 1/10/91 effective 8/1/92)
- **15.5.4.1 Men's Basketball.** There shall be an annual limit of eight on the number of initial counters (per Bylaw 15.02.3.1) during any two consecutive academic years with no more than five initial counters during a given academic year and an annual limit of 13 on the total number of counters in the sport of men's basketball at each institution. (Adopted: 1/10/91 effective 8/1/92, Revised: 4/27/00 effective 8/1/01)
  - **15.5.4.1.1** Initial Counters (Also see 15.02.3.1). (Adopted: 4/27/00 effective 8/1/01)
    - **15.5.4.1.1.1 Recruited Student-Athlete Entering in Fall Term, Aided in First Year.** A student-athlete recruited by the awarding institution who enters in the fall term and receives institutional financial aid (based in any degree on athletics ability) during the first academic year in residence shall be an initial counter for that year in the sport of basketball. Therefore, such aid shall not be awarded if the institution has reached its limit on the number of initial counters prior to the award of institutional financial aid to the student-athlete. (Adopted: 4/27/00 effective 8/1/01)
    - 15.5.4.1.1.2 Recruited Student-Athlete Entering After Fall Term, Aided in First Year. A student-athlete recruited by the awarding institution who enters subsequent to the first term of the academic year and immediately receives institutional financial aid (based in any degree on athletics ability) shall be an initial counter for either the current academic year (if the institution's annual limit has not been reached) or the next academic year. The student-athlete shall be included in the institution's total counter limit during the academic year in which the aid was first received. (Adopted: 4/27/00 effective 8/1/01)
    - **15.5.4.1.1.3 Recruited Student-Athlete Aid Received Subsequent to First Year.** A recruited student-athlete (including a student-athlete who was a partial qualifier or nonqualifier) in the sport of basketball who first receives athletically related financial aid subsequent to the student-athlete's first academic year in residence shall be an initial counter for that academic year in which the aid is first received, if such aid is received during the fall term. However, such a student-athlete who first receives athletically related financial aid in the second or third term of an academic year may be considered an initial counter during the academic year in which aid was first received or the next academic year. In either case, the student-athlete shall be included in the institution's total counter limit during the academic year in which the aid was first received. (*Adopted: 4/27/00 effective 8/1/01*)
    - **15.5.4.1.1.4 Nonrecruited Student-Athlete Receiving Institutional Financial Aid.** A student-athlete not recruited by the institution who receives institutional financial aid (based in any degree on athletics ability) subsequent to beginning basketball practice becomes a counter but need not be counted as an initial counter until the next academic year if the institution has reached its initial limit for the year in question. However, the student-athlete shall be considered in the total counter limit for the academic year in which the aid was first received. (*Adopted: 4/27/00 effective 8/1/01*)
    - **15.5.4.1.1.5 Midyear Replacement.** In basketball, a counter who graduates at midyear or who graduates during the previous academic year (including summer) may be replaced by an initial counter, who shall be counted against the initial limit for the following academic year. (*Adopted:* 4/27/00 effective 8/1/01)
    - 15.5.4.1.1.6 Basketball—Aid First Awarded Subsequent to Second Year. In basketball, a

student-athlete who has been in residence at the certifying institution for at least two academic years may receive athletically related financial aid for the first time without such aid counting as an initial award, provided the aid falls within the overall grant limitation. ( $Adopted: 4/27/00 \ effective \ 8/1/01$ )

**15.5.4.1.1.7 Recruited Student-Athlete, Varsity Competition.** In accordance with 15.5.1.2.1, a recruited student-athlete receiving institutional financial aid certified as having been granted without regard in any degree to athletics ability becomes an initial counter in the first academic year in which the student-athlete competes on the varsity level. (*Adopted: 4/27/00 effective 8/1/01*)

**15.5.4.1.1.8 Returning Two-Year Transfer.** A student-athlete who previously was an initial counter and who transferred to a two-year college shall not be an initial counter upon return to the original institution. (*Adopted:* 4/27/00 effective 8/1/01)

**15.5.4.1.1.9 Delayed Initial Counter Who Does Not Return to School.** A student-athlete who receives countable financial aid but whose status as an initial counter under this bylaw is delayed until the following academic year shall be counted against the initial limit the following academic year, regardless of whether the student-athlete report for participation or attends the institution in that academic year. (*Adopted: 4/27/00 effective 8/1/01*)

**15.5.4.1.2 Exception—No Institutional Athletics Aid.** Member institutions that do not award athletically related financial aid in the sport of men's basketball shall not be subject to the initial-counter limitations set forth in 15.5.4.1. (*Adopted: 4/27/00 effective 8/1/01*)

**15.5.4.2 Women's Basketball.** There shall be an annual limit of 15 on the total number of counters in the sport of women's basketball at each institution. (Adopted: 1/10/91 effective 8/1/92, Revised: 1/10/92 effective 8/1/93, Revised: 1/16/93)

#### 15.5.5 Football Limitations

**15.5.5.1 Division I-A Football.** There shall be an annual limit of 25 on the number of initial counters (per Bylaw 15.02.3.1) and an annual limit of 85 on the total number of counters (including initial counters) in the sport of football at each Division I-A institution. (Revised: 1/10/91 effective 8/1/92)

**15.5.5.2 Division I-AA Football.** There shall be an annual limit of 30 on the number of initial counters (per Bylaw 15.02.3.1), an annual limit of 63 on the value of financial aid awards (equivalencies) to counters, and an annual limit of 85 on the total number of counters (including initial counters) in the sport of football at each Division I-AA institution. (Revised: 1/10/91 effective 8/1/92)

**15.5.5.2.1 Exception—Division I-AA Football.** Division I-AA football programs that meet the following criteria are exempt from the Division I-AA football counter and initial-counter requirements of Bylaws 15.5.1 and 15.5.5, regardless of multisport student-athletes who receive athletics aid in a sport(s) other than football: (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95*)

- (a) In the sport of football, the institution awards financial aid only to student-athletes who demonstrate financial need, except loans, academic honor awards, nonathletics achievement awards, or on-campus employment and certain aid from outside sources may be provided without regard to financial need;
- (b) The institution uses methodologies for analyzing need that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete must be consistent with the methodologies used by the institution's financial aid office for all students; and (Revised: 1/10/95)
- (c) The composition of the financial aid package offered to football student-athletes is consistent with the policy established for offering financial assistance to all students. The financial aid packages for football student-athletes also shall meet the following criteria:
  - (1) The institution shall not consider athletics ability as a criterion in the formulation of any football student-athlete's financial aid package; and
  - (2) The procedures used to award financial aid to football student-athletes must be the same as the existing financial aid procedures utilized for all students at the institution.

#### 15.5.5.3 Initial Counters (Also see Bylaw 15.02.3.1)

**15.5.5.3.1** Recruited Student-Athlete Entering in Fall Term, Aided in First Year. A student-athlete recruited by the awarding institution who enters in the fall term and receives institutional financial aid (based in any degree on athletics ability) during the first academic year in residence shall be an initial counter for that year in the sport of football. Therefore, such aid shall not be awarded if the institution has reached its limit on the number of initial counters prior to the award of institutional financial aid to the student-athlete.

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I-AA

I-AA

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I-A/ I-AA

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15.5.5.3.2 Recruited Student-Athlete Entering after Fall Term, Aided in First Year. A studentathlete recruited by the awarding institution who enters subsequent to the first term of the academic year and immediately receives institutional financial aid (based in any degree on athletics ability) shall be an initial counter for either the current academic year (if the institution's annual limit has not been reached) or the next academic year. The student-athlete shall be included in the institution's total counter limit during the academic year in which the aid was first received.

I-A/ I-AA 15.5.5.3.3 Recruited Student-Athlete, Aid Received Subsequent to First Year. A recruited student-athlete (including a student-athlete who was a partial qualifier or nonqualifier) in the sport of football who first receives athletically related financial aid subsequent to the student-athlete's first academic year in residence shall be an initial counter for that academic year in which the aid is first received, if such aid is received during the fall term. However, such a student-athlete who first receives athletically related financial aid in the second or third term of an academic year may be considered an initial counter during the academic year in which aid was first received or the next academic year. In either case, the student-athlete shall be included in the institution's total counter limit during the academic year in which the aid was first received.

I-A/ I-AA

15.5.5.3.4 Nonrecruited Student-Athlete Receiving Institutional Financial Aid. A student-athlete not recruited by the institution who receives institutional financial aid (based in any degree on athletics ability) subsequent to beginning football practice becomes a counter but need not be counted as an initial counter until the next academic year if the institution has reached its initial limit for the year in question. However, the student-athlete shall be considered in the total counter limit for the academic year in which the aid was first received.

I-A

15.5.5.3.5 Midyear Replacement. In Division I-A football, a counter who graduates at midyear or who graduates during the previous academic year (including summer) may be replaced by an initial counter, who shall be counted against the initial limit for the following academic year. A Division I-A institution may use the midyear replacement exception only if it previously has provided financial aid during that academic year to the maximum number of overall counters (i.e., 85 total counters) in the sport of football. (Revised: 4/20/99 effective 8/1/99, Revised: 6/8/99

I-A/ I-AA

15.5.5.3.6 Divisions I-A and I-AA Football—Aid First Awarded Subsequent to Second Year. In Divisions I-A and I-AA football, a student-athlete who has been in residence at the certifying institution for at least two academic years may receive athletically related financial aid for the first time without such aid counting as an initial award, provided the aid falls within the overall grant limitation. (Adopted: 1/11/89, Revised: 1/10/90 effective 8/1/90)

I-A/ I-AA 15.5.5.3.7 Recruited Student-Athlete, Varsity Competition. In accordance with 15.4.1.2.1, a recruited student-athlete receiving institutional financial aid certified as having been granted without regard in any degree to athletics ability becomes an initial counter in the first academic year in which the student-athlete competes on the varsity level.

I-A/ I-AA 15.5.5.3.8 Returning Two-Year Transfer. A student-athlete who previously was an initial counter and who transferred to a two-year college shall not be an initial counter upon return to the original institution.

I-A/ I-AA

15.5.5.3.9 Delayed Initial Counter Who Does Not Return to School. A student-athlete who receives countable financial aid but whose status as an initial counter under this bylaw is delayed until the following academic year shall be counted against the initial limit the following academic year, regardless of whether the student-athlete reports for participation or attends the institution in that academic year.

#### 15.5.5.4 Exceptions

15.5.5.4.1 Voluntary Withdrawal. An institution may replace a counter who voluntarily withdraws from the football team by providing the financial aid to another student who already has enrolled in the institution and is a member of the football squad. For this replacement to occur, the counter must withdraw prior to the first day of classes or before the first game of the season, whichever is earlier, and release the institution from its obligation to provide institutional financial aid [see also Bylaw 15.3.4.1-(d)].

15.5.5.4.2 Lightweight Football. Participants in lightweight football programs who do not participate in the institution's regular varsity intercollegiate program shall not be counted in the institution's financial aid limitations.

**15.5.6** Ice Hockey Limitations. There shall be an annual limit of 18 on the value of financial aid awards (equivalencies) to counters and an annual limit of 30 on the total number of counters in the sport of ice hockey at each ice hockey institution. (Adopted: 1/16/93 effective 8/1/93)

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#### 15.5.7 Multiple-Sport Participants

See Figure 15-3, page 199, for where to count student-athletes who participate in more than one sport.

- 15.5.7.1 Football. In football, a counter who was recruited and/or offered financial aid to participate in football and who participates (practices or competes) in football and one or more sports (including basketball) shall be counted in the sport of football. A counter who was not recruited and/or offered financial aid to participate in football and who competes in football and one or more sports (including basketball) shall be counted in the sport of football. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/96)
  - **15.5.7.1.1 Initial Counter.** A counter who previously has not been counted in the sport of football shall be considered an initial counter even though the student-athlete already has received countable financial aid in another sport.
  - 15.5.7.1.2 Division I-AA Football Exception. A counter who practices or competes in football at a Division I-AA institution that elects to use the football counter and initial-counter exception set forth in Bylaw 15.5.5.2.1 and who practices or competes in another sport and receives countable financial aid in another sport shall be counted in the institution's financial aid limitations in the other sport. (Adopted: 1/10/95)
- 15.5.7.2 Basketball. A counter who practices or competes in basketball and one or more other sports (other than football) shall be counted in the sport of basketball.
- 15.5.7.3 Ice Hockey. A counter who practices or competes in men's ice hockey and one or more other sports (other than football or basketball) shall be counted in men's ice hockey. (Adopted: 1/16/93 effective 8/1/93)
- 15.5.7.4 Field Hockey. A counter who practices or competes in field hockey and one or more other sports (other than basketball or women's volleyball) shall be counted in field hockey.
- 15.5.7.5 Swimming/Water Polo, Men's. A counter who practices or competes in both men's swimming and men's water polo at a member institution shall be counted in men's swimming, unless he counts in football or basketball.
- 15.5.7.6 Volleyball, Women's. A counter who practices or competes in women's volleyball and one or more other sports (other than basketball) shall be counted in women's volleyball.
- 15.5.7.7 Two-Year Exception. If an individual has participated in a sport other than basketball, field hockey or women's volleyball for two years or more since the individual's initial collegiate enrollment and would be involved only in basketball, field hockey or women's volleyball practice sessions, such a student would not become a counter in those sports until the student actually competes as a member of that institution's intercollegiate team, at either the varsity or junior varsity level. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/96)
- 15.5.7.8 Other Sports. Except as otherwise provided in this section, a counter who participates in two or more sports shall be counted in one of the sports but shall not be counted in the others.
  - 15.5.7.8.1 Requirement to Qualify as Multiple-Sport Athlete. To be considered a multiple-sport athlete under this section, an individual must meet all of the following requirements:
  - (a) The individual shall report and participate fully in regularly organized practice with each squad;
  - (b) The individual shall participate where qualified in actual competition in each sport;
  - (c) The individual shall be a member of each squad for the entire playing and practice season;
  - If a recruited student-athlete, the individual shall have been earnestly recruited to participate in the sport in which financial aid is counted (i.e., the institution recruiting the student-athlete shall have a reasonable basis to believe that the student-athlete is capable of participating in the institution's varsity intercollegiate program in that sport, including documentation of a record of previous participation in organized competition in the sport that supports the student-athlete's potential to participate in that sport in varsity intercollegiate competition).
- **15.5.8 Changes in Participation.** If a student-athlete changes sports during an academic year, the student-athlete's financial aid shall be counted in the maximum limitations for the first sport for the remainder of the academic year. If the student-athlete continues to receive financial aid, the award shall be counted the next academic year against the maximum limitations in the second sport. A student-athlete shall be counted as an initial award in football during the year in which the student-athlete first becomes countable in that sport, regardless of whether countable financial aid was received previously for another sport.

#### Grant-in-Aid Limitations/15.5.9—15.5.10

#### **15.5.9 Squad List**

- **15.5.9.1 Eligibility Requirement.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be included on the institution's squad-list form.
- **15.5.9.2 Squad-List Form.** The member institution's athletics director shall compile a list, on a form approved by the Management Council, of the squad members in each sport on the first day of competition and shall indicate thereon the status of each member in the categories listed (see Bylaw 30.13).
- **15.5.9.3 Drug-Testing Consent-Form Requirement.** Any student-athlete who signs a drug-testing consent form must be included on the institution's squad-list form, and any student-athlete who is included on the squad-list form must have signed a drug-testing consent form pursuant to Bylaw 14.1.4. (Adopted: 1/10/92 effective 8/1/92)
- **15.5.10 Waivers for Extraordinary Personnel Losses.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of the financial aid limitations set forth in this bylaw for institutions that have suffered extraordinary personnel losses due to accident or illness of a disastrous nature from one or more of their intercollegiate athletics teams.

#### **FIGURE 15-1 Financial Aid Maximum Limits** 2000-01 Academic Year

	Citation	Individual Full Grant <sup>1</sup>	Institutional Sport Limitation
Academic honor award	15.4.3.2.1	Yes	No
Aid based on athletics ability	15.02.4.1-(a)	Yes	Yes
Americorps Program	15.2.4.1-(a)	No	No
Athletics grant	15.02.4.1-(a)	Yes	Yes
Athletics participation compensation	15.1.1-(f)	Yes, if eligibility has not been exhausted <sup>2</sup>	No <sup>2</sup>
Dependents Education Assistance Program	15.2.4.1-(f)	No	No
Disabled veterans award	15.2.4.1-(b)	No <sup>3</sup>	No
Employment, on-campus during term	15.02.4.1-(a)	Yes, if eligibility	Yes, if athletics
1 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 -	15.1.1-(a)	has not been	interests intercede <sup>10</sup>
	15.2.6	exhausted <sup>9</sup>	
	15.2.6.1		
	15.2.6.4		
	15.2.6.5		
Employment, off-campus during term		Yes, if eligibility has	Yes, if athletics
r	15.2.6	not been exhausted	interests intercede
	15.2.6.4	Doon oxnaudiou	and eligibility has
	15.2.6.1		not been exhausted <sup>10</sup>
	15.2.6.5		not been exhausted
Employment during vacation period	15.02.4.3-(c)	No	No
Employment during vacation period	15.1.1-(a)	110	110
	15.2.6.4		
Gifts following completion of eligibility	15.1.1-(d)	Yes	No
Government grants, institutionally	15.02.4.1-(b)	Yes	Yes <sup>4</sup>
administered	13.02.4.1-(b)	163	163
Government grants, not institutionally administered	15.1.1-(b)	Yes	No
g,,,	15.2.4	Yes	No
	15.2.4.1	No	No
Honorary academic award	15.02.4.3-(a)	No <sup>8</sup>	No
•	15.02.6		
Institutional grant or scholarship	15.02.4.1-(a)	Yes	Yes <sup>4</sup>
Loan, legitimate, repayment schedule	15.02.4.3-(b)	No	No
Military reserve training program	15.2.4.1-(c)	No	No
Montgomery G.I. Bill-Active Duty and Selected Reser		No	No
Outside grant for educational purposes,	15.2.5.2	No <sup>5</sup>	No
unrelated to athletics ability	15.2.5.2.2	No <sup>6</sup>	
Outside grant, athletics participation	15.02.4.1-(e)	Yes	Yes, if recruited
considered a major criterion	15.02.4.2-(d)		No, if not recruited
	15.2.5.4		
Outside grant, athletics participation	15.02.4.2-(c)	Yes, if recruited	No
not considered a major criterion	15.2.5.3	No, if not recruited⁵	
Parent/guardian contribution	15.2.5.1	No	No
Pell Grant	15.2.4.1-(e)	No	No
Professional sports stipend	15.1.1-(f)	Yes²	No <sup>2</sup>
Research grant	15.02.4.3-(a)	No <sup>8</sup>	No
<u> </u>	15.02.6		
Social Security Insurance Program (including the Reinstated Entitlement Program for Survivors)	15.2.4.1-(f)	No	No
Summer school financial aid	15.2.7	Yes <sup>7</sup>	No
Supplemental Educational Opportunities Grant	15.02.4.1-(b)	Yes	Yes <sup>4</sup>
Veteran's Death Pension Program	15.2.4.1-(f)	No	No
Veterans Educational Assistance Program	15.2.4.1-(g)	No	No
Vocational Rehabilitation for Service-Disabled	15.2.4.1-(h)	No	No
Veterans Program	` '		
Welfare Benefits	15.2.4.1-(i)	No	No
Work-study assistance	15.02.4.1-(a)-(b)	Yes <sup>9</sup>	Yes, if athletics

- Key:

  Applies only if student-athlete is receiving financial aid based

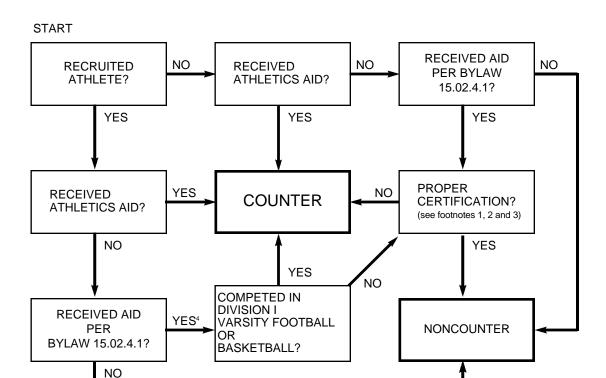
  that deat athlete is recruited and receivon athletics ability or if student-athlete is recruited and receiving institutional financial aid as defined in Bylaw 15.02.4.1.
- <sup>2</sup> However, receipt of such compensation renders a student-athlete ineligible for intercollegiate athletics competition under the Association's rules of amateurism (see
- <sup>3</sup> If approved by Management Council.
- <sup>4</sup> If considered a counter per Bylaw 15.5.
- <sup>5</sup> However, if a student-athlete is receiving financial aid based on athletics ability or if the student-athlete is recruited and receiving institutional financial aid as defined in Bylaw 15.02.4.1, the student must include the grant.
- <sup>6</sup> Provided institutional financial aid and outside grants do not exceed the student-athlete's cost of attendance per Bylaw 15.01.7.
- <sup>7</sup> Institution is limited to providing value of full grant-in-aid during summer; however, student-athlete may earn addi-

tional employment income beyond full grant during the summer. (Also see Bylaw 15.2.7.3.)

- The limitation set forth in Bylaw 15.01.7 is applicable and the award may not exceed the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution.
- <sup>9</sup> A student-athlete may receive earnings from employment during semester or term time in combination with other financial aid included in the student-athlete's individual limit up to \$2,000 above the amount of a full grant-in-aid, provided the student-athlete has spent one academic year in resi-dence at the certifying institution and the student-athlete is eligible academically to compete for the institution.
- Observe determinantly to compete for the inflation.
  1º Subsequent to the first academic year at the certifying institution, the first \$2,000 of employment income of an eligible student-athlete is not included in the institution's team limits, regardless of whether athletics interests intercede to secure such employment, provided the employment is not included the employment is not included. in the athletics department or athletics facility (owned or operated by the athletics department).

#### **FIGURE 15-2**

### How to Determine a Counter 2000-01 Academic Year



- Recruited student-athlete who is a Division I varsity football or basketball team member but does not compete: Admission and financial aid must be certified as unrelated to athletics ability (Bylaw 15.5.1.2.1).
- 2. Recruited student-athlete participating in sport other than Division I football or basketball: Financial aid must be certified as unrelated to athletics ability (Bylaw 15.5.1.2.3).
- 3. Nonrecruited student-athlete participating in any Division I sport: Financial aid must be certified as unrelated to athletics ability (Bylaw 15.5.1.3.2).
- Recruited partial qualifier in Division I football or basketball who receives institutional financial aid based on financial need becomes a counter when he or she participates in institutional practice sessions or represents the institution against outside competition. (Bylaw 15.5.1.2.2)

#### **FIGURE 15-3**

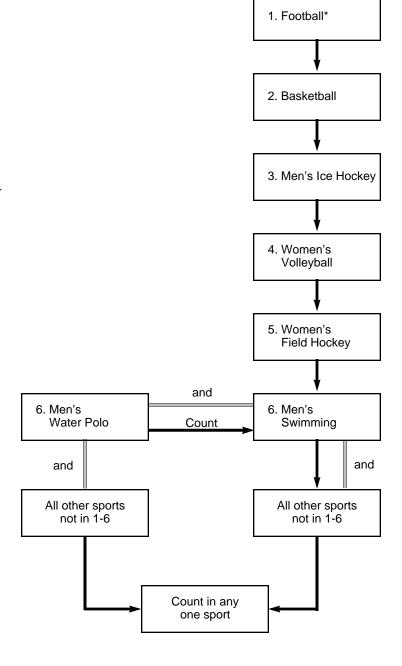
## Where To Count Student-Athletes Who Participate in More Than One Intercollegiate Sport 2000-01 Academic Year

#### **DIRECTIONS:**

Start at 1, football, and keep going until you reach a sport in which the student-athlete participates. Count the student-athlete in that sport.

Note the alternatives for men's water polo. If a student-athlete participates in men's water polo and men's swimming, he is counted in men's swimming. If he participates in men's water polo and any sport except football, men's basketball, men's ice hockey and men's swimming, he can be counted in either of his sports. If he participates in men's swimming and any other sport except for football, men's basketball, men's ice hockey and men's water polo, then he can be counted in either of his sports.

For student-athletes who practice with one of the teams numbered 1-2 and 4-5 but don't play on a junior-varsity or varsity team, see the information on the two-year exception rule in Bylaw 15.5.7.7.



<sup>\*</sup>In football, a counter who was not recruited and/or offered financial aid to participate in football and who competes in football and one or more sports (including basketball) must be counted in the sport of football (see Bylaw 15.5.7.1).

#### BYLAW, ARTICLE 16

## Awards, Benefits and Expenses for Enrolled Student-Athletes

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#### **16.01 GENERAL PRINCIPLES**

**16.01.1 Eligibility Effect of Violation.** Receipt by a student-athlete of an award, benefit or expense allowance not authorized by NCAA legislation renders the student-athlete ineligible for athletics competition in the sport for which the improper award, benefit or expense was received. If the student-athlete receives an extra benefit not authorized by NCAA legislation or an improper award or expense allowance in conjunction with competition that involves the use of overall athletics skill (e.g., "superstars" competition), the individual is ineligible in all sports.

**16.01.2** Exception for Benefits Available to Other Students. The receipt of a benefit by a student-athlete or his or her relatives or friends that is not authorized by NCAA legislation is not a violation if it is demonstrated that the same benefit generally is available to the institution's students, their relatives or friends or to a particular segment of the student body (e.g., foreign students, minority students) determined on a basis unrelated to athletics ability. (*Revised:* 1/10/91)

**16.01.3 Money for Unspecified Expenses.** A payment to a student-athlete for unspecified, unitemized, excessive or improper expenses is not permitted.

**16.01.4 Compliance with Legislation for Emerging Sports.** Beginning with the 1995-96 academic year, a member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable awards and benefits legislation set forth in Bylaw 16. (Adopted: 1/10/95)

#### 16.02 DEFINITIONS AND APPLICATIONS

**16.02.1 Award.** An award is an item given in recognition of athletics participation or performance. Such awards are subject to the limitations set forth in Bylaw 16.1.

**16.02.2 Excessive Expense.** An excessive expense is one not specifically authorized under regulations of the Association concerning awards, benefits and expenses.

**16.02.3 Extra Benefit.** An extra benefit is any special arrangement by an institutional employee or a representative of the institution's athletics interests to provide a student-athlete or the student-athlete's relative or friend a benefit not expressly authorized by NCAA legislation. Receipt of a benefit by student-athletes or their relatives or friends is not a violation of NCAA legislation if it is demonstrated that the same benefit is generally available to the institution's students or their relatives or friends or to a particular segment of the student body (e.g., foreign students, minority students) determined on a basis unrelated to athletics ability. (*Revised: 1/10/91*)

**16.02.4 Pay.** Pay is the receipt of funds, awards or benefits not permitted by governing legislation of the Association for participation in athletics. (See Bylaw 12.1.2 for explanation of forms of pay prohibited under the Association's amateur-status regulations.)

#### **16.1 AWARDS**

#### 16.1.1 Application of Awards Legislation

- **16.1.1.1 Prior to Enrollment.** Awards received by an individual prior to enrollment shall conform to the rules of the amateur sports organization that governs the competition and shall not include cash. Such awards may include gift certificates and items that are not personalized, provided the awards are permitted by the rules of the amateur sports organization.
- **16.1.1.2** Student-Athlete Representing Institution. The awards limitations of Bylaw 16.1 apply to awards received by a student-athlete while enrolled during the academic year (i.e., from the beginning of the fall term through completion of the spring term, including any intervening vacation period) as a regular student in a minimum full-time academic load, or awards received by a student-athlete while representing the student-athlete's institution at any other time.
- **16.1.1.3 Student-Athlete Not Regularly Enrolled.** Awards received by a student-athlete participating in an event while not enrolled as a regular student during the academic year, or received during the summer while not representing his or her institution, shall conform to the regulations of the recognized amateur organization that governs the competition and may not include cash. Such awards may include gift certificates and items that are not personalized, provided the awards are permitted by the rules of the amateur sports organization.
- **16.1.1.4 Subsequent to Completion of Athletics Eligibility.** Awards limitations apply to enrolled student-athletes who have exhausted their collegiate athletics eligibility. An institution shall be held responsible through the Association's enforcement procedures for the provision of improper awards to graduating seniors by the institution or its booster organizations.
- **16.1.2 Uniformity of Awards.** Awards presented by a member institution, conference or other approved agency must be uniform for all team members receiving the award.
- **16.1.3 Nonpermissible Awards.** The following awards are prohibited unless received per Bylaw 16.1.1.1 or 16.1.1.3, except that receipt of a cash award is not permitted under any circumstance.
  - **16.1.3.1 Cash or Equivalent.** An individual may not receive a cash award for athletics participation. An individual may not receive a cash-equivalent award (i.e., an item that is negotiable for cash or trade or other services, benefits or merchandise) for athletics participation.
  - **16.1.3.2 Gift Certificates.** Gift certificates shall be prohibited. (Revised: 1/10/90, 1/9/96 effective 8/1/96)
  - **16.1.3.3 Country Club or Sports-Club Memberships.** An award of a country club or sports-club membership is strictly prohibited, even if the cost of the membership is below the maximum award value permitted by NCAA legislation.
  - **16.1.3.4 Transfer of Nonpermissible Award.** Cash or any other award that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency (e.g., a collegiate institution).

See Figure 16-1, page 220, for a summary of awards legislation.

# **16.1.4 Types of Awards, Awarding Agencies, Maximum Value and Numbers of Awards.** Athletics awards given to individual student-athletes shall be limited to those approved or administered by the member institution, its conference or an approved agency as specified in the following subsections and shall be limited in value and number as specified in this section. Each of the following subsections is independent of the others so that it is permissible for an individual student-athlete to receive the awards described in all subsections.

- **16.1.4.1 Annual Participation.** Awards for recognition of intercollegiate athletics participation (e.g., "letter awards") may be presented each year by a member institution. In addition, the institution may present senior awards and awards in recognition of special attainments or contributions to a team's competitive season (e.g., scholar-athlete, most improved player, most minutes played).
  - **16.1.4.1.1 Underclassman Awards.** The total value of a single participation award to a nonsenior shall not exceed \$150 in each sport in which the student-athlete participates. Multiple awards may be presented only if the total value of all participation awards received in a sport during a particular academic year by an individual student-athlete who is not a senior does not exceed \$150. (*Revised:* 1/9/96 effective 8/1/96)
  - **16.1.4.1.2 Senior Awards.** Multiple awards may be presented only if the total value of all participation awards received during a particular academic year by an individual senior student-athlete does not exceed \$300 in each sport in which the student-athlete participates. (Revised: 1/9/96 effective 8/1/96)

- **16.1.4.1.3 Additional Awards.** It is permissible for members to provide additional awards in recognition of special attainments or contributions to a team's competitive season (e.g., scholarathlete, most improved player, most minutes played), with the value of each such additional award limited to \$150. (*Revised:* 1/9/96 effective 8/1/96)
- **16.1.4.1.4 Most-Valuable-Player Awards—Season.** A student-athlete may receive one or more awards for being selected as the most valuable player on a team for the season, provided such awards are approved by the institution and conference, if any. (See Bylaw 16.1.4.2.3 for special-event-related most-valuable-player awards.)
- **16.1.4.2 NCAA Championships, Regional or National Awards and Special Events.** Awards for participation in special events (such as postseason football games, NCAA championships and other established meets and tournaments, all-star games, featured individual competition) and established regional or national recognition awards (e.g., Wade Trophy, Heisman Trophy) may be presented only to student-athletes who are eligible to participate in the event and may be presented only by the management of such an event or awards program or by an institution that has had or will have a team or individual participate in that event.
  - **16.1.4.2.1 Number and Value of Awards.** The total value of any single award to any one student-athlete for a special event may not exceed \$300, except awards presented by the Association to student-athletes for participation in NCAA championship events per Bylaw 16.1.4.2.2 and for most-valuable-player awards per Bylaw 16.1.4.2.3. Multiple awards may be presented only by the institution and the management of the event if the total value of all awards presented for participation in the meet (e.g., a multievent winner in a gymnastics or track and field meet) or in honor of the student-athlete's achievement does not exceed \$300, except for bowl-game and all-star game awards per Bylaw 16.1.4.2.4. (Revised: 1/10/92, 1/16/93)
    - **16.1.4.2.1.1 Exception—Established National Award.** Trophies signifying an established national award may be received by a student-athlete, regardless of the value of the trophy. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
  - **16.1.4.2.2 NCAA Championships Participation.** Awards presented by the Association to student-athletes for participation in any NCAA championship event are not subject to any limitation on the value of the award. Additional awards presented by an institution to its student-athletes for participation in an NCAA championship event are permitted, provided the total of any and all awards from the institution does not exceed \$300. (Adopted: 1/10/91)
  - **16.1.4.2.3 Most-Valuable-Player Awards—Special Event.** Most-valuable-player awards may be presented in special events if the recipient is selected by a recognized organization approved by a member institution or conference. Each award must be approved by the institution (or conference) and may not exceed \$300 in value. The awarding institution, conference or other organization may provide only one award for each event to each student-athlete. (*Revised:* 1/9/96 effective 8/1/96)
  - **16.1.4.2.4 Bowl-Game or All-Star Game Awards.** Awards presented to student-athletes from the sponsoring agency of a certified postseason bowl or all-star game shall not exceed \$300 in value. The value of additional awards presented by an institution to its student-athletes for bowl-game or all-star-game participation shall be subject to a separate \$300 limitation. (Adopted: 1/10/92, 1/16/93)
- **16.1.4.3 National Championships.** Awards may be presented by a member institution and conference (or an organization approved by either) in recognition of national championships to those student-athletes eligible to participate. The total value of any single award received for a national championship may not exceed \$300, and each permissible awarding agency is subject to a separate \$300 limit per award. The awarding institution and conference (or other organization approved by either) may provide only one award for each championship to each student-athlete. (*Revised: 1/9/96 effective 8/1/96*)
  - **16.1.4.3.1 National Championship Not Conducted by NCAA.** In those sports or classification divisions in which the Association does not conduct championships, national-championship awards (the total value of each not to exceed \$300) may be presented to members of the team designated as "national champion" by a national wire-service poll or the national coaches association in that sport. Otherwise, only teams winning an NCAA or NAIA national championship may be presented such awards by the institution.
- **16.1.4.4 Conference Championship.** Awards may be presented by a member institution and by a member conference (or an organization approved by either) in recognition of conference championships to student-athletes eligible to participate. The total value of any single award received for a conference championship may not exceed \$300, and each permissible awarding agency is subject to a separate \$300 limit per award. The awarding institution and conference (or other organization approved by either) may provide only one award for each championship to each student-athlete. (Adopted: 1/9/96 effective 8/1/96)

- 16.1.4.4.1 Regular-Season Conference Champion versus Postseason Conference Champion. Separate awards may be presented to both the regular-season conference champion and the postseason conference champion, with a separate \$300 limitation; however, if the same institution wins both the regular-season and postseason conference championship, the combined value of both awards shall not exceed \$300. (Adopted: 1/9/96)
- **16.1.4.5 Specialized Performance in Single Contest or during Limited-Time Period.** Institutions may not present individual awards to student-athletes for specialized performances in particular contests or events or during a limited-time period (e.g., "player of the game" or "player of the week"). However, a conference or an organization, such as a business firm or other outside agency, may recognize a student-athlete's outstanding performance in a particular contest or during a particular time period by presenting a certificate, plaque or medal valued at less than \$75. It is not permissible for such a conference or organization to provide any other tangible item or award. (*Revised: 1/10/95, 1/9/96 effective 8/1/96*)
- **16.1.4.6 Hometown Award.** It is permissible for a hometown group (other than the institution's athletics booster club) to provide an award (e.g., certificate, medal or plaque) to a student-athlete for outstanding accomplishments in intercollegiate athletics, provided the value of such an award does not exceed \$75. (*Revised:* 1/9/96 effective 8/1/96)
- **16.1.4.7 Local Civic Organization.** A local civic organization (e.g., Rotary Club, Touchdown Club) may provide awards to a member institution's team(s). The following regulations shall apply to such an event: (Adopted: 1/10/92)
- (a) All awards must be approved by the institution and must be counted in the institution's limit for institutional awards, and
- (b) The organization may not recognize or provide awards to prospective student-athletes at the banquet.

### 16.1.5 Purchase Restrictions

- **16.1.5.1 Assignment of Normal-Retail Value.** Normal-retail value shall be assigned as the value of an award when determining whether an award meets specified value limits, even when a member institution receives institutional awards from an athletics representative or organization free of charge or at a special reduced rate. Normal-retail value is the cost to the institution that is based solely on volume and is available to all purchasers of a similar volume and that does not involve an obligation to make additional purchases to enable the supplier to recover the costs for the original purchase. (Adopted: 1/16/93)
- **16.1.5.2 Supplementary-Purchase Arrangement.** An institution may not enter into a supplementary-purchase arrangement with an awards supplier whereby the supplier agrees to sell an award (e.g., a watch or ring) at a price below the maximum amount specified by NCAA legislation with the understanding that the institution will make additional purchases of other unrelated items to enable the supplier to recover the costs for the original purchase. Such an arrangement would exceed the specific-value limitations placed on permissible awards.
- **16.1.5.3 Combining Values.** An institution may not combine the value limits of awards given in a sport during the same season, or given to athletes who participate in more than one sport, to provide an award more expensive than permissible under separate application to some or all of its participating student-athletes.
- **16.1.5.4 Student Contribution to Purchase.** The value of an award may not exceed specified value limits, and a student-athlete may not contribute to its purchase in order to meet those limits.
- **16.1.6 Institutional Awards Banquets.** An institution may conduct awards banquets to commemorate the athletics and/or academic accomplishments of its student-athletes. (*Revised: 1/9/96 effective 8/1/96*)
  - **16.1.6.1 Booster Club Recognition Banquet.** One time per year, an institution's athletics booster club may finance an intercollegiate team's transportation expenses to a recognition banquet, provided all expenses are paid through the institution's athletics department, the location of the event is not more than 100 miles from the campus and no tangible award is provided to members of the team. (Revised: 1/9/96 effective 8/1/96)

### 16.1.7 Expenses to Receive Noninstitutional Awards

- **16.1.7.1 Hometown Awards.** A member institution, its booster club or any other organization may not pay the expenses of any student-athlete returning home to receive an award for athletics accomplishments or for other personal purposes. The student-athlete may return home at his or her own expense to attend a recognition event and receive an award from any hometown group (e.g., alumni club) that is not the institution's local athletics booster group. (*Revised:* 1/14/97)
- 16.1.7.2 Established Regional, National or International Awards. It is permissible for an outside

organization (other than a professional sports organization) to provide actual and necessary expenses for a student-athlete to travel to a banquet designed to recognize the individual's accomplishments as an athlete in order for the student-athlete to receive an established regional, national or international award (permitted by NCAA legislation). The outside organization also may provide actual and necessary expenses for the student-athlete's spouse, parents or other relatives to attend the recognition event.

- **16.1.7.3 Recognition by President, Governor or State Legislative Body.** An institution may provide actual and necessary expenses when a team (or its senior student-athletes) is accorded special recognition by the President of the United States or by the governor or legislative body of the state in which the member institution is located.
- **16.1.7.4 Conference Awards.** A conference or its member institutions may provide actual and necessary expenses for a student-athlete to travel to the conference office or other site to receive a conference award. In addition, the conference may provide actual and necessary expenses for the student-athlete's parents (or legal guardians) and spouse to attend the presentation of the conference's male or female "athlete of the year" award to the student-athlete, provided not more than one male and one female student-athlete receive such an award per academic year. (Adopted: 1/10/92, Revised: 1/10/95)

### 16.2 COMPLIMENTARY ADMISSIONS AND TICKET BENEFITS

### 16.2.1 Permissible Procedures

- **16.2.1.1 Institutional Contests in the Student-Athlete's Sport.** An institution may provide four complimentary admissions per home or away contest to a student-athlete in the sport in which the individual participates (either practices or competes), regardless of whether the student-athlete competes in the contest.
  - **16.2.1.1.1 Partial Qualifier.** An institution may provide a partial qualifier with four complimentary admissions per home contest in the sport in which the individual participates. (*Adopted:* 3/19/97)
  - **16.2.1.1.2 Exception—NCAA Championships and Bowl Games.** An institution may provide each student-athlete who participates in or is a member of a team participating in an NCAA championship or bowl game with six complimentary admissions to all contests at the site at which the student (or team) participates. (Adopted: 1/9/96 effective 8/1/96)
  - **16.2.1.1.3 Tournaments.** Complimentary admissions may be provided to members of the institution's team for all contests in a tournament in which the team is participating, rather than only for the games in which the institution's team participates. However, the contests must be at the site at which the institution's team participates.
- **16.2.1.2 General Regulations.** Complimentary admissions shall be provided only through a pass list for individuals designated by the student-athlete. "Hard tickets" shall not be issued. The institution shall be responsible for this administrative procedure, and the student-athlete's eligibility shall be affected by involvement in action contrary to the provisions of Bylaws 16.2.1.1 and 16.2.2.1 (i.e., receipt of more than the permissible four complimentary admissions or the sale or exchange of a complimentary admission for any item of value). (Revised: 1/11/94 effective 8/1/94)
  - **16.2.1.2.1 Issuance Procedures.** The individual utilizing the complimentary admission must present identification to the person supervising the use of the pass list at the admission gate. The individual then shall be provided a ticket stub or other identification of a specified reserved seat, directed to a specific reserved-seating section or seating area, or treated as a general-admission ticket holder.
- **16.2.1.3 Institution's Home Contests in Other Sports.** An institution may provide admission for each student-athlete to all of the institution's regular-season home intercollegiate athletics contests in sports other than that in which the student-athlete is a participant, via a printed student-athlete pass or gate list. Proof of identity shall be required upon admission.
  - **16.2.1.3.1 Partial Qualifier or Nonqualifier.** A partial qualifier (per Bylaw 14.02.9.2) or nonqualifier (per Bylaw 14.02.9.3) may receive a complimentary admission to all of the institution's regular-season home intercollegiate athletics contests in the first academic year of residence.
  - **16.2.1.3.2** Complimentary Admissions to an Institution's Home Contest Honoring a Student-Athlete. An institution may provide a maximum of three complimentary admissions to a student-athlete for an institution's game or contest during which a student-athlete is being honored but not participating, provided such complimentary admissions are used by the student-athlete's parents, legal guardians and/or spouse. (*Adopted: 4/27/00 effective 8/1/00*)

# Admissions and Ticket Benefits/16.2.2—Support Services/16.3.3

### 16.2.2 Nonpermissible Procedures

- **16.2.2.1 Sale of Complimentary Admissions.** A student-athlete may not receive payment from any source for his or her complimentary admissions and may not exchange or assign them for any item of value.
- **16.2.2.2 Payment to Third Party.** Individuals designated by the student-athlete to receive complimentary admissions are not permitted to receive any type of payment for these admissions or to exchange or assign them for any item of value. Receipt of payment for complimentary admissions by such designated individuals is prohibited and considered an extra benefit not available to the general student body, which would render the student-athlete ineligible for participation in intercollegiate athletics.
- **16.2.2.3 Student-Athlete Ticket Purchases.** An institution may not provide a special arrangement to sell a student-athlete ticket(s) to an athletics event. Tickets shall be available for purchase by student-athletes according to the same purchasing procedures used for other students.
- **16.2.2.4 Sale above Face Value.** A student-athlete may not purchase tickets for an athletics contest from the institution and then sell the tickets at a price greater than their face value.
- **16.2.2.5 Professional Sports Tickets.** An institution or any representative of its athletics interests may not purchase or otherwise obtain tickets to a professional sports contest and make these tickets available to student-athletes enrolled in an NCAA member institution. Such a gift of tickets would represent an unacceptable extra benefit. (See Bylaw 16.7.1.1 for permissible provision of professional sports tickets as team entertainment related to an away-from-home contest.)

### 16.3 ACADEMIC AND OTHER SUPPORT SERVICES

### 16.3.1 Mandatory

- **16.3.1.1 Academic Counseling.** Member institutions shall make available general academic counseling and tutoring services to all recruited student-athletes. Such counseling and tutoring services may be provided by the department of athletics or through the institution's nonathletics student support services. ( $Adopted: 1/10/91 \ effective \ 8/1/91$ )
- 16.3.1.2 Champs/Life Skills Programs. Member institutions shall be required to conduct NCAA Champs/Life Skills programs (or an equivalent program) on its campus. (Adopted: 4/27/00 effective 8/1/00)
- **16.3.2 Permissible.** Academic and other support services that may be financed by an institution are:
- (a) Tutoring expenses;
- (b) Drug-rehabilitation program expenses;
- (c) Counseling expenses related to the treatment of eating disorders; (Adopted: 1/11/89)
- (d) On-campus student development and career counseling (including the provision of related materials of little or no commercial value to student-athletes) utilizing outside resources; (Revised: 1/10/95)
- (e) Future professional athletics career counseling from a panel of at least three persons appointed by the institution's chief executive officer (or his or her designated representative from outside the athletics department). Not more than one such panel member may be an athletics department staff member, and all other panel members must be selected by the institution from among its full-time employees who are employed outside the athletics department. All panel members shall be identified to the national office (see Bylaw 12.3.4);
- (f) Actual and necessary expenses to attend proceedings conducted by the institution, its athletics conference or the NCAA that relate to the student-athlete's eligibility to participate in intercollegiate athletics or legal proceedings that result from the student-athlete's involvement in athletics practice or competitive events. The cost of legal representation in such proceedings also may be provided by the institution (or a representative of its athletics interests);
- (g) Use of computers and typewriters;
- (h) Cost of a field trip, provided the field trip is required of all students in the course and the fee for such trips is specified in the institution's catalog; and
- (i) Organized day planners that are nonelectronic, and are developed and produced by the institution (as opposed to being purchased commercially). (Adopted: 4/27/00)
- **16.3.3 Nonpermissible.** Services that may not be financed by the institution include, but are not limited to, the following:
- (a) Typing costs, even if typed reports and other papers are a requirement of a course in which a student-

athlete is enrolled. Typing costs are not considered an institutional fee under NCAA legislation, and payment would be considered an extra benefit not available to the general student body;

- (b) Course supplies (e.g., calculators, art supplies); and
- (c) Use of a copy machine only for student-athletes.

### **16.4 MEDICAL EXPENSES**

**16.4.1 Permissible.** Identified medical expense benefits incidental to a student's participation in intercollegiate athletics that may be financed by the institution are:

- (a) Athletics medical insurance;
- (b) Death and dismemberment insurance for travel connected with intercollegiate athletics competition and practice;
- (c) Drug-rehabilitation expenses;
- (d) Counseling expenses related to the treatment of eating disorders; (Adopted: 1/11/89)
- (e) Special individual expenses resulting from a permanent disability that precludes further athletics participation. The illness or injury producing the disability must involve a former student-athlete or have occurred while the student-athlete was enrolled at the institution, or while the prospective student-athlete was on an official paid visit to the institution's campus. An institution or outside agency, or both, may raise money through donations, benefits or like activities to assist the student-athlete or a prospective student-athlete. All funds secured shall be controlled by the institution, and the money shall be used exclusively to meet these expenses;
- (f) Glasses, contact lenses or protective eye wear (e.g., goggles) for student-athletes who require visual correction in order to participate in intercollegiate athletics;
- (g) Medical examinations at any time for enrolled student-athletes;
- (h) Expenses for medical treatment (including transportation and other related costs) incurred by a student-athlete as a result of an athletically related injury. Such expenses may include the cost of traveling to the location of medical treatment or the provision of actual and necessary living expenses for the student-athlete to be treated at a site on or off the campus during the summer months while the student-athlete is not actually attending classes. Medical documentation shall be available to support the necessity of the treatment at the location in question;
- (i) Surgical expenses to a student-athlete (including a partial qualifier or a nonqualifier) who is injured during the academic year while participating in voluntary physical activities that will prepare the student-athlete for competition; (Adopted: 1/10/92)
- (j) Medication and physical therapy utilized by a student-athlete during the academic year to enable the individual to participate in intercollegiate athletics, regardless of whether the injury or illness is the result of intercollegiate competition or practice;
- (k) Medication and physical therapy utilized by a student-athlete (even if the student-athlete is not a full-time student) during the academic year to enable the individual to participate in intercollegiate athletics, only if the student-athlete resides on campus (or in the local community of the institution) and appropriate medical documentation is available to establish that the student-athlete is unable to attend the institution as a full-time student as a result of the student-athlete's injury or illness; and (Adopted: 1/11/94)
- (1) Preseason dental examinations conducted in conjunction with a regular preseason physical examina-

**16.4.2 Nonpermissible.** Student-athlete medical expense benefits that may not be financed by the institution are:

- (a) Student health insurance, if the insurance is provided or offered to the general student body only on an optional basis, except that if such insurance is required for a particular group of students (e.g., foreign students), such expenses may be paid for student-athletes who are members of such a group. Only such required fees may be paid as a part of an institutional grant-in-aid for student-athletes;
- (b) Surgical expenses to treat a student-athlete's illness or injury that was not a result of practice for or participation in intercollegiate athletics at the institution and did not occur during voluntary physical activities that will prepare the student-athlete for competition; (Revised: 1/10/92)
- (c) Medical or hospital expenses incurred as the result of an injury while going to or from class, or while participating in classroom requirements (e.g., physical education), unless similar services are provided by the institution to all students or by the terms and conditions of the institution's overall insurance program; and

# Medical Expenses/16.4.2—Housing and Meals/16.5.1

(d) Teeth cleaning, provisional filling of teeth or other dental work, unless the dental work is directly related to injury to the teeth that occurred during practice or competition.

**16.4.2.1 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 16.4.2 in which the value of the benefit is \$25 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the student-athlete repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the student-athlete's repayment shall be forwarded to the enforcement staff. (Adopted: 4/20/99 effective 8/1/99)

### 16.5 HOUSING AND MEALS

**16.5.1 Permissible.** Identified housing and meal benefits incidental to a student's participation in intercollegiate athletics that may be financed by the institution are:

- (a) **Summer-Dormitory Rentals.** An institution may rent, at the regular institutional rate, dormitory space to a prospective or enrolled student-athlete during the summer months if it is the institution's policy to make dormitory space available on the same basis to all prospective or enrolled students (see Bylaw 15.2.2.3 for permissible housing benefits for student-athletes eligible to receive financial aid while attending summer school);
- (b) **Preseason Practice Expenses.** The institution may provide the cost of room and board to student-athletes who report for preseason practice prior to the start of the academic year, it being understood that the student-athlete has been accepted for admission to the institution at the time such benefits are received:
- (c) **Training-Table Meals.** In Division I, an institution may provide only one training-table meal per day to a student-athlete during the academic year on those days when regular institutional dining facilities are open, and in Division I-A, an institution may provide only one training-table meal per day to a student-athlete during the academic year on those days when regular institutional dining facilities are open; (Adopted: 1/10/91 effective 8/1/96)
  - (d) **Meals Incidental to Participation.** Student-athletes who are not receiving athletically related financial aid (e.g., walk-ons) may receive the benefit of a training-table meal during the permissible playing and practice season in those instances in which the student-athlete's schedule is affected by involvement in practice activities, provided the student-athlete previously has paid for the same meal (e.g., dinner) at an institutional dining facility. Further, all student-athletes are permitted to receive a prepostgame meal or snack as a benefit incidental to participation;
  - (e) **Vacation-Period Expenses.** The institution may provide the cost of room and board to student-athletes (during official institutional vacation periods) in the following circumstances:
    - (1) Student-athletes who are required to remain on the institution's campus for organized practice sessions or competition during the institution's official vacation period during the regular academic year. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution, other than to permit the student-athlete to participate in team meals incidental to practice sessions. If an institution does not provide a meal to its student-athletes, a cash allowance may be provided, not to exceed the amount provided by the institution to institutional staff members on away from campus trip; (Revised: 1/14/97, 10/28/99)
    - (2) Student-athletes who return to campus during the institution's official vacation period occurring during a regular academic term (e.g., not including vacation periods between terms) from competition as outlined in Bylaws 16.8.1.2-(a) through 16.8.1.2-(e). Under such circumstances, room and board expenses may be provided beginning with the student-athlete's arrival on campus until the institution's regular dormitories and dining facilities reopen. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution; or (Adopted: 10/28/99)
    - (3) Student-athletes who return to campus during the institution's official vacation period between regular academic terms (e.g., summer-vacation period) from competition as outlined in Bylaws 16.8.1.2-(a) through 16.8.1.2-(e). Under such circumstances, room and board expenses may be provided for no more than a 48-hour period, beginning with the student-athlete's return to campus. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution. (*Adopted: 10/28/99*)
  - (f) **Meals Related to Institutional Committee Service.** A student-athlete who serves on an institutional committee may receive expenses to cover the cost of a meal missed as a result of a committee meeting that occurs when regular institutional dining facilities are open. (*Adopted: 8/11/98*)

I/I-A

### 16.5.2 Nonpermissible

- **16.5.2.1 Athletics Housing.** During the academic year, the institution may not house student-athletes in athletics dormitories or athletics blocks within institutional or privately owned dormitories or apartment buildings (when the institution arranges for the housing) on those days when institutional dormitories are open to the general student body. (Adopted: 1/10/91 effective 8/1/96, Revised: 1/10/92, 1/16/93, 1/11/94)
  - 16.5.2.1.1 Athletics Dormitories. Athletics dormitories shall be defined as institutional dormitories in which at least 50 percent of the residents are student-athletes. (Adopted: 1/10/91 effective 8/1/96)
  - 16.5.2.1.2 Athletics Blocks. Athletics blocks shall be defined as individual blocks, wings or floors within institutional dormitories or privately owned dormitories or apartment buildings in which at least 50 percent of the residents are student-athletes. (Adopted: 1/10/91 effective 8/1/96, Revised: 1/10/92)
  - **16.5.2.1.3 Exception—Nondiscriminatory Housing Policies.** The prohibition against the use of athletics dormitories or blocks does not apply when the institution demonstrates that its housing assignment policies do not differentiate between student-athletes and students generally. (*Adopted:* 1/16/93 effective 8/1/96)
- **16.5.2.2 Nutritional Supplements.** An institution may provide only nonmuscle-building nutritional supplements to a student-athlete at any time for the purpose of providing additional calories and electrolytes, provided the supplements do not contain any NCAA banned substances. Permissible muscle-building nutritional supplements are identified according to the following classes: carbohydrate/electrolyte drinks, energy bars, carbohydrate boosters and vitamins and minerals. (*Adopted: 4/27/00 effective 8/1/00*)

### 16.6 EXPENSES FOR STUDENT-ATHLETE'S FRIENDS AND RELATIVES

### 16.6.1 Permissible

- **16.6.1.1 Expenses for Spouse/Children to Postseason Football Game.** The institution may provide the cost of actual and necessary expenses (e.g., transportation, lodging, meals and expenses associated with team entertainment functions) for the spouse and children of an eligible student-athlete to accompany the student-athlete to a certified postseason football game or an NCAA championship in the sport of football.
- **16.6.1.2** Expenses for Spouse/Children to NCAA Championship. An institution may provide the cost of actual and necessary expenses (e.g., transportation, lodging, meals and expenses associated with team entertainment functions) for the spouse and children of an eligible student-athlete to accompany the student-athlete to one round (conducted at one site) of any NCAA championship in which the student-athlete is a participant. (*Adopted:* 1/14/97 effective 8/1/97)
- **16.6.1.3 Family Lodging at Postseason Events.** An institution may reserve or secure lodging at any postseason event (other than a conference event) at a reduced or special rate for the parents (or legal guardians) and immediate family of a student-athlete who is a participant. It is not permissible for an institution to cover any portion of the cost of lodging, including any cost associated with reserving or securing lodging. (Adopted: 10/28/99)
- **16.6.1.4 Life-Threatening Injury or Illness.** The institution may pay transportation, housing and meal expenses for parents (or legal guardians) and the spouse of a student-athlete and for the student-athlete's teammates to be present in situations in which a student-athlete suffers a life-threatening injury or illness, or, in the event of a student-athlete's death, to provide these expenses in conjunction with funeral arrangements. (*Revised:* 1/11/89)
  - **16.6.1.4.1 Immediate Family Member of Student-Athlete.** An institution may pay transportation, housing and meal expenses for a student-athlete and the student-athlete's teammates to be present, within a 100-mile radius of the institution's campus, in situations in which an immediate family member of the student-athlete (i.e., spouse, parent and legal guardian, sister, brother) suffers a life-threatening injury or illness or, in the event of an immediate family member's death, to provide the student-athlete and the student-athlete's teammates with expenses in conjunction with funeral arrangements. A preexisting relationship must exist between the student-athlete's teammates and the deceased or injured individual. (*Adopted: 1/10/95 effective 8/1/95*)
- **16.6.1.5 Family to Established Recognition Event.** An outside organization (other than a professional sports organization) may provide actual and necessary expenses for the student-athlete and the student-athlete's spouse, parents or other relatives to attend a recognition event where the student-athlete receives an established regional, national or international award (permitted by NCAA legislation) for his or her accomplishments as an athlete.

## Expenses for Friends and Relatives/16.6.1.6—Team Entertainment/16.7.2.1

- **16.6.1.6 Family Travel to Olympic Games.** A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for a student-athlete's spouse, parents, legal guardians or other relatives to attend the Olympic Games in which the student-athlete will participate. (*Adopted: 1/11/94*)
- **16.6.1.7 Educational Meetings—Reasonable Refreshments.** An institution may provide the parents (or legal guardians) of a student-athlete with reasonable refreshments (e.g., soft drinks, snacks) when attending student-athlete educational events. ( $Adopted: 4/27/00 \ effective \ 8/1/00$ )

### 16.6.2 Nonpermissible

- **16.6.2.1** Expenses for Visits by Friends and Relatives. An institution or any of its athletics representatives may not provide payment of any expenses (e.g., room, board, transportation) for friends or relatives to visit a student-athlete at the institution where he or she is enrolled.
- **16.6.2.2 Expenses for Friends and Relatives to Attend Recognition Events.** An institution or any of its athletics representatives may not provide the friends or relatives of student-athletes free admissions or meals in conjunction with the institution's or booster club's recognition banquet. (Adopted: 1/10/91)
- **16.6.2.3** Expenses for Spouse to Attend All-Star Game. An institution or any of its athletics representatives may not provide payment of expenses for the student-athlete's spouse to accompany him or her to a postseason all-star football or basketball game. Provision of such expenses is considered an extra benefit to the relative of a student-athlete that is not available to the relatives of the general student body.
- **16.6.2.4 Other Expenses.** An institution may not provide any other expenses (except as permitted in Bylaws 16.6.1 and 16.13) to a student-athlete's friends or relatives.
- **16.6.2.5 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 16.6.2 in which the value of the benefit received by a student-athlete's friend or relative is \$25 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the student-athlete repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the student-athlete's repayment shall be forwarded to the enforcement staff. (*Adopted: 4/20/99 effective 8/1/99*)

### **16.7 TEAM ENTERTAINMENT**

- **16.7.1 Away-from-Home Contests**. The institution may pay the actual costs (but may not provide cash) for reasonable entertainment that takes place within a 100-mile radius of where a team plays or practices in connection with an away-from-home contest or en route to or from such a contest. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93, 1/11/94)
  - **16.7.1.1 Professional Sports Tickets.** Complimentary tickets to professional sports contests shall not be provided to student-athletes, unless the tickets are provided by the institution (or by a representative of athletics interests through the institution) for entertainment purposes to student-athletes involved in an away-from-home contest. Under the latter circumstances, the institution may pay actual costs for reasonable entertainment that takes place during a team trip.
- **16.7.2 Departure/Return Restrictions.** It is not permissible for a team to depart more than 48 hours prior to or to remain more than 36 hours after an event or to transport the team to another area for entertainment purposes.
  - **16.7.2.1 Exceptions.** The time limitations related to the provisions of travel expenses do not apply in the following circumstances: (*Revised: 1/10/91 effective 8/1/91, Revised: ?/??/99*)
  - (a) Travel prior to and following contests in Hawaii or Alaska; (Revised: 1/10/91 effective 8/1/91)
  - (b) Travel prior to and following contests in the 48 contiguous states for member institutions located in Hawaii and Alaska; (Adopted: 1/16/93)
  - (c) Travel prior to and following regular-season competition that takes place during the institution's official vacation period during the academic year; (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95)
  - (d) Travel prior to contests in NCAA championship events, NGB championship events in an emerging sport or certified postseason football games; (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97)
  - (e) Travel prior to the National Football Foundation Hall of Fame benefit game or the American Football Coaches Retirement Trust benefit game; (Adopted: 1/10/92)

# Team Entertainment/16.7.2.1—Practice and Competition Expenses/16.8.1.2.1

- (f) Travel prior to and following regular-season competition that takes place during the institution's summer-vacation period;
- (g) Travel prior to and following regular-season competition that takes place in one or more foreign countries on one trip during the prescribed playing season and limited to not more than once every four years; or
- (h) Travel prior to the United States Gymnastics Federation (USGF) collegiate championships.
- **16.7.3 Films/Movies/Videotapes.** The institution may rent a film or movie, provide pay-per-view movies in the student-athletes' hotel rooms or take its intercollegiate team to the movies in conjunction with the student-athletes' home or away-from-home contest (immediately before or during the road trip), the night before a contest without the film or movie being considered an extra benefit not available to the student body. Such entertainment the night before a contest is considered a benefit incidental to the student-athlete's participation. (Adopted: 1/10/92)
- **16.7.4 Practice Sites on Road Trips.** Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites. It is not permissible for an institution to schedule practice sessions at other locations in order to provide entertainment opportunities for team members.

# 16.8 EXPENSES PROVIDED BY THE INSTITUTION FOR PRACTICE AND COMPETITION

- **16.8.1 Permissible.** The permissible expenses for practice and competition that an institution may provide a student-athlete are defined in the following subsections. (See Bylaw 16.11 for expenses that may be provided by individuals or organizations other than the institution.)
  - **16.8.1.1 Practice.** Expenses may be paid for practice sessions only if they are associated with an away-from-home contest or conducted at a site located within the member institution's state or, if outside that state, no more than 100 miles from the institution's campus.
    - **16.8.1.1.1 Practice Sites on Road Trips.** Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites. It is not permissible for an institution to schedule practice sessions at other locations in order to provide entertainment opportunities for team members.
    - **16.8.1.1.2 Skiing—Weather Exception**. In the sports of men's and women's skiing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. (*Adopted: 1/11/89*)
    - **16.8.1.1.3 Women's Rowing—Weather Exception**. In the sport of women's rowing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. If such practice activities are conducted during an institution's academic term, the practice activities shall occur only at a site located within the member institution's state or, if outside the state, no more than 200 miles from the institution's campus. All practice activities must be within the institution's declared playing and practice season in the sport of women's rowing. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/27/98)
    - **16.8.1.1.4 On- or Off-Campus Practice Sites.** An institution may transport student-athletes from a central on-campus site (e.g., the locker room) to an on- or off-campus practice site. (Adopted: 1/10/92)
  - **16.8.1.2 Competition While Representing Institution.** An institution may provide actual and necessary travel expenses (e.g., transportation, lodging and meals) to a student-athlete for participation in athletics competition, provided the student-athlete is representing the institution (competes in the uniform of the institution) and is eligible for collegiate competition. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the student-athlete's eligibility. Such competition includes: (*Revised: 1/10/92, 10/28/97*)
  - (a) Regularly scheduled intercollegiate athletics events;
  - (b) NCAA championship events and NGB championship events in an emerging sport; (Revised: 1/14/97)
  - (c) A certified postseason football game (see Bylaws 18.7 and 30.9 for conditions required for certification);
  - (d) Noncollegiate open, amateur competition; and (Adopted: 1/10/92)
  - (e) Other institutional competition permissible under NCAA legislation, including postseason events.
    - **16.8.1.2.1 Departure/Return Expense Restrictions.** An eligible student-athlete may receive actual and necessary travel expenses to represent the institution in athletics competition, provided the

# Practice and Competition Expenses/16.8.1.2.1—16.8.1.4.1.1

student-athlete departs for the competition no earlier than 48 hours prior to the start of the actual competition and remains no more than 36 hours following the conclusion of the actual competition even if the student-athlete does not return with the team. (Revised: 1/10/91 effective 8/1/91)

- **16.8.1.2.1.1 Exceptions.** These travel expense restrictions do not apply in the following circumstances: (*Revised:* 1/10/91 effective 8/1/91)
- (a) Travel prior to and following contests in Hawaii or Alaska; (Revised: 1/10/91 effective 8/1/91)
- (b) Travel prior to and following contests in the 48 contiguous states for member institutions located in Hawaii or Alaska; (Adopted: 1/16/93)
- (c) Travel prior to and following regular-season competition that takes place during the institution's official vacation period during the academic year; (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95)
- (d) Travel prior to contests in NCAA championship events, NGB championships in emerging sports or certified postseason football games; (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97)
- (e) Travel prior to the National Football Foundation Hall of Fame benefit game or the American Football Coaches Retirement Trust benefit game; (Adopted: 1/10/92)
- (f) Travel prior to and following regular-season competition that takes place during the institution's summer-vacation period; (Adopted: 1/10/92)
- (g) Travel prior to and following regular-season competition that takes place in one or more foreign countries on one trip during the prescribed playing season and limited to not more than once every four years; or (Adopted: 1/10/92)
- (h) Travel prior to the United States Gymnastics Federation (USGF) collegiate championships. (Adopted: 1/16/93)
- **16.8.1.2.2 Transportation for Competition Occurring between Terms.** It is permissible for any athletics department staff member to furnish transportation to eligible student-athletes to the campus from the nearest bus or train station or major airport in conjunction with practice and/or team travel relating to competition that occurs between the end of a final examination period of the fall semester (or fall or winter quarter) and the beginning of the following regular term. ( $Adopted: 1/10/90 \ effective \ 8/1/90$ )
- **16.8.1.2.3 Meal-Allowance Limitation.** All student-athletes on the same team must receive identical meal allowances on intercollegiate trips and during vacation periods when student-athletes are required to remain on the institution's campus for organized practice sessions or competition. Such allowances may not exceed the amount provided by the institution to institutional staff members on away-from-campus trips and may not be provided for a particular meal if the student-athlete receives that meal (or its equivalent) from another source. (*Revised: 1/14/97 effective 8/1/97*)
- **16.8.1.3 Other Competition.** During an academic year in which a student-athlete is eligible to represent an institution in athletics competition (or in the following summer), an institution may provide actual and necessary expenses related to participation in the following activities: (*Revised: 1/10/92, 1/14/97*)
- (a) Established national championship events (including junior national championships);
- (b) Olympic, Pan American, World Championships, World Cup and World University Games qualifying competition; and (Revised: 1/9/96 effective 8/1/96)
- (c) USOC Olympic Festival basketball and volleyball tryouts.

### 16.8.1.4 Travel to Regular-Season Contests during Vacation Period

- **16.8.1.4.1 General Rule.** An institution may provide team transportation for a student-athlete to travel from campus to the site of a regular-season contest and back to campus. (*Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/9/96 effective 8/1/96*)
  - **16.8.1.4.1.1 Exception.** If a student-athlete travels to a site other than the event site during the vacation period, the institution may provide the cost of round-trip transportation for the student-athlete to travel from campus to the event site and back to campus even if the student-athlete does not travel with the team. The student-athlete shall pay only the additional cost associated with traveling to a site other than the event site. (Adopted: 1/9/96 effective 8/1/96)

- 16.8.1.5 Travel to NCAA Championships, NGB Championships in Emerging Sports and Postseason Bowl Games during Vacation Period (Revised: 1/14/97)
  - **16.8.1.5.1 General Rule.** The institution may provide team transportation for a student-athlete to travel from campus to the site of an NCAA championship, NGB championship in an emerging sport or a postseason bowl game and back to campus. (Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/14/97)

## 16.8.1.5.1.1 Exceptions

- (a) **Student-Athlete Does Not Use Team Transportation.** If the student-athlete goes home during the vacation period, the institution may provide (in lieu of team transportation) the greater of the transportation costs for the student-athlete to travel from:
  - (1) Campus to the event site and back to campus;
  - (2) Campus to the student-athlete's home and back to campus; or
  - (3) The student-athlete's home to the event site and back home. (Revised: 1/11/89, 1/10/95)
- (b) **Student-Athlete Uses "Leg" of Team Transportation.** An institution that provides one "leg" of actual team transportation (i.e., campus to the event site or from the event site back to campus) must deduct the value of the actual transportation cost of that "leg" from the allowance provided the student-athlete in (a) above. (*Adopted: 1/10/95*)
- (c) **Second Trip Home.** If a student-athlete either uses team transportation to travel from campus to the event site and back to campus, or participates in an event that takes place in the institution's home community, and also has personally paid for a round-trip ticket home during that same vacation period, that student-athlete may be provided actual transportation expenses to travel between campus and home on a second occasion during that same period. (*Adopted: 1/10/95*)
- **16.8.1.6** Incidental Expenses at NCAA Championships, NGB Championships in Emerging Sports and Certified Bowl Games. An institution may provide \$20 per day to each member of the squad to cover unitemized incidental expenses during travel and practice for NCAA championship events or NGB championship events in emerging sports, during a period limited to the maximum number of days of per diem allowed for the involved championship, or, for certified postseason bowl contests, for a period not to exceed 10 days. The \$20 per day may be provided only after the institution's team departs for or reports to the site of the championship or postseason bowl contest. (Revised: 1/16/93, 1/10/95, 1/14/97)
  - **16.8.1.6.1 Excessive Per Diem Subsidies.** When a sponsoring agency provides a per diem in excess of a student-athlete's actual and necessary expenses, it is not permissible for the institution to provide the student-athlete with the cash difference between the amount allocated for per diem by the sponsoring agency and the actual cost of the individual's room and board expenses. (Adopted: 1/10/91)
- **16.8.1.7 Sports Organization Membership Fee.** An institution may provide a student-athlete membership in a sports organization (e.g., United States Volleyball Association, United States Gymnastics Federation) if the membership is a component of an entry fee required for competition in which the student-athlete is representing the member institution. Further, the student-athlete would be permitted to retain resultant membership benefits under such circumstances.

### 16.8.2 Nonpermissible

- **16.8.2.1 Travel Apparel.** An institution may not provide to student-athletes team travel outfits, blazers or other items of clothing that are not sports-related practice or competition apparel.
- **16.8.2.2 Transportation to/from Student-Athlete's Residence.** An institution may not provide transportation (e.g., shuttle, van) to on-campus practice sites for student-athletes traveling to and from their on- or off-campus residences, except in unusual situations involving danger, inclement weather or other similar extenuating circumstances. (Adopted: 1/10/92)
- **16.8.2.3 Reimbursement for Travel to Practice.** An institution may not reimburse a student-athlete if the individual provides his or her own transportation to attend practice at an on- or off-campus site. (Adopted: 1/10/92)
- **16.8.2.4 Reimbursement for Travel to Competition.** An institution may not reimburse a student-athlete for expenses incurred while driving to an institution's off-campus competition site if the parents (or other relatives or friends) accompany the student-athlete to the competition site. (Adopted: 1/10/92)
- 16.8.2.5 Eligibility Ramifications—Restitution for Receipt of Improper Benefits. For violations of

# Practice and Competition/16.8.2.5—Other Travel Expenses/16.10.1.8

Bylaw 16.8.2 in which the value of the benefit is \$25 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the student-athlete repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the student-athlete's repayment shall be forwarded to the enforcement staff. (Adopted: 4/20/99 effective 8/1/99)

### **16.9 FOREIGN-TOUR EXPENSES**

- **16.9.1 Per Diem.** An institution may provide a student-athlete \$20 cash per day to cover unitemized incidental expenses incurred in connection with a foreign tour in his or her particular sport. This expense allowance may be provided for each day of the tour, to a maximum of 21 days. (Revised: 1/9/96 effective 8/1/96)
- **16.9.2 Post-Tour Stay.** An institution may not provide transportation expenses to return home for a student-athlete who remains in the foreign country after the foreign tour is completed.
- **16.9.3 Passports.** An institution may purchase passports for its student-athletes that are required for travel in connection with a foreign tour, and student-athletes may retain ownership of such passports. The institution also may provide student-athletes with reasonable local transportation to obtain such passports. (*Revised:* 1/10/95)

### 16.10 OTHER TRAVEL EXPENSES PROVIDED BY THE INSTITUTION

#### 16.10.1 Permissible

- **16.10.1.1 Awards or Recognition Meetings.** An institution or representatives of its athletics interests (through the institution) may provide actual and necessary expenses to transport a team to awards or recognition meetings specified in Bylaws 16.1.6, 16.1.7.3 and 16.1.7.4.
- **16.10.1.2 Goodwill Tours.** The institution may pay the actual and necessary expenses for a student-athlete to participate in a preseason goodwill tour to promote its intercollegiate athletics program, provided the tour does not involve more than two student-athletes in the same sport who have eligibility remaining and is confined to the state in which the institution is located, or within 100 miles of the institution's main campus, if out of state.
- **16.10.1.3 Local Media Appearances.** The institution may provide actual and necessary transportation expenses for local media appearances (e.g., radio, television, print media) within a 30-mile radius of the institution's campus if the student-athlete's appearance is related to athletics ability or prestige.
- **16.10.1.4 Medical Treatment.** The institution may pay transportation and related expenses for travel to the location of medical treatment as specified in Bylaw 16.4.1.
- **16.10.1.5 Life-Threatening Injury or Illness.** The institution may pay transportation and housing expenses for the spouse and the parents (or legal guardians) of a student-athlete and for the student-athlete's teammates to be present in situations in which a student-athlete suffers a life-threatening injury or illness, or, in the event of a student-athlete's death, to provide these expenses in conjunction with funeral arrangements. (*Revised:* 1/11/89)
  - **16.10.1.5.1 Immediate Family Member of Student-Athlete.** An institution may pay transportation, housing and meal expenses for a student-athlete and the student-athlete's teammates to be present, within a 100-mile radius of the institution's campus, in situations in which an immediate family member of the student-athlete (i.e., spouse, parent and legal guardian, sister, brother) suffers a life-threatening injury or illness or, in the event of an immediate family member's death, to provide the student-athlete and the student-athlete's teammates with expenses in conjunction with funeral arrangements. A preexisting relationship must exist between the student-athlete's teammates and the deceased or injured individual. (*Adopted: 1/10/95 effective 8/1/95*)
- **16.10.1.6 Media Days.** The institution may pay actual and necessary expenses for its student-athletes to attend conference-sponsored media days and regularly established local or regional media functions.
- **16.10.1.7 Promotional, Educational, Charitable or Nonprofit Activities.** The institution may provide a student-athlete legitimate and normal expenses related to participation in activities set forth in Bylaw 12.5, provided such participation occurs within the state or, if outside the state, within a 100-mile radius of the institution's campus. (*Revised:* 1/11/89)
- **16.10.1.8 National Girls and Women in Sports Day.** An institution may pay actual and necessary expenses for a student-athlete to participate in activities and events associated with National Girls and Women in Sports Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington, D.C., as part of a national celebration. (*Adopted: 1/16/93*)

# Other Travel Expenses/16.10.1.9—Expenses Provided by Others/16.11.1.1.1

- **16.10.1.9 National Student-Athlete Day.** An institution may pay actual and necessary expenses for a student-athlete to participate in activities and events associated with National Student-Athlete Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington D.C., as part of a national celebration. (Adopted: 1/14/97 effective 8/1/97)
- **16.10.1.10 Student-Athlete Advisory Committee Meetings.** An institution or conference may pay actual and necessary expenses for a student-athlete to attend conference, regional or national student-athlete advisory committee meetings. (*Adopted: 1/11/94*)
- **16.10.1.11 Local Transportation.** Staff members may provide reasonable local transportation to student-athletes on an occasional basis. (*Adopted:* 1/10/95)

### 16.10.2 Nonpermissible

- **16.10.2.1 Automobile.** An institution may not provide the student-athlete with an automobile, under any circumstances.
- **16.10.2.2 Returning Home to Receive Award.** An institution may not pay the expenses of any student-athlete returning home to receive an award for athletics accomplishments or for other personal purposes.
- **16.10.2.3 Summer Job.** An institution may not pay a student-athlete's transportation expenses to or from his or her summer job, unless such expenses are paid for all employees in that situation. (See Bylaw 13.13.2.1.1 for additional restrictions governing the employment of student-athletes by camps or clinics.) (*Revised:* 1/10/92)
- **16.10.2.4 Outside Event.** An institution may not pay transportation or other expenses for a student-athlete to attend any athletics event when he or she is not representing the institution, except for established national championships (including junior national championships); Olympic, Pan American, World Championships, World Cup and World University Games qualifying competition. (*Revised:* 1/11/89, 1/9/96 effective 8/1/96)
- **16.10.2.5** Fellowship of Christian Athletes. An institution may not pay transportation or other expenses for a student-athlete to attend Fellowship of Christian Athletes encampments (see Bylaw 16.11.1.3).
- **16.10.2.6 Single-Game Promotional Media Events.** An institution may not pay transportation or other expenses for a student-athlete to attend special or single-game promotional media events that are not regularly established local media functions.
- **16.10.2.7 Reimbursement for Transportation.** An institution or its staff member may not provide transportation (e.g., a ride home with a coach) to an enrolled student-athlete even if the student-athlete reimburses the institution or its staff member for the appropriate amount of the gas expense.
- **16.10.2.8 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 16.10.2 in which the value of the benefit is \$25 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the student-athlete repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the student-athlete's repayment shall be forwarded to the enforcement staff. (Adopted: 4/20/99 effective 8/1/99)

# 16.11 PROVISION OF EXPENSES BY INDIVIDUALS OR ORGANIZATIONS OTHER THAN THE INSTITUTION

### 16.11.1 Permissible

- **16.11.1.1 Broken-Time Payments.** The student-athlete may receive compensation authorized by the United States Olympic Committee to cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation (i.e., "broken-time" payments) is limited to the period immediately preceding and including actual Olympic competition. The receipt of payments during any other period, or from other sports governing bodies (e.g., United States Ski Association) independent of the USOC, would jeopardize the student-athlete's eligibility.
  - **16.11.1.1.1 Exception When Student-Athlete Not Enrolled in Regular Term.** A student-athlete may receive broken-time payments administered by the United States Olympic Committee or the national governing body in the sport during a period when the student-athlete is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers no more than the period from the date the student-ath-

# Expenses Provided by Others/16.11.1.1.—16.11.2.4

- lete begins practice with the national team following selection to that team to one week after the conclusion of the competition. (Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/96)
- **16.11.1.2** Exception for USOC Elite Athlete Health Insurance Program. An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program. (Adopted: 1/10/90)
- **16.11.1.3 FCA/AIA Encampments.** Nationally recognized service organizations and church groups (including the Fellowship of Christian Athletes and Athletes in Action) may underwrite the actual and necessary expenses of student-athletes attending Fellowship of Christian Athletes or Athletes in Action encampments. Neither the institution nor an athletically related organization may underwrite such expenses. (*Revised:* 4/27/00 effective 8/1/00)
- **16.11.1.4 Outside Sports Teams.** An amateur outside sports team or organization may provide actual and necessary expenses to team members only if the expenses are:
- (a) A reasonable amount for travel and meal expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.12.2.3); (Revised: 1/10/90)
- (b) For practice and game competition;
- (c) Made on a regular basis; and
- (d) Not an incentive and not based on performance.
  - **16.11.1.4.1 Practice in Conjunction with Competition.** Practice expenses may be accepted only when such practice is directly related to a competition and is conducted during a continuous time period preceding the competition. (*Adopted:* 1/10/92)
    - **16.11.1.4.1.1 National Team Practice Exception.** If a student-athlete is involved in practice sessions conducted by a national team, the student-athlete may receive such practice expenses even if the practice is not continuous and occasionally is interrupted for specified periods of time preceding the competition. (Adopted: 1/10/92)
- **16.11.1.5 Student-Teaching.** A student-athlete may accept actual and necessary travel expenses from a high school where he or she is student-teaching (even if teaching or coaching a sport) if the high school is located in a city other than the one in which the collegiate institution is located. In order for the student-athlete to accept such expenses:
- (a) Receipt of the expenses must be permitted by the established guidelines of the institution for other student-teacher trainees;
- (b) The assigned coaching responsibilities must be a part of the supervised, evaluated teacher-training program in which the student-athlete is enrolled; and
- (c) The high school must provide such expenses for all of its student-teacher trainees.
- **16.11.1.6 Luncheon Meeting Expenses.** A student-athlete may accept transportation and meal expenses in conjunction with participation in a luncheon meeting of a booster club or civic organization, provided the meeting occurs within a 30-mile radius of the institution's main campus and no tangible award is provided to the student-athlete.
- **16.11.1.7 Charitable, Educational or Nonprofit Activities.** A student-athlete may accept legitimate and normal expenses from a charitable or educational agency for participation in activities set forth in Bylaw 12.5, provided such participation occurs within the state or, if outside the state, within a 100-mile radius of the institution's campus (see also Bylaw 12.5.1.1.1). (*Revised: 1/11/89*)
- 16.11.1.8 Recognition by Professional Sports Organization. A student-athlete may accept complimentary admission to a professional sports contest during which the student-athlete and/or collegiate team is being recognized by the professional sports organization for extraordinary achievements. Further, it shall be permissible for the professional sports organization to promote this event to the general public. ( $Adopted: 1/9/96\ effective\ 8/1/96$ )

### 16.11.2 Nonpermissible

- **16.11.2.1 Unitemized Expenses.** A student-athlete may not accept money for unspecified or unitemized expenses from any organization or individual.
- **16.11.2.2 Prohibited Expenses.** A student-athlete may not accept money for expenses that are prohibited by the rules governing an amateur noncollegiate event in which the individual participates.
- **16.11.2.3 Broken-Time Payments.** The receipt by a student-athlete of compensation for a financial loss resulting from absence from employment (i.e., "broken-time" payments), except as permitted in Bylaws 12.4.2.2, 16.11.1.1 and 16.11.1.1.1, is prohibited. (*Revised: 1/10/90*)
- 16.11.2.4 Sponsors. An individual (e.g., tennis player or golfer) who is not representing an educational

# Expenses Provided by Others/16.11.2.4—Benefits, Gifts and Services/16.12.1.6

institution during participation in athletics competition may not accept any expenses, or any other form of compensation, to participate in the competition from any sponsor other than an individual upon whom the athlete is naturally or legally dependent or the organization (other than a professional sports organization) that is sponsoring the competition.

- **16.11.2.5** Expenses Based on Place Finish. Receipt of expenses is prohibited when the amount received is based on the individual's place finish achieved in the competition (e.g., tennis, golf, track and field).
- **16.11.2.6 FCA Encampments.** A student-athlete may not accept expenses from any athletically related organization to attend Fellowship of Christian Athletes encampments. Nationally recognized service organizations and church groups (including the Fellowship of Christian Athletes) may underwrite the actual and necessary expenses for such attendance.
- **16.11.2.7 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 16.11.2 in which the value of the benefit is \$25 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the student-athlete repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the student-athlete's repayment shall be forwarded to the enforcement staff. (Adopted: 4/20/99 effective 8/1/99)

# **16.12 BENEFITS, GIFTS AND SERVICES**

### 16.12.1 Permissible

- **16.12.1.1 General Rule.** Receipt of a benefit (including otherwise prohibited extra benefits per Bylaw 16.12.2) by student-athletes, their relatives or friends is not a violation of NCAA rules if it is demonstrated that the same benefit is generally available to the institution's students and their relatives or friends.
- **16.12.1.2 Deferred Pay-Back Loan.** A student-athlete may receive a loan on a deferred pay-back basis without jeopardizing his or her eligibility, provided: (*Adopted:* 1/11/94)
- (a) The loan arrangements are not contrary to the extra-benefit rule, and
- (b) The student-athlete's athletics reputation, skill or pay-back potential as a future professional athlete is not considered by the lending agency in its decision to provide the loan.
- **16.12.1.3 Loan from Established Family Friend.** A student-athlete may receive a loan from an established family friend without such arrangement constituting an extra benefit, provided: (*Adopted: 1/11/94*)
- (a) The loan is not offered to the student-athlete based in any degree on his or her athletics ability or reputation;
- (b) The individual providing the loan is not considered a representative of the institution's athletics interests; and
- (c) The relationship between the individual providing the loan and the student-athlete existed prior to the initiation of the student-athlete's recruitment by the member institution.
- **16.12.1.4 Disabling-Injury Insurance.** A student-athlete may borrow against his or her future earnings potential from an established, accredited commercial lending institution, exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury that would prevent the individual from pursuing his or her chosen career, provided a third party (including a member institution's athletics department staff members or representatives of its athletics interests) is not involved in arrangements for securing the loan. The student-athlete shall report any such transactions to the member institution and shall file copies of any loan documents associated with disability insurance and insurance policy with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. (*Revised: 1/14/97 effective 8/1/97*)
- **16.12.1.5 Promotional Activity Prize.** A student-athlete from a member institution, selected through a random drawing open to the general public or the student body, may receive a prize as part of a promotional activity conducted by a member institution or held on a member institution's campus.
  - **16.12.1.5.1 Use of Athletics Ability.** Use of athletics ability (e.g., making a half-court basketball shot) to win such a prize in the promotional contest or activity does not affect a student-athlete's eligibility. (Revised: 1/10/95, 1/9/96 effective 8/1/96)
- 16.12.1.6 Occasional Meals. A student-athlete or the entire team in a sport may receive an occasion-

### Benefits, Gifts and Services/16.12.1.6—16.12.2.3

- al family home meal from an institutional staff member or representative of athletics interests under the following conditions: (*Revised:* 1/10/92)
- (a) The meal must be provided in an individual's home (as opposed to a restaurant) and may be catered; (Adopted: 1/10/92)
- (b) Meals must be restricted to infrequent and special occasions; and (Adopted: 1/10/92)
- (c) Institutional staff members may provide reasonable local transportation to student-athletes to attend such meals. A representative of the institution's athletics interests may provide reasonable local transportation to student-athletes to attend the meal function only if the meal function is at the home of that representative. (Adopted: 1/10/92, Revised: 1/10/95)
- **16.12.1.7 Local Transportation.** Staff members may provide reasonable local transportation to student-athletes on an occasional basis. (Adopted: 1/10/95)
- **16.12.1.8 Retention of Athletics Apparel and Equipment.** A student-athlete may retain athletics apparel items (not equipment) at the end of the individual's collegiate participation. Used equipment may be purchased by the student-athlete on the same cost basis as by any other individual interested in purchasing such equipment.
- **16.12.1.9 Summer Use of Athletics Equipment.** A student-athlete may retain and use institutional athletics equipment (per the institution's normal equipment policy) during a summer vacation period.
- **16.12.1.10 Student Orientation Sessions.** A member institution may pay on-campus expenses (e.g., meals, lodging) for student-athletes to attend institutional orientation sessions conducted for all students. However, an institution may provide on-campus expenses to student-athletes to attend orientation sessions for a particular group of students selected on a basis unrelated to athletics ability only if the institution is providing expenses on a uniform basis to all members of the particular group. (Adopted: 1/10/95, Revised: 1/9/96)
- **16.12.1.11 Incidental Benefits—Reasonable Refreshments.** An institution may provide student-athletes with reasonable refreshments (e.g., soft drinks, snacks) for student-athlete educational and business meetings and, on an occasional basis, for celebratory events (e.g., birthdays). (*Adopted: 10/28/99*)
- **16.12.1.12 NCAA Research Studies.** A student-athlete may receive compensation from the Association for participating in specified NCAA research studies. Such compensation shall be consistent with the going rate for compensation offered in studies involving nonathlete populations. (*Adopted:* 10/28/99 effective 8/1/00)

### 16.12.2 Nonpermissible

- **16.12.2.1 General Rule.** The student-athlete shall not receive any extra benefit. The term "extra benefit" refers to any special arrangement by an institutional employee or representative of the institution's athletics interests to provide the student-athlete or his or her relatives or friends with a benefit not expressly authorized by NCAA legislation.
- **16.12.2.2 Discounts and Credits.** A student-athlete may not receive a special discount, payment arrangement or credit on a purchase (e.g., airline ticket, clothing) or a service (e.g., laundry, dry cleaning) from an institutional employee or a representative of its athletics interests.
  - **16.12.2.2.1 Free or Reduced-Cost Services.** An athletics representative may not provide a student-athlete with professional services (for which a fee normally would be charged) without charge or at a reduced cost except as permitted elsewhere in this bylaw. Professional services provided at less than the normal rate or at no expense to a student-athlete are considered an extra benefit unless they are available on the same basis to the general student body.
  - **16.12.2.2.2 Telephones and Credit Cards.** It is not permissible to allow a student-athlete to use a telephone or credit card for personal reasons without charge or at a reduced cost.
  - **16.12.2.2.3 Entertainment Services.** A student-athlete may not receive services (e.g., movie tickets, dinners, use of car) from commercial agencies (e.g., movie theaters, restaurants, car dealers) without charge or at reduced rates, or free or reduced-cost admission to professional athletics contests from professional sports organizations, unless such services also are available to the student body in general.
- **16.12.2.3 Other Prohibited Benefits.** An institutional employee or representative of the institution's athletics interests may not provide a student-athlete with extra benefits or services, including, but not limited to:
- (a) A loan of money;
- (b) A guarantee of bond;
- (c) The use of an automobile; or

- (d) Signing or cosigning a note with an outside agency to arrange a loan.
- **16.12.2.4 Preferential Treatment.** A student-athlete may not receive preferential treatment, benefits or services for his or her athletics reputation or skill or pay-back potential as a future professional athlete (see Bylaw 16.12.1.4 for disabling-injury insurance exception). (*Revised:* 1/11/94)
- **16.12.2.5 Camp Concession.** It is not permissible for a member institution or a member of its athletics department staff conducting a sports camp to permit a student-athlete (enrolled in the institution) to operate, at the student-athlete's own expense, a concession selling items related to or associated with the camp to campers or others in attendance (see also Bylaw 13.13.1.5.3.2).
- **16.12.2.6 Athletics Equipment.** A student-athlete may not accept athletics equipment, supplies or clothing (e.g., tennis racquets, golf clubs, hockey sticks, balls, shirts) from a manufacturer or commercial enterprise. Such items may be provided to the student-athlete's institution, to be utilized by the institution's team in accordance with accepted practices for issuance and retrieval of athletics equipment.
- **16.12.2.7 Sponsor Families.** A member institution shall not permit individuals outside the institution to serve as "sponsors" or "families" for student-athletes who are enrolled in the institution unless such a sponsorship program exists to provide the same benefits and support services to all students at the institution.
- **16.12.2.8 Civic-Group Gifts.** A member institution shall not permit a civic group to provide a student-athlete a trip to a postseason football game or to pay summer-camp expenses for a student-athlete.
- **16.12.2.9 Assistance in Payment of Bills.** A member institution is not permitted to administer a fund for a student-athlete (even if funds are provided by the student-athlete) in order to assist the student-athlete in making payments for various personal expenses (e.g., utility bills, rent, fees and entertainment expenses) unless the institution provides such a service to all students and formal accounting procedures are utilized. (Adopted: 1/10/92)
- **16.12.2.10 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 16.12.2 in which the value of the benefit is \$25 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the student-athlete repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the student-athlete's repayment shall be forwarded to the enforcement staff. (Adopted: 4/20/99 effective 8/1/99)

### **16.13 EXPENSE WAIVERS**

- **16.13.1 Incidental to Participation.** The Management Council, by a two-thirds majority of its members present and voting, or a committee designated by the Management Council, may approve a member institution's request to provide additional expenses, which may include reasonable local transportation incidental to a student-athlete's participation in intercollegiate athletics, when the information presented persuades the Management Council that such a waiver is warranted, because it may be applied on the basis of defined, objective standards; does not create an unfair competitive advantage for the involved institution; would not compromise the intent of the governing legislation; and the request for the waiver is made in a timely manner. (Adopted: 1/10/90, Revised: 1/10/95)
  - **16.13.1.1 Previously Approved Incidental Expenses.** Specific incidental expenses that have been previously approved on a routine basis by the Management Council, or a committee designated by the Management Council, may be processed by an institution or conference. Documentation of each approval shall be kept on file at the conference office. (*Adopted: 10/28/99*)
- **16.13.2 Student-Athletes in Financial Need.** A student-athlete may request additional financial aid (with no obligation to repay such aid) from a fund established pursuant to a special financial need program approved by the Management Council to assist student-athletes with special financial needs. The institution may provide reasonable local transportation in conjunction with financial assistance approved under this program. (*Adopted:* 1/10/91, *Revised:* 1/10/95)

\*

**FIGURE 16-1** Awards Summary

		Shan as	go canninal y		
TYPE OF AWARD	REFERENCE	MAXIMUM VALUE OF AWARD¹	NUMBER OF TIMES AWARD(S) MAY BE RECEIVED	PERMISSIBLE AWARDING AGENCIES	MAXIMUM NUMBER OF PERMISSIBLE AWARDING AGENCIES
ANNUAL PARTICIPATION (e.g., letter awards)	16.1.4.1	\$150, except \$300 for seniors	Once per year per sport	Institution	-
SPECIAL ATTAINMENTS OR CONTRIBU- TIONS TO TEAM'S SEASON (e.g., scholar- athlete, most improved player, most minutes played, most valuable player)	16.1.4.1 16.1.4.1.3 16.1.4.1.4	\$150	Once per category per sport	Institution	-
SPECIAL EVENTS (e.g., featured individual competition, national recognition awards, all-star selection)	16.1.4.2	\$3005	Once per event	Institution, management of event	CV
TROPHY FOR ESTABLISHED NATIONAL AWARD	16.1.4.2.1.1	No limit	Once per year	Management of awards program	-
BOWL GAMES/ALL-STAR GAMES	16.1.4.2.4	\$300	Once per event	Institution, management of event	2
NCAA CHAMPIONSHIPS PARTICIPATION	16.1.4.2.2	No limit	No limit	NCAA	-
		\$300	Once per championship	Institution	<b>-</b>
MOST VALUABLE PLAYER—Special Events, All-Star Games and Bowls³	16.1.4.2.3	008\$	Once per event	Institution, conference and orga- nizations recognized by institu- tion or conference	Unlimited
NATIONAL CHAMPIONSHIP	16.1.4.3	3300	Once per championship	Institution and conference (or organization recognized by institution or conference to act in its place)	2
CONFERENCE CHAMPIONSHIP	16.1.4.4	3300	Once per championship	Institution and conference (or organization recognized by institution or conference to act in its place)	2
SPECIALIZED PERFORMANCE IN SINGLE CONTEST OR LIMITED TIME PERIOD	16.1.4.5	\$75 (plaque, certificate or medal only)	Once per contest or time period	Conference, business firm or other outside agency (other than institution or management of event)	Unlimited
HOMETOWN AWARD	16.1.4.6	\$75	No limit	Hometown group other than booster club of institution	Unlimited
AWARD AT SUMMER EVENTS (athletes not representing institution)	16.1.1.3	AS REGULATED BY / ANY TIME	AMATEUR SPORT ORGANIZ	S REGULATED BY AMATEUR SPORT ORGANIZATION ASSOCIATED WITH THE EVENT, EXCEPT NO CASH AT NY TIME	ENT, EXCEPT NO CASH AT
AWARD RECEIVED PRIOR TO ENROLLMENT	16.1.1.1	AS REGULATED BY / ANY TIME	AMATEUR SPORT ORGANIZ	S REGULATED BY AMATEUR SPORT ORGANIZATION ASSOCIATED WITH THE EVENT, EXCEPT NO CASH AT NY TIME	ENT, EXCEPT NO CASH AT
<sup>1</sup> This represents the value of all awards in a category that may be presented on any single occasion by each permissible awarding agency.	that may be presen	ted on any single occasion	o	A most-valuable-player-award recipient must be selected by a recognized organization approved by a member institution or conference.	recognized organization approved by a

¹ This represents the value of all awards in a category that may be presented on any single occasion by each permissible awarding agency.

□ The combined value of any and all awards received for a special event from the institution and the management of the event may not exceed \$300.

# **Playing and Practice Seasons**

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## 17.01 GENERAL PRINCIPLES

**17.01.1 Institutional Limitations.** A member institution shall limit its organized practice activities, the length of its playing seasons and the number of its regular-season contests and/or dates of competition in all sports, as well as the extent of its participation in noncollegiate-sponsored athletics activities, to minimize interference with the academic programs of its student-athletes (see Figures 17-1 and 17-2).

# 17.02 DEFINITIONS AND APPLICATIONS

**17.02.1 Countable Athletically Related Activities.** The following are considered countable athletically related activities and must be counted in the weekly or daily time limitations specified under Bylaw 17.1.5.1: (*Adopted: 1/10/91 effective 8/1/91*)

- (a) Practice, which is defined as any meeting, activity or instruction involving sports-related information and having an athletics purpose, held for one or more student-athletes at the direction of, or supervised by, any member or members of an institution's coaching staff. Practice is considered to have occurred if one or more coaches and one or more student-athletes engage in any of the following activities:
  - (1) Field, floor or on-court activity;
  - (2) Setting up offensive or defensive alignment;
  - (3) Chalk talk;
  - (4) Lecture on or discussion of strategy related to the sport;
  - (5) Activities using equipment related to the sport;
  - (6) Discussions or review of game films, motion pictures or videotapes related to the sport, except for the observation of an officiating clinic related to playing rules that is conducted by video conference and does not require student-athletes to miss any class time to observe the clinic; or
  - (7) Activities conducted under the guise of physical education class work (e.g., any class composed of or including primarily members of an intercollegiate team on a required attendance basis or where the class utilizes equipment for the sport);
- (b) Competition;
- (c) Required weight-training and conditioning activities held at the direction of or supervised by an institutional staff member;

# Definitions and Applications/17.02.1—17.02.5

- (d) Participation in a physical-fitness class (including a summer class) conducted by a member of the athletics staff, unless such a class is a regular physical education class listed in the institution's catalog and open to all students. Such a class may not include practice activities conducted under the guise of physical education class work; (Adopted: 1/10/95)
- (e) In sports other than football, participation outside the institution's declared playing season in individual skill-related instructional activities with a member of the coaching staff and that occur at the request of the student-athlete (see Bylaw 17.1.5.2.1); (Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- (f) In individual sports, participation outside the institution's declared playing season in individual skill-related instructional activities with a member of the coaching staff, except for individual-workout sessions during the summer that occur at the request of the student-athlete; (Adopted: 1/10/92, Revised: 1/11/94)
- (g) Required participation in camps, clinics or workshops;
- (h) Individual workouts required or supervised by a member of the coaching staff, except for those sports subject to the safety exception. A countable coach may design a voluntary general individual workout program for a student-athlete (as opposed to a specific workout program for specific days) but cannot conduct the individual's workout. It shall be permissible for strength and conditioning coaches, during vacation periods (e.g., summer), to design and conduct specific workout programs for student-athletes, provided such workouts are voluntary and conducted at the request of the student-athlete. Such workouts shall not be considered a countable athletically related activity when conducted by strength and conditioning coaches who are not countable coaches and who perform such duties on a department-wide basis. (Adopted: 1/10/91 effective 8/1/91, Revised 4/27/00)
- (i) On-court or on-field activities called by any member or members of a team and confined primarily to members of that team that are considered as a requisite for participation in that sport (e.g., captain's practices);
- (j) Visiting the competition site in the sports of cross country, golf and skiing; (Adopted: 1/16/93)
- (k) Use of an institution's athletics facilities when such activities are supervised by or held at the direction of any member of an institution's coaching staff. Facilities may be reserved for such use during the academic year. It is not permissible to reserve facilities during the summer, except to participate in permissible individual workouts in individual sports at the request of the student-athlete(s). In the event the use of an institution's facilities is requested by a team composed partly of enrolled student-athletes and partly of individuals not otherwise affiliated with the institution, use of the facilities shall be consistent with the policies established for outside groups generally; and (Revised: 1/10/92, 1/16/93)
- (1) Involvement of an institution's strength and conditioning staff with enrolled student-athletes in required conditioning programs. During the academic year, strength and conditioning personnel may monitor, supervise or assist voluntary individual workouts for safety purposes. If the strength and conditioning coach also is a countable coach, such assistance may be provided only if that strength and conditioning staff member performs such duties on a department-wide basis. During vacation periods (e.g., summer), strength and conditioning coaches who are not countable coaches and who perform such duties on a department-wide basis may design and conduct specific workout programs for student-athletes, provided such workouts are voluntary and conducted at the request of the student-athlete. (Revised: 1/10/92, 4/27/00)
- **17.02.2 Contest.** A contest is any game, match, exhibition, scrimmage or joint practice session with another institution's team, regardless of its formality, in which competition in a sport occurs between an intercollegiate athletics team or individual representing a member institution and any other team or individual not representing the intercollegiate athletics program of the same member institution. (Revised: 1/10/91)
- **17.02.3 Contest, Countable, Institutional.** A countable contest for a member institution, in those sports for which the limitations are based on the number of contests, is any contest by the member institution against an outside team in that sport, unless a specific exemption for a particular contest is set forth in this bylaw. Contests, including scrimmages, by separate squads of the same team against different outside teams shall each count as one contest.
- **17.02.4 Contest, Countable, Individual Student-Athlete.** A countable contest for an individual student-athlete in a sport is any contest in which the student-athlete competes while representing the member institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team of the institution in that sport, unless a specific exemption for a particular contest is set forth in this bylaw.
- **17.02.5 Date of Competition.** A date of competition is a single date on which any game(s), match(es), meet(s), exhibition(s), scrimmage(s) or joint practice session(s) with another institution's team takes place, regardless of its formality, between an intercollegiate athletics team or individual representing a member

institution and any other team or individual not representing the intercollegiate athletics program of the same member institution. (Revised: 1/10/91)

- **17.02.6 Date of Competition, Countable, Institutional.** A countable date of competition for a member institution, in those sports for which the limitations are based on the number of dates of competition, is a single date on which the institution's team in a sport engages in competition in that sport against an outside team, unless a specific exemption for a particular date of competition is set forth in this bylaw.
  - **17.02.6.1 Required Minimum Number of Student-Athletes.** For individual sports, a member institution shall be considered to have participated in competition that constitutes a date of competition if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on that date equals or exceeds the minimum number established for that sport, unless otherwise restricted in this bylaw for a particular sport (e.g., golf, tennis). (See Bylaws 20.9.3.3, 20.10.3.5 and 20.11.3.2 for listings of minimum numbers of student-athletes per sport in each division.)
- **17.02.7 Date of Competition, Countable, Individual Student-Athlete.** A countable date of competition for an individual student-athlete is any date on which a student-athlete competes while representing the institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team, unless a specific exemption for a particular date of competition is set forth in this bylaw.
- **17.02.8 Intercollegiate Competition.** Intercollegiate competition is considered to have occurred when a student-athlete in either a two-year or a four-year collegiate institution does any of the following: (Revised: 1/10/95)
- (a) Represents the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (Revised: 1/10/91)
- (b) Competes in the uniform of the institution, or, during the academic year, utilizes any apparel (excluding apparel no longer utilized by the institution) or equipment received from the institution that includes institutional identification (see Bylaw 16.12.1.9 for regulations governing the use of equipment during the summer); or (Revised: 1/16/93, 1/11/94)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.
  - **17.02.8.1 Exempted Events.** Participation in events listed in Bylaw 16.8.1.3-(a) and (b) is exempted from the application of this legislation. (*Revised:* 1/10/92)
- **17.02.9 Outside Competition.** Outside competition is athletics competition against any other athletics team (including an alumni team) that does not represent the intercollegiate athletics program of the same institution.
- **17.02.10 Outside Team.** An outside team is any team that does not represent the intercollegiate athletics program of the member institution or a team that includes individuals other than eligible student-athletes of the member institution (e.g., members of the coaching staff, ineligible student-athletes, members of the faculty).
- **17.02.11 Practice Opportunities.** In determining the number of practice opportunities to establish the starting date for preseason practice, there shall be counted one for each day beginning with the opening of classes, one for each day classes are not in session in the week of the first scheduled intercollegiate contest and two for each other day in the preseason practice period, except that the institution shall not count any days during the preseason when all institutional dormitories are closed, the institution's team must leave campus, and practice is not conducted. (*Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
  - 17.02.11.1 Sunday. Sundays are excluded from the counting. (Adopted: 1/10/91 effective 8/1/91)
  - **17.02.11.2 Week.** The "week" of the first scheduled intercollegiate contest is defined as the six days, excluding Sunday, preceding the date of competition (even if one or more of the days fall into different traditional calendar weeks). (Adopted: 1/10/91 effective 8/1/91)
  - **17.02.11.3 Opening Day of Classes.** The "opening day of classes" is defined as the first day of classes as listed in the member institution's official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year. (Adopted: 1/10/91 effective 8/1/91)
  - 17.02.11.4 First-Practice Opportunity. The first day of practice may be conducted on the day when the last practice opportunity occurs, which is determined by counting backward from the day of the first permissible regularly scheduled contest. For example, in the sport of football or women's volley-ball, practice may be held on the day that the 29th practice opportunity actually occurs, counting backward from the day of the first permissible regularly scheduled contest. (Adopted: 12/10/97)
- **17.02.12 Varsity Intercollegiate Sport.** A varsity intercollegiate sport is a sport that has been accorded that status by the institution's chief executive officer or committee responsible for intercollegiate athletics policy and that satisfies the following conditions:

# Definitions and Applications/17.02.12—Playing-Season Regulations/17.1.5.1.2

- (a) It is a sport that is administered by the department of intercollegiate athletics;
- (b) It is a sport for which the eligibility of the student-athletes is reviewed and certified by a staff member designated by the institution's chief executive officer or committee responsible for intercollegiate athletics policy; and
- (c) It is a sport in which qualified participants receive the institution's official varsity awards.

**17.02.12.1 Team Sports.** The following are classified as team sports for purposes of this bylaw:

Baseball Soccer Basketball Softball

Field Hockey Synchronized Swimming, Women's

Football Team Handball, Women's

Ice Hockey, Men's and Women's Volleyball

Lacrosse Water Polo, Men's and Women's

Rowing, Women's

#

**17.02.12.2 Individual Sports.** The following are classified as individual sports for purposes of this bylaw:

Archery, Women's Rifle Badminton, Women's Skiing

Bowling, Women's Squash, Women's Cross Country Swimming Equestrian, Women's Tennis

Fencing Track and Field, Indoor and Outdoor

Golf Wrestling

**Gymnastics** 

### 17.1 GENERAL PLAYING-SEASON REGULATIONS

- **17.1.1 Playing Season.** The playing (i.e., practice and competition) season for a particular sport is the period of time between the date of an institution's first officially recognized practice session and the date of the institution's last practice session or date of competition, whichever occurs later. An institution is permitted to conduct officially recognized practice and competition each academic year only during the playing season as regulated for each sport in accordance with the provisions of this bylaw. The institution must conduct the same playing season for varsity and subvarsity teams in the same sport. (*Revised:* 1/10/92)
  - **17.1.1.1 Playing Season—Athletically Related Activities.** The playing (i.e., practice and competition) season for a particular sport is the only time within which a member institution is permitted to conduct countable athletically related activities (see Bylaw 17.02.1) except as set forth in Bylaw 17.1.5.2. (Adopted: 1/10/91 effective 8/1/91)
- **17.1.2 Segments of Playing Season.** For all sports other than football and basketball, each member institution may divide the playing season into not more than two distinct segments. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/14/97 effective 8/1/97)
- **17.1.3 Declaration of Playing Season.** Each member institution shall determine the playing season for each of the sports referenced under Bylaw 17.02.12. Declaration of the institution's playing season in each such sport shall be on file in writing in the department of athletics prior to the beginning of the institution's playing season for that sport. Changes in the declaration for a particular sport are permissible and also shall be filed in writing in the office of the institution's athletics director. (Revised: 1/11/89)
- **17.1.4 Sports Subject to Segment Limitations.** Playing-season-segment limitations are applicable to all team sports that are listed in Bylaw 17.02.12.1 and all individual sports listed under Bylaw 17.02.12.2. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93)
- **17.1.5** Time Limits for Athletically Related Activities. In all sports, the following time limitations shall apply: (*Adopted:* 1/10/91 effective 8/1/91)
  - **17.1.5.1 Daily and Weekly Hour Limitations—Playing Season.** A student-athlete's participation in countable athletically related activities (see Bylaw 17.02.1) shall be limited to a maximum of four hours per day and 20 hours per week. (*Adopted: 1/10/91 effective 8/1/91*)
    - **17.1.5.1.1 Multisport Participant Exception.** For a multisport participant, the daily and weekly hour limitations apply separately to each sport in which the student-athlete is a participant. (*Adopted:* 1/11/94)
    - **17.1.5.1.2 Golf Practice-Round Exception.** A practice round of golf may exceed the four-hours-per-day limitation, but the weekly limit of 20 hours shall remain in effect. A practice round played on the day prior to the start of a collegiate golf tournament at the tournament site shall count as

three hours, regardless of the actual duration of the round. (Adopted: 1/10/91 effective 8/1/91, Revised: 1/16/93)

- 17.1.5.2 Weekly Hour Limitations—Outside of Playing Season. Outside of the playing season during the academic year, only a student-athlete's participation in the countable athletically related activities specified in Bylaws 17.02.1-(c), 17.02.1-(d) and 17.02.1-(e) shall be permitted. A student-athlete's participation in such activities shall be limited to a maximum of eight hours per week, of which not more than two hours per week may be spent on individual skill workouts set forth in Bylaws 17.02.1-(e) and 17.1.5.2.1. A student-athlete may not participate in any countable athletically related activities outside the playing season during any institutional vacation period (e.g., summer, academic year). (Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97)
  - **17.1.5.2.1 Skill Instruction.** Participation by student-athletes in individual skill-related instruction [including the activities set forth in Bylaw 17.02.1-(f)] in sports other than football is permitted outside the institution's declared playing season, no more than four student-athletes from the same team are involved in skill-related instruction with their coach(es) at any one time in any facility and the student-athletes request the instruction. (Adopted: 1/10/95 effective 8/1/95, Revised: 1/9/96, 1/14/97 effective 8/1/97, Revised: 10/27/98)
  - **17.1.5.2.2 Conditioning Activities.** Conditioning drills per Bylaw 17.1.5.2 that may simulate game activities are permissible, provided no offensive or defensive alignments are set up and no equipment related to the sport is used. In the sport of ice hockey, a student-athlete may be involved in on-ice conditioning activities, provided no equipment other than skates is utilized. (*Adopted:* 1/11/94)

# 17.1.5.3 Computation and Recording of Hour Limitations

- **17.1.5.3.1 Definition of Day.** A "day" shall be defined as a calendar day (i.e., 12:01 a.m. to midnight). (*Adopted:* 1/10/91 effective 8/1/91)
- **17.1.5.3.2 Competition Day.** All competition and any associated athletically related activities on the day of competition shall count as three hours regardless of the actual duration of these activities.
  - **17.1.5.3.2.1 Practice Prohibited after Competition.** Practice may not be conducted at any time (including vacation periods) following competition, except between contests, rounds or events during a multiday or multievent competition (e.g., double-headers in softball or baseball, rounds of golf in a multiday tournament). (Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92)
- 17.1.5.3.3 Definition of Week. A "week" shall be defined as any seven consecutive days to be determined at the institution's discretion. Once the institution determines the seven-day period that shall constitute its week, it shall not change that designation for the remainder of the segment. (Adopted: 1/11/94)
- **17.1.5.3.4 Hour-Limitation Record.** Countable hours must be recorded on a daily basis for each student-athlete regardless of whether the student-athlete is participating in an individual or team sport. Any countable individual or group athletically related activity must count against the time limitation for each student-athlete who participates in the activity but does not count against time limitations for other team members who do not participate in the activity. (*Adopted: 1/10/91 effective 8/1/91*)
- **17.1.5.3.5 Preseason Practice.** Daily and weekly hour limitations do not apply to countable athletically related activities occurring during preseason practice prior to the first day of classes or the first scheduled contest, whichever is earlier. (*Adopted: 1/10/91 effective 8/1/91*)
- **17.1.5.3.6 Vacation Periods and between Terms.** Daily and weekly hour limitations do not apply to countable athletically related activities occurring during an institution's official vacation period, as listed in the institution's official calendar, and during the academic year between terms when classes are not in session. If such vacation periods occur during any part of a week in which classes are in session, the institution is subject to the daily and weekly hour limitations during the portion of the week when classes are in session and must provide the student-athletes with a day off (see Bylaw 17.1.5.4), which may be a vacation day. (Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92)
- **17.1.5.3.7 Final-Examination Periods.** Daily and weekly hour limitations apply to countable athletically related activities during final-examination periods and to all official preparatory periods leading to final-examination periods. (*Adopted: 1/10/91 effective 8/1/91*)
- **17.1.5.4 Required Day Off—Playing Season.** During the playing season, all countable athletically related activities (per Bylaw 17.02.1) shall be prohibited during one calendar day per week, except during participation in one conference and postseason championship and any postseason certified bowl

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- games or National Invitation Tournaments, and during participation in NCAA championships. (Adopted: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95, 1/9/96)
  - **17.1.5.4.1 Travel Day.** A travel day related to athletics participation may be considered as a day off, provided no countable athletically related activities (see Bylaw 17.02.1) occur during that day. (*Adopted:* 1/10/91 effective 8/1/91)
  - **17.1.5.4.2 Canceled Competition.** When an institution's competition is canceled prior to the start of competition or canceled prior to the competition being considered a completed event in accordance with the playing rules of that sport, an institution may utilize that day as its required day off, provided the institution does not engage in any further countable athletically related activities during that day. (Adopted: 1/16/93)
  - **17.1.5.4.3 Preseason Practice.** An institution is not required to provide student-athletes with one day off per week during preseason practice that occurs prior to the first day of classes, or the first scheduled contest, whichever is earlier. (*Adopted: 1/10/92*)
  - **17.1.5.4.4 Vacation Period.** It is permissible to utilize a vacation day to satisfy the day-off-perweek requirement. (*Adopted:* 1/10/92)
  - **17.1.5.4.5 Non-NCAA Postseason Championship.** The one-day-off-per-week requirement is applicable to a non-NCAA postseason championship (e.g., national governing body championship) unless the event is open only to collegiate teams or collegiate competitors. (*Adopted: 1/9/96*)
  - **17.1.5.4.6 Multiple Conference Championships.** An institution that participates in multiple conference championships is not subject to the one-day-off-per-week requirement in the one conference championship that it exempts from its maximum contest limitations. (*Adopted: 1/9/96*)

### 17.1.5.5 Additional Restrictions

- 17.1.5.5.1 No Class Time Missed for Practice Activities. No class time shall be missed for practice activities except when a team is traveling to an away-from-home contest and the practice is in conjunction with the contest. (Adopted: 1/10/91 effective 8/1/91)
  - **17.1.5.5.1.1 Exception—Golf Championship Practice Round.** At any conference and NCAA Division I Golf Championship, the team representing the host institution shall be permitted to miss class time to play one practice round of golf conducted the day before the competition. (*Adopted:* 4/27/00)
- 17.1.5.5.2 Preseason Off-Campus Intrasquad Games and Practice Activities Prohibition. Preseason off-campus intrasquad games and publicized off-campus practice activities conducted at a site not normally used by the institution for practice shall be prohibited in all sports. (Adopted: 1/10/91 effective 8/1/91, Revised: 10/27/98 effective 8/1/99)
- 17.1.6 General Regulations for Computing Playing Seasons Applicable to All Sports ( $Revised: 1/10/91 \ effective \ 8/1/91$ )
- (a) **Makeup Contests.** An institution is not permitted to extend the playing season to make up suspended or canceled games (including games that determine a conference champion or the automatic qualifier to the NCAA championship); (*Adopted: 1/10/92*)
- (b) NCAA or NAIA Championships Participation in Team Sports. Neither practice for nor participation in any NCAA or NAIA championship event (including play-in contests conducted pursuant to NCAA championships) is considered part of the institution's declared playing season. A member institution that has reason to believe it is under consideration for selection to participate in an NCAA championship event may continue to practice (but may not compete against outside competition) beyond its last regular-season contest, including the conference championship (if any), without counting such practice against the institution's declared playing-season limitation until it is determined by the appropriate committee whether the institution will be selected to participate in the NCAA championship competition. An institution that is not selected to participate in the NCAA championship may continue to practice or compete until the end of that championship only if it has time remaining in its declared playing season;
- (c) NCAA Championships Participation in Individual Sports. Only appropriate squad members considered necessary for effective practice by the individual(s) preparing for the NCAA championships may continue to practice without counting such practice against the institution's declared playing-season limitation;
- (d) Post-NCAA Championships Participation. Following the conclusion of an NCAA (or NAIA) championship in a sport, an institution may resume practice and/or competition in the sport, provided it is continuing its permissible playing season in the sport and provided the activity occurs during the academic year;
- (e) **Conference Championships.** Conference championships must be included within the institution's playing season;

# **FIGURE 17-1**

# 2000-01 Academic Year

# **Maximum Numbers of Contests and Dates of Competition for Each Sport**

Sport	Contests	Dates of Competition
Archery, Women's		15
Badminton, Women's		15
Baseball	56	
Basketball	28	
Bowling, Women's		26
Cross Country		<b>7</b> ¹
Fencing		11
Field Hockey Championship Segment Other Segment	20	5
Football	11 <sup>2</sup>	
Golf		24
Gymnastics		13
Ice Hockey, Men's and Women's	34	
Lacrosse, Men's		17
Lacrosse, Women's Championship Segment Other Segment		17 5
Rifle		13
Rowing, Women's		20
Skiing		16
Soccer Championship Segment Other Segment	20	5
Softball	56	
Squash, Women's		15
Swimming		20
Synchronized Swimming, Women's		15
Team Handball, Women's		20
Tennis Overall Individual Singles and/or Doubles Tournaments		25 7
Track and Field (Indoor and Outdoor)		18³
Volleyball, Men's Championship Segment Other Segment		28 4
Volleyball, Women's Championship Segment Other Segment		28 4
Water Polo, Men's		21
Water Polo, Women's		21
Wrestling		16

<sup>&</sup>lt;sup>1</sup> See Bylaw 17.7.5.1.1 for institutions that sponsor men's or women's cross country but do not sponsor indoor or outdoor track and field.

<sup>&</sup>lt;sup>2</sup> Twelve football contests shall be permitted during those years in which there are 14 Saturdays from the first permissible playing date through the last playing date in November (see Bylaw 17.10.5).

<sup>&</sup>lt;sup>3</sup> See Bylaw 17.25.5.1 for institutions that sponsor indoor and outdoor track and field and participate in at least the minimum number of contests with at least the minimum number of participants.

# FIGURE 17-2 2000-01 Academic Year Playing and Practice Seasons

Sport	First Practice Date	First Contest Date or Date of Competition	End of Regular Playing Season
Archery, Women's	September 7 or the first day of	September 7 or the first day of	Conclusion of the national
Badminton, Women's	classes, whichever occurs first September 7 or the first day of	classes, whichever occurs first September 7 or the first day of	governing body championship  Conclusion of the national
Basketball	classes, whichever occurs first  Preseason conditioning: Beginning of institution's academic year On Court: The Saturday nearest October 15	classes, whichever occurs first November 17 (Exceptions: see Bylaw 17.5.3.1)	governing body championship  NCAA Division I Men's Basket- ball Championship game
Bowling, Women's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the national governing body championship
Cross Country	Date that permits 21 "practice opportunities" before first date of competition	September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday	Last day of final exams for academic year
Field Hockey	Date that permits 21 "practice opportunities" before first date of competition	Eleven weeks prior to the start of the NCAADivision IField Hockey Championship, except that an alumni contest may be played the weekend prior to the first scheduled contest	Last day of final exams for academic year
Football	Date that permits 29 "practice opportunities" before first game	Not prior to the Thursday preceding Labor Day (Exception: see Bylaw 17.10.3)	Second Saturday or Sunday in Division I Golf Championship
Golf	September 7 or the first day of classes, whichever occurs first (Exception: See Bylaw 17.11.2.1)	September 7 or the first day of classes, whichever occurs first (Exception: See Bylaw 17.11.3.1)	Conclusion of the NCAA Division I Golf Championships
Ice Hockey, Men's and Women's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Last day of final exams for academic year
Soccer, Men's	Date that permits 21 "practice opportunities" before first contest	September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday except that an alumni contest may be played the weekend prior to September 1 when September 1 does not fall on a Saturday, Sunday or Monday as provided in Bylaw 17.18.5.1.1	Last day of final exams for academic year
Soccer, Women's	Date that permits 21 "practice opportunities" before first contest	Eleven weekends prior to the start of the NCAADivision IWomen's Soccer Championships, except that an alumni contest may be played the previous weekend	Last day of final exams for academic year
Squash, Women's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Last day of final exams for academic year
Synchronized Swimming Women's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Last day of final exams for academic year
Team Handball, Women's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the national governing body championship
Volleyball, Women's (Championship Segment)	Date that permits 29 "practice opportunities" before first date of competition (excluding the early alumni match and NCAA Volleyball Classic permitted in Bylaw 17.26.3)	September 1, or preceding Friday if September 1 falls on Saturday, Sunday or Monday, except that an alumni match may be played the weekend prior to September 1 when September 1 does not fall on a Saturday, Sunday or Monday. (see Bylaw 17.26.3 for NACWAA Volleyball Classic exception)	NCAA Division I Women's Volleyball Championship game
(Other Segment)	January 1	January 1	Last day of final exams for academic year
Water Polo, Men's	Date that permits 21 "practice opportunities" before first date of competition	The first Saturday in September	Last day of final exams for academic year
Water Polo, Women's	September 7 or the institution's first day of classes for the fall term, whichever occurs first	September 7 or the institution's first day of classes for the fall term, whichever occurs first	Conclusion of the National Women's Collegiate Water Polo Championship
Other Team Sports Baseball Lacrosse Rowing, Women's Softball Volleyball, Men's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the NCAA Division I or national collegiate championship game in sport, whichever is applicable
Other Individual Sports Fencing Gymnastics Rifle Skiing Swimming Tennis Track and Field (Indoor and Outdoor) Wrestling	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the NCAA Division I or national collegiate championship game, whichever is applicable in gymnastics, tennis and track and field  Last day of final exams for academic year in fencing, rifle, skiing, swimming and wrestling

- (f) Non-NCAA Postseason Championships Participation. Practice and/or competition in non-NCAA (or non-NAIA) postseason championships (e.g., an invitational tournament scheduled after a conference championship) must be counted against the institution's declared playing-season limitation; except that practice and competition for one postseason championship in each non-NCAA championship sport used for sports sponsorship purposes pursuant to Bylaw 20.9.3.2.1, and, practice and competition for the National Invitational Softball Championship in softball, and practice and competition for the National Invitational Volleyball Championship in volleyball are exempt from the institution's declared playing-season limitation in the sport. Competition in the American Women's College Hockey Alliance National Championship tournament is exempt from the institution's declared playing-season limitation; and (Revised: 1/16/93, 1/11/94, 8/11/98)
- (g) **Foreign Tours.** Participation by a member institution on a certified foreign tour (see Bylaw 17.30) need not be included within the institution's declared playing-season limitation in the sport.
  - **17.1.6.1 Combining Segments.** In those sports that have different contest limitations in the segment concluding with the NCAA championship and the other segment, a member institution that conducts a single continuous segment in a sport (rather than dividing its playing season for that sport into two distinct segments as permitted in Bylaw 17.1.2) shall be limited to the number of contests or dates of competition permitted only for the segment that concludes with the NCAA championship. (Adopted: 1/14/97 effective 8/1/97)
- **17.1.7 Use of Tobacco Products.** The use of tobacco products by a student-athlete is prohibited during practice and competition. A student-athlete who uses tobacco products during a practice or competition shall be disqualified for the remainder of that practice or competition. (*Adopted: 1/11/94 effective 8/1/94*)

# 17.2 ARCHERY, WOMEN'S

Regulations for computing the archery playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- **17.2.1 Length of Playing Season.** The length of an institution's playing season in archery shall be limited to a 144-day season which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.2.2 Preseason Practice.** A member institution shall not commence practice sessions in archery prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.2.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in archery prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.2.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in archery by the conclusion of the national governing body championship in archery. (*Adopted:* 1/9/96 effective 8/1/96, *Revised:* 1/14/97 effective 8/1/97)

### 17.2.5 Number of Dates of Competition

- **17.2.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in archery during the institution's archery playing season to 15 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.2.5.3 and 17.2.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Adopted: 1/9/96 effective 8/1/96)
  - **17.2.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in archery in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
- **17.2.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 15 dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (Adopted: 1/9/96 effective 8/1/96)
- **17.2.5.3 Annual Exemptions.** The maximum number of dates of competition in archery shall exclude the following (see Figure 17-3): (*Adopted:* 1/9/96 *effective* 8/1/96)

### **FIGURE 17-3**

# Exemptions: Archery Dates of Competition 2000-01 Academic Year

Annual Exemptions	U.S. Intercollegiate Archery Championships [17.2.5.3-(a)] U.S. Indoor Archery Championships [17.2.5.3-(b)] Conference Championship [17.2.5.3-(c)] National Governing Body Championship [17.2.5.3-(d)] Alumni Meet [17.2.5.3-(e)] Foreign Team in U.S. [17.2.5.3-(f)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.2.5.3-(g)] Fund-Raising Activity [17.2.5.3-(h)] Celebrity Sports Activity [17.2.5.3-(i)]
Once-in-Four-Years Exemptions	Foreign Tour [17.2.5.4]

- (a) **United States Intercollegiate Championships.** Competition in the U.S. Intercollegiate Archery Championships;
- (b) United States Indoor Championships. Competition in the U.S. Indoor Archery Championships;
- (c) **Conference Championship.** Competition in one conference championship meet in archery;
- (d) **National Governing Body Championship.** Competition in the archery national governing body championship;
- (e) Alumni Meet. One date of competition each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States;
- (g) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (h) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's team who participate in local celebrity activities in archery conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.2.5.4 Once-in-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.2.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season pursuant to Bylaw 17.2.1, except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)
  - 17.2.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
    - **17.2.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such

assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (Adopted: 1/9/96 effective 8/1/96)

- **17.2.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses archery equipment. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.2.8 Camps and Clinics.** There are no limits on the number of student-athletes in archery who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)

### 17.2.9 Other Restrictions

## 17.2.9.1 Noncollegiate, Amateur Competition

- **17.2.9.1.1 During Academic Year.** A student-athlete in archery who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
  - **17.2.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. (Adopted: 1/9/96 effective 8/1/96)
- **17.2.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.2.9.1.1.1). (Adopted: 1/9/96 effective 8/1/96)
  - **17.2.9.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.2.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.2.9.2 Equipment Issue, Squad Pictures. No limitations. (Adopted: 1/9/96 effective 8/1/96)

### 17.3 BADMINTON, WOMEN'S

Regulations for computing the badminton playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- **17.3.1 Length of Playing Season.** The length of an institution's playing season in badminton shall be limited to a 144-day season which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.3.2 Preseason Practice.** A member institution shall not commence practice sessions in badminton prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.3.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in badminton prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.3.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in badminton by the conclusion of the national governing body championship in badminton. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

### **FIGURE 17-4**

# Exemptions: Badminton Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.3.5.3-(a)] National Governing Body Championship [17.3.5.3-(b)] Alumni Match [17.3.5.3-(c)] Foreign Team in U.S. [17.3.5.3-(d)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.3.5.3-(e)] Fund-Raising Activity [17.3.5.3-(f)] Celebrity Sports Activity [17.3.5.3-(g)]
Once-in-Four-Years Exemptions	Foreign Tour [17.3.5.4]

### 17.3.5 Number of Dates of Competition

- **17.3.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in badminton during the institution's badminton playing season to 15 dates of competition (games and scrimmages) (including not more than three tournaments that are counted as single dates of competition), except for those dates of competition excluded under Bylaws 17.3.5.3 and 17.3.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants). (Adopted: 1/9/96 effective 8/1/96)
  - **17.3.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in badminton in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (*Adopted:* 1/9/96 effective 8/1/96)
- **17.3.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 15 dates of competition in badminton (including not more than three tournaments that are counted as single dates of competition) (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (Adopted: 1/9/96 effective 8/1/96)
- **17.3.5.3 Annual Exemptions.** The maximum number of dates of competition in badminton shall exclude the following (see Figure 17-4): (*Adopted: 1/9/96 effective 8/1/96*)
- (a) **Conference Championship.** Competition in one conference championship meet in badminton;
- (b) **National Governing Body Championship.** Competition in the badminton national governing body championship;
- (c) Alumni Match. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's team who participate in local celebrity activities in badminton conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

- **17.3.5.4 Once-in-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.3.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season pursuant to Bylaw 17.3.1, except as permitted in Bylaws 17.1.5.2. and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)
  - **17.3.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
    - 17.3.6.1.1 Summer-Workout Sessions. A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (Adopted: 1/9/96 effective 8/1/96)
- **17.3.7 Camps and Clinics.** There are no limits on the number of student-athletes in badminton who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)

### 17.3.8 Other Restrictions

- 17.3.8.1 Noncollegiate, Amateur Competition
  - **17.3.8.1.1 During Academic Year.** A student-athlete in badminton who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
    - **17.3.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. (*Adopted: 1/9/96 effective 8/1/96*)
  - 17.3.8.1.2 Out of Season. There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.3.8.1.1.1). ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
    - **17.3.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.30. (Adopted: 1/9/96 effective 8/1/96)
    - **17.3.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.3.8.2 Equipment Issue, Squad Pictures. No limitations. (Adopted: 1/9/96 effective 8/1/96)

### 17.4 BASEBALL

Regulations for computing the baseball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.4.1 Length of Playing Season.** The length of an institution's playing season in baseball shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/14/97 effective 8/1/97)
- **17.4.2 Preseason Practice.** A member institution shall not commence practice sessions in baseball

### **FIGURE 17-5**

# Exemptions: Baseball Contests 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.4.5.3-(a)] Conference Playoff [17.4.5.3-(b)] Season-Ending Tournaments [17.4.5.3-(c)] NCAA Championship Play-In Competition [17.4.5.3-(d)] Alumni Game [17.4.5.3-(e)] Foreign Team in U.S. [17.4.5.3-(f)] Fund-Raising Activity [17.4.5.3-(h)] Celebrity Sports Activity [17.4.5.3-(i)]
Additional Annual Exemptions	Game(s) against Active Division I Members in Hawaii or Alaska [17.4.5.3-(g)] <u>AND</u> U.S. National Team [17.4.5.3-(j)]
Once-in-Four-Years Exemptions	Foreign Tour [17.4.5.5] <u>AND</u> Certified Once-in-Four-Years Exemptions [17.4.5.4, 30.10.4]

prior to September 7 or the institution's first day of classes, whichever is earlier. (Adopted: 1/14/97 effective 8/1/97)

- **17.4.3 First Contest Date.** A member institution shall not play its first contest (game or scrimmage) with outside competition in baseball prior to September 7 or the institution's first day of classes, whichever is earlier. (*Adopted:* 1/14/97 effective 8/1/97)
- **17.4.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of baseball by the conclusion of the NCAA baseball championship in the institution's division. (*Revised:* 1/14/97 effective 8/1/97)

### 17.4.5 Number of Contests

- **17.4.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of baseball during the institution's baseball playing season to 56 contests (games and scrimmages), except for those contests excluded under Bylaw 17.4.5.3. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.4.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in baseball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.4.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 56 baseball contests (this limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised: 1/10/91 effective 8/1/91*)
- **17.4.5.3 Annual Exemptions.** The maximum number of baseball contests shall exclude the following (see Figure 17-5):
- (a) **Conference Championship.** Competition in one conference championship tournament in baseball (or the tournament used to determine the conference's automatic entry in an NCAA baseball championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference baseball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA baseball championship without the game(s) being counted as a postseason tournament;
- (c) Season-Ending Tournaments
  - (1) **NCAA Championship.** Competition in the NCAA Division I Baseball Championship; (Revised: 1/9/96 effective 8/1/96)

- (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) baseball championship; (Revised: 1/9/96 effective 8/1/96)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Game. One baseball contest each year with an alumni team of the institution;
- (f) Foreign Team in U.S. One baseball contest each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any games played in Hawaii or Alaska, respectively, against an active Division I institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (h) **Fund-Raising Activity.** Any baseball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's baseball team who participate in local celebrity baseball activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One game played against the U.S. national team as selected by the appropriate national governing body in baseball. (*Adopted:* 1/9/96 effective 8/1/96)
- **17.4.5.4 Once-in-Four-Years Exemptions—Certification Required.** A member institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.4.5.5]. (Adopted: 1/9/96 effective 8/1/96)
- 17.4.5.5 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.4.5.4. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
- **17.4.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.4.1, except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.4.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91)
- **17.4.7 Camps and Clinics.** There are no limits on the number of student-athletes in baseball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

### 17.4.8 Other Restrictions

# 17.4.8.1 Noncollegiate, Amateur Competition

- **17.4.8.1.1 During the Academic Year.** A student-athlete in the sport of baseball who participates during the academic year as a member of any outside baseball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate baseball competition shall be ineligible for intercollegiate baseball competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.4.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (*Adopted: 1/11/94 effective 8/1/94*)

- **17.4.8.1.2 Out of Season.** A member institution may permit not more than four student-athletes with eligibility remaining in intercollegiate baseball to practice or compete out of season on an outside, amateur baseball team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.4.8.1.1.1). (Revised: 1/10/91 effective 8/1/91)
  - **17.4.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's baseball team except as provided under Bylaws 14.7.3, 17.30 and 30.14.3.1. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94*)
  - **17.4.8.1.2.2** Olympic and National Team Development Program. There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.4.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing baseball equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.4.1. (*Revised:* 1/11/89)
  - **17.4.8.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted:* 1/11/89)

### 17.5 BASKETBALL

(See Figures 17-1 and 17-2.)

- **17.5.1 Length of Playing Season.** The length of an institution's playing season in basketball shall be limited to the period of time between the start of preseason practice (see Bylaw 17.5.2) and the end of the regular playing season (see Bylaw 17.5.4) (See Bylaw 17.5.3 for restrictions on first contest date).
- **17.5.2 Preseason Practice—On-Court Practice.** A member institution shall not commence on-court preseason basketball practice sessions prior to the Saturday nearest October 15. (*Revised: 1/10/90 effective 8/1/92, Revised: 1/11/94, 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97*)
  - **17.5.2.1 Permissible Conditioning Activities.** Team conditioning or physical-fitness activities supervised by coaching staff members may be conducted on or off court but shall not begin prior to the beginning of the institution's academic year in accordance with Bylaw 17.1.5.2. Such activities shall be limited to eight hours per week. (Revised: 1/10/90 effective 8/1/92, Revised: 1/16/93, 1/11/94)
  - **17.5.2.2 Prohibited Activities.** Prior to the start of on-court preseason basketball practice per Bylaw 17.5.2, members of the institution's coaching staff may not be involved with one or more team members at any location in any of the following activities except, as permitted in Bylaw 17.1.5.2.1: (Revised: 1/10/90 effective 8/1/92, Revised: 1/11/94, 1/14/97 effective 8/1/97)
  - (a) Setting up offensive or defensive alignments;
  - (b) Chalk talks;
  - (c) Discussions of game strategy;
  - (d) Reviewing game films or videotapes;
  - (e) Activities utilizing basketball equipment; or
  - (f) Observing student-athletes in any basketball activities even if such activities are not arranged by the institution's coach.
    - **17.5.2.2.1 Exception.** Team promotional activities (e.g., autograph sessions, fan picture sessions, meeting with fans) per Bylaw 12.5.1 are permissible prior to the start of on-court preseason basketball practice per Bylaw 17.5.2, provided these promotional arrangements do not involve any of the practice activities prohibited under the provisions of Bylaw 17.5.2.2. (Revised: 1/11/94, 1/14/97 effective 8/1/97)
    - **17.5.2.2.2 Exception—Officiating Clinic.** Prior to the start of on-court preseason basketball practice per Bylaw 17.5.2, student-athletes may observe an officiating clinic related to playing rules that is conducted by video conference, provided no student-athlete misses class time to observe the clinic. (*Adopted:* 1/9/96, *Revised:* 1/14/97 effective 8/1/97)
- **17.5.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) with outside competition in basketball prior to the following dates, except as provided under Bylaw 17.5.3.1:

- (a) Men's—136 days (including Sundays) prior to the Division I Men's Basketball Championship game (i.e., November 17, 2000; November 16, 2001). (Revised: 1/10/90 effective 8/1/92, Revised: 1/16/93 effective 8/1/93, Revised: 1/4/96, 1/9/96, 1/14/97 effective 8/1/97)
- (b) Women's—136 days (including Sundays) prior to the Division I Men's Basketball Championship game (i.e., November 17, 2000; November 16, 2001). (Revised: 1/10/90 effective 8/1/92, Revised: 1/16/93 effective 8/1/93, Revised: 1/4/96, 1/9/96, 1/14/97 effective 8/1/97)
  - **17.5.3.1 Exceptions.** The following basketball contests (games or scrimmages) are permitted prior to the first contest dates specified under Bylaw 17.5.3:
  - (a) **Practice Scrimmages.** Informal practice scrimmages with outside competition, provided they are conducted in privacy without publicity or official scoring; (Revised: 10/27/98 effective 8/1/99)
  - (b) BCA Basketball Classic, Tip-Off Classic, Foreign Team in Canada, Great Alaska Shootout, Top of the World Classic, Maui Invitational, NABC Classic. The following basketball games may be played on or after November 7: (Revised: 1/10/90, 1/10/92 effective 8/1/92, Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96, 1/14/97 effective 8/1/97, Revised: 8/11/98)
    - (1) Contests in the BCA Basketball Classic; (Adopted: 1/10/95 effective 8/1/95)
    - (2) The Basketball Hall of Fame Tip-Off Classic;
    - (3) All games in the Great Alaska Shootout or Top of the World Classic basketball tournament sponsored by an active member located in Alaska; (Adopted: 1/10/92 effective 8/1/92, Revised: 1/14/97 effective 8/1/97)
    - (4) All games in the Maui Invitational men's basketball tournament sponsored by an active member located in Hawaii; or (Adopted: 1/16/93 effective 8/1/93)
    - (5) Contests in the NABC Classic; (Adopted: 2/5/97)
  - (c) **USA Basketball, Foreign-Team and Four-Year Collegiate Institution Games.** The two basketball games exempted per Bylaws 17.5.5.2.2-(f), 17.5.5.2.2-(g) and 17.5.5.2.2-(h) may be played on or after October 31; (Adopted: 1/10/95, Revised: 1/11/97, 4/22/98, 10/28/99)
  - (d) **Preseason National Invitation Tournament.** Participation in the Preseason National Invitation Tournament sponsored by the Metropolitan Intercollegiate Basketball Association. The tournament shall be a 16-team, single-elimination event (with one consolation game) conducted each year during the period from November 15 through November 30. Participation in the tournament shall be limited, by institution, to once in any four-year period and, by conference, to one institution of a member conference per tournament; (Revised: 1/11/89)
  - (e) **Preseason Women's National Invitation Tournament.** Participation in the Women's Preseason National Invitation Tournament sponsored by the Women's Collegiate Sports Association. The tournament shall be a 16-team, single-elimination event (with one consolation game) conducted each year, starting no sooner than seven days immediately before the start of the regular season. Participation in the tournament shall be limited, by institution, to once in any four-year period and, by conference, to one institution of a member conference per tournament. (Adopted: 1/11/94 effective 8/1/94, Revised: 10/28/99)
- **17.5.4 End of Playing Season.** A member institution's last contest (game or scrimmage or postseason tournament contest) with outside competition in the sport of basketball shall not be played after the Division I Men's Basketball Championship game.

### 17.5.5 Number of Contests

- **17.5.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total regular-season playing schedule with outside competition in the sport of basketball in any one year to 28 contests (games or scrimmages) except for those contests excluded under Bylaw 17.5.5.2. (Revised: 1/10/90, 1/10/91 effective 8/1/92, Revised: 1/12/99 effective 8/1/99)
  - **17.5.5.1.1 Conference Season-End Tournament.** If a conference conducts a regularly scheduled, season-end, single-elimination basketball championship tournament (or the tournament used to determine the conference's automatic entry in an NCAA basketball tournament), which may include one additional contest to determine third place, among some or all of its members, the game or games played by each team shall count as one of the permissible regular-season contests as specified in Bylaw 17.5.5 and the tournament shall not be considered postseason.
  - **17.5.5.1.2 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in basketball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.

**17.5.5.1.3 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate each academic year in not more than 28 basketball contests. This limitation includes those contests in which the student-athlete represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. Further, an individual student-athlete may participate each year in only one postseason basketball tournament as a member of the institution's varsity, junior varsity or freshman team. (Revised: 1/10/90, 1/10/91 effective 8/1/92, Revised: 1/12/99 effective 8/1/99)

# 17.5.5.2 Annual Exemptions (See Figure 17-6).

- 17.5.5.2.1 Certification Required. A member institution may exempt only participation in the postseason men's or women's National Invitational Tournament from its maximum number of basketball contests, provided it is certified as an annual exemption by the Championships/Competition Cabinet Subcommittee on Special Events pursuant to Bylaw 30.10.1. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
- **17.5.5.2.2 Certification Not Required.** The following basketball contests each year may be exempted from a member institution's maximum number of contests: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Postseason Tournament.** Contests in one postseason basketball tournament (e.g., NCAA championships, NAIA championship) unless the institution has participated in the Men's National Invitation Tournament or the Women's National Invitational Tournament. A postseason tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Revised: 1/9/96 effective 8/1/96)
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference basketball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA basketball championship without the game(s) being counted as a postseason tournament;
- (c) Automatic-Qualification Contests. Contests between conference champions that are provided by Board of Directors, Management Council or Championships/Competition Cabinet action in order to determine selection for automatic qualification into the Division I Men's Basketball Championship; (Adopted: 1/10/90)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Regular-Season Contest against Alaska/Hawaii Member. One regular-season game in Hawaii or Alaska versus a member institution located in that state; (Adopted: 1/9/96 effective 8/1/96)
- (f) **Foreign Team in U.S.** An exhibition contest against a foreign team in the United States played in the arena in which the member institution regularly plays its home contests. An institution may play two exhibition contests against a foreign team in the United States during any year in which it does not utilize the exemption set forth in Bylaws 17.5.5.2.2-(g), 17.5.5.2.2-(h), or 17.5.5.2.2-(i), or may play one exhibition contest against a foreign team in the United States and either one exhibition contest against a "club" member of USA Basketball, one exhibition contest against a four-year collegiate institution (other than an NCAA Division I institution), or one practice scrimmage as defined in Bylaw 17.5.5.2.2-(i); (Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94, 1/9/96 effective 8/1/96, Revised: 10/27/98 effective 8/1/99, Revised: 10/28/99 effective 8/1/00)
- (g) **USA Basketball Club.** An exhibition contest against a "club" member of USA Basketball played in the arena in which the member institution regularly plays its home contests. An institution may play two exhibition contests against a "club" member of USA Basketball during any year in which it does not utilize the exemption set forth in Bylaw 17.5.5.2.2-(f) or 17.5.5.2.2-(h), or may play one exhibition contest against a "club" member of USA Basketball and either one exhibition contest against a foreign team in the United States, one exhibition contest against a four-year collegiate institution (other than an NCAA Division I institution), or one practice scrimmage as defined in Bylaw 17.5.5.2.2-(i); (Adopted: 1/10/92 effective 8/1/92, Revised: 1/11/94, 1/9/96 effective 8/1/96, Revised: 10/27/98 effective 8/1/99, Revised: 10/28/99 effective 8/1/00)
- (h) **Exhibition against a Non-NCAA Division I Four-Year Collegiate Institution**. An exhibition contest against a four-year collegiate institution (other than an NCAA Division I institution) played in the arena in which the member institution regularly plays its home contests. An institution may play two exhibition contests gainst a non-NCAA Division I four-year collegiate institution during any year in which it does not utilize the exemptions set forth in

Exemptions: Basketball Contests 2000-01 Academic Year

Annual Exemptions	Postseason Tournament [17.5.5.2.1, 17.5.5.2.2-(a)] Conference Playoff [17.5.5.2.2-(b)] NCAA Championship Play-In Competition [17.5.5.2.2-(d)]
Additional Annual Exemptions	1) Automatic-Qualification Contest [17.5.5.2.2-(c)]  AND 2) Foreign Team in U.S. [17.5.5.2.2-(f)]  AND 3) USA Basketball Club [17.5.5.2.2-(g)]  AND 4) Alaska/Hawaii Contest against a member institution located in Alaska/Hawaii [17.5.5.2.2-(e)]  AND 5) Exhibition against a non-NCAA Division I Four-Year Collegiate Institution [17.5.5.2.2-(h)]  AND 6) Practice Scrimmage [17.5.5.2.2-(i)]
Once-in-Four-Years Exemptions <sup>1</sup>	1) Foreign Tour [17.5.5.3.1] AND 2) Certified Events [17.5.5.3, 30.10.1]

'An institution shall not participate in more than one certified event during a given academic year and not more than two certified events every four years. In addition, an institution shall not participate in a certified event in the same state or territory outside the continental United States (i.e., Alaska, Hawaii, Puerto Rico or the Virgin Islands)more than one time during a four-year period. Participation in a certified event shall count as a single contest. Certified events other than a foreign tour must be certified by the Championships/Competition Cabinet Subcommittee on Special Events.

Bylaw 17.5.5.2.2-(f), 17.5.5.2.2-(g) and 17.5.5.2.2-(i), or may play one exhibition contest against a non-NCAA Division I four-year collegiate institution and either one exhibition contest against a "club" member of USA Basketball, one exhibition contest against a foreign team in the United States or one practice scrimmage as defined in Bylaw 17.5.5.2.2-(i); and ( $Adopted: 10/28/99 \ effective \ 8/1/00$ )

- (i) **Practice Scrimmage.** An informal practice scrimmage with outside competition, provided it is conducted in privacy without official scoring. An institution may play two practice scrimmages during any year it does not utilize either of the exemptions set forth in Bylaw 17.5.5.2.2-(f) or 17.5.5.2.2-(g) or may play one practice scrimmage and either one exhibition contest against a foreign team in the United States or one exhibition contest against a "club" member of USA Basketball. (*Adopted:* 10/27/98 effective 8/1/99)
- 17.5.5.3 Certified Events. An institution shall be permitted to participate in no more than one certified event during a given academic year and not more than two certified events every four years. In addition, institutions shall not participate in a certified event in the same state or territory outside the continental United States (i.e., Alaska, Hawaii, Puerto Rico or the Virgin Islands) more than one time during a four-year period. Participation in a certified event shall count as a single contest in the institution's maximum contest limitations. Such events, other than a foreign tour (see Bylaw 17.5.5.3.1), must be certified by the Championships/ Competition Cabinet Subcommittee on Special Events pursuant to Bylaw 30.10.1. (Note: Those contracts between member institutions and events for participation in certified events during the 1999-00 academic year and thereafter may be honored, provided they were in effect on or before October 20, 1998.) (Adopted: 1/10/90 effective 8/1/92, Revised: 1/16/93 effective 8/1/93, Revised: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
  - **17.5.5.3.1** Exception—Certification Not Required—Foreign Tour. The games played on a foreign basketball tour conducted in accordance with the procedures set forth in Bylaw 30.7 do not need to be certified by the Championships/Competition Cabinet Subcommittee on Special Events (see Bylaw 17.30). However, an institution may not engage in such a tour during any year in which it participates in one of the certified events. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
- **17.5.5.4 Conference Exception.** The limitations under Bylaw 17.5.5.2.2-(e) shall not apply to regular-season contests between two members of the same NCAA conference. (*Revised: 1/9/96 effective 8/1/96*)
- 17.5.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching

# Basketball/17.5.6—Bowling, Women's/17.6.4

staff shall not engage in countable athletically related activities outside the institution's playing season except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1.

**17.5.7 Camps and Clinics.** There are no limits on the number of student-athletes in basketball who may be employed (e.g., as counselors) in camps or clinics. Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (See Bylaw 13.13 for restrictions on such employment). (Revised: 1/10/92, 1/11/94)

#### 17.5.8 Other Restrictions

- **17.5.8.1 Noncollegiate, Amateur Competition.** A student-athlete shall be ruled ineligible for intercollegiate basketball competition if the student participates in any organized basketball competition except while representing the member institution or except as permitted in accordance with Bylaw 14.7.3.
- **17.5.8.2 Maximum Number of Student-Athletes on Outside Team.** Following is the maximum number of student-athletes from the same member institution with eligibility remaining who may compete on an outside team:
- (a) State or national multisport events sanctioned by the NCAA—2.
- (b) Foreign tour (outside team or all-star team)—2.
- (c) Olympic and national team development programs and competition—No limitations.
- (d) Summer basketball team in certified league—2 [see Bylaw 30.15-(e)]. (Revised: 4/27/00)
- 17.5.8.3 Involvement of Coaching Staff. No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's basketball team except as provided in Bylaws 14.7.3, 14.7.5.1 and 17.30. (*Adopted: 1/16/93*)
- **17.5.8.4 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing basketball equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of preseason practice as specified in Bylaw 17.5.2.
  - **17.5.8.4.1 Exception for Sundays.** If the day prior to the beginning of preseason practice is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted:* 1/11/89)
- **17.5.8.5 Celebrity Sports Activity.** A limit of two players from a member institution's basketball team may participate in local celebrity sports activities, other than in the sport of basketball, conducted for the purpose of raising funds for charitable organizations, provided:
- (a) The student-athletes do not miss classes as a result of the participation;
- (b) The involvement of the student-athletes has the approval of the institution's athletics director; and
- (c) The activity takes place within a 30-mile radius of the institution's main campus.

# 17.6 BOWLING, WOMEN'S

Regulations for computing the bowling playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- **17.6.1 Length of Playing Season.** The length of an institution's playing season in bowling shall be limited to a 144-day season which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- 17.6.2 Preseason Practice. A member institution shall not commence practice sessions in bowling prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.6.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in bowling prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.6.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in bowling by the conclusion of the national governing body championship in bowling. (*Adopted:* 1/9/96 effective 8/1/96, *Revised:* 1/14/97 effective 8/1/97)

# Exemptions: Bowling Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.6.5.3-(a)] National Governing Body Championship [17.6.5.3-(b)] Alumni Meet [17.6.5.3-(c)] Foreign Team in U.S. [17.6.5.3-(d)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.6.5.3-(e)] Fund-Raising Activity [17.6.5.3-(f)] Celebrity Sports Activity [17.6.5.3-(g)]
Once-in-Four-Years Exemptions	Foreign Tour [17.6.5.4]

## 17.6.5 Number of Dates of Competition

**17.6.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in bowling during the institution's bowling playing season to 26 dates of competition (games and scrimmages) (including not more than 10 tournaments that are counted as single dates of competition), except for those dates of competition excluded under Bylaws 17.6.5.3 and 17.6.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Adopted: 1/9/96 effective 8/1/96)

**17.6.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in bowling in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)

**17.6.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 26 dates of competition in bowling (including not more than 10 tournaments that are counted as single dates of competition) (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (Adopted: 1/9/96 effective 8/1/96)

**17.6.5.3 Annual Exemptions.** The maximum number of dates of competition in bowling shall exclude the following (see Figure 17-7): (*Adopted:* 1/9/96 effective 8/1/96)

- (a) Conference Championship. Competition in one conference championship meet in bowling;
- (b) **National Governing Body Championship.** Competition in the bowling national governing body championship;
- (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii**, **Alaska**, **Puerto Rico**. Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's team who participate in local celebrity activities in the applicable sport conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

# Bowling, Women's/17.6.5.4—Cross Country/17.7.1.1

- **17.6.5.4 Foreign Tour.** The dates of competition on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.6.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season pursuant to Bylaw 17.6.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)
  - **17.6.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
    - **17.6.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (Adopted: 1/9/96 effective 8/1/96)
- **17.6.7 Camps and Clinics.** There are no limits on the number of student-athletes in bowling who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Adopted:* 1/9/96 effective 8/1/96)

#### 17.6.8 Other Restrictions

## 17.6.8.1 Noncollegiate, Amateur Competition

- **17.6.8.1.1 During Academic Year.** A student-athlete in bowling who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
  - 17.6.8.1.1.1 Vacation-Period Exception. A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. (Adopted: 1/9/96 effective 8/1/96)
- **17.6.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.6.8.1.1.1). (Adopted: 1/9/96 effective 8/1/96)
  - **17.6.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.6.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.6.8.2 Equipment Issue, Squad Pictures. No limitations. (Adopted: 1/9/96 effective 8/1/96)

## 17.7 CROSS COUNTRY

Regulations for computing the cross country playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.7.1 Length of Playing Season.** The length of an institution's playing season in cross country shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97*)
  - **17.7.1.1 Track and Field Student-Athletes Participating in Cross Country.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to the sport of cross country, such

practices must be counted in the institution's established segment in the sport of track and field. (Adopted: 1/10/92)

- **17.7.2 Preseason Practice.** A member institution shall not commence practice sessions in cross country prior to the date that permits a maximum of 21 practice opportunities (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.7.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in cross country prior to September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 4/15/97)
- **17.7.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of cross country by the last date of final examinations for the regular academic year at the institution. (*Revised:* 1/14/97 effective 8/1/97)

## 17.7.5 Number of Dates of Competition

- **17.7.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of cross country during the institution's cross country playing season to seven dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.7.5.3, 17.7.5.4 and 17.7.5.5 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91)
  - **17.7.5.1.1** Exception—Cross Country without Indoor or Outdoor Track and Field. An institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field shall limit its total playing schedule with outside competition during the cross country playing season to seven dates of competition during the traditional segment and five dates of competition during the nontraditional segment. (*Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94*)
  - **17.7.5.1.2** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in cross country in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.7.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than seven cross country dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised:* 1/10/91 effective 8/1/91)
  - 17.7.5.2.1 Exception—Cross Country without Indoor or Outdoor Track and Field. An individual student-athlete who attends an institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field may participate during each academic year in seven dates of competition during the segment in which the NCAA championship is conducted in cross country and five dates of competition during another segment. (Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94)
- **17.7.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of cross country shall exclude the following (see Figure 17-8):
- (a) **Conference Championship.** Competition in one conference championship meet in cross country;
- (b) Season-Ending Tournaments
  - (1) **NCAA Championship.** Competition in the NCAA cross country championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) cross country championships;
- (c) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (d) **Alumni Meet.** One date of competition in cross country each year with an alumni team of the institution;
- (e) **Foreign Team in U.S.** One date of competition in cross country each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska.** Any dates of competition played in Hawaii or Alaska, respectively, against an active Division I institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)

Exemptions: Cross Country Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.7.5.3-(a)] Season-Ending Tournaments [17.7.5.3-(b)] NCAA Championship Play-In Competition [17.7.5.3-(c)] Alumni Meet [17.7.5.3-(d)] Foreign Team in U.S. [17.7.5.3-(e)] Fund-Raising Activity [17.7.5.3-(g)] Celebrity Sports Activity [17.7.5.3-(h)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.7.5.3-(f)] <u>AND</u> U.S. National Team [17.7.5.3-(i)]
Once-in-Four-Years Exemptions	Foreign Tour [17.7.5.5]  OR  Certified Once-in-Four-Years Exemptions [17.7.5.4, 30.10.4]

- (g) **Fund-Raising Activity.** Any cross country activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's cross country team who participate in local celebrity cross country activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body in cross country. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.7.5.4 Once-in-Four-Years Exemptions—Certification Required.** A member institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/ Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.7.5.5]. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.7.5.5 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.7.5.4. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.7.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.7.1 except, as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.7.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/10/92)
    - **17.7.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)
- **17.7.7 Camps and Clinics.** There are no limits on the number of student-athletes in cross country who

may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)

#### 17.7.8 Other Restrictions

## 17.7.8.1 Noncollegiate, Amateur Competition

- **17.7.8.1.1 During Academic Year.** A student-athlete in the sport of cross country who participates during the academic year as a member of any outside cross country team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate cross country competition shall be ineligible for intercollegiate cross country competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.7.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. ( $Adopted: 1/11/94 \ effective \ 8/1/94$ )
- **17.7.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate cross country who may practice or compete out of season on an outside amateur cross country team. (competition on an outside team permitted only during the summer except as provided in Bylaw 17.7.8.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.7.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's cross country team except as provided under Bylaws 14.7.3 and 17.30. (*Revised:* 1/10/91 effective 8/1/91)
  - **17.7.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.7.8.2 Equipment Issue, Squad Pictures. No limitations.

#### 17.8 FENCING

Regulations for computing the fencing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.8.1 Length of Playing Season.** The length of an institution's playing season in fencing shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.8.2 Preseason Practice.** A member institution shall not commence practice sessions in fencing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.8.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in fencing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.8.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (matches or practice matches) in the sport of fencing by the last date of final examinations for the regular academic year at the institution. (*Revised 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)

#### 17.8.5 Number of Dates of Competition

**17.8.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of fencing during the permissible fencing playing season to 11 dates of competition, except for those dates of competition excluded under Bylaws 17.8.5.2, 17.8.5.3 and 17.8.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (*Revised: 1/10/91 effective 8/1/91*)

# Exemptions: Fencing Dates of Competition 2000-01 Academic Year

Annual Exemptions	NCAA Championship [17.8.5.2-(a)-(1)] Season-Ending Tournament [17.8.5.2-(a)-(2)] NCAA Championship Play-In Competition [17.8.5.2-(b)] Alumni Meet [17.8.5.2-(c)] Foreign Team in U.S. [17.8.5.2-(d)] Date(s) against Active Division I Members in Hawaii or Alaska [17.8.5.2-(e)] Fund-Raising Activity [17.8.5.2-(f)] Celebrity Sports Activity [17.8.5.2-(g)] U. S. National Team [17.8.5.2-(h)]
Once-in-Four-Years Exemptions	Certified Once-in-Four-Years Exemptions [17.8.5.3, 30.10.4] OR Foreign Tour [17.8.5.4]

**17.8.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in fencing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.

**17.8.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 11 dates of competition in fencing. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised:* 1/10/91 effective 8/1/91)

**17.8.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of fencing shall exclude the following (see Figure 17-9):

- (a) Season-Ending Tournament
  - (1) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Fencing Championships;
  - (2) **Season-Ending Tournament.** Competition in one season-ending fencing tournament (e.g., conference championship, regional tournament) other than an NCAA fencing championship; (Revised: 1/10/90)
- (b) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (c) Alumni Meet. One date of competition in fencing each year with an alumni team of the institution:
- (d) **Foreign Team in U.S.** One date of competition in fencing each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition in fencing in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
- (f) **Fund-Raising Activity.** Any fencing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's fencing team who participate in local celebrity fencing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and

- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body in fencing. (Adopted: 1/9/96 effective 8/1/96)
- **17.8.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.8.5.4]. (Adopted: 1/9/96 effective 8/1/96)
- **17.8.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.8.5.3. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.8.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaw 17.8.1 except as permitted in Bylaw 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.8.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/10/92)
    - **17.8.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)
- **17.8.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses fencing equipment. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. (*Adopted:* 1/10/91 effective 8/1/91)
- **17.8.8 Camps and Clinics.** There are no limits on the number of student-athletes in fencing who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

## 17.8.9 Other Restrictions

## 17.8.9.1 Noncollegiate, Amateur Competition

- **17.8.9.1.1 During Academic Year.** A student-athlete in the sport of fencing who participates during the academic year as a member of any outside fencing team in any noncollegiate, amateur competition (e.g., fencing tournament, exhibition games or other activity) except while representing the institution in intercollegiate fencing competition shall be ineligible for intercollegiate fencing competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.8.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. ( $Adopted: 1/11/94 \ effective \ 8/1/94$ )
- **17.8.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate fencing who may practice or compete out of season on an outside amateur fencing team. (competition on an outside team permitted only during the summer except as provided in Bylaw 17.8.9.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.8.9.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining with the institution's fencing team except as provided under Bylaws 14.7.3 and 17.30.
  - **17.8.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and

# Fencing/17.8.9.1.2.2—Field Hockey/17.9.5.3

national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.8.9.2 Equipment Issue, Squad Pictures. No limitations.

## 17.9 FIELD HOCKEY

Regulations for computing the field hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.9.1 Length of Playing Season.** The length of an institution's playing season in field hockey shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97*)
- **17.9.2 Preseason Practice.** A member institution shall not commence practice sessions in field hockey prior to the date that permits a maximum of 21 practice opportunities (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.9.3 First Contest or Date of Competition.** A member institution shall not play its first contest or engage in its first date of competition (game or scrimmage) with outside competition in field hockey prior to eleven weeks prior to the start of the NCAA Division I Field Hockey Championship, except that an alumni contest may be played the weekend prior to the first scheduled contest (see Bylaw 17.9.5.1.1 for scrimmage/exhibition games exception). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 1/10/95 effective 8/1/95, Revised: 1/10/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised: 4/20/99 effective 8/1/99)
- **17.9.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of field hockey by the last date of final exams for the regular academic year at the institution. (*Revised: 1/14/97 effective 8/1/97*)

## 17.9.5 Number of Contests and Dates of Competition

- **17.9.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of field hockey during the institution's field hockey playing season in any one year to 20 contests during the segment in which the NCAA championship is conducted and five dates of competition during another segment, except for those contests or dates of competition excluded under Bylaws 17.9.5.3, 17.9.5.4 and 17.9.5.5. (*Revised: 1/10/92 effective 8/1/92*)
  - **17.9.5.1.1 Scrimmages/Exhibition Games.** A member institution may play one field hockey scrimmage or exhibition game (which shall not count toward the institution's won-lost record) prior to the first scheduled contest during a particular academic year, provided the scrimmage or exhibition game is conducted during the institution's declared playing season per Bylaw 17.9.1 (including the preseason practice period) and is counted against the maximum number of contests. (Adopted: 1/10/95 effective 8/1/95)
  - **17.9.5.1.2** In-Season Foreign Competition. A member institution may play one or more of its countable field hockey contests or dates of competition in one or more foreign countries on one trip during the prescribed playing season. However, except for contests or dates of competition played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.9.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 field hockey contests during the segment in which the NCAA championship is conducted and five dates of competition in field hockey during another segment. This limitation includes those contests or dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised:* 1/10/92 effective 8/1/92)
- **17.9.5.3 Annual Exemptions.** The maximum number of contests or dates of competition in the sport of field hockey shall exclude the following (see Figure 17-10):
- (a) **Conference Championship.** Competition in one conference championship tournament in field hockey (or the tournament used to determine the conference's automatic entry in an NCAA field hockey championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference field hockey championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA field hockey championship without the game(s) being counted as a postseason tournament;

# Exemptions: Field Hockey Contests and Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.9.5.3-(a)] Conference Playoff [17.9.5.3-(b)] NCAA Championship [17.9.5.3-(c)] NCAA Championship Play-In Competition [17.9.5.3-(d)] Alumni Game [17.9.5.3-(e)] Foreign Team in U.S. [17.9.5.3-(f)] Fund-Raising Activity [17.9.5.3-(h)] Celebrity Sports Activity [17.9.5.3-(i)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.9.5.3-(g)] <u>AND</u> U.S. National Team [17.9.5.3-(j)]
Once-in-Four-Years Exemptions	Foreign Tour [17.9.5.5]  OR  Certified Once-in-Four-Years Exemptions [17.9.5.4, 30.10.4]

- (c) **NCAA Championship.** Competition in the NCAA Division I Field Hockey Championship; (Revised: 1/9/96 effective 8/1/96)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Game. One contest or date of competition in field hockey each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One contest or date of competition in field hockey each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any contests or dates of competition played in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member institution located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (h) **Fund-Raising Activity.** Any field hockey activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's field hockey team who participate in local celebrity field hockey activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One contest or date of competition played against the U.S. national team as selected by the appropriate national governing body in field hockey. (Adopted: 1/9/96 effective 8/1/96)
- **17.9.5.4 Once-in-Four-Years Exemptions—Certification Required.** A member institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.9.5.5]. (Adopted: 1/9/96 effective 8/1/96)
- 17.9.5.5 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.9.5.4. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

- **17.9.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.9.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.9.6.1 Summer Practice.** Field hockey practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- **17.9.7 Camps and Clinics.** There are no limits on the number of student-athletes in field hockey who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

#### 17.9.8 Other Restrictions

### 17.9.8.1 Noncollegiate, Amateur Competition

- **17.9.8.1.1 During Academic Year.** A student-athlete in the sport of field hockey who participates during the academic year as a member of any outside field hockey team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate field hockey competition shall be ineligible for intercollegiate field hockey competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.9.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. (*Adopted:* 1/11/94 effective 8/1/94)
  - **17.9.8.1.1.2 May 1 Exception.** A student-athlete in the sport of field hockey may compete outside of an institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: (Adopted: 4/22/98 effective 8/1/98)
  - (a) Such participation occurs no earlier than May 1;
  - (b) The number of student-athletes from any one institution does not exceed five;
  - (c) The competition is approved by the institution's director of athletics; and
  - (d) No class time is missed for practice activities or for competition.
- **17.9.8.1.2 Out of Season.** A member institution may permit not more than five student-athletes with eligibility remaining in intercollegiate field hockey to practice or compete out of season on an outside amateur field hockey team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.9.8.1.1.1). (*Revised:* 1/10/91 effective 8/1/91)
  - **17.9.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from the institution's field hockey team except as provided under Bylaws 14.7.3 and 17.30.
  - **17.9.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.9.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing field hockey equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.9.2. (*Revised:* 1/11/89)
  - **17.9.8.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted: 1/11/89*)

## 17.10 FOOTBALL

(See Figures 17-1 and 17-2.)

**17.10.1 Length of Playing Season.** The length of an institution's playing season in football shall be limited to the period of time between the start of preseason practice (see Bylaw 17.10.2) and the end of the

## Examples of Activities Permitted Prior to First Day of Football Practice if Practice Begins on a Monday

	Wednesday	Thursday	Friday	Saturday	Sunday	Monday
D:	Equipment for First-Time Participants	0: 1: (0)	0: 1: (0)	Team Pictures	No Practice Activity or Foot- ball-Related Meetings	First Day of Practice
Divisions I-A and I-AA	Orientation (1)*	Orientation (2)*	Orientation (3)*			
			Varsity Reports for Evening Meal (No Foot- ball-Related Meetings)	Varsity Equipment		

<sup>\*</sup>One of the three days of orientation must be for the sole purpose of academic orientation. Medical examinations may not be conducted or equipment may not be issued on the academic orientation day.

regular playing season (see Bylaw 17.10.4), except as provided in Bylaw 17.10.6 (out-of-season practice) (see Bylaw 17.10.3 for restrictions on first contest dates).

## **17.10.2 Preseason Practice** (See Figure 17-11)

**17.10.2.1 First Practice Date.** A member institution shall not commence official preseason football practice sessions, for the varsity, junior varsity or freshman team, prior to the date that will permit a maximum of 29 practice opportunities (see Bylaw 17.02.11) prior to its first scheduled intercollegiate game, except as provided for student-athletes who are first-time participants (see Bylaw 17.10.2.4). (*Revised: 1/11/89*)

**17.10.2.1.1 Limit on Number of Participants—Division I-A.** In Division I-A football, there shall be a limit of 105 student-athletes who may engage in practice activities prior to the institution's first day of classes or the institution's first contest, whichever occurs earlier. (*Adopted:1/11/94*)

17.10.2.1.1.1 Replacement of Student-Athlete Who Voluntarily Withdraws. A student-athlete who has voluntarily withdrawn from an institution's team, has departed the practice site and no longer will engage in any preseason activities may be replaced with another student-athlete without including that individual in the institution's 105-participant preseason practice limitation. (Adopted: 1/10/95)

**17.10.2.1.2** Limit on Number of Participants—Division I-AA. In Division I-AA football, there shall be a limit of 90 student-athletes who may engage in practice activities prior to the institution's first day of classes or the institution's first contest, whichever occurs earlier. (*Adopted:* 1/11/94)

**17.10.2.1.2.1 Exception—Limited Preseason-Practice Opportunities.** There shall be a limit of 110 student-athletes who may engage in such preseason-practice activities at an institution that establishes its first preseason practice date based on 27 practice opportunities, per Bylaw 17.02.11 (including practice opportunities for first-time participants). This exception shall apply exclusively to institutions that award financial aid in the sport of football pursuant to the following criteria, even if there are student-athletes who participate in football and one or more other sports who receive athletics aid in sport(s) other than football: (Adopted: 1/11/94, Revised: 1/10/95)

- (a) In the sport of football, the institution awards financial aid only to student-athletes who demonstrate financial need, except that loans, academic honor awards, nonathletics achievement awards, or on-campus employment and certain aid from outside sources may be provided without regard to financial need;
- (b) The institution uses methodologies for analyzing need that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete must be consistent with the methodologies used by the institution's financial aid office for all students; and (Revised:1/10/95)
- (c) The composition of the financial aid package offered to football student-athletes is consistent with the policy established for offering financial assistance to all students. The financial aid packages for football student-athletes also shall meet the following criteria:
  - (1) The institution shall not consider athletics ability as a criterion in the formulation of any football student-athlete's financial aid package, and

I-A

I-A

I-AA

I-AA

- (2) The procedures used to award financial aid to football student-athletes must be the same as the existing financial aid procedures utilized for all students at the institution.
- **17.10.2.1.2.2 Junior Varsity Exception.** Institutions that sponsor junior varsity teams that compete in at least four intercollegiate contests are not subject to the limits of Bylaw 17.10.2.1.2. (*Adopted:* 1/11/94)
  - **17.10.2.1.2.3 Replacement of Student-Athlete Who Voluntarily Withdraws.** A student-athlete who has voluntarily withdrawn from an institution's team, has departed the practice site and no longer will engage in any preseason activities may be replaced with another student-athlete without including that individual in the institution's limitation on the number of individuals who may participate in preseason practice. (*Adopted: 1/10/95*)
- **17.10.2.2 Three-Day, Noncontact, Conditioning Practice Period.** Physical activity during the first three days of the preseason practice period shall be limited to noncontact, conditioning drills. No football gear or protective equipment other than headgear, shoulder pads, shoes, pants and porous, lightweight jerseys shall be worn by players during practice sessions in this three-day period. (*Revised:* 1/10/95)
  - **17.10.2.2.1 Counting Sundays.** If one of the first three days of an institution's football practice schedule is a Sunday used for noncontact, conditioning drills, that Sunday may not be counted toward fulfillment of the mandatory three-day conditioning practice requirement.
- **17.10.2.3 Activities Prior to First Practice Date.** It shall be permissible to conduct a recognized medical test included as part of the medical examination that is administered or supervised by a physician or trainer to determine the health status of the systems of the body (i.e., cardiovascular field test). In addition, activities conducted in accordance with the preseason-orientation period for a Division I-A or I-AA member institution are permissible as provided in Bylaw 17.10.2.4.
- **17.10.2.4 Orientation Period**—**First-Time Participants**—**Divisions I-A and I-AA.** A member of Division I-A or I-AA may establish an orientation period to commence three days prior to the start of preseason football practice for those student-athletes who are beginning their initial season of eligibility for football practice at the institution. One of the three days must be for the sole purpose of academic orientation. (*Revised:* 1/11/94, 1/10/95 effective 8/1/95)
  - 17.10.2.4.1 Academic Orientation Day. On the day designated for the sole purpose of academic orientation, student-athletes may engage only in academically related activities, which may include compliance-related activities (e.g., reviewing NCAA eligibility requirements, signing the Student-Athlete Statement or the Drug-Testing Consent Form). It is not permissible for student-athletes to engage in any athletically related activities (including voluntary activities) and institutions may not conduct medical examinations or issue equipment on that day. (Adopted: 1/9/96)
  - 17.10.2.4.2 Practice during Orientation Period. During the orientation period, practice shall be limited to noncontact drills; and no football gear or protective equipment other than headgear, shoulder pads, shoes, pants and porous, lightweight jerseys shall be worn by players during practice sessions. (Revised: 1/10/95)
  - **17.10.2.4.3 Counting Sundays.** A Sunday, or the day on which it is permissible under Bylaw 17.10.8.2 to issue equipment and take squad pictures, shall not be counted in this three-day period. (*Revised:* 1/11/94, 1/10/95 effective 8/1/95)
- **17.10.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) with outside competition in football prior to the Thursday preceding Labor Day except when selected to participate in a preseason game approved by the Championships/Competition Cabinet (see Bylaw 30.10). (Revised: 1/11/89, Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97)
- **17.10.4 End of Playing Season.** A member institution's last contest (game or scrimmage) with outside competition in football shall not be played after the second Saturday or Sunday in December, except for the following: (Revised: 1/11/89)
- (a) **Spring Practice Scrimmage.** One scrimmage or contest at the conclusion of spring practice, provided the game is with a team composed of bona fide alumni or students or both; and *(Revised: 1/10/90)*
- (b) Bowl Games, NCAA and NAIA Championships, International Competition, Heritage Bowl and Pioneer Bowl. One postseason game approved by the Championships/Competition Cabinet or those games played in the National Collegiate Division I-AA Football Championship; football contests played on a foreign tour certified by the member institution, or the National Association of Intercollegiate Athletics football championships. In Division I-AA only, a member institution's last contest also may include participation in the Heritage Bowl to be held between representatives of the Mid-Eastern Athletic Conference and the Southwestern Athletic Conference. (Revised: 1/10/90, 1/10/91, 1/10/92, 1/16/93, 1/14/97 effective 8/1/97, Revised: 8/5/99)
  - 17.10.4.1 Heritage Bowl Restrictions. All members of the Mid-Eastern and Southwestern Athletic

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I-A/ I-AA

Exemptions: Football Contests 2000-01 Academic Year

Annual Exemptions	Twelve-Member Conference Championship Game [17.10.5.2-(c)] Bowl Games [17.10.5.2-(e)] NCAA Championships [17.10.5.2-(f)] NCAA Championship Play-In Competition [17.10.5.2-(gl)] NAIA Championship [17.10.5.2-(h)] Celebrity Sports Activity [17.10.5.2-(k)]
Additional Annual Exemptions	Spring Game [17.10.5.2-(a)]  AND  Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.10.5.2-(j)]  AND  Heritage Bowl [Division I-AA, 17.10.5.2-(b)]  AND  Division I-AA Conference Tournament [17.10.5.2-(d)]
Once-in-Four-Years Exemptions	Foreign Tour [17.10.5.2-(i)] <u>AND</u> Exempted Preseason Contest [17.10.5.3]

Conferences shall participate in the Division I-AA Football Championship, if eligible and selected for participation, and members of those conferences that participate in the Division I-AA Football Championship also shall not participate in the Heritage Bowl during the same year. The Heritage Bowl may be conducted on the same weekend as the Division I-AA Football Championship, provided there is no conflict in the time of the contests. (Adopted: 1/16/93, Revised: 8/5/99)

**17.10.4.2 Final Date for Bowl Game.** In Division I-A, the certified postseason football bowl game in Bylaw 17.10.4-(b) must be played not later than the January 4 immediately following the conclusion of the regular football season. In Division I-AA, the certified postseason football game in Bylaw 17.10.4-(b) must be played not later than the January 2 immediately following the conclusion of the regular football season or, if January 2 falls on a Friday or a Sunday, not later than January 3 of that year. (Adopted: 1/11/89, Revised: 1/10/95 effective 8/1/95)

## 17.10.5 Number of Contests

**17.10.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total regular-season playing schedule with outside competition in the sport of football during the permissible football playing season in any one year to the following number of contests (games or scrimmages), except as provided for member institutions located in Alaska and Hawaii, under Bylaw 17.29.2, and except as provided for all members under Bylaw 17.10.5.2:

The following Bylaw 17.10.5.1-(a) was revised for Division I-A at the January 12, 1999, and for Division I-AA at the August 5, 1999, NCAA Board of Directors Meetings, effective August 1, 2002:

- (a) Divisions I and II—11.
- (a) Divisions I-A and I-AA—11, except that 12 football contests shall be permissible during those years in which there are 14 Saturdays from the first permissible playing date through the last playing date in November (2002, 2003, 2008, 2013, 2014 and 2019). (Revised: 1/12/99 effective 8/1/02, Revised: 8/5/99 effective 8/1/02)

**17.10.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in football in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada, Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.

**17.10.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 11 football contests. This limitation includes those contests in which the student-athlete represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. ( $Revised: 1/10/91 \ effective \ 8/1/92$ )

!-A/ I-AA **17.10.5.2 Annual Exemptions.** The maximum number of football contests shall exclude the following (see Figure 17-12):

- (a) **Spring Game.** One contest at the conclusion of the spring practice period [see Bylaw 17.10.4-(a)], provided the contest is against a team composed of bona fide alumni or students or both; (Revised: 1/10/90)
- (b) **Heritage Bowl.** Participation in the Heritage Bowl held between representatives of the Mid-Eastern Athletic Conference and the Southwestern Athletic Conference, which shall meet the reporting requirements set forth in Bylaw 30.9 in order to be exempt; (Adopted: 1/10/91, Revised: 1/10/92)
- (c) **Twelve-Member Conference Championship Game.** A conference championship game between division champions of a member conference of 12 or more institutions that is divided into two divisions (of six or more institutions each), each of which conducts round-robin, regular-season competition among the members of that division;
- (d) **Division I-AA Conference Tournament.** In Division I-AA, a conference-sponsored, season-ending postseason tournament (i.e., one between teams that are not identified until the end of the preceding regular season), not to exceed one contest for any member institution. This provision does not preclude a Division I-AA institution from participating in a conference-sponsored, season-ending postseason tournament and additional postseason football opportunities (e.g., NCAA Championship, Heritage Bowl) during the same season; (Adopted: 1/16/93 effective 8/1/93, Revised: 1/13/98 effective 8/1/98, Revised: 2/16/00)
- (e) **Bowl Games.** One postseason game approved by the Championships/Competition Cabinet (see 30.9);
- (f) NCAA Championships. Games played in the NCAA Division I-AA Football Championship;
- (g) NCAA Championship Play-In Competition. Competition in play-in contests conducted before NCAA championships;
- (h) **NAIA Championships.** Games played in the National Association of Intercollegiate Athletics (NAIA) football championship;
- (i) **Foreign Tour.** The football games played on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30);
- (j) **Hawaii, Alaska, Puerto Rico.** Any football games played in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a Division I member institution located outside the area in question; and
- (k) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's football team who participate in local celebrity football activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

The following Bylaw 17.10.5.3 was revised for Division I-A at the January 12, 1999, and for Division I-AA at the August 5, 1999, NCAA Division I Board of Directors meetings, to be deleted effective January 1, 2003:

**17.10.5.3 Once-In-Four-Years Exemption.** Once every four years, a Division I-A and I-AA institution may participate in one exempted preseason football contest certified by the Championships/Competition Cabinet (see Bylaws 17.10.3 and 30.10). During the 2002 playing season, an institution may not participate in a preseason football contest certified by the Championships/Competition Cabinet if the institution participates in a 12th regular-season football contest during that season. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/19/99)

**17.10.5.3 Once-In-Four-Years Exemption.** Once every four years, a Division I-A or I-AA institution may participate in one exempted preseason football contest certified by the Championships/Competition Cabinet (see Bylaws 17.10.3 and 30.10). (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 1/1/03)

**17.10.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the playing season, except for the following:

I-AA

I-AA

I-A/ I-AA

I-A/ I-AA

- (a) **Conditioning Activities.** Student-athletes may participate in conditioning activities pursuant to Bylaw 17.1.5.2; and
- (b) **Spring Practice.** Fifteen postseason practice sessions [including intrasquad scrimmages and the spring game permitted in Bylaw 17.10.5.2-(a)] are permissible, provided they are conducted within a period of 29 consecutive calendar days, omitting vacation and examination days officially announced on the institution's calendar and days during which the institution is closed due to inclement weather. Any such practice sessions held during vacation days may not be of longer duration than those normally held when academic classes are in session. Only 12 of the 15 sessions may involve contact, and such contact shall not occur prior to the third practice session. The noncontact practice sessions may involve headgear as the only piece of protective equipment. Of the 12 permissible contact sessions, eight sessions may involve tackling, and no more than three of the eight tackling sessions may be devoted primarily (greater than 50 percent of practice time) to 11-on-11 scrimmages. Tackling shall be prohibited in four of the 12 contact sessions. An institution has the discretion to determine the practice activities (other than tackling) that may occur during the four contact nontackling sessions as well as the protective equipment to be worn by the student-athletes. If an institution conducts a "spring game" per Bylaw 17.10.5.2-(a), the game shall be counted as one of the three sessions that can be devoted primarily to 11-on-11 scrimmages. The amount of time that a student-athlete may be involved in such postseason countable athletically related activities shall be limited to a maximum of four hours per day and 20 hours per week. (Revised: 1/10/90 effective 8/1/90, Revised: 1/10/91, 1/10/92, 1/11/94, 1/13/98)

# **17.10.7** Camps or Clinics (Revised: 1/11/89, 1/11/94, 1/10/95)

- **17.10.7.1 Employment in Own Institution's Camp or Clinic.** A member institution (or an employee of its athletics department) may not employ members of its football squad as counselors in camps or clinics except as provided in Bylaws 17.10.7.1.2 and 17.10.7.1.3. (*Revised: 1/11/89, 1/11/94, 1/10/95*)
  - **17.10.7.1.1 Employment in Specialized Camp—Student-Athlete's Institution.** No member of an institution's football squad who has eligibility remaining may be employed or otherwise participate in that institution's football camp. (*Revised:* 1/11/89, 1/11/94, 1/10/95)
  - **17.10.7.1.2** Employment in Specialized Camp—Other Than Student-Athlete's Institution. A member of an institution's football squad who has eligibility remaining may be employed in any camp that specializes in the sport of football, other than his own institution's camp, provided not more than one football student-athlete from any one Division I institution is employed at that camp. (*Revised:* 1/11/89, 1/11/94, 1/10/95)
  - **17.10.7.1.3 Employment in Diversified Sports Camp.** A member of an institution's football squad who has eligibility remaining may be employed as a counselor in any diversified camp, including a diversified camp conducted by the student-athlete's own institution, provided not more than one member with eligibility remaining of the previous year's football squad of any one Division I institution is employed at that camp. (*Revised:* 1/11/89, 1/11/94, 1/10/95)

# 17.10.8 Other Restrictions

- **17.10.8.1 Noncollegiate, Amateur Competition.** A student-athlete shall be ineligible for intercollegiate competition in football for the duration of the football season and for the next academic year if, following enrollment in college and during any year in which the student-athlete is a member of an intercollegiate football squad or team, the student-athlete competes or has competed as a member of any outside football team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity). (*Adopted:* 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.10.8.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. (*Adopted: 1/11/94*)
- **17.10.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing football equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the opening of permissible practice as specified in Bylaw 17.10.2.1 (see Figure 17-12). In Divisions I-A and I-AA, the issuance of equipment to first-time participants must occur on or after the first day of the orientation period set forth in Bylaw 17.10.2.4. (*Revised:* 1/10/95)
  - **17.10.8.2.1 Exception for Sundays.** If the day prior to the beginning of the opening of permissible practice is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted: 1/11/89*)
- **17.10.8.3 Postseason Football Contests.** A member institution shall not participate in any noncollegiate or nonconference-sponsored postseason football game unless it has been certified by the Championships/Competition Cabinet and satisfies the provisions of Bylaws 18.7 and 30.9.

I-A/ I-AA

## 17.11 GOLF

Regulations for computing the golf playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.11.1 Length of Playing Season.** The length of an institution's playing season in golf shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- **17.11.2 Preseason Practice.** A member institution shall not commence practice sessions in golf prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
  - **17.11.2.1 Exception.** A member institution that is a member of a conference that conducts its only conference championship in the sport of golf during the fall may commence practice August 24 or the first day in which classes are scheduled for the institution's fall term, whichever date occurs earlier. ( $Adopted: 4/20/99 \ effective \ 8/1/99$ )
- **17.11.3 First Date of Competition.** A member institution shall not engage in its first date of competition (match or practice match) with outside competition in golf prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
  - 17.11.3.1 Exception. A member institution that is a member of a conference that conducts its only conference championship in the sport of golf during the fall may engage in its first date of competition with outside competition September 1 or the first day in which classes are scheduled for the institution's fall term, whichever date occurs earlier. (Adopted: 4/20/99 effective 8/1/99)
- **17.11.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of golf by the conclusion of the NCAA Division I Golf Championships. (*Revised:* 1/14/97 effective 8/1/97)

# 17.11.5 Number of Dates of Competition

- **17.11.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of golf during the permissible golf playing season to 24 dates of competition, except for those dates of competition excluded under Bylaw 17.11.5.2, 17.11.5.3 and 17.11.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (*Revised:* 1/10/91 effective 8/1/91)
  - **17.11.5.1.1 Tournament Limitations.** No tournament including those involving "college-am" fundraisers shall exceed three days. A "college-am" fund-raiser may be excluded from this limitation, provided the event is held the day prior to a three-day tournament and no practice round is played prior to the day of the "college-am." (Adopted: 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94)
  - **17.11.5.1.2 Determination of Date of Competition.** A member institution shall be considered to have utilized a date of competition in golf if one or more student-athletes participate on behalf of the institution on a particular date.
  - **17.11.5.1.3** In-Season Foreign Competition. A member institution may play one or more of its countable dates of competition in golf in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.11.5.1.4 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 24 dates of competition in golf (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised:* 1/10/91 effective 8/1/91)
- **17.11.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of golf shall exclude the following (see Figure 17-13):
  - **17.11.5.2.1 Certification Required.** In order for a member institution to exempt the following dates of competition from its maximum number of dates of competition in the sport of golf, they shall be certified by the Championships/Competition Cabinet (see Bylaw 30.10.4). (Adopted: 1/9/96 effective 8/1/96)
  - (a) **East-West All-Star Match.** The participation of a member or members of an institution's golf

# Exemptions: Golf Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.11.5.2.2-(a)] NAIA Championship [17.11.5.2.2-(b)] NCAA Championship [17.11.5.2.2-(c)] NCAA Championship Play-In Competition [17.11.5.2.2-(d)] Alumni Match [17.11.5.2.2-(e)] Foreign Team in U.S. [17.11.5.2.2-(f)] East-West All-Star Match [17.11.5.2.1-(a)] Sun Bowl All-American Classic [17.11.5.2.1-(b)] Fund-Raising Activity [17.11.5.2.2-(h)] Celebrity Sports Activity [17.11.5.2.2-(j)] College-Am Event [17.11.5.2.2-(j)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.11.5.2.2-(g)] AND Conference Playoff [17.11.5.2.2-(k)] AND U.S. National Team [17.11.5.2.2-(I)]
Once-in- Four-Years Exemptions	Foreign Tour [17.11.5.4]  OR  Certified Once-in-Four-Years Exemptions [17.11.5.3, 30.10.4]

team in the East-West all-star golf match at the site of the NCAA Division I Golf Championships on the day preceding the start of the competition (i.,e., the practice-round day); and

(b) **Sun Bowl All-American Classic.** Participation in the Sun Bowl All-American Classic, provided not more than two student-athletes from the institution participate in that event.

**17.11.5.2.2 Certification Not Required.** A member institution may exempt the following dates of competition from its maximum number of dates of competition in the sport of golf: (Adopted: 1/9/96 effective 8/1/96)

- (a) Conference Championship. Competition in one conference championship tournament in golf;
- (b) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) golf championships;
- (c) NCAA Championship. Competition in the NCAA Division I golf championships;
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Match.** One date of competition in golf each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One date of competition in golf each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any dates of competition in golf in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (h) Fund-Raising Activity. Any golf activities in which student-athletes from more than one of the institution's athletics teams or in which team members of that sport participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's golf team who participate in local celebrity golf activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;

- (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **College-Am Event.** A "college-am" golf tournament, provided the event is held in conjunction with intercollegiate competition and student-athletes do not receive awards or prizes for such participation; (*Revised:* 1/10/91)
- (k) **Conference Playoff.** Conference playoff competition involving member institutions that tie for a conference championship. Such teams may participate in a single-conference championship playoff to determine the conference's automatic entry into an NCAA championship without the contest(s) being counted as a postseason tournament; and (Adopted: 1/9/96 effective 8/1/96)
- (1) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for golf. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.11.5.3** Once-in-Four-Years Exemptions—Certification Required. A member institution may exempt not more than one of the certified once-in-four-years exemptions from counting toward the maximum number of dates of competition in the sport of golf during any academic year and may not participate again in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.11.5.4]. (Adopted: 1/9/96 effective 8/1/96)
- **17.11.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt from its maximum number of dates of competition in the sport of golf not more than once during any academic year the dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.11.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.11.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.11.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (*Revised: 1/10/91*)
  - **17.11.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
    - **17.11.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)
- **17.11.7 Camps and Clinics.** There are no limits on the number of student-athletes in golf who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

#### 17.11.8 Other Restrictions

- 17.11.8.1 Noncollegiate, Amateur Competition
  - **17.11.8.1.1 During Academic Year.** A student-athlete in the sport of golf who participates during the academic year as a member of any outside golf team in any noncollegiate, amateur competition (e.g., tournament play, exhibition meets or other activity) except while representing the institution in intercollegiate golf competition shall be ineligible for intercollegiate golf for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93)
    - **17.11.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted: 1/11/94 effective 8/1/94*)
  - **17.11.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate golf who may practice or compete out of season on an outside amateur golf team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.11.8.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
    - 17.11.8.1.2.1 Involvement of Coaching Staff Member. No member of the coaching staff of a

member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's golf team except as provided under Bylaws 14.7.3 and 17.30. (Revised: 1/10/91 effective 8/1/91)

**17.11.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.11.8.2 Equipment Issue, Squad Pictures. No limitations. (Revised: 1/16/93 effective 8/1/93)

## 17.12 GYMNASTICS

Regulations for computing the gymnastics playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.12.1 Length of Playing Season.** The length of an institution's playing season in gymnastics shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.12.2 Preseason Practice.** A member institution shall not commence practice sessions in gymnastics prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.12.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in gymnastics prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.12.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of gymnastics by the conclusion of the USA Gymnastics or National College Gymnastics Association (NCGA) collegiate gymnastics championships, whichever is later. (Revised 1/10/91 effective 8/1/91, Revised: 1/14/97, 4/27/00)

# 17.12.5 Number of Dates of Competition

- **17.12.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of gymnastics during the permissible gymnastics playing season to 13 dates of competition except for the dates of competition excluded under Bylaws 17.12.5.2, 17.12.5.3 and 17.12.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.12.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable gymnastics dates of competition in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.12.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 13 dates of competition in gymnastics. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
- **17.12.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of gymnastics shall exclude the following (see Figure 17-14):
- (a) Season-Ending Tournaments
  - (1) **NCAA Championship.** Competition in the National Collegiate gymnastics championships;
  - (2) **Conference Championship.** Competition in one conference championship meet in gymnastics; and
  - (3) **USA Gymnastics and NCGA Championships.** Competition in the USA Gymnastics and National College Gymnastics Association (NCGA) collegiate gymnastics championships; (*Revised: 1/16/93*)

# Exemptions: Gymnastics Dates of Competition 2000-01 Academic Year

Annual Exemptions	NCAA Championship [17.12.5.2-(a)-(1)] Conference Championship [17.12.5.2-(a)-(2)] USA Gymnastics and NCGA Championships [17.12.5.2-(a)-(3)] NCAA Championship Play-In Competition [17.12.5.2-(b)] Alumni Meet [17.12.5.2-(c)] Foreign Team in U.S. [17.12.5.2-(d)] Date(s) against Active Division I Members in Hawaii or Alaska [17.12.5.2-(e)] Fund-Raising Activity [17.12.5.2-(f)] Celebrity Sports Activity [17.12.5.2-(g)] U.S. National Team [17.12.5.2-(h)]
Once-in-Four-Years Exemptions	Certified Once-in-Four-Years Exemptions [17.12.5.3, 30.10.3]  OR Foreign Tour [17.12.5.4]

- (b) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (c) **Alumni Meet.** One date of competition in gymnastics each year with an alumni team of the institution:
- (d) **Foreign Team in U.S.** One date of competition in gymnastics each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition in gymnastics in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
- (f) **Fund-Raising Activity.** Any gymnastics activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's gymnastics team who participate in local celebrity gymnastics activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for gymnastics. (Adopted: 1/9/96 effective 8/1/96)
- **17.12.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.12.5.4). (*Adopted: 1/9/96 effective 8/1/96*)
- **17.12.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.12.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.12.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaw 17.12.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (*Revised: 1/10/91 effective 8/1/91*)

- **17.12.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
  - **17.12.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)
- **17.12.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses gymnastics equipment. The coach may provide safety instruction and skill instruction, but cannot conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)
- **17.12.8 Camps and Clinics.** There are no limits on the number of student-athletes in gymnastics who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

#### 17.12.9 Other Restrictions

### 17.12.9.1 Noncollegiate, Amateur Competition

- **17.12.9.1.1 During Academic Year.** A student-athlete in the sport of gymnastics who participates during the academic year as a member of any outside gymnastics team in any noncollegiate, amateur competition (e.g., tournament, exhibition meets or other activity) except while representing the institution in intercollegiate gymnastics competition shall be ineligible for intercollegiate gymnastics competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93*)
  - **17.12.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted:* 1/11/94 effective 8/1/94)
- **17.12.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate gymnastics who may practice or compete out of season on an outside amateur gymnastics team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.12.9.1.1.1). (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93*)
  - **17.12.9.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's gymnastics team except as provided under Bylaws 14.7.3 and 17.30.
  - **17.12.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.12.9.2 Equipment Issue, Squad Pictures. No limitations.

## 17.13 ICE HOCKEY

Regulations for computing the ice hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. Institutions that conduct women's ice hockey shall comply with the same playing and practice season legislation that currently exists for men's ice hockey. (See Figures 17-1 and 17-2.) (Revised: 1/9/96 effective 8/1/96)

- **17.13.1 Length of Playing Season.** The length of an institution's playing season in ice hockey shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- **17.13.2 Preseason Practice.** A member institution shall not commence practice sessions in ice hockey prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)

Exemptions: Ice Hockey Contests 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.13.5.3-(a)] Conference Playoff [17.13.5.3-(b)] Season-Ending Tournaments [17.13.5.3-(c)] NCAA Championship Play-In Competition [17.13.5.3-(d)] Alumni Game [17.13.5.3-(e)] Foreign Team in U.S. [17.13.5.3-(f)] Fund-Raising Activity [17.13.5.3-(k)] Celebrity Sports Activity [17.13.5.3-(k)]
Additional Annual Exemptions	Game(s) against Active Division I Member in Hawaii or Alaska [17.13.5.3-(j)]  AND  Hall of Fame Game [17.13.5.3-(g)]  AND  U.S. Olympic Team [17.13.5.3-(h)]  AND  U.S. National Team [17.13.5.3-(j)]
Once-in-Four-Years Exemptions	Foreign Tour [17.13.5.5]  OR Certified Once-in-Four-Years Exemptions [17.13.5.4, 30.10.4]

**17.13.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) with outside competition in ice hockey prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/95 effective 8/1/95)

**17.13.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in each segment in the sport of ice hockey by the last day of final examinations for the regular academic year at the institution. (*Revised: 1/14/97 effective 8/1/97*)

#### 17.13.5 Number of Contests

- **17.13.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition during the institution's ice hockey playing season to 34 contests (games or scrimmages), except for those contests excluded under Bylaws 17.13.5.3, 17.13.5.4 and 17.13.5.5. (Revised: 1/10/91 effective 8/1/91, Revised: 1/9/96 effective 8/1/96)
- **17.13.5.1.1** In-Season Foreign Competition. A member institution may play one or more of its countable contests in ice hockey in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.13.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 34 contests (this limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (Revised: 1/10/91 effective 8/1/91)
- **17.13.5.3 Annual Exemptions.** The maximum number of ice hockey contests shall exclude the following (see Figure 17-15):
- (a) **Conference Championship.** Competition in one conference championship tournament in ice hockey (or the tournament used to determine the conference's automatic entry in an NCAA ice hockey championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA ice hockey championship without the game(s) being counted as a postseason tournament;
- (c) Season-Ending Tournaments
  - (1) **NCAAChampionships.** Competition in the NCAA Division I Men's Ice Hockey Championship;
  - (2) American Women's College Hockey Alliance Championship. Competition in the American Women's College Hockey Alliance national championship tournament. (Adopted: 8/11/98)

- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Game. One ice hockey contest each year with an alumni team of the institution;
- (f) Foreign Team in U.S. One ice hockey contest each year with a foreign opponent in the United States;
- (g) **Hall of Fame Game.** The one ice hockey game between two Division I intercollegiate teams at the U.S. Hockey Hall of Fame;
- (h) **U.S. Olympic Team.** One ice hockey contest each year against the U.S. Olympic ice hockey team during that team's training for participation in the Winter Olympics; (*Adopted:* 1/16/93)
- (i) **Hawaii or Alaska.** Any games played in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (j) **Fund-Raising Activity.** Any ice hockey activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (k) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's ice hockey team who participate in local celebrity ice hockey activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (1) **U.S. National Team.** One game played against the U.S. national team as selected by the appropriate national governing body for ice hockey. (Adopted: 1/9/96 effective 8/1/96)
- **17.13.5.4 Once-in-Four-Years Exemptions—Certification Required.** A member institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/ Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.13.5.5). (Adopted: 1/9/96 effective 8/1/96)
- 17.13.5.5 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.13.5.4. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
- **17.13.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.13.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1 (Revised: 1/10/91 effective 8/1/91)
  - **17.13.6.1 Summer Practice.** Ice hockey practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- **17.13.7 Camps and Clinics.** There are no limits on the number of student-athletes with eligibility remaining in ice hockey who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

# 17.13.8 Other Restrictions

## 17.13.8.1 Noncollegiate, Amateur Competition

- **17.13.8.1.1 During Academic Year.** A student-athlete in the sport of ice hockey who participates during the academic year as a member of any outside ice hockey team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate ice hockey competition shall be ineligible for intercollegiate ice hockey competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.13.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncolle-

# Ice Hockey/17.13.8.1.1.1—Lacrosse/17.14.5.1.2

- giate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (Adopted: 1/11/94 effective 8/1/94)
- **17.13.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate ice hockey who may practice or compete out of season on an outside, amateur ice hockey team, provided such competition on an outside team occurs only during the summer (except as provided in Bylaw 17.13.8.1.1.1). (Revised: 1/10/91 effective 8/1/91)
  - **17.13.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's ice hockey team except as provided under Bylaws 14.7.3 and 17.30.
  - **17.13.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.13.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing ice hockey equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.13.2. (Revised: 1/11/89)
  - **17.13.8.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted: 1/11/89*)

## 17.14 LACROSSE

Regulations for computing the lacrosse playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.14.1 Length of Playing Season.** The length of an institution's playing season in lacrosse shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- **17.14.2 Preseason Practice.** A member institution shall not commence practice sessions in lacrosse prior to September 7 or the institution's first day of classes, whichever is earlier. (*Revised: 1/14/97 effective 8/1/97*)
- **17.14.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in lacrosse prior to September 7 or the institution's first day of classes, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.14.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of lacrosse by the conclusion of the NCAA Division I Lacrosse Championship. (*Revised:* 1/14/97 effective 8/1/97)

### 17.14.5 Number of Dates of Competition

- **17.14.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of lacrosse during the institution's lacrosse playing season to 17 dates of competition in men's lacrosse and 17 dates of competition during the segment in which the NCAA championship is conducted and five dates of competition during another segment in women's lacrosse, except for those dates of competition excluded under Bylaws 17.14.5.2, 17.14.5.3 and 17.14.5.4. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
  - **17.14.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in lacrosse in one or more foreign countries on one trip during the prescribed playing season. However, except for dates of competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.14.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 17 dates of competition in men's lacrosse and 17 dates of competition during the segment in which the NCAA championship is conducted and five dates of competition during another segment in women's lacrosse. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, includ-

# Exemptions: Lacrosse Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.14.5.2-(a)] NCAA Championship [17.14.5.2-(b)] NCAA Championship Play-In Competition [17.14.5.2-(c)] Alumni Game [17.14.5.2-(d)] Foreign Team in U.S. [17.14.5.2-(e)] Fund-Raising Activity [17.14.5.2-(g)] Celebrity Sports Activity [17.14.5.2-(h)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.14.5.2-(f)] <u>AND</u> U.S. National Team [17.14.5.2-(i)]
Once-in-Four-Years Exemptions	Foreign Tour [17.14.5.4]  OR  Certified Once-in-Four-Years Exemptions [17.14.5.3, 30.10.4]

ing competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)

**17.14.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of lacrosse shall exclude the following (see Figure 17-16):

- (a) Conference Championship. Competition in one conference championship tournament in lacrosse;
- (b) **NCAA Championship.** Competition in an NCAA lacrosse championship;
- (c) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (d) Alumni Game. One date of competition in lacrosse each year with an alumni team of the institution;
- (e) **Foreign Team in U.S.** One date of competition in lacrosse each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska—Division I.** Any dates of competition played in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (g) Fund-Raising Activity. Any lacrosse activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's lacrosse team who participate in local celebrity lacrosse activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for lacrosse. (Adopted: 1/9/96 effective 8/1/96)
- **17.14.5.3 Once-in-Four-Years Exemptions—Certification Required.** A member institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.14.5.4]. (Adopted: 1/9/96 effective 8/1/96)
- **17.14.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the dates of competition played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).

- An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.14.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.14.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.14.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.14.6.1 Summer Practice.** Lacrosse practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- **17.14.7 Camps and Clinics.** There are no limits on the number of student-athletes in lacrosse who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

#### 17.14.8 Other Restrictions

- 17.14.8.1 Noncollegiate, Amateur Competition
  - **17.14.8.1.1 During Academic Year.** A student-athlete in the sport of lacrosse who participates during the academic year as a member of any outside lacrosse team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate lacrosse competition shall be ineligible for intercollegiate lacrosse competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
    - **17.14.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. (*Adopted:* 1/11/94 effective 8/1/94)
  - **17.14.8.1.2 Out of Season.** A member institution may permit not more than five student-athletes with eligibility remaining in intercollegiate lacrosse to practice or compete out of season on an outside, amateur lacrosse team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.14.8.1.1.1). (*Revised:* 1/10/91 effective 8/1/91)
    - **17.14.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's lacrosse team except as provided under Bylaws 14.7.3 and 17.30.
    - **17.14.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.14.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing lacrosse equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.14.2. (*Revised:* 1/11/89)
  - **17.14.8.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted: 1/11/89*)

## 17.15 RIFLE

Regulations for computing the rifle playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.15.1 Length of Playing Season.** The length of an institution's playing season in rifle shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.15.2 Preseason Practice.** A member institution shall not commence practice sessions in rifle prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.15.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in rifle prior to September 7 or the institution's first

# Exemptions: Rifle Dates of Competition 2000-01 Academic Year

Annual Exemptions	Season-Ending Tournaments [17.15.5.2-(a)] NCAA Championship Play-In Competition [17.15.5.2-(b)] Alumni Match [17.15.5.2-(c)] Foreign Team in U.S. [17.15.5.2-(d)] Date(s) against Active Members in Hawaii or Alaska [17.15.5.2-(e)] Fund-Raising Activity [17.15.5.2-(f)] Celebrity Sports Activity [17.15.5.2-(g)] U.S. National Team [17.15.5.2-(h)]
Once-in-Four-Years Exemptions	Certified Once-in-Four-Years Exemptions [17.15.5.3, 30.10.4]  OR Foreign Tour [17.15.5.4]

day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)

**17.15.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of rifle by the last date of final examinations for the regular academic year at the institution. (Revised 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)

## 17.15.5 Number of Dates of Competition

**17.15.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of rifle during the permissible rifle playing season to 13 dates of competition except for those dates of competition excluded under Bylaws 17.15.5.2, 17.15.5.3 and 17.15.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91)

**17.15.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in rifle in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.

**17.15.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 13 dates of competition in rifle. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91)

**17.15.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of rifle shall exclude the following (see Figure 17-17):

### (a) Season-Ending Tournaments

- (1) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Rifle Championships; and
- (2) **Conference Championship.** Competition in one conference championship competition in rifle:
- (b) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (c) Alumni Match. One date of competition in rifle each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition in rifle each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska**. Any dates of competition in rifle in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by an active member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)

- (f) **Fund-Raising Activity.** Any rifle activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's rifle team who participate in local celebrity rifle activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for rifle. (*Adopted:* 1/9/96 effective 8/1/96)
- 17.15.5.3 Once-in-Four-Years Exemptions—Certification Required. An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.15.5.4). (Adopted: 1/9/96 effective 8/1/96)
- 17.15.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.15.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.15.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaws 17.15.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.15.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)
    - **17.15.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (Adopted: 1/10/92, Revised: 1/11/94)
- **17.15.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is shooting. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. ( $Adopted: 1/10/91 \ effective \ 8/1/91$ )
- **17.15.8 Camps and Clinics.** There are no limits on the number of student-athletes in rifle who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

### 17.15.9 Other Restrictions

- 17.15.9.1 Noncollegiate, Amateur Competition
  - 17.15.9.1.1 During Academic Year. A student-athlete in the sport of rifle who participates during the academic year as a member of any outside rifle team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate rifle competition shall be ineligible for intercollegiate rifle competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
    - **17.15.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted:* 1/11/94 effective 8/1/94)
  - **17.15.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate rifle who may practice or compete

out of season on an outside, amateur rifle team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.15.9.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

- 17.15.9.1.2.1 Involvement of Coaching Staff. No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's rifle team except as provided under Bylaws 14.7.3 and 17.30. (Revised: 1/10/91 effective 8/1/91)
- **17.15.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.15.9.2 Equipment Issue, Squad Pictures. No limitations.

# 17.16 ROWING, WOMEN'S

Regulations for computing the rowing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- **17.16.1 Length of Playing Season.** The length of an institution's playing season in rowing shall be limited to a 156-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
  - **17.16.1.1 Winter-Training Trip.** A single winter-training trip, for practice only, shall be permitted between the segments, provided the trip does not exceed two weeks and is counted as part of the 156-day playing and practice season. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.16.2 Preseason Practice.** A member institution shall not commence practice sessions in rowing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.16.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in rowing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.16.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of rowing by the conclusion of the National Collegiate Rowing Championship. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

## 17.16.5 Number of Dates of Competition

- **17.16.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of rowing during the institution's playing season to 20 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.16.5.3 and 17.16.5.4. (*Adopted: 1/9/96 effective 8/1/96*)
  - 17.16.5.1.1 In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in rowing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
- **17.16.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 20 dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (Adopted: 1/9/96 effective 8/1/96)
- **17.16.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of rowing shall exclude the following (see Figure 17-18): (*Adopted: 1/9/96 effective 8/1/96*)
- (a) Conference Championship. Competition in one conference championship meet in rowing;
- (b) Season-Ending Tournament
  - (1) **NCAA Championship.** Competition in the National Collegiate Women's Rowing Championships; and

Exemptions: Rowing Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.16.5.3-(a)] Season-Ending Tournaments [17.16.5.3-(b)] Alumni Meet [17.16.5.3-(c)] Foreign Team in U.S. [17.16.5.3-(d)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.16.5.3-(e)] Fund-Raising Activity [17.16.5.3-(f)] Celebrity Sports Activity [17.16.5.3-(g)]
Once-in-Four-Years Exemptions	Foreign Tour [17.16.5.4]

- (2) **Season-Ending Championship Event.** Season-ending competition in one of the recognized national collegiate rowing championship events for which the institution is qualified and eligible to participate (e.g., Cincinnati Regatta, Intercollegiate Rowing Association Regatta, Dad Vail Regatta, Champion International Regatta);
- (c) Alumni Meet. One date of competition in rowing each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition in rowing each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in rowing in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fund-Raising Activity.** Any rowing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's rowing team who participate in local celebrity rowing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.16.5.4 Foreign Tour.** The dates of competition in rowing on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.16.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.16.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)
  - 17.16.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- **17.16.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses rowing equipment. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (Adopted: 1/9/96 effective 8/1/96)
- **17.16.8 Camps and Clinics.** There are no limits on the number of student-athletes in rowing who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Adopted: 1/9/96 effective 8/1/96*)

### 17.16.9 Other Restrictions

## 17.16.9.1 Noncollegiate, Amateur Competition

- **17.16.9.1.1 During the Academic Year.** A student-athlete in the sport of rowing who participates during the academic year as a member of any outside rowing team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate rowing competition shall be ineligible for intercollegiate rowing competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. (Adopted: 1/9/96 effective 8/1/96)
- 17.16.9.1.2 Out of Season. There are no limits on the number of student-athletes with eligibility remaining in intercollegiate rowing who may practice or compete out of season on an outside, amateur rowing team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.16.9.1.1.1). (Adopted: 1/9/96 effective 8/1/96)
  - 17.16.9.1.2.1 Involvement of Coaching Staff. No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's rowing team except as provided under Bylaws 14.7.3 and 17.30. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.16.9.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing rowing equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.16.2. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.9.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted:* 1/9/96 effective 8/1/96)

#### **17.17 SKIING**

Regulations for computing the skiing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.17.1 Length of Playing Season.** The length of an institution's playing season in skiing shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.17.2 Preseason Practice.** A member institution shall not commence practice sessions in skiing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.17.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meets or practice meets) with outside competition in skiing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.17.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of skiing by the last date of final examinations for the regular academic year at the institution. (*Revised 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)

## 17.17.5 Number of Dates of Competition

**17.17.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of skiing during the permissible skiing playing season to 16 dates of competition, except for those dates of competition excluded under Bylaws 17.17.5.2, 17.17.5.3 and 17.17.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

# Exemptions: Skiing Dates of Competition 2000-01 Academic Year

Annual Exemptions	Season-Ending Tournament [17.17.5.2-(a)] NCAA Championship Play-In Competition [17.17.5.2-(b)] Alumni Meet [17.17.5.2-(c)] Foreign Team in U.S. [17.17.5.2-(d)] Date(s) against Active Members in Hawaii or Alaska [17.17.5.2-(e)] Fund-Raising Activity [17.17.5.2-(f)] Celebrity Sports Activity [17.17.5.2-(g)] U.S. National Team [17.17.5.2-(h)]
Once-in-Four-Years Exemptions	Certified Once-in-Four-Years Exemptions [17.17.5.3, 30.10.4] <u>OR</u> Foreign Tour [17.17.5.4]

**17.17.5.1.1 Skiing Meet.** A skiing meet of not more than two days' duration shall be considered a date of competition. An institution that participates in a skiing competition that exceeds two days in duration may count the first two days of the competition as a single date of competition but must count any additional days as separate dates of competition. (*Revised: 12/10/97*)

**17.17.5.1.2** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in skiing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.

**17.17.5.1.3 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 16 dates of competition in skiing. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

**17.17.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of skiing shall exclude the following (see Figure 17-19):

- (a) Season-Ending Tournaments
  - (1) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Skiing Championships; and
  - (2) **Conference Championship.** Competition in one conference championship meet in skiing;
- (b) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (c) Alumni Meet. One date of competition in skiing each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition in skiing each year with a foreign opponent in the United States:
- (e) **Hawaii or Alaska.** Any dates of competition in skiing in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
- (f) **Fund-Raising Activity.** Any skiing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's ski team who participate in local celebrity skiing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;

- (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for skiing. (Adopted: 1/9/96 effective 8/1/96)
- **17.17.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.17.5.4-(a)]. (Adopted: 1/9/96 effective 8/1/96)
- 17.17.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.17.5.3. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
- **17.17.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaw 17.17.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (*Revised: 1/10/91 effective 8/1/91*,)
  - **17.17.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
    - **17.17.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted:* 1/10/92, *Revised:* 1/11/94)
- **17.17.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in skiing. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (*Adopted: 1/10/91 effective 8/1/91*)
- **17.17.8 Camps and Clinics.** There are no limits on the number of student-athletes in skiing who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)

#### 17.17.9 Other Restrictions

#### 17.17.9.1 Noncollegiate, Amateur Competition

- **17.17.9.1.1 During Academic Year.** A student-athlete in the sport of skiing who participates during the academic year as a member of any outside skiing team in any noncollegiate, amateur competition (e.g., tournament, exhibition games or other activity) except while representing the institution in intercollegiate skiing competition shall be ineligible for intercollegiate skiing competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.17.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (*Adopted: 1/11/94 effective 8/1/94*)
- **17.17.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate skiing who may practice or compete out of season on an outside, amateur skiing team (competition on an outside team is permitted only during the summer, except as provided in Bylaw 17.17.9.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.17.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining with the institution's skiing team except as provided under Bylaws 14.7.3 and 17.30.
  - 17.17.9.1.2.2 Olympic and National Team Development Program. There are no limits on the

# Skiing/17.17.9.1.2.2—Soccer/17.18.5.2

number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.17.9.2 Equipment Issue, Squad Pictures. No limitations.

## **17.18 SOCCER**

Regulations for computing the soccer playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.18.1 Length of Playing Season.** The length of an institution's playing season in soccer shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised:1/14/97 effective 8/1/97)
- **17.18.2 Preseason Practice.** A member institution shall not commence practice sessions in soccer prior to the date that permits a maximum of 21 practice opportunities (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97*)
- **17.18.3 First Contest or Date of Competition.** A member institution shall not play its first contest or engage in its first date of competition (game) with outside competition in soccer prior to the following dates:
- (a) Women's Soccer. Eleven weekends prior to the start of the NCAA Division I Women's Soccer Championship, except that an alumni contest may be played the previous weekend. For purposes of this legislation, a weekend includes Fridays after the conclusion of the institution's regular classes. (See Bylaw 17.18.5.1.1 for scrimmage/exhibition games exception). (Adopted: 4/20/99 effective 8/1/99)
- (b) Men's Soccer—September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an alumni contest may be played the weekend prior to September 1 when September 1 does not fall on a Saturday, Sunday or Monday as provided in Bylaw 17.18.5.1.1. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 1/10/95 effective 8/1/95, Revised: 1/10/96 effective 8/1/96, Revised: 1/24/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised: 4/20/99 effective 8/1/99)
- **17.18.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of soccer by the last date of final exams for the regular academic year at the institution. (*Revised:* 1/14/97 effective 8/1/97)

### 17.18.5 Number of Contests and Dates of Competition

- **17.18.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of soccer during the institution's soccer playing season in any one year to 20 contests during the segment in which the NCAA championship is conducted and five dates of competition during another segment, except for those contests and/or dates of competition excluded under Bylaws 17.18.5.2, 17.18.5.3 and 17.18.5.4. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.18.5.1.1 Scrimmages/Exhibition Games.** A member institution may play up to three soccer scrimmages or exhibition games (which shall not count toward the institution's won-lost record) prior to the first scheduled contest during a particular academic year, provided such scrimmages or exhibition games are conducted during the institution's declared playing season per Bylaw 17.18.1 and are counted against the maximum number of contests. (Revised: 1/10/92 effective 8/1/92, Revised: 1/16/93 effective 8/1/93)
  - **17.18.5.1.2** In-Season Foreign Competition. A member institution may play one or more of its countable contests in soccer in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.18.5.1.3 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 soccer contests during the segment in which the NCAA championship is conducted and five dates of competition in soccer during another segment. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)
- **17.18.5.2 Annual Exemptions.** The maximum number of soccer contests and dates of competition shall exclude the following (see Figure 17-20):
- (a) **Conference Championship.** Competition in one conference championship tournament in soccer

# Exemptions: Soccer Contests and Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.18.5.2-(a)] Conference Playoff [17.18.5.2-(b)] Season-Ending Tournaments [17.18.5.2-(c)] NCAA Championship Play-In Competition [17.18.5.2-(d)] Alumni Game [17.18.5.2-(e)] Foreign Team in U.S. [17.18.5.2-(f)] Fund-Raising Activity [17.18.5.2-(h)] Celebrity Sports Activity [17.18.5.2-(i)]
Additional Annual Exemptions	Game(s) against Active Division I Members in Hawaii or Alaska [17.18.5.2-(g)] <u>AND</u> U.S. National Team [17.18.5.2-(j)]
Once-in-Four-Years Exemptions	Foreign Tour [17.18.5.4]  OR  Certified Once-in-Four-Years Exemptions (17.18.5.3, 30.10.4)

(or the tournament used to determine the conference's automatic entry in an NCAA soccer championship);

- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference soccer championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA soccer championship without the game(s) being counted as a regular-season contest or postseason tournament;
- (c) Season-Ending Tournaments
  - (1) **NCAA Championship.** Competition in the NCAA Division I Soccer Championship; (Revised: 1/9/96 effective 8/1/96)
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) soccer championship;
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Game. One soccer contest or date of competition each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One soccer contest or date of competition each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska**. Any soccer games played in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (h) **Fund-Raising Activity.** Any soccer activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's soccer team who participate in local celebrity soccer activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One contest or date of competition played against the U.S. national team as selected by the appropriate national governing body for soccer. (*Adopted:* 1/9/96 effective 8/1/96)

- **17.18.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.18.5.4). (Adopted: 1/9/96 effective 8/1/96)
- 17.18.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.18.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.18.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.18.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.18.6.1 Summer Practice.** Soccer practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- **17.18.7 Camps and Clinics.** There are no limits on the number of student-athletes in soccer who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92, 1/11/94*)

#### 17.18.8 Other Restrictions

- 17.18.8.1 Noncollegiate, Amateur Competition
  - **17.18.8.1.1 During Academic Year.** A student-athlete in the sport of soccer who participates during the academic year as a member of any outside soccer team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate soccer competition shall be ineligible for intercollegiate soccer competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
    - 17.18.8.1.1.1 Vacation-Period Exception. A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. ( $Adopted: 1/11/94 \ effective \ 8/1/94$ )
    - **17.18.8.1.1.2 May 1 Exception.** A student-athlete in the sport of soccer may compete outside of an institution's declared playing and practice season as a member of an outside team in any noncollegiate amateur competition, provided: (*Adopted: 1/14/97 effective 8/1/97*)
    - (a) Such participation occurs no earlier than May 1;
    - (b) The number of student-athletes from any one institution does not exceed five;
    - (c) The competition is approved by the institution's director of athletics; and
    - (d) No class time is missed for practice activities or for competition.
  - **17.18.8.1.2 Out of Season.** A member institution may permit not more than six student-athletes with eligibility remaining in intercollegiate soccer to practice or compete out of season on an outside, amateur soccer team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.18.8.1.1.1). (*Revised:* 1/10/91 effective 8/1/91)
    - **17.18.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's soccer team except as provided under Bylaws 14.7.3 and 17.30.
    - **17.18.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.18.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.18.2. (*Revised: 1/11/89*)
  - 17.18.8.2.1 Exception for Sundays. If the day prior to the beginning of a segment is a Sunday,

the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (Adopted: 1/11/89)

# 17.19 SOFTBALL

Regulations for computing the softball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.19.1 Length of Playing Season.** The length of an institution's playing season in softball shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- **17.19.2 Preseason Practice.** A member institution shall not commence practice sessions in softball prior to September 7 or the institution's first day of classes, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
- **17.19.3 First Contest Date.** A member institution shall not play its first contest (game or scrimmage) with outside competition in softball prior to September 7 or the institution's first day of classes, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.19.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of softball by the conclusion of the NCAA Division I Softball Championship. (*Revised:* 1/14/97 effective 8/1/97)

#### 17.19.5 Number of Contests

- **17.19.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of softball during the institution's softball playing season to 56 contests (games and scrimmages), except for those contests excluded under Bylaws 17.19.5.3, 17.19.5.4 and 17.19.5.5. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.19.5.1.1 Tournament Dates.** Each date of a softball tournament shall be counted as one contest, and not more than 10 tournaments shall be scheduled in an academic year. For purposes of this legislation, a tournament shall be defined as an intercollegiate athletics event in which all of the following conditions are satisfied: (*Revised: 1/10/95*)
  - (a) The event is a series of consecutive athletics contests that culminate in the designation of one team champion. Teams may advance through a single-elimination, double-elimination or poolplay bracket structure; (*Adopted: 1/10/95*)
  - (b) The event is not longer than five days in duration, with a maximum of three contests per team scheduled on any one day. Competition may be held at more than one site; and (Adopted: 1/10/95, Revised: 1/9/96 effective 8/1/96)
  - (c) Competing teams are selected (and may be seeded according to athletics ability) in advance of the competition. (Adopted: 1/10/95)
  - **17.19.5.1.2 Daily Contest Limitations.** There shall be a limit of three on the number of contests that may be played during any one calendar day. (*Adopted:* 1/12/99 effective 8/1/99)
  - **17.19.5.1.3** In-Season Foreign Competition. A member institution may play one or more of its countable contests in softball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.19.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 56 softball contests (this limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised: 1/10/91 effective 8/1/91*)
  - **17.19.5.2.1 Tournament Dates.** Each date of a softball tournament shall be counted as one contest, and not more than 10 tournaments may be scheduled in an academic year.
  - **17.19.5.2.2 Daily Contest Limitations.** There shall be a limit of three on the number of contests that may be played during any one calendar day. (*Adopted: 1/12/99 effective 8/1/99*)
- **17.19.5.3 Annual Exemptions.** The maximum number of softball contests shall exclude the following (see Figure 17-21):
  - 17.19.5.3.1 Certification Required. A member institution may exempt from its maximum number of

Exemptions: Softball Contests 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.19.5.3.2-(a)] Conference Playoff [17.19.5.3.2-(b)] Season-Ending Tournaments [17.19.5.3.2-(c)] NCAA Championship Play-In Competition [17.19.5.3.2-(d)] Alumni Game [17.19.5.3.2-(e)] Foreign Team in U.S. [17.19.5.3.2-(f)] Fund-Raising Activity [17.19.5.3.2-(h)] Celebrity Sports Activity [17.19.5.3.2-(i)]
Additional Annual Exemptions	Certified Annual Exemptions [17.19.5.3.1]  AND  Game(s) against Active Division I Members in  Hawaii or Alaska [17.19.5.3.2-(g)]  AND  U.S. National Team [17.19.5.3.2-(j)]
Once-in- Four-Years Exemptions	Foreign Tour [17.19.5.5]  OR  Certified Once-in-Four-Years Exemptions [17.19.5.4, 30.10.4]

softball contests any event that is certified as an annual exemption by the Championships/Competition Cabinet Subcommittee on Special Events pursuant to Bylaw 30.10.4. (Adopted: 1/9/96 effective 8/1/96)

**17.19.5.3.2 Certification Not Required.** The following softball contests each year may be exempted from an institution's maximum number of contests: (*Adopted: 1/9/96 effective 8/1/96*)

- (a) **Conference Championship.** Competition in one conference championship tournament in softball (or the tournament used to determine the conference's automatic entry in the NCAA Division I Softball Championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an the NCAA Division I Softball Championship without the game(s) being counted as a postseason tournament;
- (c) Season-Ending Tournament
  - (1) **NCAA Championship.** Competition in an the NCAA Division I Softball Championship; (Revised: 1/9/96 effective 8/1/96)
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) softball championship;
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Game. One softball contest each year with an alumni team of the institution;
- (f) Foreign Team in U.S. One softball contest each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any softball games played in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member institution located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (h) Fund-Raising Activity. Any softball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's softball team who participate in local celebrity softball activities conducted for the purpose of raising funds for charitable organizations, provided:

- (1) The student-athletes do not miss classes as a result of the participation;
- (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for softball. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.19.5.4 Once-in-Four-Years Exemption—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from counting toward the maximum number of dates of competition in the sport of softball during any academic year and may not participate again in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.19.5.5). (Adopted: 1/9/96 effective 8/1/96)
- 17.19.5.5 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour. An institution may exempt those softball games played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30), from its maximum number of softball contests during any academic year. An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.19.5.4. (Adopted: 1/9/96 effective 8/1/96)
- **17.19.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.19.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.19.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91)
- **17.19.7 Camps and Clinics.** There are no limits on the number of student-athletes in softball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

## 17.19.8 Other Restrictions

# 17.19.8.1 Noncollegiate, Amateur Competition

- **17.19.8.1.1 During Academic Year.** A student-athlete in the sport of softball who participates during the academic year as a member of any outside softball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate softball competition shall be ineligible for intercollegiate softball competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.19.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (Adopted: 1/11/94 effective 8/1/94)
- 17.19.8.1.2 Out of Season. A member institution may permit not more than four student-athletes with eligibility remaining in intercollegiate softball to practice or compete out of season on an outside, amateur softball team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.19.8.1.1.1). ( $Revised: 1/10/91 \ effective \ 8/1/91$ )
  - **17.19.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's softball team except as provided under Bylaws 14.7.3 and 17.30.
  - **17.19.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.19.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing softball equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.19.2. (*Revised:* 1/11/89)

**17.19.8.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (Adopted: 1/11/89)

# 17.20 SQUASH, WOMEN'S

Regulations for computing the squash playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- **17.20.1 Length of Playing Season.** The length of an institution's playing season in squash shall be limited to a 144-day season which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.20.2 Preseason Practice.** A member institution shall not commence practice sessions in squash prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.20.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in squash prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.20.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in squash by the last date of final examinations for the regular academic year at the institution. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

# 17.20.5 Number of Dates of Competition

- **17.20.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in squash during the institution's playing season to 15 dates of competition (including not more than three tournaments that are counted as single dates of competition), except for those dates of competition excluded under Bylaws 17.20.5.3 and 17.20.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (*Adopted: 1/9/96 effective 8/1/96*)
  - 17.20.5.1.1 In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in squash in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
- **17.20.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 15 dates of competition in squash (including not more than three tournaments that are counted as single dates of competition) (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (Adopted: 1/9/96 effective 8/1/96)
- **17.20.5.3 Annual Exemptions.** The maximum number of dates of competition in squash shall exclude the following (see Figure 17-22): (Adopted: 1/9/96 effective 8/1/96)
- (a) Conference Championship. Competition in one conference championship meet in squash;
- (b) **National Governing Body Championship.** Competition in the squash national governing body championship;
- (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's team who participate in local celebrity activities in squash conducted for the purpose of raising funds for charitable organizations, provided:

# Exemptions: Squash Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.20.5.3-(a)] National Governing Body Championship [17.20.5.3-(b)] Alumni Meet [17.20.5.3-(c)] Foreign Team in U.S. [17.20.5.3-(d)] Date(s) against or sponsored by Active Members in Hawaii, Alaska or Puerto Rico [17.20.5.3-(e)] Fund-Raising Activity [17.20.5.3-(f)] Celebrity Sports Activity [17.20.5.3-(g)]
Once-in-Four-Years Exemptions	Foreign Tour [17.20.5.4]

- (1) The student-athletes do not miss classes as a result of the participation;
- (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.20.5.4 Once-In-Four-Years Exemption—Foreign Tour.** An institution may exempt the dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.20.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season pursuant to Bylaw 17.20.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)
  - **17.20.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
    - **17.20.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (Adopted: 1/9/96 effective 8/1/96)
- **17.20.7 Camps and Clinics.** There are no limits on the number of student-athletes in squash who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)

#### 17.20.8 Other Restrictions

# 17.20.8.1 Noncollegiate, Amateur Competition

- **17.20.8.1.1 During Academic Year.** A student-athlete in squash who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (*Adopted:* 1/9/96 effective 8/1/96)
  - **17.20.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.20.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.20.8.1.1.1). (Adopted: 1/9/96 effective 8/1/96)

- **17.20.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.20.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.20.8.2 Equipment Issue, Squad Pictures. No limitations. (Adopted: 1/9/96 effective 8/1/96)

## 17.21 SWIMMING

Regulations for computing the swimming playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.21.1 Length of Playing Season.** The length of an institution's playing season in swimming shall be limited to a 144-day season which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91, effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
  - **17.21.1.1 Exception.** The student-athlete who is a diver may engage in diving sessions beyond the conclusion of the institution's 144-day playing and practice season, provided the student-athlete does not engage in more than 144-day playing and practice season. (Adopted: 4/22/98 effective 8/1/98)
- **17.21.2 Preseason Practice.** A member institution shall not commence practice sessions in swimming prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.21.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in swimming prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
- **17.21.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in swimming by the last date of final examinations for the regular academic year at the institution. (*Revised:* 1/14/97 effective 8/1/97)

#### 17.21.5 Number of Dates of Competition

- **17.21.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of swimming during the institution's swimming playing season to 20 of dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaw 17.21.5.3, 17.21.5.4 and 17.21.5.5 (see Bylaw 20.9.3.3, for minimum contests and participants requirements). (*Revised:* 1/10/91 effective 8/1/91)
  - **17.21.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in swimming in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.21.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 swimming dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised: 1/10/91 effective 8/1/91*)
- **17.21.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of swimming shall exclude the following (see Figure 17-23):
- (a) **Conference Championship.** Competition in one conference championship meet in swimming (or the meet used to determine the conference's automatic entries in an NCAA swimming championships);
- (b) Season-Ending Tournaments
  - (1) **NCAA Championship.** Competition in the NCAA swimming and diving championships;

# Exemptions: Swimming Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.21.5.3-(a)] Season-Ending Tournaments [17.21.5.3-(b)] NCAA Championship Play-In Competition [17.21.5.3-(c)] Alumni Meet [17.21.5.3-(d)] Foreign Team in U.S. [17.21.5.3-(e)] Fund-Raising Activity [17.21.5.3-(g)] Celebrity Sports Activity [17.21.5.3-(h)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.21.5.3-(f)] <u>AND</u> U.S. National Team [17.21.5.3-(i)]
Once-in-Four-Years Exemptions	Foreign Tour [17.21.5.5]  OR Certified Once-in-Four-Years Exemptions [17.21.5.4, 30.10.4]

- (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) swimming championships;
- (c) NCAA Championship Play-In Competition. Competition in play-in contests conducted before NCAA championships;
- (d) **Alumni Meet.** One date of competition in swimming each year with an alumni team of the institution;
- (e) **Foreign Team in U.S.** One date of competition in swimming each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (g) Fund-Raising Activity. Any swimming activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's swimming team who participate in local celebrity swimming activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for swimming. (Adopted: 1/9/96 effective 8/1/96)
- 17.21.5.4 Once-in-Four-Years Exemptions—Certification Required. An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/ Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.21.5.5). (Adopted: 1/9/96 effective 8/1/96)
- **17.21.5.5 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.21.5.5. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.21.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared play-

# Swimming/17.21.6—Synchronized Swimming, Women's/17.22.1

ing season per Bylaw 17.21.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)

- **17.21.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
  - **17.21.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)
- **17.21.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in swimming and diving. The coach may provide safety instruction and skill instruction but may not conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)
- **17.21.8 Camps and Clinics.** There are no limits on the number of student-athletes in swimming who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

#### 17.21.9 Other Restrictions

#### 17.21.9.1 Noncollegiate, Amateur Competition

- **17.21.9.1.1 During Academic Year.** A student-athlete in the sport of swimming who participates during the academic year as a member of any outside swimming team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meet or other activity) except while representing the institution in intercollegiate swimming competition shall be ineligible for intercollegiate swimming competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.21.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. ( $Adopted: 1/11/94 \ effective \ 8/1/94$ )
- **17.21.9.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate swimming who may practice or compete out of season on an outside, amateur swimming team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.21.9.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.21.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's swimming team except as provided under Bylaws 14.7.3 and 17.30. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.21.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.21.9.2 Equipment Issue, Squad Pictures. No limitations.

# 17.22 SYNCHRONIZED SWIMMING, WOMEN'S

Regulations for computing the synchronized swimming playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

**17.22.1 Length of Playing Season.** The length of an institution's playing season in synchronized swimming shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

- **17.22.2 Preseason Practice.** A member institution shall not commence practice sessions in synchronized swimming prior to September 7 or the institution's first day of classes, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.22.3 First Contest Date.** A member institution shall not play its first contest (game or scrimmage) with outside competition in synchronized swimming prior to September 7 or the institution's first day of classes, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.22.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of synchronized swimming by the last day of final exams for the regular academic year at the institution. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

# 17.22.5 Number of Dates of Competition

- **17.22.5.1 Maximum Limitations**—Institutional. A member institution shall limit its total playing schedule with outside competition in synchronized swimming during the institution's synchronized swimming playing season to 15 dates of competition (meets and scrimmages), except for those dates of competition excluded under Bylaws 17.22.5.3 and 17.22.5.4. (Adopted: 1/9/96 effective 8/1/96)
  - **17.22.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in synchronized swimming in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.22.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 15 dates of competition in synchronized swimming (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution): (*Adopted: 1/9/96 effective 8/1/96*)
- **17.22.5.3 Annual Exemptions.** The maximum number of dates of competition in synchronized swimming shall exclude the following (see Figure 17-24): (*Adopted: 1/9/96 effective 8/1/96*)
- (a) **Conference Championship.** Competition in one conference championship meet;
- (b) National Governing Body Championship Competition. Competition in the national governing body championship;
- (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in United States.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member institution located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's team who participate in local celebrity activities in synchronized swimming conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.22.5.4 Once-in-Four-Years Exemptions—Foreign Tour.** An institution may exempt the dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.22.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared play-

# Exemptions: Synchronized Swimming Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.22.5.3-(a)] National Governing Body Championship Competition [17.22.5.3-(b)] Alumni Meet [17.22.5.3-(c)] Foreign Team in U.S. [17.22.5.3-(d)] Date(s) against or sponsored by Active Members in Hawaii, Alaska or Puerto Rico [17.22.5.3-(e)] Fund-Raising Activity [17.22.5.3-(f)] Celebrity Sports Activity [17.22.5.3-(g)]
Once-in-Four-Years Exemptions	Foreign Tour [17.22.5.4]

ing season per Bylaw 17.22.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)

- **17.22.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- **17.22.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in synchronized swimming. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)
- **17.22.8 Camps and Clinics.** There are no limits on the number of student-athletes in synchronized swimming who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)

#### 17.22.9 Other Restrictions

#### 17.22.9.1 Noncollegiate, Amateur Competition

- **17.22.9.1.1 During Academic Year.** A student-athlete in synchronized swimming who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
  - **17.22.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any non-collegiate, amateur competition during any official vacation period published in the institution's catalog. (Adopted: 1/9/96 effective 8/1/96)
- **17.22.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate synchronized swimming who may practice or compete out of season on an outside, amateur synchronized swimming team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.22.9.1.1.1). (Adopted: 1/9/96 effective 8/1/96)
  - **17.22.9.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.22.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.22.9.2 Equipment Issue, Squad Pictures. No limitations. (Adopted: 1/9/96 effective 8/1/96)

# 17.23 TEAM HANDBALL, WOMEN'S

Regulations for computing the team handball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- **17.23.1 Length of Playing Season.** The length of an institution's playing season in team handball shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- **17.23.2 Preseason Practice.** A member institution shall not commence practice sessions in team handball prior to September 7 or the institution's first day of classes, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.23.3 First Contest Date.** A member institution shall not play its first contest (game or scrimmage) with outside competition in team handball prior to September 7 or the institution's first day of classes, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97*)
- **17.23.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of team handball by the conclusion of the national governing body team handball championship. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97*)

# 17.23.5 Number of Dates of Competition

- **17.23.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in team handball during the institution's playing season to 20 dates of competition (meets and scrimmages) (including not more than three tournaments that are counted as single dates of competition), except for those contests excluded under Bylaws 17.23.5.3 and 17.23.5.4. (Adopted: 1/9/96 effective 8/1/96)
  - 17.23.5.1.1 In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in team handball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
- **17.23.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 dates of competition in team handball (including not more than three tournaments that are counted as single dates of competition) (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Adopted: 1/9/96 effective 8/1/96*)
- **17.23.5.3 Annual Exemptions.** The maximum number of dates of competition shall exclude the following (see Figure 17-25): (*Adopted:* 1/9/96 effective 8/1/96)
- (a) Conference Championship. Competition in one conference championship meet;
- (b) **National Governing Body Championship Competition.** Competition in the national governing body championship;
- (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in United States.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member institution located outside the area in question; and
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's team who participate in local celebrity activities in team handball conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director;

Exemptions: Team Handball Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.23.5.3-(a)] National Governing Body Championship or competition [17.23.5.3-(b)] Alumni Meet [17.23.5.3-(c)] Foreign Team in U.S. [17.23.5.3-(d)] Date(s) against or sponsored by Active Members in Hawaii, Alaska or Puerto Rico [17.23.5.3-(e)] Fund-Raising Activity [17.23.5.3-(f)] Celebrity Sports Activity [17.23.5.3-(g)]
Once-in-Four-Years Exemptions	Foreign Tour [17.23.5.4]

and

- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.23.5.4 Once-in-Four-Years Exemptions—Foreign Tour.** An institution may exempt the dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- **17.23.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.23.1 except, as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Adopted: 1/9/96 effective 8/1/96)
  - 17.23.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- **17.23.7 Camps and Clinics.** There are no limits on the number of student-athletes in team handball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)

#### 17.23.8 Other Restrictions

#### 17.23.8.1 Noncollegiate, Amateur Competition

- 17.23.8.1.1 During Academic Year. A student-athlete in team handball who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
  - **17.23.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. (Adopted: 1/9/96 effective 8/1/96)
- **17.23.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate team handball who may practice or compete out of season on an outside, amateur team handball team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.23.8.1.1.1). (Adopted: 1/9/96 effective 8/1/96)
  - **17.23.8.1.2.1 Involvement of Coaching Staff Member**. No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.30. (Adopted: 1/9/96 effective 8/1/96)

**17.23.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.23.8.2 Equipment Issue, Squad Pictures. No limitations. (Adopted: 1/9/96 effective 8/1/96)

#### **17.24 TENNIS**

Regulations for computing the tennis playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.24.1 Length of Playing Season.** The length of an institution's playing season in tennis shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- **17.24.2 Preseason Practice.** A member institution shall not commence practice sessions in tennis prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.24.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in tennis prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.24.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of tennis by the conclusion of the NCAA Division I Tennis Championships. (*Revised:* 1/14/97 effective 8/1/97)

# 17.24.5 Number of Dates of Competition

- **17.24.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of tennis during the permissible tennis playing season to 25 dates of competition (including not more than seven individual singles and/or doubles tournaments that are counted as single dates of competition), except for those dates of competition excluded under Bylaws 17.24.5.2, 17.24.5.3 and 17.24.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (*Revised: 1/10/91 effective 8/1/91*)
  - **17.24.5.1.1 Dual Tennis Match.** A dual tennis match in which head-to-head competition occurs between two collegiate institutions or between an intercollegiate team and an outside team shall count as one date of competition.
  - **17.24.5.1.2** Individual Singles or Doubles Tournament Limitations—Institutional. An individual singles or doubles tournament that does not include any team scoring or the recognition of a team champion shall count as a single date of competition (not to exceed the maximum number of tournaments noted in Bylaw 17.24.5.1) for those institutions that have more than three student-athletes competing therein, regardless of the number of days during which tournament competition takes place.
  - **17.24.5.1.3** Participation in Tournament Played under the Team Tennis Format—Institutional. A tournament, involving both male and female participants (comprised of no more than three men and three women), played under the rules and format of Team Tennis, shall not count as a date of competition for either the men's or women's team, regardless of the actual number of days during which competition takes place. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/12/99 effective 8/1/99)
  - **17.24.5.1.4** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in tennis in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.24.5.1.5 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 25 dates of competition (including not more than seven individual singles and/or doubles tournaments that are counted as a single date of competition). This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised:* 1/10/91 effective 8/1/91)
    - **17.24.5.1.5.1 Individual Singles or Doubles Tournament Limitations—Student-Athlete.** An individual singles or doubles tournament that does not include any team scoring or the recognition of

a team champion shall count as a single date of competition (in not more than seven tournaments) for the participating individuals, regardless of the number of days during which tournament competition takes place. (Revised: 1/10/91 effective 8/1/91)

- **17.24.5.1.5.2** Individual Singles or Doubles Team Tennis Tournament Limitations—Student-Athlete. A tournament conducted over more than one day, under the rules and format of Team Tennis, shall count as one date of competition for the participating individuals, regardless of the actual number of days during which tournament competition takes place. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/12/99 effective 8/1/99)
- **17.24.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of tennis shall exclude the following (see Figure 17-26):
- (a) **Conference Championship.** Competition in one conference championship tournament in tennis (or the tournament used to determine the conference's automatic entries in the NCAA tennis championships);
- (b) Season-Ending Tournaments
  - (1) NCAA Championship. Competition in the NCAA Division I Tennis Championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) tennis championships;
- (c) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA Division I Tennis Championships;
- (d) **Alumni Match.** One date of competition in tennis each year with an alumni team of the institution;
- (e) **Foreign Team in U.S.** One date of competition in tennis each year with a foreign opponent in the United States:
- (f) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (g) **ITA Championships.** Participation in the Intercollegiate Tennis Association men's and women's national indoor team championships;
- (h) Fund-Raising Activity. Any tennis activities in which athletes from more than one of the institution's athletics teams or in which team members of that sport participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's tennis team who participate in local celebrity tennis activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for tennis. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.24.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/ Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.24.5.4). (*Adopted: 1/9/96 effective 8/1/96*)
- **17.24.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.24.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.24.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.24.1 except, as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)

# Exemptions: Tennis Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.24.5.2-(a)] Season-Ending Tournaments [17.24.5.2-(b)] NCAA Championship Play-In Competition [17.24.5.2-(c)] Alumni Match [17.24.5.2-(d)] Foreign Team in U.S. [17.24.5.2-(e)] Fund-Raising Activity [17.24.5.2-(h)] Celebrity Sports Activity [17.24.5.2-(i)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.24.5.2-(f)] <u>AND</u> ITA Championships [17.24.5.2-(g)] <u>AND</u> U.S. National Team [17.24.5.2-(j)]
Once-in-Four-Years Exemptions	Foreign Tour [17.24.5.4]  OR  Certified Once-in-Four-Years Exemptions [17.24.5.3, 30.10.4]

**17.24.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)

**17.24.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)

**17.24.7 Camps and Clinics.** There are no limits on the number of student-athletes in tennis who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

#### 17.24.8 Other Restrictions

#### 17.24.8.1 Noncollegiate, Amateur Competition

**17.24.8.1.1 During Academic Year.** A student-athlete in the sport of tennis who participates during the academic year as a member of any outside tennis team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate tennis competition shall be ineligible for intercollegiate tennis competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93*)

**17.24.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (Adopted: 1/11/94 effective 8/1/94)

**17.24.8.1.2 Out of Season.** There are no limits to the number of student-athletes with eligibility remaining in intercollegiate tennis who may practice or compete out of season on an outside, amateur tennis team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.24.8.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

17.24.8.1.2.1 Involvement of Coaching Staff Member. No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's tennis team except as provided under Bylaws 14.7.3 and 17.30. (Revised: 1/10/91 effective 8/1/91)

17.24.8.1.2.2 Olympic and National Team Development Program. There are no limits on the

# Tennis /17.24.8.1.2.2—Track and Field, Indoor/Outdoor/17.25.5.1.1

number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.24.8.2 Equipment Issue, Squad Pictures. No limitations.

# 17.25 TRACK AND FIELD, INDOOR/OUTDOOR

Regulations for computing the indoor/outdoor track and field playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

**17.25.1 Length of Playing Season.** The length of an institution's playing season in indoor and outdoor track and field shall be limited to the following:

- (a) Indoor Track and Field—An institution that sponsors only indoor or outdoor track and field (but not both) or does not participate in the minimum number of contests with at least the minimum number of participants required to count both indoor and outdoor track and field in meeting division membership requirements is limited to a 144-day season for indoor and outdoor track and field combined, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- (b) Indoor and Outdoor Track and Field—An institution that sponsors both indoor and outdoor track and field and participates in at least the minimum number of contests with at least the minimum number of participants required to count both sports in meeting division membership requirements is limited to a 156-day season for indoor and outdoor track and field combined, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/14/97 effective 8/1/97)
  - **17.25.1.1 Cross Country/Track and Field Participants.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to the sport of cross country, such practice must be counted in the institution's established segment in the sport of track and field. (*Adopted: 1/10/92*)
- **17.25.2 Preseason Practice.** A member institution shall not commence practice sessions in indoor and outdoor track and field prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/14/97 effective 8/1/97)
- **17.25.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in indoor and outdoor track and field prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/14/97 effective 8/1/97*)
- **17.25.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in indoor and outdoor track and field by the conclusion of the NCAA Division I Track and Field Championships. (*Revised: 1/14/97 effective 8/1/97*)

#### 17.25.5 Number of Dates of Competition

- 17.25.5.1 Maximum Limitations—Institutional. A member institution shall limit its total playing schedule with outside competition in the sport of indoor or outdoor track and field during the permissible indoor or outdoor track and field playing season to 18 dates of competition. A member institution that sponsors indoor and outdoor track and field and participates in at least the minimum number of contests with at least the minimum number of participants required to count both sports in meeting divisional membership requirements shall limit its total playing schedule with outside competition in indoor/outdoor track and field during the permissible indoor/outdoor track and field playing season to 18 dates of competition, which may include not more than six two-day meets that shall each count as a single date. An institution that participates in a meet that exceeds two days in duration may count the first two days of the competition as a single date of competition but must count any additional days as separate dates of competition. These limitations do not include those dates of competition excluded under Bylaws 17.25.5.2, 17.25.5.3, 17.25.5.4, 17.25.5.5, 17.25.5.6 and 17.25.5.7 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 12/10/97)
  - **17.25.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in indoor and outdoor track and field in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.

- 17.25.5.1.2 Maximum Limitations—Student-Athlete. An individual student-athlete may participate in each academic year in not more than 18 dates of competition in indoor or outdoor track and field. An individual student-athlete competing in indoor and outdoor track and field at a member institution that uses both indoor and outdoor track and field to meet divisional sports sponsorship requirements may participate in each academic year in not more than 18 dates of competition, which may include not more than six two-day meets that shall each count as a single date. These limitations include those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93)
- **17.25.5.2 Annual Exemptions.** The maximum number of dates of competition in the sports of indoor and outdoor track and field shall exclude the following (see Figure 17-27):
- (a) **Conference Championship.** Competition in one conference championship meet in indoor track and field and one such meet in outdoor track and field;
- (b) Season-Ending Tournaments
  - (1) **NCAA Championships.** Competition in the NCAA Division I Indoor and Outdoor Track and Field Championships;
  - (2) **NAIA Championships.** Competition in the National Association of Intercollegiate Athletics (NAIA) indoor and outdoor track and field championships;
- (c) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (d) **Alumni Meet.** One date of competition in indoor and outdoor track and field each year with an alumni team of the institution;
- (e) **Foreign Team in U.S.** One date of competition in indoor and outdoor track and field each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (g) Fund-Raising Activity. Any indoor or outdoor track and field activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's indoor/outdoor track and field team who participate in local celebrity track and field activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** Any date of competition against the U.S. national team as selected by the appropriate national governing body for indoor/outdoor track and field. (Adopted: 1/9/96 effective 8/1/96)
- **17.25.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4. (Adopted: 1/9/96 effective 8/1/96)
- **17.25.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). (Adopted: 1/9/96 effective 8/1/96)
- **17.25.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.25.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)

# Exemptions: Track and Field (Indoor/Outdoor) Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.25.5.2-(a)] Season-Ending Tournament [17.25.5.2-(b)] NCAA Championship Play-In Competition [17.25.5.2-(c)] Alumni Meet [17.25.5.2-(d)] Foreign Team in U.S. [17.25.5.2-(e)] Fund-Raising Activity [17.25.5.2-(g)] Celebrity Sports Activity [17.25.5.2-(h)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.25.5.2-(f)] <u>AND</u> U.S. National Team [17.25.5.2-(i)]
Once-in-Four-Years Exemptions	Certified Once-in-Four-Years Exemptions [17.25.5.3, 30.10.4] <u>OR</u> Foreign Tour [17.25.5.4]

- **17.25.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/10/92)
  - **17.25.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (*Adopted: 1/10/92, Revised: 1/11/94*)
- **17.25.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in field events. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)
- **17.25.8 Camps and Clinics.** There are no limits on the number of student-athletes in indoor or outdoor track and field who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

## 17.25.9 Other Restrictions

#### 17.25.9.1 Noncollegiate, Amateur Competition

- **17.25.9.1.1 During Academic Year.** A student-athlete in the sport of indoor/outdoor track and field who participates during the academic year as a member of any outside indoor/outdoor track and field team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate indoor/outdoor track and field competition shall be ineligible for intercollegiate indoor/outdoor track and field competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.25.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed seven. (*Adopted:* 1/11/94 effective 8/1/94)
- **17.25.9.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate indoor/outdoor track and field who may practice or compete out of season on an outside, amateur indoor/outdoor track and field team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.25.9.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - 17.25.9.1.2.1 Involvement of Coaching Staff Member. No member of the coaching staff of a

member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's indoor/outdoor track and field team except as provided under Bylaws 14.7.3 and 17.30. (Revised: 1/10/91 effective 8/1/91)

**17.25.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

17.25.9.2 Equipment Issue, Squad Pictures. No limitations.

# 17.26 VOLLEYBALL

Regulations for computing the volleyball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

- **17.26.1 Length of Playing Season.** The length of an institution's playing season in volleyball shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*)
- **17.26.2 Preseason Practice—Women.** A member institution shall not commence practice sessions in women's volleyball prior to the date that permits a maximum of 29 practice opportunities (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest (excluding the early alumni match and the NACWAA Volleyball Classic permitted in Bylaw 17.26.3. An institution may not begin practice in its segment in which the NCAA championship is not conducted until January 1. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95, 1/14/97 effective 8/1/97)
- **17.26.3 First Date of Competition—Women.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in women's volleyball prior to September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an alumni match may be played the weekend prior to September 1 when September 1 does not fall on a Saturday, Sunday or Monday; and in Division I, the NACWAA Volleyball Classic may be played during the week prior to the first permissible date of competition. An institution may not begin competition in its segment in which the NCAA championship is not conducted until January 1. (Revised: 1/16/93 effective 8/1/93, Revised: 1/10/95, 1/14/97 effective 8/1/97)
- **17.26.4 Preseason Practice—Men.** A member institution shall not commence practice sessions in men's volleyball prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
- **17.26.5 First Date of Competition—Men.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in men's volleyball prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/14/97 effective 8/1/97*)
- **17.26.6 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in the sport of volleyball on the following dates:
- (a) Men—The conclusion of the National Collegiate Men's Volleyball Championship.
- (b) Women—The last date of final examinations for the regular academic year at the institution.

#### 17.26.7 Number of Dates of Competition—Women

- **17.26.7.1 Maximum Limitations—Institutional—Women.** A member institution shall limit its total playing schedule with outside competition in the sport of women's volleyball during the institution's women's volleyball playing season to 28 dates of competition during the segment in which the NCAA championship is conducted and four during another segment, except for those dates of competition excluded under Bylaw 17.26.9. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.26.7.1.1 In-Season Foreign Competition—Women.** A member institution may play one or more of its countable dates of competition in women's volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.26.7.2 Maximum Limitations—Student-Athlete—Women.** An individual student-athlete may participate each academic year in not more than 28 dates of competition in women's volleyball during the segment in which the NCAA championship is conducted and four during another segment. This limi-

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tation includes those dates of competition in which the student-athlete represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91)

#### 17.26.8 Number of Dates of Competition—Men

- **17.26.8.1 Maximum Limitations—Institutional—Men.** A member institution shall limit its total playing schedule with outside competition in the sport of men's volleyball during the institution's men's volleyball playing season to not more than 28 dates of competition during the segment in which the NCAA championship is conducted and not more than four dates of competition during another segment, except for those dates of competition excluded under Bylaw 17.26.9. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.26.8.1.1** In-Season Foreign Competition—Men. A member institution may play one or more of its countable dates of competition in men's volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for those contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such inseason foreign competition more than once every four years.
- **17.26.8.2 Maximum Limitations—Student-Athlete—Men.** An individual student-athlete may participate each academic year in not more than 28 dates of competition in men's volleyball during the segment in which the NCAA championship is conducted and not more than four dates of competition during another segment. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)
- **17.26.9 Annual Exemptions.** The maximum number of dates of competition in the sport of volleyball shall exclude the following (see Figure 17-28):
  - **17.26.9.1 Certification Required.** An institution may exempt from its maximum number of volleyball contests any event that is certified as an annual exemption by the Championships/Competition Cabinet Subcommittee on Special Events pursuant to Bylaw 30.10.4. (Adopted: 1/9/96 effective 8/1/96)

#### 17.26.9.2 Certification Not Required (Adopted: 1/9/96 effective 8/1/96)

- (a) **Conference Championship.** Competition in one conference championship tournament in volley-ball (or the tournament used to determine the conference's automatic entry in an NCAA volleyball championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference volley-ball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA volleyball championship without the date(s) of competition being counted as a postseason tournament;
- (c) **Season-Ending Tournament.** Competition in one season-ending volleyball tournament (i.e., NCAA championship, NAIA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Revised: 1/10/90)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Game.** One date of competition in volleyball each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One date of competition in volleyball each year with a foreign opponent in the United States:
- (g) **Hawaii or Alaska.** Any dates of competition in volleyball in Hawaii or Alaska, respectively, against an active Division I institution located in Hawaii or Alaska, by a member located outside the area in question; (Adopted: 1/9/96 effective 8/1/96)
- (h) **Fund-Raising Activity.** Any volleyball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's volleyball team who participate in local celebrity volleyball activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and

# Exemptions: Volleyball Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.26.9.2-(a)] Conference Playoff [17.26.9.2-(b)] Season-Ending Tournament [17.26.9.2-(c)] NCAA Championship Play-In Competition [17.26.9.2-(d)] Alumni Game [17.26.9.2-(e)] Foreign Team in U.S. [17.26.9.2-(f)] Fund-Raising Activity [17.26.9.2-(h)] Celebrity Sports Activity [17.26.9.2-(i)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.26.9.2-(g)] AND U.S. National Team [17.26.9.2-(j)] AND Certified Annual Exemptions [17.26.9.1, 30.10.4]
Once-in-Four-Years Exemptions	Foreign Tour [17.26.11]  OR  NACWAA/State Farm Volleyball Classic [17.26.9.2-(k)]  OR  Certified Once-in-Four-Years Exemptions [17.26.10, 30.10.4]

- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for volleyball. (*Adopted: 1/9/96 effective 8/1/96*)
- (k) NACWAA/State Farm Volleyball Classic. Competition in the NACWAA/State Farm Volleyball Classic.
- **17.26.10 Once-in-Four-Years Exemptions—Certification Required.** An institution may not exempt more than one of the certified once-in-four-years exemptions from its maximum number of dates of competition in volleyball and may not repeat participation in that event within a four-year period. The list of exempted competitions played by a member located outside the area in question include:
- (a) Any dates of tournament competition played in and sponsored by an active member institution located in Hawaii, Alaska or Puerto Rico; or
- (b) Any dates of non-tournament competition against a non-Division I member located in Hawaii, Alaska or Puerto Rico.

The contest must be certified by the Championships/Competition Cabinet per Bylaw 30.10.4 [see Bylaw 17.26.11]. (Adopted: 1/9/96 effective 8/1/96)

- **17.26.11 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may not exempt more than one foreign tour from its maximum number of dates of competition in volleyball during any academic year and may not repeat participation in a foreign tour within a four-year period. The tour shall be conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.26.10. (Adopted: 1/9/96 effective 8/1/96)
- **17.26.12 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.26.1 except as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.26.12.1 Summer Practice.** Volleyball practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- **17.26.13 Camps and Clinics.** There are no limits on the number of student-athletes in volleyball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)

#### 17.26.14 Other Restrictions

## 17.26.14.1 Noncollegiate, Amateur Competition

- 17.26.14.1.1 Men and Women—During Academic Year. A student-athlete in the sport of volley-ball who participates during the academic year as a member of any outside volleyball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate volleyball competition shall be ineligible for intercollegiate volleyball competition for the remainder of the year and for the next academic year (see 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.26.14.1.1.1 Vacation-Period Exception.** A student-athlete in men's or women's volleyball may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted:* 1/11/94 effective 8/1/94)
  - **17.26.14.1.1.2 May 1 Exception—Women's Volleyball.** A student-athlete in the sport of women's volleyball may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: (*Adopted:* 1/14/97 effective 8/1/97)
  - (a) Such participation occurs no earlier than May 1;
  - (b) The number of student-athletes from any one institution does not exceed two;
  - (c) The competition is approved by the institution's director of athletics;
  - (d) No class time is missed for practice activities or for competition; and
  - (e) All practice and competition is confined to doubles tournaments in outdoor volleyball, either on sand or grass.
- **17.26.14.1.2 Out of Season.** In men's and women's volleyball, a member institution may permit not more than two student-athletes with eligibility remaining in intercollegiate volleyball to practice or compete out of season on an outside, amateur volleyball team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.26.14.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.26.14.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's volleyball team except as provided under Bylaws 14.7.3 and 17.30.
  - **17.26.14.1.2.2** Olympic and National Team Development Program. There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.26.14.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing volleyball equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.26.2. (*Revised: 1/11/89*)
  - **17.26.14.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted: 1/11/89*)

# 17.27 WATER POLO

Regulations for computing the men's and women's water polo playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.) (Revised: 1/9/96 effective 8/1/96)

**17.27.1 Length of Playing Season.** The length of an institution's playing season in water polo shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97*)

# 17.27.2 Preseason Practice

(a) Men—A member institution shall not commence practice sessions in men's water polo prior to the date that permits a maximum of 21 permissible practice opportunities (see Bylaw 17.02.11) prior to

- the first scheduled intercollegiate contest. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised: 8/11/98)
- (b) Women—A member institution shall not commence practice sessions in women's water polo prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 8/11/98)

# 17.27.3 First Date of Competition

- (a) Men—A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in men's water polo prior to the first Saturday in September. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 1/14/97 effective 8/1/97, Revised: 8/11/98)
- (b) Women—A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in women's water polo prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 8/11/98)

# 17.27.4 End of Regular Playing Season

- (a) Men—A member institution shall conclude all practice and competition (games and scrimmages) in the sport of men's water polo by the last date of final exams for the regular academic year at the institution. (Revised: 1/14/97 effective 8/1/97, Revised: 8/11/98)
- (b) Women—A member institution shall conclude all practice and competition (games and scrimmages) in women's water polo by the conclusion of the National Women's Collegiate Water Polo Championship or the National Collegiate Women's Water Polo Championship. (Adopted: 8/11/98)

# 17.27.5 Number of Dates of Competition

- **17.27.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of water polo during the institution's water polo playing season to 21 dates of competition, except for those dates of competition excluded under Bylaws 17.27.5.2, 17.27.5.3 and 17.27.5.4. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.27.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable dates of competition in water polo in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.27.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 21 dates of competition in water polo. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91)
- **17.27.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of water polo shall exclude the following (see Figure 17-29):
- (a) **Conference Championship—Men and Women.** Competition in one conference championship tournament in water polo (or the tournament used to determine the conference's automatic entry in the National Collegiate Men's Water Polo Championship or the tournament used to determine entry in the Women's Collegiate National Water Polo Championship or the National Collegiate Women's Water Polo Championship); (Revised: 8/11/98)
- (b) **Conference Playoff—Men and Women.** Competition involving member institutions that tie for a conference water polo championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the National Collegiate Men's Water Polo Championship without the game(s) being counted as a postseason tournament;
- (c) Women's Collegiate National Championship. For women's water polo, competition in the Women's Collegiate National Championship. (Revised: 1/9/00)
- (d) **NCAA Championship—Men.** Competition in the National Collegiate Men's Water Polo Championship;
- (e) **NCAA Championship—Women.** Competition in the Women's National Collegiate Water Polo Championship; (Adopted: 8/11/98)
- (f) NCAA Championship Play-In Competition—Men. Competition in play-in contests conducted before NCAA championship;
- (g) **Alumni Game—Men and Women.** One date of competition in water polo each year with an alumni team of the institution;
- (h) **Foreign Team in U.S.—Men and Women.** One date of competition in water polo each year with a foreign opponent in the United States;

Exemptions: Water Polo Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.27.5.2-(a)] Conference Playoff [17.27.5.2-(b)] National Governing Body Championship (women) [17.27.5.2-(c)] NCAAChampionship (men) [17.27.5.2-(d)] NCAA Championship (women) [17.27.5.2-(e)] NCAAChampionship Play-In Competition (men) [17.27.5.2-(f)] Alumni Game [17.27.5.2-(g)] Foreign Team in U.S. [17.27.5.2-(h)] Date(s) against Active Members in Hawaii, Alaska or Puerto Rico [17.27.5.2-(i)] Fund-Raising Activity [17.27.5.2-(k)] U.S. National Team (men) [17.27.5.2-(l)]
Once-in-Four-Years Exemptions	Foreign Tour [17.27.5.4-(a)]  OR  Certified Once-in-Four-Years Exemptions (17.27.5.3, 30.10.4)

- (i) Hawaii, Alaska, Puerto Rico—Men and Women. For men's and women's water polo, any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, against an active member institution located in Hawaii, Alaska or Puerto Rico by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96, Revised: 8/11/98)
- (j) **Fund-Raising Activity—Men and Women.** Any water polo activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;
- (k) **Celebrity Sports Activity—Men and Women.** Competition involving a limit of two student-athletes from a member institution's water polo team who participate in local celebrity water polo activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (1) **U.S. National Team—Men and Women.** One date of competition against the U.S. national team as selected by the appropriate national governing body for water polo. (Adopted: 1/9/96 effective 8/1/96)
- **17.27.5.3 Once-in-Four-Years Exemptions—Certification Required—Men and Women.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/ Competition Cabinet pursuant to Bylaw 30.10.4 (see Bylaw 17.27.5.4). (*Adopted: 1/9/96 effective 8/1/96*)
- **17.27.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Men and Women—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). In the sport of men's water polo, an institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.27.5.3. (Adopted: 1/9/96 effective 8/1/96)
- **17.27.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.27.1 except as permitted in Bylaw 17.1.5.2 and, except as permitted in Bylaw 17.1.5.2.1. (*Revised:* 1/10/91 effective 8/1/91)
  - 17.27.6.1 Summer Practice. Practice that is organized or financially supported by a member institu-

tion shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91)

- **17.27.7 Camps and Clinics.** There are no limits on the number of student-athletes in water polo who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)
- **17.27.8 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in water polo. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)

#### 17.27.9 Other Restrictions

# 17.27.9.1 Noncollegiate, Amateur Competition

- **17.27.9.1.1 During Academic Year.** A student-athlete in the sport of water polo who participates during the academic year as a member of any outside water polo team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate water polo competition shall be ineligible for intercollegiate water polo competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.27.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. There are no limitations on the number of student-athletes from any one institution who may compete on an outside amateur water polo team. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95)
  - **17.27.9.1.1.2 May 1 Exception.** A student-athlete in the sport of men's water polo may compete outside of an institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: (*Adopted:* 1/12/99 effective 5/1/99)
  - (a) Such participation occurs no earlier than May 1;
  - (b) The competition is approved by the institution's director of athletics; and
  - (c) No class time is missed for practice activities or for competition.
- **17.27.9.1.2 Out of Season.** There are no limitations on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur water polo team, provided competition on an outside team occurs only during the summer, except as provided in Bylaw 14.7.3. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93, 1/10/95 effective 8/1/95*)
  - **17.27.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's water polo team except as provided under Bylaws 14.7.3 and 17.30. (*Revised: 1/14/97 effective 8/1/97*)
  - **17.27.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.27.9.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing water polo equipment and for taking squad pictures following the beginning of classes in the fall term or the day prior to the beginning of a segment as specified in Bylaw 17.27.2. (*Revised:* 1/11/89)
  - **17.27.9.2.1 Exception for Sundays.** If the day prior to the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking squad pictures. (*Adopted:* 1/11/89)

# 17.28 WRESTLING

Regulations for computing the wrestling playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figures 17-1 and 17-2.)

**17.28.1 Length of Playing Season.** The length of an institution's playing season in wrestling shall be limited to a 144-day season which may consist of two segments (each consisting of consecutive days) and

- exclude only required off days per Bylaw 17.1.5.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- **17.28.2 Preseason Practice.** A member institution shall not commence practice sessions in wrestling prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
- **17.28.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in wrestling prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier.
- **17.28.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in the sport of wrestling by the last date of final examinations for the regular academic year at the institution. (*Revised: 1/14/97 effective 8/1/97*)

# 17.28.5 Number of Dates of Competition

- **17.28.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of wrestling during the permissible wrestling playing season to 16 dates of competition, which may include not more than two two-day meets that shall each count as a single date of competition each, except for those dates of competition excluded under Bylaws 17.28.5.2, 17.28.5.3 and 17.28.5.4 (see Bylaw 20.9.3.3 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
  - 17.28.5.1.1 Competition That Exceeds Two Days. An institution that participates in wrestling competition that exceeds two days in duration may count the first two days of the competition as a single date of competition but must count any additional days as separate dates of competition. (Adopted: 12/10/97)
  - **17.28.5.1.2** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in wrestling in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.28.5.1.3 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 16 dates of competition in wrestling, which may include not more than two two-day meets that shall each count as a single date of competition each. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- **17.28.5.2 Annual Exemptions.** The maximum number of dates of competition in the sport of wrestling shall exclude the following (see Figure 17-30):
- (a) **Conference Championship.** Competition in one conference championship tournament in wrestling (or the tournament used to determine the conference's automatic entries in an NCAA wrestling championship);
- (b) Season-Ending Tournaments
  - (1) NCAA Championship. Competition in the NCAA Division I Wrestling Championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) wrestling championships;
- (c) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before the NCAA Division I Wrestling Championship;
- (d) Alumni Meet. One date of competition in wrestling each year with an alumni team of the institution;
- (e) **Foreign Team in U.S.** One date of competition in wrestling each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
- (g) **Fund-Raising Activity.** Any wrestling activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;

# Exemptions: Wrestling Dates of Competition 2000-01 Academic Year

Annual Exemptions	Conference Championship [17.28.5.2-(a)] Season-Ending Tournaments [17.28.5.2-(b)] NCAA Championship Play-In Competition [17.28.5.2-(c)] Alumni Meet [17.28.5.2-(d)] Foreign Team in U.S. [17.28.5.2-(e)] Fund-Raising Activity [17.28.5.2-(g)] Celebrity Sports Activity [17.28.5.2-(h)]
Additional Annual Exemptions	Date(s) against Active Division I Members in Hawaii or Alaska [17.28.5.2-(f)] AND U.S. National Team [17.28.5.4] AND NWCA All-Star Meet [17.28.5.2-(j)]
Once-in-Four-Years Exemptions	Foreign Tour [17.28.5.5-(a)]  OR  Certified Once-in-Four Years Exemptions [17.28.5.3, 30.10.4]

- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's wrestling team who participate in local celebrity wrestling activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for wrestling; and (*Adopted: 1/9/96 effective 8/1/96*)
- (j) **NWCA All-Star Meet.** One date of competition in wrestling each year in the National Wrestling Coaches Association (NWCA) all-star meet. (Adopted: 10/27/98 effective 8/1/99)
- **17.28.5.3 Once-in-Four-Years Exemptions—Certification Required.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. The contest must be certified by the Championships/Competition Cabinet pursuant to Bylaw 30.10.4 [see Bylaw 17.28.5.4]. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.28.5.4 Once-in-Four-Years Exemptions—Certification Not Required—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.28.5.3. *Adopted:* 1/9/96 effective 8/1/96)
- **17.28.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.28.1 except, as permitted in Bylaws 17.1.5.2 and 17.1.5.2.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.28.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised:* 1/10/91 effective 8/1/91, Revised: 1/10/92)
    - **17.28.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. It is not permissible for the institution to pay fees for the use of a facility. (Adopted: 1/10/92, Revised: 1/11/94)
- **17.28.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related

# Wrestling/17.28.7—Foreign Tours/17.30.1.3

activities) when the student-athlete is engaged in wrestling. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)

**17.28.8 Camps and Clinics.** There are no limits on the number of student-athletes in wrestling who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

#### 17.28.9 Other Restrictions

# 17.28.9.1 Noncollegiate, Amateur Competition

- **17.28.9.1.1 During Academic Year.** A student-athlete in the sport of wrestling who participates during the academic year as a member of any outside wrestling team in any noncollegiate, amateur competition (e.g., team invitational meets, exhibition meets or other activity) except while representing the institution in intercollegiate wrestling competition shall be ineligible for intercollegiate wrestling competition for the remainder of the year and for the next academic year (see Bylaw 14.7.3 for exceptions and waivers). (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93*)
  - **17.28.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. (*Adopted: 1/11/94 effective 8/1/94*)
- **17.28.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate wrestling who may practice or compete out of season on an outside, amateur wrestling team (competition on an outside team permitted only during the summer). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.28.9.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's wrestling team except as provided under Bylaws 14.7.3 and 17.30. (*Revised:* 1/10/91 effective 8/1/91)
  - **17.28.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.28.9.2 Equipment Issue, Squad Pictures. No limitations.

# 17.29 EXCEPTIONS FOR MEMBER INSTITUTIONS LOCATED IN ALASKA, HAWAII AND PUERTO RICO

- **17.29.1 Practice and Playing Seasons.** Member institutions located in Alaska, Hawaii and Puerto Rico shall not be required to observe the starting dates for the practice and playing seasons set forth in this bylaw, provided the amount of practice and number of contests engaged in by such institutions in each sport do not exceed the amount of practice and number of contests in each sport permitted other members of the Association.
- **17.29.2 Alaska/Hawaii, Additional Football Contest.** Member institutions located in Alaska and Hawaii shall be permitted to exceed, by one, the maximum number of football contests permitted under Bylaw 17.10.5.1 but otherwise shall conform to the same maximum number of contests and dates of competition permitted other members of the Association.

# **17.30 FOREIGN TOURS**

- **17.30.1 Institutionally Certified Tours.** A member institution may participate in competition in any sport on foreign tours certified by the institution in accordance with procedures set forth under Bylaw 30.7. (Revised: 1/11/89, 1/14/97 effective 8/1/97)
  - **17.30.1.1 Contest Exclusions.** Any contest(s) or date(s) of competition played on a certified foreign tour shall be excluded from the limitations set forth in this bylaw.
  - **17.30.1.2 Timing of Tour.** A foreign tour taken during the summer vacation period shall be considered to have occurred during the academic year subsequent to the summer for purposes of Bylaws 17 and 30.10. (Adopted: 1/9/96 effective 8/1/96)
  - **17.30.1.3 Tour to U.S. Territory or Commonwealth.** A tour to a United States commonwealth (e.g., Puerto Rico) or a United States territory (e.g., Virgin Islands) is not considered a foreign tour.

**17.30.2 Sanctioned Outside-Team Tours.** An outside team that includes student-athletes from more than one member institution may participate in international competition in any sport on a foreign tour. However, any such outside team that includes more than the following number of student-athletes from the same member institution must be certified by the institution in accordance with procedures set forth in Bylaw 30.7. (*Revised:* 1/14/97 effective 8/1/97)

Baseball	4	Gymnastics	2	Swimming and Diving	5
Basketball	2	Ice Hockey	4	Tennis	2
Cross Country	2	Lacrosse	5	Track and Field	7
Fencing	4	Rifle	2	Volleyball	2
Field Hockey	5	Skiing	4	Water Polo	4
Football	5	Soccer	5	Wrestling	5
Golf	2	Softball	4		

**17.30.2.1 Institutional Foreign-Tour Limitations.** A member institution shall be charged with its foreign-tour opportunity in a sport and its once-in-four-years limitation if the institution is represented by more than the number of student-athletes specified under Bylaw 17.30.2 on an outside team participating in a foreign tour in that sport.

# **17.31 PLAYING RULES**

Member institutions shall conduct all of their intercollegiate competition in accordance with the playing rules of the Association in all sports for which the NCAA develops playing rules. It is not mandatory that those rules be used in institutional scrimmages or other forms of practice with outside competition.

# Championships and Postseason Football

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# **18.01 GENERAL PRINCIPLES**

**18.01.1 Purpose of NCAA Championships.** NCAA championships are intended to provide national-level competition among the best eligible student-athletes and teams of member institutions, with consideration also for approved regional structures for certain championships.

**18.01.2 Postseason Competition Not Sponsored by a Collegiate Entity.** Competition by member institutions in postseason contests that are not sponsored, promoted, managed and controlled by a collegiate entity shall conform to the requirements set forth in this article and all other applicable legislation of the Association, which may include penalties for violations of these requirements by sponsoring agencies.

# 18.02 DEFINITIONS AND APPLICATIONS

#### 18.02.1 Championships

**18.02.1.1 National Collegiate Championship.** A national collegiate championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes and teams of active member institutions to determine the NCAA champion in that sport for all divisions that do not have a separate division championship in that sport. A national collegiate championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.1.2 Division Championship.** A division championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes or teams of active member institutions to determine the division champion in that sport. A division championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.2 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes (see Bylaw 18.2.8.3). (*Revised:* 1/11/94)

**18.02.3 Open Date.** An open date is a regular weekend playing date prior to the end of an institution's regular football schedule on which an institution is not playing a game, or a date approved by the Management Council, by a two-thirds majority of its members present and voting, to enable an institution to assist financially in meeting an unforeseen hardship situation resulting directly from the institution's intercollegiate athletics activities.

**18.02.4 Postseason Football Bowl Game.** A postseason football bowl game is a football contest conducted after the regular football season and involving two teams selected because of their regular-season performance (e.g., won-lost record, conference championship). Participation in such events by member institutions is limited to those bowl games that are certified by the Association per Bylaws 18.7 and 30.9.

# 18.1 REGULATIONS AND AUTHORITY FOR CONDUCT OF CHAMPIONSHIPS

All NCAA championships shall be conducted in accordance with this bylaw and the policies and procedures established by the Championships/Competition Cabinet, which shall establish and revise the policies and procedures governing the administration of NCAA championships, including selection processes, formats and distribution of revenues to participating institutions. NCAA championships shall be under the control, direction and supervision of the appropriate sports committees, subject to the requirements, standards and conditions prescribed in Bylaw 31. (Revised: 1/10/91)

# 18.2 CRITERIA FOR ESTABLISHMENT OR CONTINUATION OF CHAMPIONSHIPS

The establishment or continuation of an NCAA championship in a given sport shall be determined on the basis of the requirements in the following bylaws, with sponsorship of a sport based on the Association's records as of September 30 each year.

- **18.2.1 National Collegiate Championship.** A National Collegiate Championship for which any active member in good standing is eligible (per Bylaw 20.8) may be established by action of all three divisions acting through each division's governance structure, subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. (*Revised:* 1/14/97 effective 8/1/97)
- **18.2.2 Division Championship.** A Division I championship in a particular sport may be established by a majority vote of the Board of Directors subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. (Revised: 1/9/96 effective 8/1/97)
- **18.2.3 Championships Existing during 1993-94.** A National Collegiate Championship or a division championship that existed during the 1993-94 academic year may be continued if at least 40 member institutions sponsor the sport. (*Adopted:* 1/11/94 effective 8/1/94)
  - **18.2.3.1 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. (*Adopted: 1/11/94 effective 8/1/94*)
- **18.2.4 Championships Established during 1994-95 or Later.** A National Collegiate Championship or a division championship may be established during the 1994-95 academic year or thereafter if at least 50 institutions sponsor the sport. (*Adopted:* 1/11/94 effective 8/1/94)
  - 18.2.4.1 Exception for Women's Sports during the 1994-95 through 2003-04 Academic Years. A National Collegiate Championship or a division championship may be established during the 1994-95 through 2003-04 academic years in a women's sport in which the Association does not already conduct a championship if at least 40 institutions sponsor the sport. (Adopted: 1/11/94 effective 8/1/94, Revised: 4/22/98 effective 8/1/98)

# 18.2.4.2 Sponsorship Criteria

- **18.2.4.2.1 Minimum Period.** In men's sports, the applicable minimum sponsorship number must exist for two consecutive academic years in order for a championship to be established. Legislation to establish the championship may be proposed during the second year in which the minimum sponsorship number exists. In women's sports, the applicable minimum sponsorship number must exist for one academic year in order for a championship to be established. Legislation to establish the championship may be proposed during the year in which the minimum sponsorship number exists. Varsity sports sponsored prior to August 1, 1994, shall count toward the minimum year sponsorship requirement. (Adopted: 1/11/94 effective 8/1/94, Revised 10/28/99 effective 8/1/00)
- **18.2.4.2.2 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. (Adopted: 1/11/94 effective 8/1/94)
- **18.2.5 Establishment of Single Championship in Sport.** If only one championship is established or continued in accordance with Bylaws 18.2.3 and 18.2.4, it shall be a National Collegiate Championship for which any active member institution in good standing can be eligible.
- **18.2.6 Establishment of Three Championships in Sport.** If a National Collegiate Championship and two division championships exist in the same sport, the National Collegiate Championship automatically shall become a division championship for the remaining division that does not sponsor a division championship in that sport.
- **18.2.7 Establishment of Two Championships in Sport.** If a National Collegiate Championship and one division championship exist in the same sport, only the members of the division sponsoring the division championship may participate in the division championship, and that division's membership may not participate in the National Collegiate Championship in that sport.

# 18.2.8 Determination of Sponsorship Requirements

**18.2.8.1 Single-Gender Athletics Programs.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, member institutions sponsoring no varsity intercollegiate athletics programs for men shall not be included in making calculations concerning men's championships, and

# Establishment or Continuation/18.2.8.1—Current Championships/18.3.2

member institutions sponsoring no varsity intercollegiate athletics programs for women shall not be included in making calculations concerning women's championships.

- **18.2.8.2 Separate Men's and Women's Teams, Same Sport.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4 for a combined men's and women's championship, separate varsity intercollegiate men's and women's teams in the same sport at a member institution shall be counted separately.
- **18.2.8.3 Mixed Team.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, a mixed team (as defined in Bylaw 18.02.2) shall be counted as one team. A mixed team shall count toward the minimum sponsorship percentage for men's championships.
- **18.2.9 Subdivision Requirements.** If a division subdivides for the administration of a sport, resulting in less than the applicable minimum number of the division's active members sponsoring the sport on a varsity intercollegiate basis in that subdivision, the subdivision shall meet the requirement within three years of the date the subdivision was created. It may establish and conduct a championship in the interim. (*Revised:* 1/11/94 *effective* 8/1/94)
- **18.2.10 Failure to Meet Minimum Sponsorship Requirements.** A championship shall be discontinued automatically at the conclusion of the academic year in which it falls below the applicable minimum sponsorship number set forth in Bylaw 18.2.3 or 18.2.4. (*Revised:* 1/11/94 effective 8/1/94)
  - **18.2.10.1 Exception—Olympic Sports.** A National Collegiate Championship or a division championship in any Olympic sport shall be exempt from the minimum-sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in an Olympic sport. (*Adopted:* 1/14/97 effective 8/1/97)
  - **18.2.10.2** Exception—Men's and Women's Lacrosse. A National Collegiate Championship or a division championship in the sports of men's and women's lacrosse shall be exempt from the minimum sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in the sport of men's lacrosse. (Adopted: 10/28/99 effective 8/1/00)

# **18.3 CURRENT CHAMPIONSHIPS**

The Association will administer 84 national championships in 2000-01. Ten are National Collegiate Championships. Additionally, there are 25 Division I championships, 24 Division II championships and 25 Division III championships. (See Bylaw 31.02.2 for information about the classification and terminology of championships and see Constitution 5.3.11.1 and 5.3.11.2 for the voting requirements for the establishment of a new championship.) The championships for 2000-01 are as follows: (Revised: 1/10/90, 1/10/92, 1/9/96, 4/27/00)

# 18.3.1 National Collegiate Championships (10)

Men (3)	Men and Women (3)	Women (4)
Gymnastics	Fencing	Gymnastics
Volleyball	Rifle	Ice Hockey ( $Adopted\ 4/27/00$ )
Water Polo	Skiing	Rowing (Adopted: 1/9/96)
		Water Polo (Adopted: 4/27/00)

#### 18.3.2 National Collegiate Division I Championships (25)

Men (13)	Women (12)
Baseball	Basketball
Basketball	Cross Country
Cross Country	Field Hockey

I-AA Football Golf

Golf Lacrosse (Adopted 4/27/00)

Ice Hockey Soccer
Lacrosse Softball

Soccer Swimming and Diving

Swimming and Diving Tennis

Tennis Indoor Track and Field

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# Current Championships/18.3.2—Eligibility for Championships/18.4.1.5.1

Indoor Track and Field Outdoor Track and Field

Outdoor Track and Field Volleyball

Wrestling

# **18.4 ELIGIBILITY FOR CHAMPIONSHIPS**

**18.4.1 Student-Athlete Eligibility.** To be eligible for NCAA championships, a student-athlete shall meet all applicable individual-eligibility requirements set forth in NCAA legislation. The general and academic eligibility requirements are set forth in detail in Bylaw 14.

- **18.4.1.1 Institution's Responsibility.** The responsibility of an institution to withhold from all intercollegiate competition a student-athlete who is ineligible under any NCAA legislation is set forth in Bylaw 14.11.1.
- **18.4.1.2** Academics/Eligibility/Compliance Cabinet Authority. The Academics/Eligibility/Compliance Cabinet shall have initial authority to determine all matters pertaining to the eligibility of student-athletes competing in the various NCAA championships and to act upon all appeals concerning the eligibility of student-athletes submitted by member institutions (see Bylaw 14.12).
- **18.4.1.3 Protest of Eligibility Status.** If a student-athlete has been certified by the institution as eligible to compete in an NCAA championship and the student-athlete's eligibility is protested, the Academics/Eligibility/Compliance Cabinet shall not rule on such a protest received during the period beginning 24 hours before the event and ending with the conclusion of the event. (See Bylaw 31.2.2.3 regarding protests received during a break in the continuity of a championship.)
- **18.4.1.4 Amateur-Status Certification.** If requested by the Championships/Competition Cabinet, the student-athlete shall certify his or her amateur standing under the provisions of Bylaw 12 by signing an affidavit that is administered by the chair of the games committee and taken on a form prescribed by the Championships/Competition Cabinet.
- 18.4.1.5 Ineligibility for Use of Banned Drugs. A student-athlete who is found to have utilized a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.1, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. The certifying institution may appeal to the Academics/Eligibility/Compliance Cabinet for restoration of the student-athlete's eligibility if the institution concludes that circumstances warrant restoration. ( $Revised: 1/10/90 \ effective \ 8/1/90$ )
  - **18.4.1.5.1 Duration of Ineligibility.** A student-athlete who tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be charged with the loss of a minimum of one season of competition in all sports if the season of competition has not yet begun for that student-athlete or a minimum of the equivalent of one full season of competition in all sports if the student-athlete tests positive during his or her season of competition (i.e., the remainder of contests in the current season and contests in the subsequent season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the student-athlete's positive drug test and until the student-athlete retests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Academics/ Eligibility/Compliance Cabinet. If the student-athlete transfers to another NCAA institution while ineligible, the institution from which the student-athlete transferred must notify the institution that the student-athlete is ineligible. If the student-athlete tests positive a second time for the use of any drug, other than a "street drug" as defined in Bylaw 31.2.3.1, he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after being restored to eligibility, he or she shall be charged with the loss of a minimum of one additional season of competition in all sports and also shall remain ineligible for regular-season and postseason competition at least through the next calendar year. If the student-athlete immediately transfers to a non-NCAA institution while ineligible and competes in collegiate competition within the 365-day period at a non-NCAA institution, the studentathlete will be ineligible for all NCAA regular-season and postseason competition until the student-athlete does not compete in collegiate competition for a 365-day period. Additionally, the student-athlete must retest negative (in accordance with the testing methods authorized by the Executive Committee) and request that eligibility be restored by the Academics/Eligibility/ Compliance Cabinet. In addition, a student-athlete who has previously tested positive for performance-enhancing drugs as a result of a drug test administered by any other athletics organization and subsequently tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be subject to these ineligibility provisions. (Revised: 1/10/90 effective 8/1/90, Revised: 1/16/93, 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

### Eligibility for Championships/18.4.1.5.2—Automatic Qualification/18.5

- **18.4.1.5.2** Banned Drugs and Drug-Testing Methods. The Executive Committee shall adopt a list of banned drugs and shall authorize methods for drug testing of student-athletes on a year-round basis. The list of banned drugs and the procedure for informing member institutions about authorized methods for drug testing are set forth in Bylaws 31.2.3.1 and 31.2.3.3, respectively. (Revised: 1/10/90 effective 8/1/90)
- **18.4.1.5.3 Non-NCAA Athletics Organization's Positive Drug Test.** The Executive Committee shall authorize methods for drug testing any student-athlete who has disclosed in the student-athlete statement (see Bylaw 14.1.3.1) that he or she has a positive drug test administered by a non-NCAA athletics organization. (*Adopted:* 1/14/97 effective 8/1/97)

### 18.4.2 Institutional Eligibility

- **18.4.2.1 General Institutional Requirements.** To be eligible to enter a team or an individual in NCAA championship competition, an institution shall:
- (a) Be an active member in good standing in the appropriate division, or have its sport so classified, and be eligible under the rules of the member conference of which it is a member;
- (b) Have paid its membership dues for the current year in accordance with the deadlines set forth in Bylaw 31.2.1.2;
- (c) Designate (in accordance with Bylaw 20) its athletics program as Division I for competition and possible eligibility for championships in those intercollegiate sports recognized by the NCAA;
- (d) Certify, through its chief executive officer on a form approved by the Management Council, the institution's compliance with NCAA legislation. The certification shall be completed not later than September 15 (see Bylaw 30.3 for details about information required on the certification form); (Revised: 1/10/95)
- (e) Have confirmed annually its sponsorship of a varsity intercollegiate team in the sport by so reporting on the NCAA official information form; and (Adopted: 1/10/90)
- (f) Refrain from entering a student-athlete as an individual or as a member of a team in an NCAA championship if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated NCAA regulations in the recruiting of the student-athlete. The institution may appeal to the Academics/Eligibility/Compliance Cabinet for restoration of the student-athlete's eligibility (see Bylaw 14.12).
  - **18.4.2.1.1 Additional Requirements.** Other requirements for institutional eligibility for championships are set forth in Bylaw 31.2.1.
- **18.4.2.2 Championships—Eligibility.** To be eligible to enter a team or an individual in an NCAA championship, a member institution also shall:
- (a) Limit its athletically related grant-in-aid awards and eligibility for participation in practice or competition to student-athletes who meet the following requirements:
  - (1) Freshman eligibility requirements for financial aid, practice and competition set forth in Bylaws 14.3.1 and 14.3.2; and
  - (2) Transfer eligibility requirements for financial aid, practice and competition set forth in Bylaws 14.5.4.1 and 14.5.4.2 (for transfer from a two-year college) or in Bylaw 14.5.5.1 (for transfer from a four-year college);
- (b) Have operated for a period of two years in conformity with the requirements of 18.4.2.2-(a) at the time it certifies conformance; and
- (c) Report annually to the NCAA through the chief executive officer, on a form approved by the Management Council, the admissions and graduation-rate data specified in Bylaw 30.1. The data shall be received in the national office not later than March 1. Any data received after that date shall appear on a form postmarked not later than February 22. (Revised: 1/10/90 effective immediately for data collection and effective 10/1/91 for disclosure, Revised: 10/28/97)
  - **18.4.2.2.1 Division II or Division III Institution Petitioning for Division I Classification.** A Division II or Division III institution petitioning for Division I institutional membership or eligibility in a sport (in accordance with Bylaw 20) shall have operated in conformity with the requirements of Bylaw 18.4.2.2-(a) for a period of two years preceding the effective date of its Division I membership or be ineligible for Division I championships.
- **18.5 AUTOMATIC QUALIFICATION BY CONFERENCE.** To be eligible for automatic qualification into any Division I championship, a conference shall:

### Automatic Qualification/18.5—Postseason Football/18.7.4

- (a) Have at least six member institutions classified in Division I in the sport in which automatic qualification is sought, and
- (b) Meet all requirements for conference automatic qualification set forth in Bylaw 31.3.4.
  - **18.5.1 Men's Basketball Eligibility Requirements.** For automatic qualification in the sport of men's basketball in Division I, a conference shall meet the following additional requirements:
  - (a) It shall determine a conference champion in at least six sports [at least two of which must be team sports as set forth in Bylaw 31.3.4-(a)]; and in each of these six sports, at least six of the conference's member institutions shall sponsor the sport on the varsity intercollegiate level; and
  - (b) It shall conduct double round-robin, in-season conference competition, or a minimum of 14 conference games, before declaring its champion in basketball. (Revised: 1/10/91 effective 8/1/91)

### **18.6 PLAYING RULES FOR CHAMPIONSHIPS**

In sports in which the Association maintains rules committees, the rules adopted by said committees shall govern the conduct of all NCAA-sponsored events in those sports. In those sports in which the Association does not maintain rules committees, the rules to be used are specified in Bylaw 31.1.6.

### **18.7 POSTSEASON FOOTBALL**

- **18.7.1 Permissible Football Games.** The only football games in which a member institution may compete are:
- (a) Games scheduled as to the identity of a participating college before the beginning of the regular football season of the institution for any academic year, including not only games for which the identity of one participating college is known, but also one for which the institution's opponent is not known at the time of scheduling;
- (b) Any football game scheduled between two colleges [which is to be played on a common and regular open date (as defined in Bylaw 18.02.3) of their regular football seasons, on the campus or in the regular playing stadium of either team], even if it is scheduled after the beginning of either participant's football season;
- (c) Games that are part of the NCAA championships for Division I-AA members;
- (d) Games that are part of the National Association of Intercollegiate Athletics football championships;
- (e) A conference championship game on an open date during the traditional fall season, provided the game is played (as opposed to scheduled) the week prior to the first round of an NCAA football championship date and provided the game is listed on the schedules of all conference members; and
- (f) Certified postseason bowl games that meet all requirements and conditions set forth in Bylaw 30.9.
  - **18.7.1.1 Institutional Eligibility—Written Report.** The director of athletics of a member institution that participates in a postseason bowl game shall submit by the subsequent February 1 a written report on the event as specified in Bylaw 30.9.1. (*Adopted: 1/10/90*)
- **18.7.2 Postseason Football Championship and Postseason Bowl Certification.** In Division I-A, postseason bowl games must meet the conditions and requirements set forth in Bylaw 30.9 in order to be certified. In Division I-AA, postseason championship football games and bowl games that are exempt from the maximum number of football contests per Bylaw 17.11.5.1, except for the Division I-AA Football Championship, must meet the reporting requirements set forth in Bylaw 30.9. (Revised: 1/10/92)
- **18.7.3 Eligibility Rules.** The eligibility rules governing individual participation and drug usage shall be as demanding for participants in postseason bowl games as those governing participation in NCAA championships. To attest to the eligibility of its student-athletes (in conformity with this paragraph), each institution selected or qualified for a postseason game shall meet the certification-of-eligibility requirements set forth in Bylaws 14.10 and 30.12. (*Revised:* 1/10/95)
- **18.7.3.1 Institutional Eligibility.** The competing institutions shall be active members of the Association, and members shall conduct their intercollegiate athletics programs in conformance with the requirements for institutional eligibility set forth in Bylaw 18.4.2.2-(a).
- **18.7.4 Expenses for Spouses/Children.** A competing institution may include the spouses and children of players on the traveling squad as part of its official party to attend a certified postseason football game and may pay their necessary travel, lodging and meal costs (see also Bylaw 16.6.1.1).

# **Enforcement**

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### 19.01 GENERAL PRINCIPLES

**19.01.1 Mission of NCAA Enforcement Program.** It shall be the mission of the NCAA enforcement program to eliminate violations of NCAA rules and impose appropriate penalties should violations occur. The program is committed to fairness of procedures and the timely and equitable resolution of infractions cases. The achievement of these objectives is essential to the conduct of a viable and effective enforcement program. Further, an important consideration in imposing penalties is to provide fairness to uninvolved student-athletes, coaches, administrators, competitors and other institutions. (*Adopted: 1/11/94*)

**19.01.2 Exemplary Conduct.** Individuals employed by or associated with member institutions for the administration, the conduct or the coaching of intercollegiate athletics are, in the final analysis, teachers of young people. Their responsibility is an affirmative one, and they must do more than avoid improper conduct or questionable acts. Their own moral values must be so certain and positive that those younger and more pliable will be influenced by a fine example. Much more is expected of them than of the less critically placed citizen.

**19.01.3 Responsibility to Cooperate.** All representatives of member institutions shall cooperate fully with the NCAA enforcement staff, Committee on Infractions and Infractions Appeals Committee to further the objectives of the Association and its enforcement program. The enforcement policies and procedures are an essential part of the intercollegiate athletics program of each member institution and require full and complete disclosure by all institutional representatives of any relevant information requested by the NCAA enforcement staff, Committee on Infractions or Infractions Appeals Committee during the course of an inquiry.

**19.01.4 Violations by Institutional Staff Members.** Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution.

**19.01.5 Nature of Penalty Structure.** As a guiding principle, a penalty imposed under NCAA enforcement policies and procedures should be broad and severe if the violation or violations reflect a general disregard for the governing rules; in those instances in which the violation or violations are isolated and of relative insignificance, then the NCAA penalty shall be specific and limited. Previous violations of NCAA legislation shall be a contributing factor in determining the degree of penalty.

### 19.02 DEFINITIONS AND APPLICATIONS

**19.02.1 Show-Cause Order.** A show-cause order is one that requires a member institution to demonstrate to the satisfaction of the Committee on Infractions (or the appropriate appeals committee per Bylaw 19.3) why it should not be subject to a penalty (or additional penalty) for not taking appropriate disciplinary or corrective action against an institutional staff member or representative of the institution's athletics interests identified by the committee as having been involved in a violation of NCAA regulations that has been found by the committee. (*Revised:* 1/10/95)

### 19.02.2 Types of Violations

**19.02.2.1 Violation, Secondary.** A secondary violation is a violation that is isolated or inadvertant in nature, provides or is intended to provide only a minimal recruiting, competitive or other advantage and does not include any significant recruiting inducement or extra benefit. Multiple secondary violations by a member institution may collectively be considered as a major violation. (*Revised: 1/11/94*)

### Definitions and Applications/19.02.2.2—Committee on Infractions/19.1.2.3

- **19.02.2.2 Violation, Major.** All violations other than secondary violations are major violations, specifically including those that provide an extensive recruiting or competitive advantage. (*Revised:* 1/11/94)
- **19.02.3 New Evidence.** New evidence is evidence that could not reasonably be ascertained prior to the Committee on Infractions hearing. (Adopted: 1/6/96)

### 19.1 COMMITTEE ON INFRACTIONS

The Management Council shall appoint a Committee on Infractions, which shall be responsible for administration of the NCAA enforcement program.

- **19.1.1 Composition of Committee.** The committee shall be composed of nine members, seven of whom shall be at present or previously on the staff of an active member institution or member conference of the Association, two of whom shall be from the general public and shall not be associated with a collegiate institution, conference, or professional or similar sports organization, or represent coaches or athletes in any capacity. One of the members shall serve as chair. One nonpublic member shall be elected as the coordinator of appeals. Two positions shall be allocated for men, two allocated for women and five unallocated. There shall be no subdivision restrictions except that all nonpublic members may not be from the same subdivision; however, the coordinator of appeals shall not be considered in determining whether such a requirement is satisfied. (*Revised:* 1/16/93, 10/27/98, 10/28/99, 1/11/00)
  - **19.1.1.1 Quorum.** Four members present and voting shall constitute a quorum for conduct of committee business, it being understood that the chair shall make a special effort to have full committee attendance when major infractions cases involving violations are to be considered.
  - **19.1.1.2 Temporary Substitutes.** If it appears that one or more members of the committee will be unable to participate in the hearing of a case, the chair may request the Management Council to designate a former member or members of the committee to rejoin the committee for purposes of the consideration and disposition of that case.
  - **19.1.1.3 Term of Office.** A member shall serve a three-year term, which shall commence on the first day of September following the member's election. A member may be reappointed but shall not serve more than nine years on the committee, with the exception of the position of coordinator of appeals, which may be filled by a former member of the committee who had previously served nine years. In such instances, a minimum period of three years must have elapsed between the date the committee member previously relinquished duties with the committee and reappointment to the committee as the coordinator of appeals. As with a regular member of the committee, the coordinator of appeals shall serve a three-year term, which commences on the first day of September following the coordinator of appeal's selection. The coordinator of appeals may be reappointed but shall not serve more than nine years on the committee in that capacity. (Adopted: 1/11/00)
  - **19.1.1.4 Duties of the Coordinator of Appeals.** The coordinator of appeals shall be responsible for processing appeals to infraction cases on behalf of the committee. The coordinator of appeals will be present during institutional hearings before the committee and during subsequent committee deliberations, but will not be an active participant in either. The coordinator of appeals shall represent the committee in proceedings before the Infractions Appeals Committee. (*Adopted: 10/28/99*)
- **19.1.2 Authority of Committee.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions by members of the Committee on Infractions present and voting at any duly called meeting thereof, provided the call of such a meeting shall have contained notice of the situation presenting the disciplinary problem. Actions of the committee in cases involving major violations, however, shall be subject to review by the appropriate appeals committee per Bylaw 19.3, on appeal. (Revised: 1/16/93, 1/10/95)
  - **19.1.2.1 Authority of Vice-President for Enforcement Services.** Upon review of information developed by the enforcement staff or self-reported by the member institution, the vice-president for enforcement services shall identify the charges as involving alleged major or secondary violations, or repeated secondary violations that should be viewed as a major violation, subject to approval by the chair or another member of the Committee on Infractions designated by the chair. Disciplinary or corrective actions in the case of secondary violations may be effected by the vice-president for enforcement services, subject to approval by the chair or another member of the Committee on Infractions designated by the chair. Said actions shall be taken in accordance with the provisions of the enforcement policies and procedures and shall be subject to review by the committee upon appeal.
  - **19.1.2.2 Authority of Committee Chair.** In the interim between meetings of the committee, the chair shall be empowered to act on behalf of the committee, subject to committee approval at its next meeting. If at any time, at a meeting or between meetings, the chair is unavailable to act as such, the member of the committee longest in service who is available is empowered to exercise the functions of the chair.
  - 19.1.2.3 Authority of Infractions Appeals Committee or Division Steering Committee. The appropriate

### Committee on Infractions/19.1.2.3—Appeals Committee/19.3.1.3

appeals committee per Bylaw 19.3 shall hear and act upon an institution's appeal of the findings of major violations by the Committee on Infractions. (Revised: 1/16/93, 1/10/95)

### **19.1.3 Duties of Committee.** The duties of the Committee on Infractions shall be as follows:

- (a) Consider complaints that may be filed with the Association charging the failure of any member to maintain the academic or athletics standards required for membership or the failure of any member to meet the conditions and obligations of membership in the Association;
- (b) Formulate and revise, in accordance with the requirements of Bylaw 19.4, a statement of its established operating policies and procedures, including investigative guidelines (see Bylaw 32);
- (c) Determine facts related to alleged violations and find violations of NCAA rules and requirements;
- (d) Refer consideration of a case or any portion of a case involving disputed facts to a hearing officer; (Adopted: 1/11/94)
- (e) Impose an appropriate penalty or show-cause requirement on a member found to be involved in a major violation (or, upon appeal, on a member found to be involved in a secondary violation), or recommend to the Board of Directors suspension or termination of membership; and
- (f) Carry out any other duties directly related to the administration of the Association's enforcement program.

### 19.2 INDEPENDENT HEARING OFFICERS

- **19.2.1 Appointment and Duties.** The Management Council shall appoint hearing officers who, pursuant to the hearing process in major infractions cases (see Bylaw 32.7), shall be responsible for reviewing disputed facts concerning one or more alleged violations and present findings of fact to the Committee on Infractions and the parties who participate in the hearing before the hearing officer. (Adopted: 1/11/94)
- **19.2.2 Decision to Utilize Hearing Officer.** The Committee on Infractions has the sole discretion to determine whether to utilize a hearing officer in the infractions process. The committee may exercise this discretion only when one or more of the following factors are present: (Adopted: 1/11/94)
- (a) The committee determines that discussion before the committee would be protracted and counterproductive to the committee's efficient consideration of the case;
- (b) The committee determines that use of a hearing officer would be an aid in resolving facts that were in dispute prior to the committee's consideration of the case; or
- (c) The institution or an individual identified in Bylaw 32.5.6 or 32.5.7 requests the use of a hearing officer to consider the facts in dispute, and the committee determines that the use of a hearing officer would be in the best interests of fact finding.

### 19.3 APPEALS COMMITTEES

- **19.3.1 Infractions Appeals Committee.** The Management Council shall appoint an Infractions Appeals Committee, which shall hear and act upon appeals of the findings of major violations by the Committee on Infractions involving member institutions. (*Adopted: 1/16/93, Revised: 1/10/95*)
  - **19.3.1.1 Composition of Committee.** The committee shall be composed of five members. At least one member shall be from the general public and shall not be connected with a collegiate institution, conference, or professional or similar sports organization, or represent coaches or athletes in any capacity. The remaining members shall presently or previously be on the staff of an active member institution or member conference, but shall not serve presently on the Board of Directors. There shall be no subdivision restrictions except that all nonpublic members may not be from the same subdivision. (*Adopted:* 1/16/93, *Revised:* 10/27/98)
    - **19.3.1.1.1 Temporary Substitutes.** If it appears that one or more of the committee will be unable to participate in the hearing of a case, the chair may request the Management Council to designate a former member or members of the committee to rejoin the committee for purposes of consideration and disposition of that case. (Adopted: 4/22/98)
  - **19.3.1.2 Term of Office.** A member shall serve a three-year term, which shall commence on the first day of September following the member's election. A member may be reappointed but shall not serve more than nine years on the committee. (Adopted: 1/9/96)
  - **19.3.1.3 Authority and Duties of Committee.** The committee shall hear and act upon appeals of the findings of major violations by the Committee on Infractions involving member institutions (see Bylaws 32.10 and 32.11). The committee may establish or amend enforcement policies and procedures set forth in Bylaws 32.10 and 32.11 that relate directly to the infractions appeals process, subject to review and approval by the Management Council. (*Adopted: 1/16/93, Revised: 1/10/95, 1/14/97*)

### Appeals Committees/19.3.1.3.1—Penalties/19.6.1

- **19.3.1.3.1 Notification to Membership.** To the extent that the infractions appeals policies and procedures are revised, any member institution involved in the processing of an infractions appeals case shall be notified immediately of the change and the general membership shall be advised through The NCAA News. (Adopted: 1/14/97)
- **19.3.1.3.2 Review by Convention.** Policies and procedures established by the Infractions Appeals Committee, per Bylaw 19.3.1.2, are subject to review and approval by the membership at the next annual Convention (see Constitution 5.2.3.3). (*Adopted: 1/14/97*)

# 19.4 ESTABLISHMENT AND REVISION OF ENFORCEMENT POLICIES AND PROCEDURES

- **19.4.1 Amendment by Committee and Approval by Management Council.** The Committee on Infractions may establish or amend the policies and procedures in regard to issues other than those concerning institutional penalties, restitution, and committee duties and structure. A member institution shall be provided notice of alleged NCAA rules violations for which it is charged before any penalty is imposed, as well as the opportunity to appear before the committee and the opportunity to appeal the committee's findings of major violations or penalties (see Bylaws 19.5 and 19.6). The policies and procedures governing the administration of the Association's enforcement program, as set forth in Bylaw 32, are subject to review and approval by the Management Council at its next regularly scheduled meeting.
  - **19.4.1.1 Notification to Membership.** To the extent that the enforcement policies and procedures are revised, any member institution involved in the processing of an infractions case shall be notified immediately of the change and the general membership shall be advised through The NCAA News.
  - **19.4.1.2 Review by Convention.** Policies and procedures established by the Committee on Infractions, per Bylaw 19.4.1, are subject to review and approval in accordance with the legislative process.
- **19.4.2 Amendment by Convention.** The enforcement policies and procedures set forth in Bylaw 32 may be amended in accordance with the legislative process.

### 19.5 NOTICE OF CHARGES AND OPPORTUNITY TO APPEAR

- **19.5.1 For Major Violations.** A member under investigation for major violations shall be given the following:
- (a) Notice of any specific charges against it and the facts upon which such charges are based, and
- (b) An opportunity to appear before the Committee on Infractions (or the appropriate appeals committee per Bylaw 19.3) to answer such charges by the production of evidence (see Bylaw 19.7.2). (Revised: 1/16/93, 1/10/95)
- **19.5.2 For Secondary Violations.** A member under investigation for secondary violations shall be given the following:
- (a) Notice of any specific charges against it and the facts upon which such charges are based, and
- (b) An opportunity to provide a written response to the vice-president for enforcement services (or to appear before the Committee on Infractions upon appeal) to answer such charges by the production of evidence (see Bylaw 19.7.1).
- **19.5.3 New Findings.** If a member appears before the committee to discuss its response to the official inquiry, the hearing shall be directed toward the general scope of the official inquiry but shall not preclude the committee from finding any violation resulting from information developed or discussed during the hearing.

### **19.6 PENALTIES**

- **19.6.1 Penalties for Secondary Violations.** The vice-president for enforcement services, upon approval by the chair or another member of the Committee on Infractions designated by the chair, or the committee may determine that no penalty is warranted in a secondary case, that an institutional- or conference-determined penalty is satisfactory or, if appropriate, impose a penalty. Among the disciplinary measures are: (Revised: 1/11/94)
- (a) Termination of the recruitment of a prospect by the institution or, if the prospect enrolls (or has enrolled) in the institution, permanent ineligibility to represent the institution in intercollegiate competition (unless eligibility is restored by the Student-Athlete Reinstatement Committee upon appeal);
- (b) Forfeiture of contests in which an ineligible student-athlete participated;
- (c) Prohibition of the head coach or other staff members in the involved sport from participating in any off-campus recruiting activities for up to one year; (Revised: 1/11/94)

- (d) An institutional fine for each violation, with the monetary penalty ranging in total from \$500 to \$5,000;
- (e) A limited reduction in the number of financial aid awards that may be awarded during a specified period in the sport involved to the maximum extent of 20 percent of the maximum number of awards normally permissible in that sport;
- (f) Institutional recertification that its current athletics policies and practices conform to all requirements of NCAA regulations;
- (g) Suspension of the head coach or other staff members for one or more competitions; (Adopted: 1/11/94)
- (h) Public reprimand (to be invoked only in situations where the Committee on Infractions or the vice-president for enforcement services, upon approval by the committee, determines that a penalty, in addition to any institutional- or conference-determined penalty, is warranted); and (*Adopted: 1/11/94*)
- (i) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the provisions of NCAA legislation while representing another institution, show cause why a penalty or an additional penalty should not be imposed if it does not take appropriate disciplinary or corrective action against the athletics department personnel involved, any other institutional employee if the circumstances warrant or representatives of the institution's athletics interests. (Adopted: 1/11/94)

### 19.6.2 Penalties for Major Violations

- **19.6.2.1 Presumptive Penalty.** The presumptive penalty for a major violation, subject to exceptions authorized by the Committee on Infractions on the basis of specifically stated reasons, shall include all of the following: (*Revised:* 1/11/94)
- (a) A two-year probationary period (including a periodic in-person monitoring system and written institutional reports);
- (b) The reduction in the number of expense-paid recruiting visits to the institution in the involved sport for one recruiting year; (Revised: 1/11/94)
- (c) A requirement that all coaching staff members in the sport be prohibited from engaging in any offcampus recruiting activities for up to one recruiting year; (Revised: 1/11/94)
- (d) A requirement that all institutional staff members determined by the committee knowingly to have engaged in or condoned a major violation be subject to: (Adopted: 1/11/94)
  - (1) Termination of employment;
  - (2) Suspension without pay for at least one year;
  - (3) Reassignment of duties within the institution to a position that does not include contact with prospective or enrolled student-athletes or representatives of the institution's athletics interests for at least one year; or
  - (4) Other disciplinary action approved by the committee.
- (e) A reduction in the number of financial aid awards; (Adopted: 1/11/94)
- (f) Sanctions precluding postseason competition in the sport, particularly in those cases in which: (Revised: 1/11/94)
  - (1) Involved individuals remain active in the program; (Adopted: 1/11/94)
  - (2) A significant competitive advantage results from the violation(s); or (Adopted: 1/11/94)
  - (3) The violation(s) reflect a lack of institutional control. (Adopted: 1/11/94)
- (g) Institutional recertification that the current athletics policies and practices conform to all requirements of NCAA regulations.
- **19.6.2.2 Disciplinary Measures.** In addition to those penalties prescribed for secondary violations, among the disciplinary measures, singly or in combination, that may be adopted by the committee (or the appropriate appeals committee per Bylaw 19.3) and imposed against an institution for major violations are: (*Revised:* 1/16/93, 1/11/94, 1/10/95)
- (a) Public reprimand and censure; (Revised: 1/11/94)
- (b) Probation for at least one year; (*Revised: 1/11/94*)
- (c) A reduction in the number of financial aid awards (as defined in Bylaw 15.02.4.1) that may be awarded during a specified period;
- (d) Prohibition against the recruitment of prospective student-athletes for a sport or sports for a specified period;

### Penalties/19.6.2.2—19.6.2.3.1

- (e) All or any combination of the following penalties:
  - (1) Individual records and performances shall be vacated or stricken, or (Revised: 1/11/94)
  - (2) Team records and performances shall be vacated or stricken, or (Adopted: 1/11/94)
  - (3) Individual or team awards shall be returned to the Association.
- (f) Ineligibility for any television programs involving coverage of the institution's intercollegiate athletics team or teams in the sport or sports in which the violations occurred; (Revised: 1/10/92)
- (g) Forfeiture of all or a portion of the institution's share of the broad-based revenue distribution monies for a specified period; (Adopted: 1/10/92)
- (h) Ineligibility for invitational and postseason meets and tournaments;
- (i) Ineligibility for one or more NCAA championship events;
- (j) Prohibition against an intercollegiate sports team or teams participating against outside competition for a specified period;
- (k) Ineligibility of the member to vote or its personnel to serve on committees of the Association, or both;
- (l) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the provisions of NCAA legislation while representing another institution, show cause why:
  - (1) A penalty or an additional penalty should not be imposed if, in the opinion of the committee (or the appropriate appeals committee per Bylaw 19.3), it does not take appropriate disciplinary or corrective action against athletics department personnel involved in the infractions case, any other institutional employee if the circumstances warrant or representatives of the institution's athletics interests, or (Revised: 1/10/95)
  - (2) A recommendation should not be made to the membership that the institution's membership in the Association be suspended or terminated if, in the opinion of the committee (or the appropriate appeals committee per Bylaw 19.3), it does not take appropriate disciplinary or corrective action against the head coach of the sport involved, any other institutional employee if the circumstances warrant or representatives of the institution's athletics interests. (Revised: 1/10/95)
  - (3) "Appropriate disciplinary or corrective action" as specified in subparagraphs (1) and (2) above may include, for example, termination of the coaching contract of the head coach and any assistants involved; suspension or termination of the employment status of any other institutional employee who may be involved; severance of relations with any representative of the institution's athletics interests who may be involved; the debarment of the head or assistant coach from any coaching, recruiting or speaking engagements for a specified period; and the prohibition of all recruiting in a specified sport for a specified period.
  - (4) The nature and extent of such action shall be the determination of the institution after due notice and hearing to the individuals concerned, but the determination of whether or not the action is appropriate in the fulfillment of NCAA policies and principles, and its resulting effect on any institutional penalty, shall be solely that of the committee (or the appropriate appeals committee per Bylaw 19.3). (Revised: 1/10/95)
  - (5) Where this requirement is made, the institution shall show cause or, in the alternative, shall show the appropriate disciplinary or corrective action taken, in writing, to the committee (or the appropriate appeals committee per Bylaw 19.3) within 15 days thereafter. The committee (or the appropriate appeals committee per Bylaw 19.3) may, without further hearing, determine on the basis of such writing whether or not in its opinion appropriate disciplinary or corrective action has been taken and may impose a penalty or additional penalty; take no further action, or, by notice to the institution, conduct a further hearing at a later date before making a final determination. (Revised: 1/10/95)
  - **19.6.2.2.1 Opportunity to Appear.** In the event the committee considers additional penalties to be imposed upon an institution in accordance with Bylaw 19.6.2.2-(l) above, the involved institution shall be provided the opportunity to appear before the committee; further, the institution shall be provided the opportunity to appeal (per Bylaw 19.7.2) any additional penalty imposed by the committee.

### 19.6.2.3 Repeat Violators

**19.6.2.3.1 Time Period.** An institution shall be considered a "repeat" violator if the Committee on Infractions finds that a major violation has occurred within five years of the starting date of a major penalty. For this provision to apply, at least one major violation must have occurred within five years after the starting date of the penalties in the previous case. It shall not be necessary that the Committee on Infractions' hearing be conducted or its report issued within the five-year period. (*Revised: 1/14/97 effective 8/1/97*)

- **19.6.2.3.2 Repeat-Violator Penalties.** In addition to the penalties identified for a major violation, the minimum penalty for a repeat violator, subject to exceptions authorized by the Committee on Infractions on the basis of specifically stated reasons, may include any or all of the following: (*Revised:* 1/11/94)
- (a) The prohibition of some or all outside competition in the sport involved in the latest major violation for one or two sports seasons and the prohibition of all coaching staff members in that sport from involvement directly or indirectly in any coaching activities at the institution during that period;
- (b) The elimination of all initial grants-in-aid and all recruiting activities in the sport involved in the latest major violation in question for a two-year period;
- (c) The requirement that all institutional staff members serving on the Board of Directors, Management Council, Executive Committee or other committees of the Association resign those positions, it being understood that all institutional representatives shall be ineligible to serve on any NCAA committee for a period of four years; and
- (d) The requirement that the institution relinquish its voting privilege in the Association for a fouryear period.

### 19.6.2.4 Probationary Periods

- 19.6.2.4.1 Conditions of Probation. The committee (or the appropriate appeals committee per Bylaw 19.3) may identify possible conditions that an institution must satisfy during a probationary period. Such conditions shall be designed on a case-by-case basis to focus on the institution's administrative weaknesses detected in the case and shall include, but not be limited to, written reports from the institution pertaining to areas of concern to the committee (or the appropriate appeals committee per Bylaw 19.3), in-person reviews of the institution's athletics policies and practices by the NCAA administrator for the Committee on Infractions, implementation of educational or deterrent programs, and audits for specific programs or teams. If the institution fails to satisfy such conditions, the committee (or the appropriate appeals committee per Bylaw 19.3) may reconsider the penalties in the case and may extend the probationary period and/or impose additional sanctions. (Revised: 1/10/95)
- **19.6.2.4.2 Review Prior to Restoration of Membership Rights and Privileges.** In the event the committee imposes a penalty involving a probationary period, the institution shall be notified that after the penalty becomes effective, the NCAA administrator for the Committee on Infractions will review the athletics policies and practices of the institution prior to action by the committee to restore the institution to full rights and privileges of membership in the Association. (*Revised:* 1/10/95)
- **19.6.2.5 Television Appearance Limitations.** In some instances, an institution is rendered ineligible to appear on television programs. When an institution is banned from such television programs, the penalty shall specify that the institution may not enter into any contracts or agreements for such appearances until the institution's probationary status has been terminated and it has been restored to full rights and privileges of membership. (Revised: 1/10/92)
  - **19.6.2.5.1 Closed-Circuit Telecast Exception.** The Strategic Planning Cabinet is authorized to permit a closed-circuit telecast, limited to the campus of the opponent of the ineligible institution, it being understood that no rights fee is to be paid to the ineligible institution.
- **19.6.2.6 Disassociation of Representatives of Athletics Interests.** The disassociation of relations with a representative of an institution's athletics interests may be imposed on a permanent basis, for the duration of the applicable probationary period or for another specified period of time. When an institution is required to show cause why a representative of the institution's athletics interests should not be disassociated from its athletics program, such disassociation shall require that the institution:
- (a) Refrain from accepting any assistance from the individual that would aid in the recruitment of prospective student-athletes or the support of enrolled student-athletes;
- (b) Not accept financial assistance for the institution's athletics program from the individual;
- (c) Ensure that no athletics benefit or privilege be provided to the individual that is not generally available to the public at large; and
- (d) Take such other actions against the individual that the institution determines to be within its authority to eliminate the involvement of the individual in the institution's athletics program.
- **19.6.2.7 Notification to Regional Accrediting Agency.** When an institution has been found to be in violation of NCAA requirements, and the report reflects academic violations or questionable academic procedures, the president shall be authorized to forward a copy of the report to the appropriate regional accrediting agency.

### Penalties/19.6.2.8—Rights of Member to Appeal/19.7.4

### 19.6.2.8 Review of Penalty

- **19.6.2.8.1 Newly Discovered Evidence or Prejudicial Error.** When a penalty has been imposed and publicly announced and the appeal opportunity has been exhausted, there shall be no review of the penalty except upon a showing of newly discovered evidence (per Bylaw 19.02.3) that is directly related to the findings in the case or that there was a prejudicial error in the procedure that was followed in the processing of the case by the committee. (*Revised: 1/9/96*)
  - **19.6.2.8.1.1 Review Process.** Any institution that initiates such a review shall be required to submit a brief of its appeal to the committee and to furnish sufficient copies of the brief for distribution to all members of the committee. The committee shall review the brief and decide by majority vote whether it shall grant a hearing of the appeal.
  - **19.6.2.8.1.2 Institution or Conference Discipline as New Evidence.** Disciplinary measures imposed by the institution or its conference, subsequent to the NCAA's action, may be considered to be "newly discovered evidence" for the purposes of this section.
  - **19.6.2.8.1.3 No Imposition of New Penalty.** If a hearing of the appeal is granted, the committee may reduce or eliminate any penalty but may not impose any new penalty. The committee's decision with respect to the penalty shall be final and conclusive for all purposes.
- **19.6.2.8.2 Reconsideration of Penalty.** The institution shall be notified that should any portion of the penalty in the case be set aside for any reason other than by appropriate action of the Association, the penalty shall be reconsidered by the NCAA. In such cases, any extension or adjustment of a penalty shall be proposed by the Committee on Infractions after notice to the institution and hearing. Any such action by the committee shall be subject to appeal.

### 19.6.3 Discipline of Affiliated or Corresponding Member

- **19.6.3.1 Termination or Suspension.** The membership of any affiliated or corresponding member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies set forth in Constitution 1 may be terminated or suspended or the member otherwise may be disciplined through the following procedure:
- (a) The Executive Committee by a two-thirds majority of its members present and voting, may take such action on its own initiative; or (Adopted: 1/11/89)
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds vote of its members present and voting; and
- (c) The affiliated or corresponding member shall be advised of the proposed action at least 30 days prior to any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.
- **19.6.4 Recommendation to Division I Committee on Athletics Certification.** The Committee on Infractions may recommend to the Division I Committee on Athletics Certification that an institution's certification status be reviewed as a result of the institution's completed infractions case. (Adopted: 1/16/93 effective 1/1/94)

### 19.7 RIGHTS OF MEMBER TO APPEAL

- **19.7.1 Appeal of Secondary Violations.** A member shall have the right to appeal actions taken by the vice-president for enforcement services in reference to secondary violations. To appeal, the member must submit a written notice of appeal to the Committee on Infractions. The Committee on Infractions must receive the written notice of appeal and any supporting information within 30 days of the date the institution receives the enforcement staff's decision. (*Revised:* 1/14/97 effective 8/1/97)
- **19.7.2 Appeal of Major Violations.** A member shall have the right to give written notice of appeal of the committee's findings of major violations (subject to Bylaw 32.10.2), the penalty, or both to the appropriate appeals committee per Bylaw 19.3. (*Revised:* 1/16/93, 1/10/95)
- **19.7.3 Appeal by an Institutional Staff Member.** If any current or former institutional staff member participates in a hearing (either in person or through written presentation) before the Committee on Infractions and is involved in a finding of a violation against that individual, the individual shall be given the opportunity to appeal any of the findings in question (subject to the conditions of Bylaw 32.10.2) to the appropriate appeals committee per Bylaw 19.3. Under such circumstances, the individual and personal legal counsel may appear before the appeals committee at the time it considers the pertinent findings. (*Revised:* 1/16/93, 1/10/95, 1/6/96)
- **19.7.4 Student-Athlete Appeal.** If an institution concludes that continued application of the rule(s) would work an injustice on any student-athlete, an appeal shall be submitted to the Academics/Eligibility/Compliance Cabinet and promptly reviewed.

### Rights of Member to Appeal/19.7.4.1—Restitution/19.8

**19.7.4.1 Obligation of Institution to Take Appropriate Action.** When the committee (or the appropriate appeals committee per Bylaw 19.3) finds that there has been a violation of the constitution or bylaws affecting the eligibility of an individual student-athlete or student-athletes, the institution involved and its conference(s), if any, shall be notified of the violation and the name(s) of the student-athlete(s) involved, it being understood that if the institution fails to take appropriate action, the involved institution shall be cited to show cause under the Association's regular enforcement procedures why it should not be disciplined for failure to do so. (*Revised: 1/10/95*)

### 19.8 RESTITUTION

If a student-athlete who is ineligible under the terms of the constitution, bylaws or other legislation of the Association is permitted to participate in intercollegiate competition contrary to such NCAA legislation but in accordance with the terms of a court restraining order or injunction operative against the institution attended by such student-athlete or against the Association, or both, and said injunction is voluntarily vacated, stayed or reversed or it is finally determined by the courts that injunctive relief is not or was not justified, the Management Council may take any one or more of the following actions against such institution in the interest of restitution and fairness to competing institutions:

- (a) Require that individual records and performances achieved during participation by such ineligible student-athlete shall be vacated or stricken;
- (b) Require that team records and performances achieved during participation by such ineligible studentathlete shall be vacated or stricken;
- (c) Require that team victories achieved during participation by such ineligible student-athlete shall be abrogated and the games or events forfeited to the opposing institutions;
- (d) Require that individual awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (e) Require that team awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (f) Determine that the institution is ineligible for one or more NCAA championships in the sports and in the seasons in which such ineligible student-athlete participated;
- (g) Determine that the institution is ineligible for invitational and postseason meets and tournaments in the sports and in the seasons in which such ineligible student-athlete participated;
- (h) Require that the institution shall remit to the NCAA the institution's share of television receipts (other than the portion shared with other conference members) for appearing on any live television series or program if such ineligible student-athlete participates in the contest(s) selected for such telecast, or if the Management Council concludes that the institution would not have been selected for such telecast but for the participation of such ineligible student-athlete during the season of the telecast; any such funds thus remitted shall be devoted to the NCAA postgraduate scholarship program; and
- (i) Require that the institution that has been represented in an NCAA championship by such a student-athlete shall return 90 percent of its share of the net receipts from such competition in excess of the regular expense reimbursement, or if said funds have not been distributed, require that they be withheld by the president.

### BYLAW, ARTICLE 20

# **Division Membership**

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### **20.01 GENERAL PRINCIPLES**

**20.01.1 Membership in the Association.** Eligibility for membership in the Association; conditions, obligations and classes of Association membership; and procedures governing the termination, suspension and reinstatement of such membership are governed by Constitution 3.

**20.01.2 Division Membership.** Each active and provisional member institution and member conference is designated as a member of Division I, II or III for certain legislative and competitive purposes. In the sport of football, Division I is divided into Division I-A and Division I-AA (also see Bylaw 20.7.1). Multidivision classification is permitted under specified circumstances (see Bylaw 20.4).

### 20.02 DEFINITIONS AND APPLICATIONS

**20.02.1 Multidivision Classification.** Multidivision classification is the procedure in which a member institution may petition to have a certain sport or sports classified in a division other than the division in which it holds membership (see Bylaw 20.4).

**20.02.2 Performance Criterion.** A performance criterion is a requirement for membership or classification in a division that must be met by the member institution prior to the date that the requirement becomes effective; i.e., during the academic year preceding the effective date. Performance criteria include, but are not limited to, minimum sports sponsorship requirements, minimum scheduling requirements and minimum game-attendance requirements.

**20.02.3 Provisional Membership.** Provisional membership is a prerequisite for active membership. It is limited to a four-year period. During the third and fourth years of provisional membership, an institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)

**20.02.4 Restricted Membership.** Restricted membership is a membership classification status assigned to an institution that fails to comply with the minimum requirements of its division (e.g., sports sponsorship, scheduling). The institution placed in such status loses eligibility for a number of membership privileges and has from one year to three years to comply with the requirement involved. Failure to comply reclassifies the institution to corresponding membership (see Bylaw 20.3.5).

**20.02.5 Emerging Sports for Women.** The following shall be considered emerging sports for women and countable for purposes of revenue distribution (i.e., for sports sponsorship and grants-in-aid): (*Adopted:* 1/11/94 effective 9/1/94)

- (a) Team Sports: team handball and synchronized swimming; and (Revised: 4/15/97, 4/27/00 effective 8/1/00)
- (b) Individual Sports: archery, badminton, bowling, equestrian and squash. (Revised: 1/12/99 effective 8/1/99)
  - **20.02.5.1** Additional Emerging Sports. The Board of Directors periodically shall identify future emerging sports for women that shall be countable sports for revenue distribution and minimum sports sponsorship criteria and shall establish procedures to determine minimum contests and maximum grants in those sports. (*Adopted:* 1/11/94 effective 9/1/94)
  - **20.02.5.2 Removal of Emerging Sports.** A sport shall no longer be considered an emerging sport once the sport has been established as a championship sport. Further, an emerging sport is limited to a 10-

### Definitions and Applications/20.02.5.2—Eligibility of New Member/20.2.3.1

year time period to become a championship sport unless it can be demonstrated that steady growth has occurred during that time. (Adopted: 4/15/97)

**20.02.5.3 Rowing.** Students who were enrolled in a collegiate institution during the 1996-97 academic year are subject to the eligibility legislation for student-athletes participating in the sport of rowing that was in effect at the time of the student's initial enrollment [see Bylaw 14.01.5 (compliance with legislation for emerging sports)]. (Adopted: 4/15/97)

### 20.1 DETERMINATION OF DIVISIONS

- **20.1.1 Designation of Division by Active and Provisional Members.** Each active and provisional member institution shall be designated as a member of Division I, Division II or Division III for certain legislative and competitive purposes. (*Revised: 1/11/94 effective 9/2/94*)
  - **20.1.1.1 Men's and Women's Programs in Different Divisions.** An institution shall not classify its women's athletics program in a division other than the institution's membership division, unless its women's program was so classified prior to January 11, 1991; further, for those institutions so classified, the Executive Committee, by a two-thirds majority of its members present and voting, may permit the institution's women's athletics program to be classified in a division other than its membership division, provided it determines every three years that unusual circumstances warrant such action. (*Revised:* 1/10/91)
  - **20.1.1.2** Divisions I-A and I-AA Football. In the sport of football, Division I shall be divided into Division I-A and Division I-AA.
  - **20.1.1.3 Multidivision Classification.** A member may request classification and eligibility in more than one division in accordance with provisions permitting multidivision classification, eligibility and voting (see Bylaw 20.4).
- **20.1.2 Designation of Division by Member Conference.** Each member conference shall determine the membership division it desires for legislative and/or competitive purposes. When designating the division, at least 50 percent or a minimum of 25 of the conference's institutions must hold membership in that division. The Executive Committee shall determine the membership division of a member conference not meeting these criteria.

### **20.2 ELIGIBILITY OF NEW MEMBER**

- **20.2.1 Determination of Division/Submission for Election.** At the time of application, a prospective member shall designate the membership division it desires and any desired multidivision classification per Bylaw 20.4. The application then shall be submitted for possible election to provisional membership (effective the following September 1) in accordance with the provisions of Constitution 3. (*Revised:* 1/10/90, 1/11/94 effective 9/2/94)
- **20.2.2 Four-Year Provision.** Provisional membership shall be limited to a four-year period. During the first and second years of provisional membership, an institution shall apply the legislation of the Association to the greatest extent possible. During the third and fourth years of provisional membership, an institution shall operate in conformity with the membership criteria of this article. (Revised: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
  - **20.2.2.1 Criteria in Effect for Less Than Two Years.** If particular membership criteria have been in effect for less than two years, the provisional member is obligated to show conformity with those criteria only from the effective date of their adoption. (Revised: 1/11/94 effective 9/2/94)
- **20.2.3 Eligibility of New Member for Championships.** To be eligible for NCAA championships immediately following the September 1 on which an institution's election to active membership becomes effective, the institution shall: (*Revised:* 1/11/94 effective 9/2/94)
- (a) Have operated in conformity with the membership criteria of this article for its division for a period of two years; (Revised: 1/10/91 effective 9/1/91)
- (b) Comply with all championships eligibility provisions prescribed in Bylaw 31.2.1; and
- (c) Otherwise be eligible for participation in those championships.
  - **20.2.3.1 Additional Requirements.** For a period of two years prior to the effective date of Division I classification, a member of Division I must have complied with Division I athletically related financial aid award limitations (see Bylaw 15.5) and Division I individual-eligibility regulations (see Bylaw 18.4.2.2). (Revised: 1/11/94 effective 9/2/94)

### 20.3 ESTABLISHMENT OF AND COMPLIANCE WITH DIVISION CRITERIA

- **20.3.1 Adoption of Criteria.** The members of each division, by majority vote, may establish division criteria for membership and competition by sport.
- **20.3.2 Effective Date and Compliance Period.** In establishing criteria, the members of the division shall specify the effective date and compliance period, if any. Each institution (either as a member of that division or as an institution that competes in a sport in that division under the provisions of multidivision classification per Bylaw 20.4) shall conform to the requirements of the criteria by that effective date or by the end of the compliance period, whichever is later. Amendments to membership criteria shall be effective the September 1 following adoption or a subsequent September 1.
  - **20.3.2.1 Deadline for Meeting Performance Criterion.** An institution must comply with any specific performance criterion adopted by the membership of its division (e.g., that the institution sponsor a minimum number of sports, schedule and play a specific percentage or number of contests against opponents of a certain division, or demonstrate a specific level of paid attendance) by the end of the academic year prior to the effective date of the legislation.
- **20.3.3 Failure to Conform by Effective Date.** If an institution has not conformed to the adopted criteria of its division by the effective date or the end of the prescribed compliance period, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution (or its sport per Bylaw 20.4) shall be reclassified in accordance with Bylaw 20.3.5.
- **20.3.4 Continuation of Compliance.** To retain division membership or approved multidivision classification (per Bylaw 20.4), each member institution shall continue to meet division criteria when any grace period expires. If an institution fails to remain in compliance with its division's criteria, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution shall be reclassified in accordance with Bylaw 20.3.5 and also shall be subject to the Association's enforcement procedures.

### 20.3.5 Noncompliance and Waivers of Division Criteria

### 20.3.5.1 Restricted Membership

**20.3.5.1.1 Minimum One-Year Compliance Period.** If an institution (or its sport per Bylaw 20.4) does not qualify for membership in any division, the institution (or its sport per Bylaw 20.4) may be placed in a "restricted membership" category for a minimum of one year. At the conclusion of the minimum one-year period, the institution automatically shall be granted membership in its preferred division, provided the institution complies with the division's criteria. If the member does not meet the criteria of any division at the end of the "restricted membership" period, the member shall be reclassified as a corresponding member.

### 20.3.5.1.2 Failure to Meet Minimum Sports Sponsorship Criteria

- **20.3.5.1.2.1 One-Year Probationary Period.** An institution that fails to meet either the minimum men's or women's sports sponsorship criterion for its division shall be placed on probation for one year for its entire program (both men's and women's sports) in the next academic year after noncompliance with sports sponsorship requirements is discovered. An institution shall be afforded the one-year probationary period for failure to comply with sports sponsorship criteria only once in every 10-year period. The 10-year period shall begin the September 1 following completion of the academic year in which the membership criterion is not met. (*Revised:* 1/11/89)
- **20.3.5.1.2.2 Application of Restricted Membership Status.** If an institution fails to meet the sports sponsorship criteria at the end of the probationary year or is ineligible for the once-in-10-year probationary period, it shall be placed in "restricted membership" and shall not be eligible for NCAA championship competition in all sports (both men's and women's) in the first academic year after the probationary year (or the first academic year after failure to meet the requirements if the institution is ineligible for the once-in-10-year probationary period). If the institution still cannot certify compliance with the division criteria at the end of that year, it shall be reclassified as a corresponding member. (Revised: 1/11/89)
- **20.3.5.1.3 Failure to Meet Division Scheduling Requirement.** An institution that fails to meet the division scheduling requirement in a sport shall be placed in the "restricted membership" category in that sport, with men's and women's teams in the same sport treated separately. The sport shall be in the "restricted membership" category for no longer than a three-year period.
- **20.3.5.1.4 Voting Privileges and Eligibility for Championships.** The institution shall identify its preferred division and, during the period of "restricted membership," shall be:
- (a) In compliance to the greatest extent possible with that division's scheduling criteria;

### Establishment of and Compliance with Division Criteria/20.3.5.1.4—20.3.5.2.3

- (b) Bound by all other applicable rules of the Association;
- (c) Permitted to vote only on dominant issues, unless the "restricted membership" status is in one sport, in which case its loss of voting privileges shall apply only to that sport; and
- (d) Ineligible for NCAA championships and postseason football contests, unless the "restricted membership" status is in one sport, in which case loss of eligibility for championships and postseason football contests shall apply only to that sport.
- **20.3.5.1.5 Division Members Counting a Restricted Opponent.** During the period of its "restricted membership," an institution may be counted by its classified opponents as a member of the restricted institution's desired division for purposes of the opponents' meeting their division's scheduling criteria; however, a restricted member shall not count another restricted member for such purposes.
  - **20.3.5.1.5.1 Restricted Members Counting a Restricted Opponent.** A contest between two institutions in "restricted membership" status in a sport shall not be counted as a contest by either institution for purposes of the scheduling criterion in the sport in which they are restricted, provided this exception is not applied to more than 25 percent of an institution's contests in the sport in question for any particular season.
- **20.3.5.1.6** No Voluntary Selection of "Restricted Membership" Category. "Restricted membership" status is imposed only when an institution has failed to comply with division membership criteria. An institution is not permitted to select voluntarily or to request that it be placed in the "restricted membership" category.
- **20.3.5.1.7 Removal of "Restricted Membership" Status.** When an institution that has been placed in "restricted membership" status wishes to return to its original membership division, it shall meet the criteria that were in effect at the time it became restricted or that have become effective since that time. If a restricted institution wishes to move to a different membership division, it is considered a petitioner for change of division membership per Bylaw 20.5 and would be required to meet all of the new division's criteria at the time the institution leaves restricted status.
- **20.3.5.2 Waivers of Division Membership Criteria.** If an institution applying for multidivision classification or change of division membership, or an institution placed in the "restricted membership" category (per Bylaw 20.3.5.1), does not meet the criteria of its preferred division, or if an active member institution does not meet (or does not expect to meet within the applicable compliance period, if any) new criteria adopted by the membership of its division, it may request a waiver of the criteria from the membership of the preferred division according to the procedures specified below. (*Revised: 1/11/94 effective 9/2/94*)
  - **20.3.5.2.1 Submission of Request.** The institution shall submit (by mail or wired transmission) its request for a waiver (signed by the institution's chief executive officer) to the Association's president, and it shall be received in the national office not later than September 15. Any request received after that date shall be postmarked not later than September 8. The request shall include pertinent information supporting the institution's request. Additionally, the institution shall distribute this information to the chief executive officer, faculty athletics representative, athletics director and senior woman administrator of athletics programs at each member institution in the preferred division, as well as the executive officer of each member conference of that division, postmarked not later than December 1.
    - **20.3.5.2.1.1 Waiver.** The Board of Directors, by a two-thirds majority of its members present and voting, may waive the September 15 deadline set forth in Bylaw 20.3.5.2.1 due to circumstances beyond an institution's control. (Adopted: 1/9/96)
  - **20.3.5.2.2 Appearance at NCAA Convention.** The institution's chief executive officer, faculty athletics representative, athletics director or senior woman administrator of athletics programs shall appear before the Board of Directors at its meeting in conjunction with the next annual Convention, under conditions prescribed by the division's steering committee.
    - **20.3.5.2.2.1 Waiver—"Restricted Membership" Status.** If an institution has been placed in the "restricted membership" category by the Committee on Athletics Certification, the institution may request a waiver of such status from the Board of Directors immediately upon rectifying deficiencies outlined by the Committee on Athletics Certification. Such a waiver shall be submitted to the Board of Directors at least 60 days prior to the meeting in which the Board will act upon the waiver. The Board of Directors, by a two-thirds majority of its members present and voting, may waive the 60-day deadline due to circumstances beyond an institution's control. (Adopted: 1/13/98 effective 8/1/98)
  - **20.3.5.2.3 Voting Requirement.** The division, by a majority of its members present and voting, shall vote to accept or reject the request for a waiver of the division's criteria.

**20.3.5.2.4 Effective Date of Waiver.** If the division votes to admit the institution as a member of that division, such classification shall become effective:

- (a) In accordance with the requirements of Constitution 3.2.3.4 if a new member of the Association is involved;
- (b) September 1 following the Board of Directors action if an active member institution is seeking multidivision classification or change of division membership; or (Revised: 1/10/90)
- (c) Immediately if the institution receives a waiver of the "restricted membership" classification. (Adopted: 1/11/89)
  - **20.3.5.2.4.1 Maximum Three-Year Waiver Period.** If after three years from the effective date of multidivision classification or reclassification (September 1 following the Board of Directors action) the institution receiving such a waiver has not conformed to all adopted criteria of the division, the institution's membership (or its sport per Bylaw 20.4): (Revised: 1/11/94 effective 9/2/94)
  - (a) Shall be assigned to a division for which it qualifies in accordance with Bylaw 20.5.1; or
  - (b) Shall be placed in the "restricted membership" category for a minimum of one year in accordance with Bylaw 20.3.5.1 if the member (or its sport per Bylaw 20.4) does not qualify for any division.
  - **20.3.5.2.4.2 Eligibility for Championships.** The institution receiving such a waiver shall be accorded all privileges of division membership during this waiver period; however, its eligibility for championships shall require compliance with the institutional eligibility requirements of Bylaw 20.2.3, 20.4.3 or 20.5.3.
- **20.3.5.2.5** Rejection of Waiver Request. If the division votes to reject the institution's request, the institution's membership (or its sport per Bylaw 20.4):
- (a) Shall be reassigned to a division for which it qualifies; or
- (b) Shall be placed in the "restricted membership" category, if it does not qualify for any division (see Bylaw 20.3.5.1).

### 20.4 MULTIDIVISION CLASSIFICATION

- **20.4.1 Multidivision-Classification Options.** A member institution may have a sport classified in a division other than the one in which it is a member only in the following circumstances:
  - **20.4.1.1 Division I Member.** A member of Division I may petition to be classified in football in Division I-A or Division I-AA. If the petition is granted, the institution shall be entitled to vote in that division on legislative issues directly affecting football. (*Revised:* 1/10/91 effective 9/1/93)
  - **20.4.1.2** Divisions II and III Members—Classification of a Sport in Division I. A member of Division II or Division III may petition to be classified in Division I in any one men's sport, other than football or basketball, and in any one women's sport, other than basketball, and in any single sport in which the only NCAA championships opportunity is the National Collegiate Championship. In addition, a member of Division II may be classified in Division I in a sport in which there is a Division I and a Division III championship, but no Division II championship. (*Revised: 1/11/94 effective 8/1/94*)
  - **20.4.1.3 Women's Multidivision-Classification Limitation.** A member institution that has its women's program classified in a division other than its membership division (per Bylaw 20.1.1.1) shall not be eligible to petition for the multidivision-classification opportunities available to a women's program that is classified in the same division as the institution's men's program.
  - **20.4.1.4 National Collegiate Championship.** A member institution may petition to be classified in a division other than its membership division in a single sport in which the only NCAA championships opportunity is a National Collegiate Championship for which all divisions are eligible. (Adopted: 1/10/91, Revised: 1/10/92)

### 20.4.2 Requesting Multidivision Classification

- **20.4.2.1 Deadline for Submission of Approved Form.** When petitioning for multidivision classification, a member shall submit its request to the Association's president on a form approved by the Executive Committee. The form must be received in the national office (by mail or wired transmission) not later than June 1. Any form received after that date shall be postmarked not later than May 25.
- **20.4.2.2 Compliance with Criteria.** If the member has met all applicable division membership criteria of this article and has complied for the two years preceding June 1 with all other bylaw requirements as they pertain to the sport in question (other than the Division III financial aid requirements set forth in Bylaw 15.4, except that athletically related financial aid may not be awarded to incoming freshmen

### Multidivision Classification/20.4.2.2—Division Legislation/20.7.1.1

or transfer students), the member shall be eligible for participation in the division in that sport effective the September 1 that the institution selects as its effective date. (Revised: 1/10/90)

- **20.4.2.3 Three-Year Requirement.** Once the institution has been approved for multidivision classification, it shall remain classified in the division (for that sport) for a minimum of three years.
- **20.4.3 Championships Eligibility.** To be eligible for the NCAA championship in the sport in the new division on the effective September 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in that championship. In addition, a member of Division II or Division III that has been approved for classification in one sport in Division I shall comply with the championships eligibility provisions of Bylaw 18.4.2.2.

### **20.4.4 Waivers**

- **20.4.4.1 Three-Year and Petition Deadline Requirements.** The Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the June 1 and September 1 deadline provisions of this section or of the three-year membership provisions of Bylaw 20.4.2.3 if it deems that unusual circumstances warrant such action.
- **20.4.4.2 New Division or Subdivision.** When the institution's reclassification was the direct result of the creation of a new division or subdivision, the Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the three-year requirement of Bylaw 20.4.2.3 if it deems that unusual circumstances warrant such action.

### 20.5 CHANGE OF DIVISION MEMBERSHIP

**20.5.1 Reclassification Options.** A member institution may request a change of division membership according to the provisions of this section. A member of Division I or Division III may petition to change its membership to Division II, and a member of Division II may petition to change its membership to either Division I or Division III.

### 20.5.2 Requesting Relassification

- **20.5.2.1 Deadline for Submission of Petition.** When petitioning for change of division membership, a member shall notify the Association's president on a form approved by the Executive Committee. The form shall be received in the national office (by mail or wired transmission) not later than June 1. Any form received after that date shall be postmarked not later than May 25.
- **20.5.2.2 Compliance with Criteria.** If the member has met all applicable membership criteria of this article for the division to which it intends to transfer and has complied for the two years preceding June 1 with all other division bylaw requirements, the member shall be transferred to the new division effective the September 1 that the institution selects as its effective date. (*Revised: 1/10/90*)
- **20.5.2.3 Three-Year Requirement.** Once the change of division membership has been approved, the institution shall remain classified in that division for a minimum of three years.
- **20.5.3 Championships Eligibility.** To be eligible for NCAA championships in the new division on the effective September 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in those championships. In addition, a member of Division I shall comply with the provisions of Bylaw 18.4.2.2.

### **20.5.4 Waivers**

- **20.5.4.1 Three-Year and Petition Deadline Requirements.** The Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the June 1 and September 1 deadline provisions of this section or of the three-year membership provisions of Bylaw 20.5.2.3 if it deems that unusual circumstances warrant such action.
- **20.5.4.2 New Division or Subdivision.** When the institution's reclassification was the direct result of the creation of a new division or subdivision, the Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the three-year requirement of Bylaw 20.5.2.3 if it deems that unusual circumstances warrant such action.

### 20.7 DIVISION LEGISLATION

- **20.7.1 Applicable Legislation.** A member institution shall observe the applicable legislation and requirements of its membership division. However, if the institution is eligible to participate in a sport in another division (see Bylaw 20.4 for multidivision-classification privileges), it shall apply the rules of the other division that govern the sport in question.
  - **20.7.1.1 Division I-AA Member Electing Division I-A Legislation.** A Division I-AA member institution may elect to be governed by the legislation pertaining to Division I-A, as follows:

### Division Legislation/20.7.1.1—Eligibility for Championships/20.8.3.1

- (a) The institution shall file a declaration of intent with the Association's president. The declaration shall be received in the national office (by mail or wired transmission) not later than June 1 preceding the applicable academic year. Any declaration received after that date shall be postmarked not later than May 25.
- (b) Once receipt of the declaration has been confirmed, the institution shall not be eligible for inclusion in Division I-AA football rankings or for consideration for the Division I-AA Football Championship.
- **20.7.1.2 Division III Application.** A Division III member institution that has a sport classified in Division I must apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue except that in either instance, the Division III institution may apply the Division I playing and practice season regulations of Bylaw 17 in the Division I sport. (Revised: 1/11/94)
  - **20.7.1.2.1 Waivers.** If a Division III member institution conducts a men's or women's sport that was classified in Division I during the 1982-83 academic year, the Division III Management Council, by a two-thirds majority of its members present and voting, may approve waivers of the application of the Division III regulations to such a sport. This provision also applies to such an institution that later reclassifies a sport for the opposite gender to Division I.

# 20.8 ELIGIBILITY FOR NATIONAL COLLEGIATE AND DIVISION CHAMPIONSHIPS

**20.8.1 Eligibility for National Collegiate Championships.** Separate championships in each division are not sponsored in the following sports:

Men's and women's fencing Men's and women's rifle

Women's golf Women's rowing (Adopted: 1/9/96)

Men's gymnastics Men's and women's skiing

Women's gymnastics Men's volleyball

Women's ice hockey Men's and women's water polo

An active member institution in good standing, regardless of division, is eligible for the National Collegiate Championships if a division championship in the respective sport is not offered in its division. Such an institution normally is required to meet only the institutional and individual eligibility requirements of its division that govern the sport in question. However, in those sports in which two-thirds or more of the institutions sponsoring the sport are members of the same division, an institution participating in the championship is required to meet that division's and its own division's institutional and individual eligibility requirements (or the more stringent rule if both divisions' rules address the same issue).

- **20.8.2 Division II Options When No Division II Championship Is Conducted.** An active member institution that holds membership in Division II is eligible to compete in the Division I championship in those sports for which no championship is conducted in Division II. The Division II institution shall declare its intention to compete by June 1. This declaration of intent shall be effective for a minimum of three years. (*Revised:* 1/10/91 effective 9/1/92)
  - **20.8.2.1 Participation in Division I Championship.** To be eligible for the Division I championship in such a sport, the Division II member institution is required to meet all Division I institutional and individual eligibility requirements and may use Division I financial aid limitations in that sport as permitted under Bylaw 20.9.1.1. (*Revised:* 1/10/91 *effective* 9/1/92)
  - **20.8.2.2 Exception for Maximum Number of Contests or Dates of Competition.** A Division II member institution that is eligible for a championship in another division because there is no championship in that sport in its membership division shall apply the maximum number of contests or dates of competition in the sport involved that applies to the division in which it declares its intention to compete.
- **20.8.3 Division III Options When No Division III Championship Is Conducted.** An active member institution that holds membership in Division III is eligible to compete in the Division II championships in those sports for which no championship is conducted in Division III. Such an institution is required to meet only the institutional and individual eligibility requirements of Division III that govern the sport in question.
  - **20.8.3.1 Exception for Maximum Number of Contests or Dates of Competition.** A Division III member institution that is eligible for a championship in another division because there is no championship in that sport in its membership division shall apply the maximum number of contests or dates of competition in the sport involved that applies to the division in which it declares its intention to compete.

### 20.9 DIVISION I MEMBERSHIP REQUIREMENTS

### **DIVISION I PHILOSOPHY STATEMENT**

In addition to the purposes and fundamental policy of the National Collegiate Athletic Association, as set forth in Constitution 1, members of Division I support the following principles in the belief that these statements assist in defining the nature and purposes of the division. These statements are not binding on member institutions but serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences. A member of Division I:

- (a) Subscribes to high standards of academic quality, as well as breadth of academic opportunity;
- (b) Strives in its athletics program for regional and national excellence and prominence. Accordingly, its recruitment of student-athletes and its emphasis on and support of its athletics program are, in most cases, regional and national in scope;
- (c) Recognizes the dual objective in its athletics program of serving both the university or college community (participants, student body, faculty-staff, alumni) and the general public (community, area, state, nation);
- (d) Believes in offering extensive opportunities for participation in varsity intercollegiate athletics for both men and women;
- (e) Sponsors at the highest feasible level of intercollegiate competition one or both of the traditional spectator-oriented, income-producing sports of football and basketball. In doing so, members of Division I recognize the differences in institutional objectives in support of football; therefore, the division provides competition in that sport in Division I-A and Division I-AA;
- (f) Believes in scheduling its athletics contests primarily with other members of Division I, especially in the emphasized, spectator-oriented sports, as a reflection of its goal of maintaining an appropriate competitive level in its sports program;
- (g) Strives to finance its athletics program insofar as possible from revenues generated by the program itself. All funds supporting athletics should be controlled by the institution; and
- (h) Understands, respects and supports the programs and philosophies of other divisions. Occasionally, institutions from other divisions or athletics associations will seek membership in Division I. In such cases, the applicants should be required to meet, over a period of time, prescribed criteria for Division I membership in order to assure that such institutions agree and comply with the principles and program objectives embodied in this statement.

### 20.9.1 Financial Aid Requirements

**20.9.1.1 Maximum Limitations.** A member of Division I shall not make an award of financial aid (for which the recipient's athletics ability is considered in any degree) in excess of the number permitted by the provisions of the bylaws governing Division I financial aid awards limitations (see Bylaw 15.5). (*Revised:* 1/10/91 effective 9/1/94)

**20.9.1.2 Minimum Awards.** A member of Division I shall provide institutional financial assistance that equals one of the following: (Revised: 1/10/91 effective 9/1/94)

- (a) A minimum of 50 percent of the maximum allowable grants in 14 sports, at least seven of which must be women's sports. If an institution uses indoor track and field, outdoor track and field and cross country to meet the financial aid criterion, it must award the equivalent of at least 80 percent of the full grants for men and 80 percent of the full grants for women in those sports. If the institution counts two of those three sports to meet the financial aid criterion, it must award the equivalent of at least 70 percent of the full grants for men and 70 percent of the full grants for women. If the institution counts indoor and outdoor track and field as one sport, it must award the equivalent of at least 50 percent of the full grants for men and 50 percent of the full grants for women; (Revised: 1/10/91 effective 9/1/94, Revised: 10/27/98 effective 8/1/99)
- (b) Financial aid representing a minimum aggregate expenditure of \$729,000 (with at least \$364,500 in women's sports) in 2001-02, exclusive of grants in football and men's and women's basketball, provided the aggregate grant value is not less than the equivalent of 38 full grants, with at least 19 full grants for women. The Management Council shall adjust the minimum aggregate figure annually to reflect inflation, based on changes in average national tuition charges for regionally accredited institutions. The Management Council shall announce the revised figure in the fall each year for the subsequent academic year. If the institution does not sponsor men's or women's basketball, the minimum aggregate expenditure must be \$481,500 in 2001-02 for the gender without the basketball program, but in no case fewer than the equivalent of 29 full grants for that gender; or (Revised: 1/10/91 effective 9/1/94, Revised: 1/10/95, 1/9/96, 1/14/97 effective 9/1/97,

- Revised: 4/15/97 effective 8/1/98, Revised: 10/27/98 effective 8/1/99, Revised: 4/13/99, 4/11/00)
- (c) A minimum of the equivalent of 50 full grants (at least 25 full grants in women's sports), exclusive of grants awarded in football and men's and women's basketball. If the member institution does not sponsor men's or women's basketball, it shall sponsor a minimum of 35 full grants in the sports program for the gender without the basketball program. (Revised: 1/10/91 effective 9/1/94, Revised: 10/27/98 effective 8/1/99)
- (d) A minimum of one-half of the required grants or aggregate expenditures cited in (a), (b) or (c) above, for institutions that depend on exceptional amounts of federal assistance to meet students' financial needs. This provision shall be applicable to an institution in a given year if the average per-student allotment of Pell Grant dollars for undergraduates reported to the U.S. Department of Education the previous September is more than one standard deviation above the mean for all reporting Division I member institutions that year. If an institution does not qualify under this provision after having been able to do so the previous year, the institution may continue to utilize this alternative for one year and shall not be required to meet the provisions of (a), (b) or (c) above until the following year. This provision shall be applicable only to institutions that were members of Division I on September 1, 1990. (Revised: 1/10/91 effective 9/1/94)
  - **20.9.1.2.1 Countable Aid.** Only countable financial aid awarded by the member institution shall be used to meet the appropriate minimum. Countable aid is based on the equivalency value of the financial aid included on the institution's squad list form and includes all institutional financial aid (including nonathletically related aid) granted to a counter per Bylaw 15.5.1. (Revised: 1/10/91 effective 9/1/94, Revised: 1/11/94)
  - **20.9.1.2.2** Student-Athlete Who Has Exhausted Eligibility or Utilized Medical Exemption. Countable financial aid awarded to a student-athlete who has exhausted his or her eligibility in a sport during a previous academic year and countable aid provided to a medically exempt student-athlete per Bylaw 15.5.1.4 may be used to meet the appropriate minimum. (*Adopted: 1/11/94*)
  - **20.9.1.2.3** Award Requirement. To be included in reaching the appropriate minimum, the financial aid actually must be awarded. (Revised: 1/10/91 effective 9/1/94)
  - **20.9.1.2.4 Multisport Student-Athlete.** Financial aid awarded to multisport student-athletes shall be counted against the minimum requirements pursuant to Bylaw 15.5.7. (*Revised: 1/10/91 effective 9/1/94*)
  - **20.9.1.2.5 Emerging Sports.** Financial aid awarded to student-athletes in those emerging sports for women, as defined in Bylaw 20.02.5, may be counted in reaching the appropriate financial aid minimum requirements as well as for revenue distribution. (Adopted: 1/11/94 effective 9/1/94)
  - **20.9.1.2.6 Non-NCAA Sports.** Financial aid awarded in non-NCAA sports per Bylaw 20.8.3.2.1 may be counted in reaching the appropriate minimum, but financial aid awarded to those other than student-athletes (e.g., cheerleaders) shall not be counted. (*Revised: 1/10/91 effective 9/1/94*)
  - **20.9.1.2.7 Exemptions—No Institutional Athletics Aid.** Member institutions that do not award any athletically related financial aid in any sport as of January 11, 1991, shall be exempted from the minimum requirements. (*Revised:* 1/10/91 effective 9/1/94)
  - **20.9.1.2.8 On-Campus Employment.** On-campus employment earnings during the academic year outside the athletics department for which athletics interests of the institution do not intercede on behalf of the student-athlete are not countable for team equivalency purposes per Bylaw 15.02.4.1-(a) but may be counted in reaching the appropriate minimum. (Adopted: 1/11/94 effective 9/1/94)
  - **20.9.1.2.9 Waiver of Minimum Financial Aid Awards.** The Management Council, by a two-thirds majority of its members present and voting, may waive the minimum financial aid awards required for Division I membership based on objective evidence that demonstrates circumstances that warrant the waiver of the normal application of that legislation. (*Adopted: 1/9/96*)
- **20.9.2 Regular-Season Eligibility.** A member institution shall conduct its regular-season competition under eligibility rules at least as stringent as the provisions of Bylaw 14 (see Bylaw 14.1.1).
- **20.9.3 Sports Sponsorship.** A member institution shall sponsor in a minimum of: (Revised: 1/10/91 effective 9/1/94)
- (a) Seven varsity intercollegiate sports, including at least two team sports, based on the minimum requirements of Bylaw 20.9.3.3 and involving all-male teams or mixed teams of males and females, and seven varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.5 may be utilized), including at least two team sports, based on the minimum requirements of Bylaw 20.9.3.3 and involving all-female teams; or (Revised: 1/10/91 effective 9/1/94, Revised: 1/11/94 effective 9/1/94)

### Division I Membership Requirements/20.9.3—20.9.3.3

(b) Six varsity intercollegiate sports, including at least two team sports, based on the minimum requirements of Bylaw 20.9.3.3 and involving all-male teams or mixed teams of males and females, and eight varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.5 may be utilized), including at least two team sports, based on the minimum requirements of Bylaw 20.9.3.3 and involving all-female teams. (Adopted: 1/16/93 effective 9/1/94, Revised: 1/11/94 effective 9/1/94)

(Note: See Bylaws 20.9.6.1 and 20.9.7.1 for additional sports sponsorship requirements for member institutions participating in Division I-A and Division I-AA football.)

- **20.9.3.1 Waiver of Minimum Women's Sports Sponsorship Criterion.** The Management Council, by a two-thirds majority of its members present and voting, may grant waivers of the minimum women's sports sponsorship requirement for active members in accordance with the procedures listed below:
- (a) The institution shall submit its request for a waiver, signed by the institution's chief executive officer, to the Association's president. The request shall include pertinent information supporting the institution's request, and it shall be received in the national office (by mail or wired transmission) not later than October 1. Any request received after that date shall be postmarked not later than September 23. (Revised: 1/10/90)
- (b) The institution shall provide data demonstrating that the ratio of male to female enrollment prohibits the offering of the required number of sports for women, or
- (c) The institution shall provide data demonstrating insufficient student interest in establishing or maintaining the requisite number of teams.
- (d) If the Management Council votes to reject the institution's request, the institution shall be placed in a division for which it qualifies or in the "restricted membership" category pursuant to the provisions of Bylaw 20.3.5.1.
- **20.9.3.2** Acceptable Sports. The sports designated to meet the sports sponsorship criteria shall:
- (a) Be among those in which the Association sponsors a championship or emerging sports for women (per Bylaw 20.02.5); (Revised: 1/11/94 effective 9/1/94)
- (b) Be recognized by the institution as varsity intercollegiate sports (see Constitution 3.2.4.4); and
- (c) Involve all-male teams, mixed teams of males and females or all-female teams.
  - **20.9.3.2.1 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may approve a request from an active member institution to designate one sport involving all-male teams or mixed teams of males and females and one sport involving all-female teams other than those in which the Association sponsors a championship or one designated as an emerging sport per Bylaw 20.02.5 to meet the division sports sponsorship criteria. (*Revised:* 1/11/89)
- **20.9.3.3 Minimum Contests and Participants Requirements for Sports Sponsorship.** In each sport, the institution's team shall engage in at least a minimum number of intercollegiate contests (against four-year, degree-granting collegiate institutions) each year. In the individual sports, the institution's team shall include a minimum number of participants in each contest that is counted toward meeting the minimum-contests requirement. The following minimums are applicable: (Revised: 1/11/94 effective 9/1/94, Revised: 1/12/99)

Team Sports	Minimum Contests	Individual Sports	Minimum Contests	Minimum Participants
Baseball	27	Women's Archery (Revised: 1/9/96 effection	5 ve 8/1/96)	5
Basketball	25	Women's Badminton	8	6
Field Hockey	11	Women's Bowling	8	5
Football	9	Cross Country	6	5
Men's Ice Hockey	25	Men's Fencing	9	5
Women's Ice Hocke	y 20	Women's Fencing (Revised: 1/12/99)	9	5
Lacrosse	10	Golf	8	5
Women's Rowing	6	Men's Gymnastics	9	6
Soccer	11	Women's Gymnastics	9	5
Softball	27	Rifle	8	4

Team Sports	Minimum Contests	Individual Sports	Minimum Contests	Minimum Participants
Women's Synchronized Swimming	8	Skiing	5	5
Women's Team Handball	10	Women's Squash	8	9
Volleyball	19	Swimming and Diving	10	11
Men's Water Polo	15	Tennis	12	5
Women's Water Po	lo 10	Track and Field, Indoor	6	14
		Track and Field, Outdoor	6	14
		Wrestling	13	7

(Note: The minimum-contest requirements set forth in Bylaws 20.9.3.3.1 through 20.9.3.3.7 apply only to the provisions of this section and do not apply to minimum-contest requirements in Bylaws 14 and 17.)

- **20.9.3.3.1 Completion of Contest.** To count as a contest, the institution's team actually shall participate in and complete the contest. Scheduled contests that are canceled or not completed (in accordance with the playing rules of the sport in question) may not be counted.
- **20.9.3.3.2 Counting Multicontest Events in Team Sports.** In the team sports, each game in a double-header, triple-header or tournament shall be counted as one contest.
- **20.9.3.3.3 Counting Multiteam Events in Individual Sports.** In the individual sports, not more than three institution-versus-institution meetings shall be counted as contests in any multiteam competition (e.g., quadrangular track and field meet). If the institution achieves a single score in competition with the other competing institutions (e.g., a conference championship), it shall be counted as one contest. (*Revised:* 1/10/90 *effective* 9/1/90, *Revised:* 1/10/95)
  - **20.9.3.3.3.1 Meets with No Team Scoring.** In the individual sports, a meet at which no team scoring is kept counts as a contest for the purpose of meeting the minimum-contest requirement, provided at least the minimum number of participants per Bylaw 20.9.3.3 participate on the institution's team.
  - **20.9.3.3.3.2 Regional Cross Country Qualifying Meets.** An institution may count participation in a regional cross country meet in meeting the minimum-contest requirement, provided the institution meets the minimum-participant requirement per Bylaw 20.9.3.3 and no qualifying standards exist for participation in the meet. (*Adopted:* 1/11/94)
- **20.9.3.3.4 Individual Sports—One or More Sites.** In the individual sports, if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on the same day equals or exceeds the minimum number of participants per Bylaw 20.9.3.3, an institution may utilize the competition as a contest in meeting the minimum-contest requirements. (See also Bylaw 17.02.6.1) (Adopted: 1/16/93)
- **20.9.3.3.5 Contests in Two Seasons.** If an institution sponsors the same sport in two different seasons of the same academic year, it may count contests in both seasons, provided regular varsity competition is sponsored in both seasons and is so listed on the institution's official schedule in that sport.
- **20.9.3.3.6 Contests versus Club Teams.** A contest against a collegiate institution's club team may not be counted toward meeting minimum-contest requirements. However, a member is not precluded from scheduling club teams.
- **20.9.3.3.7** Indoor Track and Field and Outdoor Track and Field. A member institution may receive credit for sponsoring both indoor track and field and outdoor track and field, provided its team participates in a total of at least 12 indoor and outdoor meets during the year, including at least four indoor and four outdoor meets.

### 20.9.3.3.8 Waivers

**20.9.3.3.8.1 Minimum Contests and Participants.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of the minimum number of intercollegiate contests or the minimum number of participants in a contest in situations beyond the control of the institution (e.g., weather conditions or disaster preventing completion of a scheduled competition, or verified injury or illness at the site of competition preventing the participation of the required minimum number of individuals). (*Revised:* 1/10/90)

### Division I Membership Requirements/20.9.3.3.8.2—20.9.5.2.1

- **20.9.3.3.8.2 Minimum Contests.** The Management Council, by a two-thirds majority of its members present and voting, may approve an additional waiver (see Bylaw 20.9.3.3.8) of the minimum number of intercollegiate contests in a situation in which:
- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum number of contests under enforceable game contracts executed in writing;
- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to rearrange its schedule to play the appropriate minimum number of contests.

### 20.9.4 Scheduling—Sports Other Than Football and Basketball

- **20.9.4.1 Scheduling Requirement.** In sports other than football and basketball that it uses to meet the Division I sports sponsorship criteria, a member institution shall schedule and play 100 percent of its contests against Division I opponents to meet the minimum number of contests specified in Bylaw 20.9.3.3. The institution shall schedule and play at least 50 percent of its contests beyond the number specified in Bylaw 20.9.3.3 against Division I opponents. (*Adopted: 1/10/91 effective 9/1/94*)
  - **20.9.4.1.1 Second Year of Reclassification.** An institution that is in its second year of its two-year reclassification period may be counted by its Division I active member opponents in meeting their Division I scheduling requirements. (Adopted: 4/15/97)
  - **20.9.4.1.2 Geographical Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive Bylaw 20.8.4.1 if it determines that a member institution cannot comply with this requirement due to geographical constraints. (Adopted: 1/10/91 effective 9/1/94)
  - **20.9.4.1.3 Multiteam Tournament—Individual Sports.** An institution may utilize competition in multiteam tournaments in an individual sport to meet the 100-percent-scheduling requirement, provided at least two-thirds of the institutions competing in each tournament are Division I members. If the multiteam event is not scored by division, an institution that is utilizing the event in meeting the scheduling requirements must have the minimum required number of participants, per Bylaw 20.9.3.3. (*Adopted: 1/10/92 effective 9/1/94, Revised: 1/11/94*)
  - **20.9.4.1.4 Exception.** The scheduling criteria in Bylaw 20.8.4.1 shall not apply in those sports in which the only championships opportunity is the National Collegiate Championship per Bylaw 18.02.1.1 or in which there is no NCAA-sponsored postseason championship. (Adopted: 1/10/91 effective 9/1/94, Revised: 1/9/96 effective 8/1/96)
  - **20.9.4.1.5 Provisional Member Opponents.** A Division I provisional institution that has been a member of the Association for a minimum of one year may be counted by its Division I active member opponents in meeting their Division I scheduling requirements. (Adopted: 1/11/94 effective 9/2/94)
  - **20.9.4.1.6 Waiver—Situations beyond Institutional Control.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of the scheduling requirement in situations beyond the control of the institution (e.g., weather conditions or natural disasters) that prevent the completion of scheduled competition. (*Adopted: 1/9/96*)

### 20.9.5 Basketball Scheduling

- **20.9.5.1 Men's Basketball Four-Game Limit.** A member institution may schedule and play not more than four men's basketball games in an academic year against institutions that are not members of Division I.
  - **20.9.5.1.1 Two-Game Limit for Provisional Members.** A provisional member shall schedule and play not more than two men's basketball games in an academic year against institutions that are not members of Division I. A provisional member is not required to apply scheduling criteria during its first two years of membership. (*Revised: 1/11/94 effective 9/2/94*)
    - **20.9.5.1.1.1** Alaska, Hawaii or Puerto Rico. Games played in Alaska, Hawaii or Puerto Rico shall not be counted for purposes of meeting the division's scheduling requirement if such games are exempt from counting toward the playing-season limitations. (*Adopted: 1/10/92*)
- **20.9.5.2 One-Third of Men's Contests in Home Arena for Provisional Members.** An active member or a provisional member must play at least one-third of its regular-season men's basketball contests in the arena regularly used for the institution's home games. A provisional member is not required to apply scheduling criteria during its first two years of membership. (Revised:1/11/94 effective 9/2/94)
  - **20.9.5.2.1 Multiple-Home Arenas.** In meeting the home-arena requirement, an institution may utilize more than one arena, provided each arena is located within a 30-mile radius of the institu-

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tion's main campus and each arena is utilized annually by the institution for at least two home basketball contests.

- **20.9.5.3 Women's Basketball Four-Game Limit.** A member institution may schedule and play not more than four women's basketball games in an academic year against institutions that are not members of Division I.
  - **20.9.5.3.1 Two-Game Limit for Provisional Members.** A provisional member shall schedule and play not more than two women's basketball games in an academic year against institutions that are not members of Division I. A provisional member is not required to apply scheduling criteria during its first two years of membership. (Revised:1/11/94 effective 9/2/94)
    - **20.9.5.3.1.1** Alaska, Hawaii or Puerto Rico. Games played in Alaska, Hawaii or Puerto Rico shall not be counted for purposes of meeting the division's scheduling requirement if such games are exempt from counting toward the playing-season limitations. (*Adopted: 1/10/92*)

### 20.9.5.4 Counting Contests

- **20.9.5.4.1 Membership Classification of Opponents.** In determining whether an institution meets the scheduling criteria, each opponent shall be counted as it was classified on September 1 of the academic year involved.
  - **20.9.5.4.1.1 Second Year of Reclassification.** An institution that is in its second year of its two-year reclassification period may be counted by its Division I active member opponents in meeting their scheduling requirements. (*Adopted: 4/15/97*)
  - **20.9.5.4.1.2 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may grant a waiver of the provisions of Bylaw 20.9.5.4.1 in cases of reclassification of an opponent when there is an enforceable game contract, executed in writing, or in the case of similar contractual problems.
  - **20.9.5.4.1.3 Provisional Member Opponents.** A provisional institution that has been a member of the Association for a minimum of one year may be counted by its Division I active member opponents in meeting their scheduling requirements. (*Adopted: 1/11/94 effective 9/2/94*)
- **20.9.6 Division I-A Football Requirements.** An institution classified in Division I-A shall meet the additional requirements listed below.
  - **20.9.6.1 Sports Sponsorship.** The institution shall sponsor a minimum of:
  - (a) Seven varsity intercollegiate sports, including football, based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-male teams or mixed teams of males and females, and seven varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.5 may be utilized) based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-female teams, subject to the waiver provision in Bylaw 20.9.3.1, or (Revised: 1/11/94 effective 9/1/94; compliance required during the 1993-94 academic year)
  - (b) Six varsity intercollegiate sports, including football, based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-male teams or mixed teams of males and females, and eight varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.5 may be utilized) based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-female teams, subject to the waiver provisions of Bylaw 20.9.3.1. (Revised: 1/11/94 effective 9/1/94; compliance required during the 1993-94 academic year)
  - **20.9.6.2 Football Scheduling Requirement.** The institution shall schedule and play at least 60 percent of its football games against members of Division I-A.
    - **20.9.6.2.1 Membership Classification of Opponents.** In determining whether an institution meets the scheduling criteria of Division I-A, each opponent shall be counted as it was classified on September 1 of the academic year involved.
      - **20.9.6.2.1.1 Second Year of Reclassification.** An institution that is in its second year of its two-year reclassification period may be counted by its Division I-A active member opponents in meeting their Division I-A scheduling requirements. (*Adopted: 4/15/97*)
      - **20.9.6.2.1.2 Waiver.** The members of the Management Council representing Division I-A conferences, by a two-thirds majority of its members present and voting, may grant a waiver of the provisions of Bylaw 20.9.6.2.1 in cases of reclassification of an opponent when there is an enforceable game contract, executed in writing, or in the case of similar contractual problems.
      - **20.9.6.2.1.3 Provisional Member Opponents.** A Division I-A provisional institution that has been a member of the Association for a minimum of one year may be counted by its Division I-A active member opponents in meeting their Division I-A scheduling requirements. ( $Adopted: 1/11/94 \ effective \ 9/2/94$ )

### Division I Membership Requirements/20.9.6.2.2—20.9.6.3.5.1

- 20.9.6.2.2 Canceled Games. A canceled game shall not be counted toward meeting the Division I-A scheduling criterion unless the members of the Management Council representing Division I-A conferences, by a two-thirds majority of its members present and voting, approves a waiver of the criterion in a situation in which:
  - (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum percentage of contests with members of Division I-A, under enforceable game contracts executed in writing;
  - (b) An opponent canceled a game that it had contracted to play that academic year; and
  - (c) Despite a good-faith effort, the institution was unable to rearrange its schedule to play the appropriate percentage of contests with members of Division I-A.
- **20.9.6.2.3** Alaska, Hawaii or Puerto Rico. Games played in Alaska, Hawaii or Puerto Rico shall not be counted for purposes of meeting the division's scheduling requirement if such games are exempt from counting toward the playing-season limitations. (Adopted: 1/10/92)
- **1-A 20.9.6.3 Football-Attendance Requirements.** The institution shall meet one of the following attendance requirements:
  - (a) The institution shall have averaged more than 17,000 in paid attendance per home football game in the immediate past four-year period; or
  - (b) The stadium utilized regularly for the institution's home games during the football seasons being evaluated shall contain a minimum of 30,000 permanent seats. Further the institution shall have averaged more than 17,000 in paid attendance per home football game for games played in that stadium (or in a stadium approved by the members of the Management Council representing Division I-A conferences) at least one year during the immediate past four-year period.
- **20.9.6.3.1 Waiver Provision for 30,000-Permanent-Seat Requirement**. When circumstances warrant, the members of the Management Council representing Division I-A conferences, by a two-thirds majority of its members present and voting, may approve a waiver of the 30,000-permanent-seat requirement for an institution that annually utilizes (for fewer than half of its home games in any given year) a stadium containing fewer than 30,000 permanent seats.
- **1-A 20.9.6.3.2 Conference Exception to Home-Attendance Requirement.** An institution that fails to meet the home-attendance requirement may retain Division I-A football classification if it holds membership in a member conference (which conducts championship competition in the sport of football) in which at least six of the conference member institutions sponsor football and more than half of the football-playing conference member institutions meet the attendance criterion for the applicable period.
- **20.9.6.3.3 Institutional Exception to Home-Attendance Requirement.** An institution that fails to meet the home-attendance requirement in 20.9.6.3-(a) or (b) may retain Division I-A football classification if it has averaged more than 20,000 in paid attendance for all of its games (at home and away) for the applicable period. Such an institution shall play at least four home games in any year in which it utilizes this provision.
- **20.9.6.3.4 Waiver Provision for Permanent-Seats/Paid Attendance Requirement.** The members of the Management Council representing Division I-A conferences, by a two-thirds majority of its members present and voting, may grant waivers of 20.9.6.3-9(b) in cases where circumstances exist that are beyond the control of the institution.
  - **20.9.6.3.5 Counting Attendance.** For purposes of computing attendance figures, tickets must be sold at regularly established ticket prices and must be counted, whether or not they are used for admission. Tickets sold at discount prices may be counted as paid attendance only if they are used for admission. Student attendance may be counted as paid attendance if the student actually is in attendance and any one of the following conditions applies:
    - (a) The student paid an athletics fee;
    - (b) The student paid an institutional fee of which a certain portion was allocated to the department of intercollegiate athletics; or
    - (c) The student paid no athletics fee, but the institution allocated to the department of intercollegiate athletics a certain portion of tuition income or general operating funds as the equivalent of a student athletics fee.
      - **20.9.6.3.5.1 Student Attendance.** Student attendance must be verified through one of the following methods: (*Adopted:* 1/11/94)
      - (a) Such students are issued tickets that are collected upon admission to the game and retained;

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- (b) Such students enter through and are counted by a turnstile (which is not utilized by others in attendance) that is monitored by a representative of the department of athletics who verifies in writing the accuracy of the count on a per-game basis; or
- (c) Such students enter through a gate (that is not utilized by others in attendance) at which a representative of the department of athletics counts them individually with a manual counter, and the representative provides a written statement verifying the accuracy of the count on a per-game basis.
- 20.9.6.3.5.2 Noncounted Students. Student-athletes and cheerleaders scheduled by the institution to be at the game and students performing services at the stadium (e.g., concessionaires, ticket takers, parking-lot attendants, ushers, groundskeepers) shall not be counted toward meeting the attendance requirements. (Adopted:1/11/94)
- 20.9.6.3.5.3 Utilizing Executive Boxes. An institution that utilizes stadium executive boxes leased to box holders who design their own seating arrangements may utilize the actual number of season tickets included in the price of that box for contests in determining the institution's number of permanent seats, provided the number of tickets sold does not exceed occupancy limitations established by the applicable fire marshal's code. (Adopted: 1/11/94)
- 20.9.6.3.5.4 Counting Permanent Seats. An institution may count permanent seats (as distinguished from standing-room areas) used for the press box, television, radio, film crew, media and coaches in meeting the facility's permanent-seat requirement; however, such seats may not be used in counting attendance in accordance with 20.9.6.3.5 if individuals utilizing such seats receive complimentary admissions to attend the institution's contest. (Adopted: 1/11/94)
- 20.9.6.3.5.5 Tickets Sold within the Institution. Tickets sold by the institution to units within the institution may be counted toward the attendance requirement only if it is documented that the tickets were resold to the general public at full face value and that face value was at least 50 percent of the face value of the highest-priced ticket sold. (Adopted: 1/11/94)
- 20.9.6.3.5.6 Outside Sales Other Than Individuals/Booster Groups. Tickets sold by the institution to outside groups other than the institution's booster organization (e.g., corporations) at full face value may be counted toward paid attendance regardless of whether they are utilized to attend the contests. This provision does not apply to sales to conferences or other collegiate institutions. (Adopted: 1/11/94, Revised: 1/10/95)
- 20.9.6.3.5.7 Tickets Sold to Institution's Booster Group. Tickets sold to an institution's booster organization at a regularly established price as set forth in Bylaw 20.9.6.3.5.8 may be counted toward the attendance requirement only if such tickets are used for admission. (Adopted: 1/10/95)
- 20.9.6.3.5.8 Minimum Price of Counted Tickets. A "regularly established price" is a price that is (a) established prior to the season, (b) at least one-half of the face value of the highestpriced ticket sold and (c) printed on the face of the ticket. Season tickets sold at a discount of not more than one-half of the highest-priced ticket sold shall qualify as tickets sold at regularly established prices. Any ticket sold that does not meet these criteria is a ticket sold at a "discount price," in which case the ticket holder is required to attend the game in order to be counted. Any ticket sold for less than one-third of the face value of the highest-priced ticket sold for the game may not be used in counting attendance for compliance with Bylaw 20.9.6.3.
- 20.9.6.3.5.9 Exchange of Tickets with Opponent. For an institution to meet the Division I-A attendance requirements, tickets for a football contest obtained by an institution through an exchange agreement or a purchase agreement with another institution shall not be used in counting paid attendance per Bylaw 20.9.6.3 and shall not be considered "tickets sold at regularly established prices," regardless of the amount paid by the institution, unless the tickets are resold at face value or utilized to attend the contest.
- **20.9.6.3.5.10 Certified Audit.** In meeting the football-attendance requirements of Division I-A, an institution must undertake an annual certified audit verifying its football attendance. The audited football paid-attendance figures must be received in the NCAA national office not later than the February 15 following the completion of the football season. The certified audit and materials (including the ticket manifest) must be available for inspection throughout each pertinent four-year period. (Adopted: 1/10/92)
- 20.9.6.3.6 Waiver of Criteria for Division I-A Membership. If an institution does not meet the Division I-A criteria or the exception provisions, the institution may request a waiver of the criteria from the membership of Division I-A, as follows:
- (a) The institution shall submit its request for a waiver to the Association's president, with the

### Division I Membership Requirements/20.9.6.3.6—20.9.7.2.2

request to be signed by the institution's chief executive officer and received in the national office (by mail or wired transmission) not later than September 15. Any request received after that date shall be postmarked not later than September 8. The request shall include pertinent information supporting the institution's request. It shall be the institution's responsibility to distribute that information to the chief executive officer, faculty athletics representative and athletics director at each Division I-A member institution, as well as to the executive officer of each member conference of that subdivision, by December 1;

- (b) The institution's chief executive officer, faculty athletics representative or athletics director shall appear before the members of the Board of Directors representing Division I-A conferences at the next NCAA Convention, under conditions prescribed by the Board;
- (c) The membership of Division I-A, by a majority vote of the members present and voting will accept or reject the request for a waiver of the criteria;
- (d) If the members of the Board of Directors representing Division I-A conferences vote to admit the institution to Divison I-A classification, such classification shall become effective September 1 following the Convention. The Board shall specify the period for which the waiver is granted; and
- (e) If the members of the Board of Directors representing Division I-A conferences vote to reject the institution's request, the institution's football program shall be placed in the division for which it qualifies or in the "restricted membership" category pursuant to the provisions of 20.3.5.1.
- **20.9.7 Division I-AA Football Requirements.** An institution classified in Division I-AA shall meet the additional requirements listed below.
  - **20.9.7.1 Sports Sponsorship.** The institution shall sponsor in Division I a minimum of:
  - (a) Seven varsity intercollegiate sports, including football, based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-male teams or mixed teams of males and females, and seven varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.5 may be utilized) based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-female teams, subject to the waiver provision in Bylaw 20.9.3.1, or (Revised: 1/10/91 effective 9/1/94, Revised: 1/11/94 effective 9/1/94; compliance required during the 1993-94 academic year)
  - (b) Six varsity intercollegiate sports, including football, based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-male teams or mixed teams of males and females, and eight varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.5 may be utilized) based on the minimum requirements of Bylaws 20.9.3 and 20.9.3.3 and involving all-female teams, subject to the waiver provision in Bylaw 20.9.3.1. (Revised: 1/11/94 effective 9/1/94; compliance required during the 1993-94 academic year)
- **1-AA 20.9.7.2 Football Scheduling Requirement.** The institution shall schedule and play more than 50 percent of its football games against members of Division I-AA or Division I-AA.
  - **20.9.7.2.1 Membership Classification of Opponents.** In determining whether an institution meets the scheduling criteria of Division I-AA, each opponent shall be counted as it was classified on September 1 of the academic year involved.
    - **20.9.7.2.1.1 Second Year of Reclassification.** An institution that is in its second year of its two-year reclassification period may be counted by its Division I-AA active member opponents in meeting their Division I-AA scheduling requirements. (*Adopted: 4/15/97*)
    - **20.9.7.2.1.2 Waiver.** The Division I-AA Governance Committee, by a two-thirds majority of its members present and voting, may grant a waiver of the provisions of Bylaw 20.9.7.2.1 in cases of reclassification of an opponent when there is an enforceable game contract, executed in writing, or in the case of similar contractual problems.
    - **20.9.7.2.1.3 Provisional Member Opponents.** A Division I-AA provisional institution that has been a member of the Association for a minimum of one year may be counted by its Division I-AA active member opponents in meeting their Division I-AA scheduling requirements. (Adopted: 1/11/94 effective 9/2/94)
  - **20.9.7.2.2 Canceled Games.** A canceled game shall not be counted toward meeting the Division I-AA scheduling criterion unless the Division I-AA Governance Committee, by a two-thirds majority of its members present and voting, approves a waiver of the criterion in a situation in which:
  - (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum percentage of contests with members of Division I-AA, under enforceable game contracts executed in writing;
  - (b) An opponent canceled a game that it had contracted to play that academic year; and

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(c) Despite a good-faith effort, the institution was unable to rearrange its schedule to play the appropriate percentage of contests with members of Division I-AA.

**20.9.7.2.3** Alaska, Hawaii or Puerto Rico. Games played in Alaska, Hawaii or Puerto Rico shall not be counted for purposes of meeting the division's scheduling requirement if such games are exempt from counting toward the playing-season limitations. (Adopted: 1/10/92)

20.9.7.2.4 Geographical Waiver—Division I-AA. The Division I-AA Governance Committee, by two-thirds majority of its members present and voting, may waive the provisions of Bylaw 20.9.7.2 for a Division I-AA institution that does not offer athletically related financial aid in the sport of football, or which offers fewer than 20 percent of the maximum allowable number of scholarships in Division I-AA and was classified in Division II prior to September 1993, if it is determined that fewer than six other Division I-AA football programs exist within a 500-mile radius of the institution's campus that do not offer athletically related financial aid in the sport of football or which offer fewer than 20 percent of the maximum allowable number of scholarships in Division I-AA and were classified in Division II prior to September 1993. An institution must calculate the percentage based upon the amount of athletically related financial aid received by its student-athletes, as opposed to including all institutional aid. (Adopted: 1/16/93 effective 8/1/93, Revised: 1/10/95)

# FIGURE 20-1 General Requirements for Division Membership

	_1						
	Division I		Division I-A		Division I-AA		
All-Male or Mixed-Team Sports	, OR	6	7 including football	OR -	7 including football	유 -	Ø
All-Female Sports Team Sport		α	7	*	7	₩ -	α
Ninimum Number of Team Sports	2-All rele/mixed 2-All female		2-All male/mixed 2-All female		2-All male/mixed 2-All female		
Football Scheduling Requirement	According to football classification		At least 60% of all games must be against Division I-A members		More than 50% of all games must be against Division I-A or I-AA members		
Football Attendance Requirement	According to football classification		17,000 average per home game (or 20,000 average all football games) over last four years, or	30,000 permanent seed stadium and 17,000 average perh home fordsall game (or 20,000 average all football games) in one of lest four years.  Wember of conference in which at least six conferencemembers sponsor football and more than half of football schools meet attendance oriterion.	NONE		
, Me	Provisional member:	Active member:	Provisional member:	Active member:	Provisional member:	Active member:	
Men's Basketball Scheduling Requirement	All but two games against Division I teams and except for the first year of provisional membership. Its of all contests must be played in home arena	All but four games against Division I teams and 16 of all contests in home arena	All but two games against Division I teams	All but four games against Division I teams and 1/6 of all contests in home arena	All but two games against Division I teams	All but four games against Division I teams and 1/3 of all contests in home arena	
Wom	Provisional member: Active member:		Provisional member:	Active member:	Provisional member:	Active member:	
Women's Basketball Scheduling Requirement	All but two games against Division I teams  All but four games against Division I teams		All but two games against Division I teams	All but four games against Division I teams	All but two games against Division I teams	All but four games against Division I teams	
Scheduling Requirement— Sports Other Than Football and Basketball	Sports used to meet sports spon- sorship ortents. Each cortest against Division I team to meet minimum number of contests. 50% of remaining contests against Division I opponents		Sports used to meet sports spon- sorship criteria: Each cortest against Division I team to meet minimum number of contests.	50% of remaining contests against Division I opponents	Sports used to meet sports spon- sorship criteria: Each cortest against Division I team to meet minimum number of contests.	50% of remaining contests against Division Lopponents	
Financial Aid Requirement*	a) 50% of maximum allowable grants in each sport"  D Minimum aggregate expenditure of \$700,000 (with at least \$550,000 in women's sports) in 99-00 (excluding men's and women's basketabl). Grant value may not be less than 38 full grants (with at least 19 for women)."	c) Equivalent of 25 full grants in men's sports and 25 full grants in women's sports (exclusive of grants in football and men's and women's basketball)****		ture of \$700,000 (with at least \$55,0,000 in women's sports) in 99-00 (excluding men's and women's beadested). Grant value may not be less than 38 full grants (with at least 19 for women)."  c) Equivalent of 25 full grants in men's sports and 25 full grants in women's sports and 25 full grants in women's sports exclusive of grants in football and men's and women's basketbal)"."		ture of \$700,000 (with at least \$350,000 in women's sports) in 99-00 (excluding men's and women's basketball), Grant value may not be less than 36 full grants (with at least 19 for women).***	c) Equivalent of 25 full grants in men's sports and 25 full grants in women's sports (exclusive of grants in football and men's and women's basketball)****

<sup>&</sup>quot;For institutions that depend on exceptional amounts of Federal assistance to meet students' financial needs, the institution must provide a minimum of one-half of the required grants or aggregate expenditures cited in (a), (b) or (c) above. This provision shall be applicable to an institution in a given year if the average per-student allotment of Pell Grant dollars for undergraduates reported to the U.S. Department of Education the previous September is more than one standard deviation above the mean for all reporting Division I member institutions that year. If an institution does not qualify under this provision after having been able to do so the previous year, the institution may continue to utilize this alternative for one year and shall not be required to meet the provisions of (a), (b) or (c) until the following year. This provision shall be applicable only to institutions that were members of Division I on September 1, 1990.

<sup>\*</sup>If an institution uses indoor track and field, outdoor track and field and cross country to meet the financial aid criterion, it must award the equivalent of at least 80% of the full grants for men and 80% of the full grants for women in these sports. If the institution counts two of those three sports to meet the financial aid criterion, it must award the equivalent of at least 70% of the full grants for men and 70% of the full grants for women. If the institution counts indoor and outdoor track and field as one sport, it must award the equivalent of at least 50% of the full grants for men and 50% of the full grants for women.

<sup>\*\*\*</sup> If the institution does not sporsor men's or women's basketball, the minimum aggregate expenditure must be \$469,000 in 1999-00 for men or for women, but no fewer than the equivalent of 29 full grants for men or for women

<sup>\*\*</sup> If the institution does not sponsor men's or women's basketball, it must provide a minimum of 35 full grants in men's sports and 35 full grants in women's sports

# **Committees**

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### 21.02 DEFINITIONS AND APPLICATIONS

**21.02.1 Association-Wide Committees.** Association-wide committees deal with issues that affect all members of the Association and perform duties necessary to the on-going operation of the Association. Association-wide committees are comprised of members from each of the Association's divisions. (*Adopted:* 1/14/97 effective 8/1/97)

**21.02.2 Common Committees.** Common committees deal with issues that apply to more than one division of the Association. Common committees perform duties necessary to the on-going operation of the applicable divisions and are comprised of members from the applicable divisions. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.3 Federated Committees.** Federated committees deal with issues that apply to a specific membership division. Federated committees perform duties necessary to the on-going operation of that division and are comprised only of members from that division. (*Adopted: 1/14/97 effective 8/1/97*)

### 21.1 ASSOCIATION-WIDE COMMITTEES—GENERAL COMMITTEES

**21.1.1 Method of Selection and Operation.** Each division's governance structure shall appoint members to serve on the general Association-wide committees of the Association, consistent with that division's committee-appointment procedures (see Bylaws 21.6, 21.7 and 21.8). The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I. The Executive Committee shall ratify the appointment of all individuals serving on Association-wide committees and shall appoint the chairs of those committees. The Executive Committee also shall ratify policies regarding length, location and expenses of Association-wide committee meetings. Association-wide committees are set forth in Bylaws 21.1.2 through 21.1.12. (Revised: 1/10/90, 1/16/93, 1/14/97 effective 8/1/97)

### 21.1.2 Competitive Safeguards and Medical Aspects of Sports, Committee on

**21.1.2.1 Composition.** The Committee on Competitive Safeguards and Medical Aspects of Sports shall consist of 16 members, including five positions allocated for men, five allocated for women and six unallocated. The membership of the committee shall be constituted as follows: (*Revised:* 10/28/99)

- (a) Two athletics directors or senior woman athletics administrators, one man and one woman;
- (b) One member who is an active coach;
- (c) One member active in exercise physiology research;
- (d) Three members from the field of medicine, of whom at least one shall be a woman and one shall be a primary-care team physician; (*Revised: 1/10/91*)
- (e) One man and one woman, one of whom is responsible for the total athletics training programs at member institutions; (Revised: 1/10/95)
- (f) One member representing the field of law;
- (g) One member of the NCAA Football Rules Committee;

### Association-Wide Committees-General Committees/21.1.2.1—21.1.6.2

- (h) One member representing secondary school interests;
- (i) One member active in sports-medicine research; (Revised: 1/10/90)
- (j) One member with expertise in the area of drug education; and (Revised: 10/28/99)
- (k) Two members selected at large.
  - **21.1.2.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted: 8/5/99*)
- **21.1.2.2 Duties.** The committee, subject to the direction of the Executive Committee, shall:
  - (a) Collect and develop pertinent information regarding desirable training methods, prevention and treatment of sports injuries, utilization of sound safety measures at the college level, drug education, and drug testing;
  - (b) Disseminate such information as might be brought appropriately to the attention of the Association's membership and adopt recommended policies and standards designed to further the above objectives; and
  - (c) Supervise the Association's drug-education and drug-testing programs under the direction of the Executive Committee.

### 21.1.3 Honors Committee

- **21.1.3.1 Composition.** The Honors Committee shall consist of eight members, including one from each division and subdivision of Division I and three nationally distinguished citizens (NDC), one of which must be a former NCAA honors recipient. Two positions shall be allocated for men, two allocated for women and four unallocated. (*Revised:* 1/13/98)
- **21.1.3.2 Duties.** The committee shall receive nominations for the Theodore Roosevelt Award, the Silver Anniversary Awards, the Top VIII Awards and the Award of Valor and shall select the recipients of those awards.

### 21.1.4 Memorial Resolutions Committee

- **21.1.4.1 Composition.** The Memorial Resolutions Committee shall consist of five members, at least one from each membership division. (*Adopted: 1/14/97 effective 8/1/97, Revised: 4/15/97*)
- **21.1.4.2 Duties.** The committee shall be responsible for compiling the names of those individuals associated with intercollegiate athletics who died during the year immediately preceding the annual Convention. The committee shall make its report each year, memorializing the individuals involved, during the business sessions of the annual Convention. (*Adopted: 1/14/97 effective 8/1/97*)

### 21.1.5 Minority Opportunities and Interests Committee

- **21.1.5.1 Composition.** The Minority Opportunities and Interests Committee shall consist of 12 members. There shall be six members from Division I, three members from Division II and three members from Division III. Committee membership shall include a minimum of eight ethnic minorities, including at least four males and four females. (*Adopted: 1/10/91*)
  - **21.1.5.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as members of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted: 1/10/95, Revised: 1/9/96, 8/5/99*)
- **21.1.5.2 Duties.** The committee shall review issues related to the interests of ethnic minority student-athletes, NCAA minority programs and NCAA policies that affect ethnic minorities. (Adopted: 1/10/91)

### 21.1.6 National Youth Sports Program Committee

- **21.1.6.1 Composition.** The National Youth Sports Program Committee shall consist of five representatives from active member institutions or conferences of the Association, including two positions allocated for men, two allocated for women and one unallocated, and an appropriate number of ex officion members representing the federal government. The representatives, with the exception of the Division III Management Council representative, shall have recent experience in the administration of a National Youth Sports Program. (Revised: 7/22/96, 4/15/97)
  - **21.1.6.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted:* 8/5/99)
- **# 21.1.6.2 Duties.** The committee shall be responsible for administering the National Youth Sports Program.

### 21.1.7 Olympic Sports Liaison Committee

- **21.1.7.1 Composition.** The Olympic Sports Liaison Committee shall consist of 11 members, including at least one from each division. (*Adopted:* 1/10/91)
  - **21.1.7.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted:* 4/27/00)
- **21.1.7.2 Duties.** The committee shall: (*Adopted:* 1/10/91)
- (a) Act as a liaison between the Association, the U.S. Olympic Committee and national governing bodies, and
- (b) Study and make recommendations concerning the Association's appropriate role in the involvement of student-athletes in international athletics.

### 21.1.8 Postgraduate Scholarship Committee

- **21.1.8.1 Composition.** The Postgraduate Scholarship Committee shall consist of seven members, including two positions allocated for men, two allocated for women and three unallocated, one of which shall be a former recipient of an NCAA postgraduate scholarship.
- **21.1.8.2 Duties.** The committee shall be responsible for planning and administering the Association's postgraduate scholarship program for student-athletes who have distinguished themselves academically and athletically.

### 21.1.9 Research Committee

- **21.1.9.1 Composition.** The Research Committee shall consist of 10 members, including four representatives from Division I, two each from Divisions II and III and two unallocated. In addition, three positions shall be allocated for men, three allocated for women and four unallocated. The membership of the committee shall consist of at least three athletics administrators and at least three faculty athletics representatives with appropriate research experience. (*Revised:* 1/13/98)
  - **21.1.9.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted:* 8/5/99)
- **21.1.9.2 Duties.** The committee shall:
- (a) Evaluate, supervise and coordinate the Association's research activities;
- (b) Make recommendations to the Executive Committee regarding expenditures of Association funds for research projects; and
- (c) Make recommendations to the Council concerning research topics in intercollegiate athletics.

### 21.1.10 Sportsmanship and Ethical Conduct, Committee on

- **21.1.10.1 Composition.** The Committee on Sportsmanship and Ethical Conduct shall consist of eight members. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.1.10.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted:* 8/5/99)
- **21.1.10.2 Duties.** The committee shall be responsible for promoting sportsmanship and ethical conduct within the Association. (*Adopted:* 1/14/97 effective 8/1/97)

### 21.1.11 Walter Byers Scholarship Committee

- **21.1.11.1 Composition.** The Walter Byers Scholarship Committee shall consist of five members, including one position allocated for a man, one allocated for a woman and three unallocated. *(Adopted: 1/10/90)* 
  - **21.1.11.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted:* 8/5/99)
- **21.1.11.2 Duties.** The committee shall be responsible for planning and administering the Association's Walter Byers Scholarship program for student-athletes who have distinguished themselves academically and athletically. (Adopted: 1/10/90)

### 21.1.12 Women's Athletics, Committee on

**21.1.12.1 Composition.** The Committee on Women's Athletics shall consist of 12 members, including six members from Division I, three members from Division II and three members from Division III.

### General Committees/21.1.12.1—Rules Committees/21.2.4

Four positions shall be allocated for men, four allocated for women and four unallocated. (Revised: 1/11/89)

- **21.1.12.1.1 Student-Athlete Representation.** One student-athlete from each division shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of their intercollegiate athletics eligibility. (Adopted: 1/10/95, Revised: 1/9/96, 8/5/99)
- **# 21.1.12.2 Duties.** The committee shall study and make policy recommendations concerning opportunities for women in athletics at the institutional, conference and national levels, as well as other issues directly affecting women's athletics.

# 21.2 ASSOCIATION-WIDE COMMITTEES—RULES COMMITTEES WITHOUT CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

### 21.2.1 Selection, Composition and Duties

- **21.2.1.1 Method of Selection.** Each division's governance structure shall appoint members to serve on the rules committees without championships administration responsibilities, subject to the ratification of the Executive Committee. The Executive Committee also shall appoint the chair and secretary-rules editor of each committee. The secretary-rules editor may be reelected without restriction and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I in which a substantial number of schools sponsor the sport in question. (Revised: 1/11/89, 1/10/91, 1/16/93, 1/14/97 effective 8/1/97)
- **21.2.1.2 Composition Requirements.** At least 25 percent of the positions on each rules committee shall be filled by athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full-time as administrators by member conferences, or individuals who are employed both part-time as administrators by member conferences and full-time by member institutions. Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. In order for a district to be represented, at least five of its active members shall sponsor the sport involved on an intercollegiate basis. (Revised: 1/10/90, 4/27/00 effective 8/1/00)
- **# 21.2.1.3 Duties.** Subject to the final authority of the Executive Committee, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Executive Committee. (Revised: 1/10/92 effective 8/1/97)
- **# 21.2.1.4 Cooperation with Other Organizations.** A rules committee may cooperate with other national organizations in the development of common playing rules. (*Revised:* 1/14/97 effective 8/1/97)
- **# 21.2.1.5 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of association-wide committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)
- **# 21.2.2 Baseball Rules Committee.** The Baseball Rules Committee shall consist of nine members and shall be constituted as follows: (*Revised: 1/11/89*)
  - (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
  - (b) One member shall be elected chair.
- **# 21.2.3 Basketball Rules Committee, Men's.** The Men's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: (*Revised: 1/10/91*)
  - (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
  - (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and
  - (c) One member shall be elected chair. (Revised: 1/10/91)
- **# 21.2.4 Basketball Rules Committee, Women's.** The Women's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: (Revised: 1/11/89)
  - (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;

- (b) Six members shall be from Division II, three members shall be from Division III, three members shall be from Division III and an additional member shall be secretary-rules editor; and (Revised: 1/11/89)
- (c) One member shall be elected chair.
- **21.2.5 Football Rules Committee.** The Football Rules Committee shall consist of 13 members and shall be constituted as follows: (*Revised:* 1/16/93)
- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and (Revised: 1/16/93)
- (c) One member shall be elected chair. (Revised: 1/16/93)
- **21.2.6** Ice Hockey Rules Committee, Men's and Women's. The Men's and Women's Ice Hockey Rules Committee shall consist of eight members and shall be constituted as follows: (*Revised: 1/11/89, 1/14/97 effective 8/1/97, Revised: 4/27/00*)
- (a) Four members shall be from Division I, one member shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; (Revised: 1/14/97 effective 8/1/97)
- (b) Within Divisions I and III, one-half of the members shall represent men's ice hockey interests and one-half of the members shall represent women's ice hockey interests; and (*Adopted: 4/27/00*)
- (c) One member shall be elected chair.
- **21.2.7 Soccer Rules Committee, Men's and Women's.** The Men's and Women's Soccer Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/11/89*)
- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor;
- (b) Within each division, one-half of the members shall represent men's soccer interests, and one-half of the members shall represent women's soccer interests; and
- (c) One member shall be elected chair.
- **21.2.8 Softball Rules Committee, Women's.** The Women's Softball Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/9/96*)
- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
- (b) One member shall be elected chair.

# 21.3 COMMON COMMITTEES—COMMITTEES WITH PLAYING RULES AND CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

### 21.3.1 Selection, Composition and Duties

**21.3.1.1 Method of Selection.** Each division's governance structure shall appoint members to serve on the committees with playing rules and championships administration responsibilities, subject to the ratification of the Executive Committee. The Executive Committee also shall appoint the chair and secretary-rules editor of each committee. The secretary-rules editor may be reelected without restriction and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I in which a substantial number of schools sponsor the sport in question. (*Revised: 1/11/89, 1/14/97 effective 8/1/97*)

**21.3.1.2 Composition Requirements.** For committees that administer Division I, Division II, Division III and National Collegiate Championships in individual sports, at least 25 percent of the positions on each committee shall be filled by athletics administrators (i.e., athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full-time as administrators by member conferences, or individuals who are employed both part-time as administrators by member conferences and full-time by member institutions). Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. For committees that administer Division I, Division II, Division III and National Collegiate Championships in team sports, at least 50 percent of the positions on each committee shall be filled by athletics administrators. Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. In order for a district to be represented on a committee for which district representation is specified, at least five of its active members shall sponsor the sport on an intercollegiate basis. (*Revised: 1/10/90, 1/10/95, 4/27/00 effective 8/1/00*)

### Common Committees/21.3.1.3—21.3.5

- **# 21.3.1.3 Special Operating Rules.** Each committee shall act as one body to formulate playing rules and determine general policies for the administration of the NCAA championships under its jurisdiction. Division subcommittees, composed of committee members from the respective divisions, shall be responsible for administering the respective division championships.
- **# 21.3.1.4 Advisory Committees.** The governing sports committee may appoint individuals not already serving on a sports committee to an advisory committee.

### 21.3.1.5 Duties

- **21.3.1.5.1** Rules of Play. Subject to the final authority of the Executive Committee, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Executive Committee. (Revised: 1/10/92 effective 8/1/92, Revised: 1/14/97 effective 8/1/97)
- **# 21.3.1.5.2 National Records.** In sports for which national records are maintained, each committee shall be responsible for approval of such national records.
- **21.3.1.5.3 Cooperation with Other Organizations.** A rules committee may cooperate with other national organizations in the development of common playing rules. (Revised: 1/14/97 effective 8/1/97)
- **21.3.1.5.4 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)

### 21.3.2 Lacrosse Committee, Men's

- **21.3.2.1 Composition.** The Men's Lacrosse Committee shall consist of nine members. There shall be five members from Division I, two members from Division III, one member elected at large and an additional member shall be secretary-rules editor. The five Division I members shall include two representatives from the North region, two representatives from the South region and one representative from the West region. The Division III members and the at-large member may be selected from any region. (Revised: 4/20/99 effective 8/1/99; the prescribed regional representation shall be achieved through normal attrition)
- **# 21.3.2.2 Advisory Committee.** There may be an advisory committee of six members elected from the U.S. Intercollegiate Lacrosse Association.
- **# 21.3.3 Rifle Committee, Men's and Women's.** The Men's and Women's Rifle Committee shall consist of seven members, including the secretary-rules editor.
- **21.3.4 Skiing Committee, Men's and Women's.** The Men's and Women's Skiing Committee shall consist of seven members and shall be constituted as follows: (*Revised: 1/11/89*)
  - (a) Two members shall represent men's skiing interests, two members shall represent women's skiing interests and three unallocated; and (Revised: 1/11/89, 4/11/00)
  - (b) One member shall be selected from the West skiing region, two members shall be selected from the East skiing region, three members shall be selected at large and one member shall be secretary-rules editor. (Revised: 1/11/89)
- **# 21.3.5 Swimming Committee, Men's and Women's.** The Men's and Women's Swimming Committee shall consist of 19 members and shall be constituted as follows: (*Revised: 4/15/97*)
  - (a) There shall be at least two representatives from Districts 1 and 2; two representatives from District 3; two representatives from District 4; one representative from Districts 5, 6 and 7; and one representative from District 8; (Revised: 1/10/95)
  - (b) Eight members shall be from Division I and shall compose a Division I subcommittee. Four members of the subcommittee shall represent men's swimming interests and four members shall represent women's swimming interests, including three positions allocated for men, three allocated for women and two unallocated;
  - (c) Four members shall be from Division II and shall compose a Division II subcommittee. Two members of the subcommittee shall represent men's swimming interests and two members shall represent women's swimming interests, including one position allocated for a man, one allocated for a woman and two unallocated;
  - (d) Four members shall be from Division III and shall compose a Division III subcommittee. Two members of the subcommittee shall represent men's swimming interests and two members shall represent women's swimming interests, including one position allocated for a man, one allocated for a woman and two unallocated;

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# Common Committees/21.3.5—Championships Committees/21.4.1.3

- (e) An additional four members shall represent diving interests, two representing men's diving and two representing women's diving. Two shall be Division I representatives, one shall be a Division II representative, and one shall be a Division III representative; and (Revised:4/15/97)
- (f) An additional member shall be secretary-rules editor.
- **21.3.6 Track and Field Committee, Men's and Women's.** The Men's and Women's Track and Field Committee shall consist of 25 members and shall be constituted as follows:
- (a) There shall be at least four representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Twelve members shall be from Division I and shall compose a Division I subcommittee. Six members of the subcommittee shall represent men's track and field interests and six members shall represent women's track and field interests, including four positions allocated for men, four allocated for women and four unallocated:
- (c) Six members shall be from Division II and shall compose a Division II subcommittee. Three members of the subcommittee shall represent men's track and field interests and three members shall represent women's track and field interests, including two positions allocated for men, two allocated for women and two unallocated;
- (d) Six members shall be from Division III and shall compose a Division III subcommittee. Three members of the subcommittee shall represent men's track and field interests and three members shall represent women's track and field interests, including two positions allocated for men, two allocated for women and two unallocated:
- (e) An additional member shall be secretary-rules editor; and
- (f) A rules-editing subcommittee shall have five members, including two members appointed by the Division I subcommittee, one member each appointed by the Division II and Division III subcommittees, and the secretary-rules editor. The rules-editing subcommittee shall formulate playing rules subject to the approval of the full committee.
- **21.3.7 Water Polo Committee, Men's.** The Men's Water Polo Committee shall consist of six members, including the secretary-rules editor. At least one member shall represent each of the two water polo regions (East and West), and four members shall be selected at large. (Revised: 10/28/97, 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)
- **21.3.8 Water Polo Committee, Women's.** The Women's Water Polo Committee shall consist of six members, including the secretary-rules editor. There shall be three members from Division I, one member from Division II, one member from Division III and one member shall be selected at large. (Adopted: 4/27/00 effective 8/1/00)
- **21.3.9 Wrestling Committee.** The Wrestling Committee shall consist of 13 members and shall be constituted as follows:
- (a) There shall be at least one representative from District 3 and at least two representatives from each of the following three geographical regions: Districts 1 and 2; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II and three members shall be from Division III; and
- (c) An additional member shall be secretary-rules editor.

# 21.4 COMMON COMMITTEES—COMMITTEES WITH ONLY CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

#### 21.4.1 Selection, Composition and Duties

- **21.4.1.1 Method of Selection.** Each applicable division's governance structure shall nominate and select the members and chair of each committee, subject to the ratification of the Executive Committee. (*Revised:* 1/14/97 effective 8/1/97)
- **21.4.1.2 Duties.** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the NCAA championships under its jurisdiction, subject to the approval of the applicable division's championships cabinet/committee and management council and the requirements, standards and conditions prescribed by Bylaw 31. Policies and procedures governing the administration of National Collegiate Championships applicable to more than one division also shall be subject to the ratification of the Executive Committee. (Also see Bylaw 31 for committee duties related to the administration of championships.) (*Revised:* 1/14/97 effective 8/1/97)
- **21.4.1.3 Special Operating Rules.** Each committee shall act as one body to determine general policies for the administration of championships. (*Revised:* 1/14/97 *effective* 8/1/97)

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# Championships Committees/21.4.1.4—Governance Committees/21.5.5.3

- **# 21.4.1.4 Selection Criteria.** Each committee shall have the authority to establish championships selection criteria, including requirements to utilize regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules.
- **# 21.4.1.5 Regional Advisory Committees.** Regional advisory committees may be appointed by each championships committee. (*Revised:* 1/14/97 effective 8/1/97)
- **# 21.4.1.6 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.4.2 Ice Hockey Committee, Women's.** The Women's Ice Hockey Committee shall consist of six members. There shall be three members from Division I, one member from Division II and two members from Division III. (*Adopted: 4/27/00*)

# 21.5 COMMON COMMITTEES—COMMITTEES WITH GOVERNANCE ADMIN-ISTRATION RESPONSIBILITIES

- **21.5.1 Selection.** Each applicable division's governance structure shall appoint members to serve on the common committees with governance administration responsibilities, subject to the ratification of the Executive Committee. The Executive Committee also shall appoint the chair. The membership of each committee shall include representatives from each of the Association's applicable membership divisions, including each subdivision of Division I. (Adopted: 1/14/97 effective 8/1/97)
- **# 21.5.2 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (Adopted: 1/14/97 effective 8/1/97)
- # 21.5.3 NCAA Initial-Eligibility Clearinghouse Committee
  - **21.5.3.1 Composition.** The committee shall consist of nine members, including six members from Division I and three members from Division II, one of which is the chair of the Division II Academic Requirements Committee. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.5.3.2 Duties.** The committee shall oversee the operation of the NCAA Initial-Eligibility Clearinghouse. (Adopted: 1/14/97 effective 8/1/97)
- # 21.5.4 NCAA Core-Course Review Committee (Adopted: 1/13/98)

#### 21.5.4.1 Composition

- (a) The committee shall consist of 18 members who comprise subcommittees in the various academic disciplines as follows:
  - (1) English—Four members;
  - (2) Mathematics—Four members;
  - (3) Natural/Physical Science—Four members;
  - (4) Social Studies—Four members; and
  - (5) Additional Academic Courses—Two members.
- (b) The committee shall elect one member to serve as a chair for each subcommittee;
- (c) The committee may utilize as it determines necessary additional members to review core courses in the various academic disciplines; and
- (d) The Division I members of the common Core-Course Review Committee shall be appointed by and report directly to the Academics/Eligibility/Compliance Cabinet.
- **21.5.4.2 Duties.** The committee shall assist in the development of policies related to the identification of core courses and oversee the review of all core courses denied by the NCAA Initial-Eligibility Clearinghouse as meeting the initial-eligibility core-curriculum standards.

#### # 21.5.5 NCAA Foreign-Student Records Committee

- **21.5.5.1 Composition.** The committee shall consist of eight members including three Division I representatives, three Division II representatives and two ex-officio members, one of which shall be the NCAA initial-eligibility clearinghouse's primary foreign-student records consultant. (Adopted: 4/27/00)
- **21.5.5.2 Duties.** The committee shall assist in reviewing initial-eligibility standards for international students. (Adopted: 4/27/00)
- **21.5.5.3 Terms.** A committee member shall serve a six-year term. (Adopted: 4/27/00)

# 21.6 DIVISION I CABINETS AND COMMITTEES

#### 21.6.1 Eligibility for Membership

**21.6.1.1 "On the Staff."** Individuals serving on Division I cabinets or committees, or as Division I representatives on Association-wide or common committees shall be salaried on a regular basis by a Division I institution or conference and perform a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference, unless otherwise specified. (Adopted: 1/14/97 effective 8/1/97)

**21.6.1.1.1 Modification in Employment Status.** If a cabinet or committee member's employment status is altered to the extent that the individual no longer meets this requirement, the individual shall be replaced at the Management Council meeting or at the cabinet meeting, whichever is appropriate, immediately following the change in status. (*Adopted: 1/14/97 effective 8/1/97*)

**21.6.1.1.2 Individuals on Sabbatical or Temporary Leave.** An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" and eligible for cabinet or committee membership. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be eligible to serve on a cabinet or committee. (Adopted: 1/14/97 effective 8/1/97)

**21.6.1.1.3 Waiver of Replacement Requirement.** The Management Council, subject to ratification by the Board of Directors, shall have the authority to waive this provision or to approve a later replacement date if it deems that application of Bylaw 21.6.1.1.1 would be detrimental to the work of the cabinet or committee involved. (*Adopted:* 1/14/97 effective 8/1/97)

## 21.6.2 Appointments, Methods of Selection and Term of Office

**21.6.2.1 Appointment of Cabinets—Conference Representation.** Each of the Division I-A conferences listed in Constitution 4.2.1-(a) shall have at least one representative on each of the cabinets. Each of the conferences listed in Constitution 4.2.1-(c)-(1) shall have at least two representatives on the cabinets in the aggregate. (Adopted: 1/14/97 effective 8/1/97)

**21.6.2.1.1 Conference Rotations.** In consultation with all of the conferences listed in Constitution 4.2.1-(c)-(1), the Management Council shall assure that there is a fair rotation among those conferences of representation on more than two cabinets at any one time, and that over any period of time there is a fair rotation of representation on each cabinet. (Adopted: 1/14/97 effective 8/1/97)

**21.6.2.1.1.1 Publication of Rotations.** The Management Council shall maintain at all times a published plan for the rotations set forth in Constitution 4.5.1.4. (Adopted: 1/14/97 effective 8/1/97)

**21.6.2.1.1.2 Initial Rotation.** In consultation with all of the conferences listed in Constitution 4.2.1-(c)-(1), the Management Council shall establish staggered terms within the initial rotation of cabinet representation for such conferences, provided no initial term shall be for less than two years. (Adopted: 1/14/97 effective 8/1/97)

#### 21.6.2.1.2 Appointments

**21.6.2.1.2.1 Appointments by Conferences.** Subject to the provisions of this section, each conference shall appoint its cabinet representatives. (*Adopted: 1/14/97 effective 8/1/97*)

**21.6.2.1.2.2 Coordination of Appointments.** The conferences represented in each subdivision of Division I (i.e., Division I-A, Division I-AA and Division I-AAA, among the conferences identified in Constitution 4.2.1) shall review together within each subdivision the cabinet positions for which conferences in their subdivisions are eligible and shall coordinate the conferences' appointments to those positions to assure consistency with the compositional requirements in Bylaws 21.6.6.2, 21.6.6.3, 21.6.6.4 and 21.6.6.5, and with any diversity requirements which may be enacted in the constitution or bylaws. (*Adopted: 1/14/97 effective 8/1/97*)

**21.6.2.1.2.3 Review of Appointments.** The Management Council shall review the appointments from each of the subdivisions and may direct any subdivision or conference to revise its appointments, if necessary, for consistency with the requirements noted in Constitution 4.5.1. ( $Adopted: 1/14/97\ effective\ 8/1/97$ )

**21.6.2.1.2.4 Appointments of Chairs.** The Management Council shall select the chair of each cabinet. (*Adopted: 1/14/97 effective 8/1/97*)

**21.6.2.1.2.4.1 Subdivision Representation among Chairs.** Among the chairs of the Board of Directors, Management Council and cabinets, there shall be at least one representative from each subdivision serving as chair at any time. (*Adopted: 1/14/97 effective 8/1/97*)

- **21.6.2.2 Method of Selection—General Rule.** The Management Council shall review the appointments to the cabinets (as set forth in Bylaw 21.6.2.1) and shall appoint the committees. The Management Council also shall select the chair of each cabinet or committee. When specified, committees that are subordinate to a cabinet (and their chairs) shall be appointed by that cabinet. Unless otherwise specified, the actions of the cabinets and committees are subject to approval of the Management Council. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.2.3 Four-Year Term.** Unless otherwise specified, the members of cabinets or committees shall be appointed for one four-year term. Unless otherwise specified, a member's term of service shall commence on the first day of September following the member's appointment. Unless otherwise specified, a former cabinet or committee member may be appointed to an additional term on that cabinet or committee after three years have elapsed. An individual who has served two terms on a cabinet or committee may not serve further on that cabinet or committee. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.6.2.3.1 Exception.** This appointment provision shall not apply to the members of committees whose terms of office are specified under their respective sections of this bylaw. Such committees are the Committee on Infractions, Infractions Appeals Committee, Initial-Eligibility Waivers Committee, Foreign-Student Records Committee and Student-Athlete Advisory Committee. (Adopted: 1/14/97 effective 8/1/97, Revised: 4/27/00)

#### 21.6.2.4 Appointment to Fill Vacancies

- **21.6.2.4.1 Cabinet Vacancies.** Whenever a vacancy occurs among the members of a Division I Cabinet, the conference represented shall fill the vacancy provided the Management Council may direct the conference to revise its appointment, if necessary, for consistency with the requirements set forth in 21.6.2.1.2.2. (Adopted 1/14/97 effective 8/1/97)
- **21.6.2.4.2 Other Vacancies.** Whenever a vacancy occurs on a committee or among the Division I members of Association-wide or common committees, the Management Council, unless otherwise specified, shall fill the vacancy for the remainder of the term by a majority vote of its members present and voting. (Adopted 1/14/97 effective 8/1/97)
- **21.6.2.4.3 Unexpired Portion of Term.** Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. Members who serve more than one-half of a term in such instances shall be considered to have served a full term. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.2.5 Adjustments to Achieve Staggered Terms.** Members may be appointed for less than full terms whenever it is necessary to adjust the membership of a cabinet or committee to ensure that vacancies occur in proper sequence. Members who serve more than one-half of a term in such instances shall be considered to have served a full term. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.3 Removal from Cabinet or Committee.** The chair of each cabinet or committee is authorized to recommend to the Management Council that a member be replaced if such member is not discharging the member's duties properly. In addition, a member who is absent from two consecutive meetings without reasons approved by the appointing authority (i.e., conference, Management Council or cabinet) shall be removed from the cabinet or committee. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.6.3.1 Removal of Conference's Cabinet Representative.** A conference shall have the authority to remove any of its cabinet representatives at anytime. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.4 Conflict of Interest.** A cabinet or committee member shall not participate in the cabinet or committee's discussion or vote on any action that might bring direct or indirect financial benefit to the member or any organization in which the member is financially interested (other than the member's institution or the conference of which it is a member). A violation of this rule by a member of a cabinet or committee shall not invalidate the action taken by the cabinet or committee if, following disclosure of the conflict of interest, the cabinet or committee authorizes, ratifies or approves the action by a vote sufficient for the purpose, without counting the vote of the cabinet or committee member with the conflict of interest, and the Management Council approves such action. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.5 Meeting Length and Sites.** The Management Council, subject to ratification by the Board of Directors, is responsible for developing policies governing the length, sites and expenses related to Division I cabinet and committee meetings (see Bylaw 31.7.2). Such policies shall be published annually in the NCAA Committee Handbook. (Adopted: 1/14/97 effective 8/1/97)

#### 21.6.6 Cabinets

- **21.6.6.1 General Requirements.** Each of the Division I-A conferences listed in Constitution 4.2.1-(a) and 4.2.1-(b) shall have at least one representative on each of the cabinets. Each of the conferences listed in Constitution 4.2.1-(c) shall have at least two representatives on the cabinets in the aggregate. (*Adopted:* 1/14/97 effective 8/1/97)
  - **21.6.6.1.1 Diversity.** In selecting cabinet representatives, due weight shall be given to gender and ethnic diversity. (Adopted: 1/14/97 effective 8/1/97)

#### 21.6.6.2 Academics/Eligibility/Compliance Cabinet

21.6.6.2.1 Composition. The Academics/Eligibility/Compliance Cabinet shall consist of 34 members, including 18 representing Division I-A (eight positions shall be allocated for faculty athletics representatives, four allocated for directors of athletics, three allocated for senior woman administrators and three unallocated) and eight each representing Divisions I-AA and I-AAA (two positions in each subdivision shall be allocated for faculty athletics representatives, two allocated for directors of athletics, two allocated for senior woman administrators and two unallocated). Those eligible for the unallocated positions are athletics administrators (e.g., athletics directors, senior woman administrators, assistant directors of athletics, conference administrators), faculty athletics representatives and institutional administrators to whom athletics departments report or who have other significant duties regarding athletics. (Adopted: 1/14/97 effective 8/1/97, Revised: 4/27/00 effective 8/1/00)

**21.6.6.2.2 Duties.** The cabinet (or a subcommittee designated by it) shall: (Adopted: 1/14/97 effective 8/1/97, Revised: 4/22/98)

- (a) Be responsible for review and consideration of those portions of the Division I legislation that relate to principles of sound academic requirements;
- (b) Work with the common Initial-Eligibility Clearinghouse Committee concerning issues related to the certification of initial eligibility for athletics competition in Division I and work with the Division II governance structure to assure to the greatest extent possible that consistent information is available to prospective student-athletes;
- (c) Be responsible for the compilation and disclosure of information concerning the graduation rates of student-athletes;
- (d) Study and make policy or legislative recommendations to the Management Council concerning relationships between the Association and its members and the nation's two-year colleges as represented by established regional and national organizations;
- (e) Study and make recommendations to the Management Council concerning the recruiting process in intercollegiate athletics;
- (f) Determine interpretations of all legislation. The cabinet shall not have the authority to alter an existing Management Council interpretation. Its decision shall be binding unless overturned upon appeal to the Management Council at its next regularly scheduled meeting;
- (g) Incorporate new legislation and interpretations in the NCAA Manual for the division and approve the publication of supplementary compilations of interpretations, as necessary;
- (h) Have the authority under Bylaw 14.12 to determine all matters pertaining to the policies and procedures for the restoration of eligibility of a student-athlete who is ineligible for intercollegiate competition as a result of a violation of NCAA legislation and for waivers of legislation that the committee has been authorized to grant by the division. The procedures for processing such appeals or requests shall be established by the cabinet and approved by the Management Council. The cabinet may restore eligibility immediately, may restore eligibility at a subsequent time or may determine that eligibility should not be restored. The cabinet also may impose conditions for restoration of eligibility;
- (i) Study and make recommendations to the Management Council concerning the relationship between intercollegiate athletics and professional teams and organizations; (Adopted: 4/22/98)
- (j) Make recommendations concerning the distribution of developmental funds from professional sports organizations; and (Adopted: 4/22/98)
- (k) Supervise the conduct of organized summer baseball leagues and teams involving studentathletes of member institutions. (Adopted: 4/22/98)
  - **21.6.6.2.2.1 Application of Eligibility Rules.** In fulfilling the duties set forth in Bylaw 21.6.6.2.2-(h) above, the following shall apply: (*Adopted: 1/14/97 effective 8/1/97*)
  - (a) Authority of Director of Student-Athlete Reinstatement. Subject to review by the Academics/Eligibility/Compliance Cabinet, the director of student-athlete reinstatement is authorized to apply the eligibility rules of the division.
  - (b) **Appeals.** After the director of student-athlete reinstatement has acted on a reinstatement matter, the involved institution may appeal the decision to the cabinet. The cabinet determination shall be final, binding and conclusive and shall not be subject to further review by the Management Council or any other authority.

#### Cabinets and Committees/21.6.6.2.3—21.6.6.3.1

**21.6.6.2.3 Committees.** The following committees shall report directly to the Academics/ Eligibility/Compliance Cabinet. (Adopted: 1/14/97 effective 8/1/97)

#### 21.6.6.2.3.1 Initial-Eligibility Waivers Committee

- **21.6.6.2.3.1.1 Composition.** The Initial-Eligibility Waivers Committee shall consist of 19 members appointed by the Academics/Eligibility/ Compliance Cabinet. (Adopted: 1/14/97 effective 8/1/97, Revised: 4/15/97)
- **21.6.6.2.3.1.2 Term of Office.** Committee members shall be appointed for one four-year term. The member's term of service shall commence on the first day of January following the member's appointment. The committee member may be appointed to an additional term on the committee after three years have elapsed. An individual who has served two terms on the committee may not serve further on that committee.  $(Adopted\ 4/27/00)$
- **21.6.6.2.3.1.3 Duties.** The committee shall be responsible for reviewing requests for waivers of the initial-eligibility requirements in accordance with Bylaw 14.3.1.7. (Adopted 1/14/97 effective 8/1/97)
- **21.6.6.2.3.1.4 Appeals.** After the Initial-Eligibility Waiver Committee has acted on an initial-eligibility matter, the involved institution may appeal the decision to the Academics/Eligibility/Compliance Cabinet. The cabinet determination shall be final, binding and conclusive and shall not be subject to further review by the Management Council or any other authority. (*Adopted: 4/15/97*)

#### 21.6.6.2.3.2 Financial Aid, Committee on

- **21.6.6.2.3.2.1 Composition.** The Division I Committee on Financial Aid shall consist of seven members appointed by the Academics/Eligibility/Compliance Cabinet. Four members shall be representatives from Division I-A institutions, and three members shall be representatives from Division I-AA or I-AAA institutions. At least one institutional financial aid officer shall be included on the committee. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98)
  - **21.6.6.2.3.2.1.1 Student-Athlete Representation.** A student-athlete shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted:* 4/27/00)
- **21.6.6.2.3.2.2 Duties.** The committee shall be responsible for review and consideration of those portions of the legislation that relate to principles governing financial aid and amateurism. (*Adopted:* 1/14/97 effective 8/1/97)
- 21.6.6.2.3.3 Initial-Eligibility Clearinghouse Committee. The Division I members of the common Initial-Eligibility Clearinghouse Committee shall be appointed by and report directly to the Academics/Eligibility/Compliance Cabinet. (Adopted: 1/14/97 effective 8/1/97)
- 21.6.6.2.3.4 Satisfactory-Progress Waiver Committee (Adopted: 10/28/97)
  - **21.6.6.2.3.4.1 Composition.** The Division I Satisfactory-Progress Waiver Committee shall consist of five members appointed by the Academics/Eligibility/ Compliance Cabinet.
  - **21.6.6.2.3.4.2 Duties.** The committee shall be responsible for reviewing requests for waivers of all satisfactory-progress requirements set forth in Bylaw 14.4.3.
- 21.6.6.2.3.5 NCAA Core-Course Review Committee. The Division I members of the common Core-Course Review Committee shall be appointed by and report directly to the Academics/Eligibility/Compliance Cabinet. (Adopted: 1/13/98)

#### 21.6.6.3 Championships/Competition Cabinet

**21.6.6.3.1 Composition.** The Championships/Competition Cabinet shall consist of 49 members with conference representation and weighted votes identical to the Management Council as set forth in Constitution 4.5.1-(a) through 4.5.1-(c). It shall include 25 members representing Division I-A (two positions shall be allocated for faculty athletics representatives, five allocated for directors of athletics, five allocated for senior woman administrators and 13 unallocated) and 24 members representing Divisions I-AA and I-AAA combined (one position in each subdivision shall be allocated for a faculty athletics representative, two allocated for directors of athletics, two allocated for senior woman administrators and 14 unallocated). Those eligible for the unallocated positions are athletics administrators (e.g., athletics directors, senior woman administrators, assistant directors of athletics, conference administrators), faculty athletics representatives and institutional administrators to whom athletics departments report or who have other significant duties regarding athletics. (Adopted: 1/14/97 effective 8/1/97, Revised: 8/5/99)

**21.6.6.3.2 Duties.** The cabinet (or a subcommittee designated by it) shall: (Adopted: 1/14/97 effective 8/1/97)

- (a) In championships administration:
  - (1) Supervise qualification and/or selection procedures for National Collegiate Championships and Division I championships;
  - (2) Maintain oversight responsibility for applicable playing regulations in the areas of player safety, financial impact, image of the sport, the impact on the ability to administer any rule during the regular season and during the administration of the championship in the applicable sport, and approve appeals for division-specific exceptions to the applicable playing regulations when significant financial impact may occur (subject to the final authority of the Executive Committee); (Revised: 8/11/98)
  - (3) Review recommendations from sports committees regarding the administration of those championships;
  - (4) Process other issues related to the administration of those championships; and
  - (5) Act for the Management Council as the final authority regarding championships matters in Division I or in a National Collegiate Championship that are subject to appeal to the Management Council (i.e., excluding appeals of championships selection or assignment in championships competition).
- (b) In working with the Association-wide Committee on Competitive Safeguards and Medical Aspects of Sports:
  - (1) Assist in the collection and development of pertinent information regarding desirable training methods, prevention and treatment of sports injuries, utilization of sound safety measures at the college level, drug education and drug testing;
  - (2) Assist in the dissemination of such information as might be brought appropriately to the attention of the division's membership and adopt recommended policies and standards designed to further the above objectives; and
  - (3) Assist in the supervision of the Association's drug-education and drug-testing programs under the direction of the Executive Committee.
- (c) In other areas:
  - (1) Be responsible for issues involving postseason football contests, college all-star football and basketball contests and exempted contests. The Division I-A members shall vote on Division I-A football matters; the Division I-AA members shall vote on Division I-AA football matters, and all members, regardless of subdivision, shall vote on all other matters;
  - (2) Be responsible for issues involving personnel limitations; and
  - (3) Be responsible for issues involving playing and practice seasons.
- (d) In conjunction with the Association-wide Olympic Sports Liaison Committee: (Adopted: 8/5/99)
  - (1) Act as a liaison between Division I, the United States Olympic Committee and national governing bodies; and
  - (2) Study and make recommendations concerning the Division's and the Association's appropriate role and the involvement of student-athletes in international athletics.
- (e) In conjunction with the Association-wide National Youth Sports Program Committee, be responsible for administering the National Youth Sports Program. (Revised: 8/5/99)
- **21.6.6.3.3 Committees.** The following committees shall report directly to the Championships/Competition Cabinet. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.6.6.3.3.1** Basketball Issues Committee. (*Adopted: 4/27/00*)
    - **21.6.6.3.3.1.1 Composition.** The composition of the Division I Basketball Issues Committee shall be determined by the Board of Directors. (*Adopted: 4/27/00*)
    - **21.6.6.3.3.1.2 Duties.** The committee shall ensure appropriate oversight of the sport of basketball is maintained, with emphasis on recruiting activities, enhance the development and public perception of the sport and make recommendations on policy issues unrelated to legislative and playing rules changes. (*Adopted:* 4/27/00)
  - 21.6.6.3.3.2 Competitive Safeguards and Medical Aspects of Sports, Committee on. The

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Division I members of the Association-wide Committee on Competitive Safeguards and Medical Aspects of Sports shall be appointed by and report directly to the Championships/Competition Cabinet. (Adopted: 1/14/97 effective 8/1/97)

#### 21.6.6.3.3.3 Football Issues

**21.6.6.3.3.3.1 Composition.** The Football Issues Committee shall consist of the members of the Division I-A members of the Football Rules Committee, one representative from each of the Division I-A football conferences identified in Constitution 4.2.1-(a) and (b) and one representative from each of the Division I-AA conferences identified in Constitution 4.2.1-(c). A committee member other than one serving by virtue of membership on the Football Rules Committee shall be selected by the conference represented. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/97, 8/5/99)

**21.6.6.3.3.3.2 Duties.** The committee shall be responsible for the review of issues related to the sport of football in Division I. Divisions I-A and I-AA members shall vote separately on football issues that pertain only to their respective subdivisions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/97)

#### 21.6.6.3.3.3 Sports Committees

**21.6.6.3.3.3.1** Rules Committees without Championships Responsibilities. The Division I members of these Association-wide committees shall be appointed by and report directly to the Championships/Competition Cabinet for Division I review of the playing rules developed by those committees, which are applicable to all divisions. (*Adopted: 1/14/97 effective 8/1/97*)

**21.6.6.3.3.3.2** Rules Committees with Championships Responsibilities. The Division I members of these common committees shall be appointed by and report directly to the Championships/Competition Cabinet for Division I review of the playing rules developed by those committees, which are applicable to all divisions. Each committee shall act as one body to formulate playing rules and to administer championships in those sports in which there is only a National Collegiate Championship; Division I members shall be responsible for administering the Division I championships in the sport. (Adopted: 1/14/97 effective 8/1/97)

**21.6.6.3.3.3.2.1** Administration of Championships. Each committee shall develop policies and procedures governing the administration of the NCAA championships under its jurisdiction, subject to the approval of the Championships/Competition Cabinet, and shall control, direct and supervise the conduct of said championships subject to the requirements, standards and conditions prescribed by Bylaw 31. The Men's and Women's Track and Field Committee shall be responsible for the Association's cross country, indoor track and field, and outdoor track and field championships. (Adopted: 1/14/97 effective 8/1/97)

**21.6.6.3.3.3.2.2 Regional Advisory Committees.** Regional advisory committees may be appointed by each sports subcommittee as prescribed by the Championships/Competition Cabinet. (*Adopted: 1/14/97 effective 8/1/97*)

21.6.6.3.3.3.3 Committees with Only Championships Administration Responsibilities. These sports committees shall be responsible for administering the Division I championships or National Collegiate Championships in the appropriate sport. Those committees that administer National Collegiate Championships may include representatives from member institutions in Divisions II and III. Those committees administering Division I championships may meet with committees administering championships in the same sport in other divisions as appropriate for the efficient administration of the championships. (Adopted: 1/14/97 effective 8/1/97)

# 21.6.6.3.3.3.1 Selection, Composition and Duties

**21.6.6.3.3.3.3.1.1 Method of Selection.** The members and chair of each committee shall be appointed by the Championships/Competition Cabinet. (*Adopted: 1/14/97 effective 8/1/97*)

**21.6.6.3.3.3.3.1.2 Composition Requirements.** For committees that administer championships in individual sports, at least 25 percent of the positions on each sports committee shall be filled by athletics administrators (i.e., athletics directors, associate or assistant athletics directors, senior woman administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions). For committees that administer championships in

- team sports, at least 50 percent of the positions on each sports committee shall be filled by athletics administrators. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.6.3.3.3.3.1.3 Duties.** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the NCAA championships under its jurisdiction, subject to the approval of the Championships/Competition Cabinet and the requirements, standards and conditions prescribed by Bylaw 31. (Also see Bylaw 31 for committee duties related to the administration of championships.) (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.6.3.3.3.1.4 Selection Criteria.** Each committee shall have the authority to establish championships selection criteria, including requirements to utilize regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.6.3.3.3.1.5 Regional Advisory Committees.** Regional advisory committees may be appointed by each sports committee as prescribed by the Championships/Competition Cabinet. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.6.3.3.3.3.2 Baseball Committee, Division I.** The Division I Baseball Committee shall consist of 10 members, including one member from each of the eight Division I baseball regions and two members selected at large. Six members shall be representatives from Division I-A institutions, and four members shall be representatives from Division I-AA or I-AAA institutions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98)
- **21.6.6.3.3.3.3.3 Basketball Committee, Division I Men's.** The Division I Men's Basketball Committee shall consist of 10 members, including one member from each of the four Division I men's basketball regions and six members selected at large. No more than three committee members shall represent any single region. Six members shall be representatives from Division I-A institutions, and four members shall be representatives from Division I-AA or I-AAA institutions. (*Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98*)
- **21.6.6.3.3.3.3.4 Basketball Committee, Division I Women's.** The Division I Women's Basketball Committee shall consist of 10 members, including two members from each of the four Division I women's basketball regions and two members selected at large. Six members shall be representatives from Division I-A institutions, and four members shall be representatives from Division I-AA or I-AAA institutions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98)
- **21.6.6.3.3.3.3.5** Fencing Committee, Men's and Women's. The Men's and Women's Fencing Committee shall consist of eight members. Four members shall represent men's fencing interests, including one representative from each of the four men's fencing regions. Four members shall represent women's fencing interests, including one representative from each of the four women's fencing regions. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.6.3.3.3.3.6** Field Hockey, Division I. The Division I Field Hockey Committee shall consist of six members. One member shall be selected from each of the five field hockey regions in Division I, and one member shall be selected at large. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)
- **21.6.6.3.3.3.3.7** Football Committee, Division I-AA. The Division I-AA Football Committee shall consist of eight members, including two selected from each Division I-AA football region. (Adopted: 1/14/97 effective 8/1/97, Revised: 4/20/99 effective 8/1/99; the prescribed regional representation shall be achieved through normal attrition)
- **21.6.6.3.3.3.3.8 Golf Committee, Division I Men's.** The Division I Men's Golf Committee shall consist of six members. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/97 effective 8/1/98, Revised: 4/20/99 effective 8/1/99; the prescribed regional representation shall be achieved through normal attrition, Revised: 10/28/99)
- **21.6.6.3.3.3.9 Golf Committee, Division I Women's.** The Division I Women's Golf Committee shall consist of six members. (*Adopted: 10/28/99*)
- **21.6.6.3.3.3.3.10 Gymnastics Committee, Men's.** The Men's Gymnastics Committee shall consist of six members, including at least two representatives from each of the

#### Cabinets and Committees/21.6.6.3.3.3.10—21.6.6.3.3.3.20

- two men's gymnastics regions (East and West) and two representatives selected at large. Four members shall be from Division I and two members shall be selected at large. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.6.3.3.3.3.11 Gymnastics Committee, Women's.** The Women's Gymnastics Committee shall consist of six members, including five members from Division I and one member from Division II or III. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/99 effective 8/1/00)
- **21.6.6.3.3.3.3.12 Ice Hockey Committee, Division I Men's.** The Division I Men's Ice Hockey Committee shall consist of four members, including two members from the East, comprising Districts 1, 2 and 3, and two members from the West, comprising Districts 4, 5, 6, 7 and 8. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.6.3.3.3.3.13** Lacrosse Committee, Women's. The Women's Lacrosse Committee shall consist of six members. (Adopted: 1/14/97 effective 8/1/97, Revised: 4/20/99 effective 8/1/99; the prescribed regional representation shall be achieved through normal attrition, Revised: 4/27/00)
- **21.6.6.3.3.3.3.14** Rowing Committee, Women's. The Women's Rowing Committee shall consist of seven members, including four members from Division I, one member from Division III and one member selected at large. In Division I, one member shall represent District 1; one member shall represent District 2; one member shall represent District 8; and one member shall represent Districts 3, 4, 5, 6 and 7. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.6.3.3.3.3.15** Soccer Committee, Division I Men's. The Division I Men's Soccer Committee shall consist of 10 members, including one member from each of the eight men's soccer regions in Division I and two members selected at large. Six members shall be representatives from Division I-A institutions, and four members shall be representatives from Division I-AA or I-AAA institutions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)
- **21.6.6.3.3.3.3.16 Soccer Committee, Division I Women's.** The Division I Women's Soccer Committee shall consist of 10 members, including one member from each of the six women's soccer regions in Division I and four members selected at large. Six members shall be representatives from Division I-A institutions, and four members shall be representatives from Division I-AA or I-AAA institutions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)
- **21.6.6.3.3.3.3.17 Softball Committee, Division I Women's.** The Division I Women's Softball Committee shall consist of 10 members, including one representative from each of the six regions. No more than two members of the committee may be appointed from the same region. Six members shall be representatives from Division I-AA institutions and four members shall be representatives from Division I-AA or Division I-AAA institutions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 4/20/99 effective 8/1/99; the prescribed regional representation shall be achieved through normal attrition, Revised: 4/27/00)
- **21.6.6.3.3.3.3.18 Tennis Committee, Division I Men's and Women's.** The Division I Men's and Women's Tennis Committee shall consist of 12 members. Six members of the committee shall represent men's tennis interests, and six members shall represent women's tennis interests, including four positions allocated for men, four allocated for women and four unallocated. No more than one of the six members representing men's tennis interests shall be selected from any one of the eight men's tennis regions in Division I. The six members representing women's tennis interests shall consist of one member selected from each of the six women's tennis regions in Division I. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)
- **21.6.6.3.3.3.3.19** Volleyball Committee, Men's. The Men's Volleyball Committee shall consist of three members. One member shall be selected from each of the three men's volleyball regions in Division I. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)
- 21.6.6.3.3.3.20 Volleyball Committee, Division I Women's. The Division I Women's

Volleyball Committee shall consist of 10 members, including one member from each of the eight women's volleyball districts in Division I and two members selected at large. Six members shall be representatives from Division I-A institutions, and four members shall be representatives from Division I-AA or I-AAA institutions. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 1/12/99 effective 8/1/99; the prescribed regional or district representation shall be achieved through normal attrition)

- **21.6.6.3.3.4 Association-Wide Committees.** The Division I members of the following Association-wide committees shall be appointed by and report directly to the Championships/Competition Cabinet: (*Adopted: 8/5/99*)
- (a) Sportsmanship and Ethical Conduct;
- (b) Olympic Sports Liaison; and
- (c) National Youth Sports Program.

#### 21.6.7 Committees Reporting to Management Council

- **21.6.7.1 General Requirements.** The following committees shall report directly to the Management Council. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.7.2 Athletics Certification, Division I Committee on.** The Division I Committee on Athletics Certification shall be appointed as provided in Bylaw 23.1 and its duties assigned as set forth in Bylaw 23.1.2. (See Bylaws 23 and 33 for details regarding the committee and the division's procedures related to athletics certification). (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.7.3 Infractions, Division I Committee on.** The Division I Committee on Infractions shall be appointed as provided in Bylaw 19.1 and its duties assigned as set forth in Bylaw 19.1.3. (See Bylaws 19 and 32 for details regarding the committee and the division's enforcement procedures.) (*Adopted:* 1/14/97 effective 8/1/97)
- **21.6.7.4** Infractions Appeals Committee, Division I. The Division I Infractions Appeals Committee shall be appointed and its duties assigned as provided in Bylaws 19.3 and 32. (See Bylaws 19 and 32 for details regarding the committee and the division's enforcement process.) (Adopted: 1/14/97 effective 8/1/97)

#### 21.6.7.5 Student-Athlete Advisory Committee, Division I

- **21.6.7.5.1 Composition.** The Division I Student-Athlete Advisory Committee shall consist of one student-athlete from each of the conferences identified in Constitution 4.2.1. The members shall be selected by the Management Council from a pool of three nominees from each of the represented conferences. (*Adopted:* 1/14/97 effective 8/1/97)
- **21.6.7.5.2 Term of Office.** A student-athlete member shall not serve more than two years on the committee but may request reappointment for another two-year term, subject to the Management Council's approval. Student-athletes may serve on the committee up to two years after completion of their intercollegiate athletics eligibility. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.6.7.5.3** Role with Management Council. The Student-Athlete Advisory Committee shall designate two of its members to participate in each meeting of the Management Council. Different committee members may be selected for each Management Council meeting. The designated committee members shall be nonvoting members of the Management Council and the Management Council's agenda shall be arranged so that all matters in which the students participate are included together. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.7.5.4 Duties.** The committee shall receive information on and explanations of divisional activities and legislation, review and react to topics referred to it by other governance entities and comment to the governance structure on any divisional subject of interest. (*Adopted: 1/14/97 effective 8/1/97*)
- 21.6.7.6 Honors Committee, Memorial Resolutions Committee, Postgraduate Scholarship Committee, Walter Byers Scholarship Committee. Division I members of these Association-wide committees shall be appointed by and report directly to the Management Council on matters related to Division I. (Adopted: 1/14/97 effective 8/1/97)
- **21.6.7.7 Association-Wide Committees.** The Division I members of the following Association-wide committees shall be appointed by and report directly to the Division I Management Council: (*Adopted:* 8/5/99)
- (a) Minority opportunities and interests;
- (b) Women's athletics; and
- (c) Research.

# Football Television Plans and Regulations

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#### 22.01 GENERAL PRINCIPLE

The telecasting, cablecasting or otherwise televising of intercollegiate football games of member institutions may be subject to the provisions enacted by the Association.

## 22.02 DEFINITIONS AND APPLICATIONS

**22.02.1 National Telecast or Cablecast.** A national telecast or cablecast is a release on a single date of a live game or games telecast or cablecast by a single television network, syndicator or programming entity into markets containing at least 20 million homes in at least 30 states, or containing at least 50 percent of the USA television homes as reported by the annual edition of Television and Cable Factbook (and all updates thereto issued prior to August 1 of the year in which the game or games are played).

#### 22.1 FOOTBALL TELEVISION PLANS

The telecasting, cablecasting or otherwise televising of member institutions' intercollegiate football games during the regular football season may be conducted pursuant to voluntary television plans for one or more of the divisions (i.e., Divisions I, II and III). Such plans may be prepared from time to time by the Management Council and approved by majority vote of those voting in the respective divisions (see Bylaw 22.1.1).

**22.1.1 Division Control.** Each division shall determine its own procedure for the formulation and adoption of any television plan. Majority approval is required within each division before a plan may be adopted by that division. The majority approval of a Division I plan shall require a majority vote of Division I-A representatives on the Board of Directors present and voting as well as a majority of Division I-A and Division I-AA representatives on the Board of Directors present and voting together. The members of a division may approve such a plan by mail referendum (see Constitution 5.3.11.3).

**22.1.1.1 Voting Restriction.** The procedure for Division I-A and Division I-AA representatives on the Board of Directors to vote separately on football issues for their respective subdivisions does not apply to voting on football television plans.

#### 22.2 FOOTBALL TELECAST/CABLECAST REGULATIONS

Regardless of whether a division adopts a football television plan for a particular season, all forms of telecasting, cablecasting or otherwise televising of the intercollegiate football games of member institutions during the regular football season shall be governed by the following:

- **22.2.1 Simultaneous Telecast/Cablecast of Friday Night Games.** There shall be no simultaneous telecasting or cablecasting of regular-season intercollegiate football games on Friday nights. Any afternoon football telecast or cablecast on Friday must be completed by 7 p.m. local time in each location in which the program is received.
- **22.2.2 Mutual Consent for Telecast/Cablecast of Games.** A member institution shall not be obligated to telecast or cablecast any of its intercollegiate football games, home or away. A member institution may not make any commitment for the simultaneous or delayed telecasting or cablecasting of any game without the prior written consent of its opponent institution.
- **22.2.3 Assessment against National Telecast/Cablecast Gross Rights Fee.** The gross rights fee paid to each member institution for a national telecast or cablecast of an intercollegiate football game shall be subject to an assessment of four percent, paid by the home institution to the NCAA to fund the costs of the NCAA postgraduate scholarship program and football-related services performed by the NCAA.
  - **22.2.3.1 Waiver.** The Executive Committee shall have the authority to waive this assessment on an annual basis. (Adopted: 4/14/92)

# **Athletics Certification**

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# 23.01 GENERAL PRINCIPLE

The central purpose of the certification program of the Association shall be to validate the fundamental integrity of member institutions' athletics programs through a verified and evaluated institutional self-study. The involvement of peer reviewers external to the institution shall provide the verification and evaluation of the methodology and results of the self-study. (Adopted: 1/16/93 effective 1/1/94)

#### 23.1 COMMITTEE ON ATHLETICS CERTIFICATION

The Management Council shall appoint a Committee on Athletics Certification that shall be responsible for administration of the athletics certification program. (Adopted: 1/16/93 effective 1/1/94)

**23.1.1 Composition of Committee.** The committee shall be composed of a minimum of 12 members and shall include one chief executive officer, one faculty athletics representative, one director of athletics, one senior woman administrator and one conference commissioner. All shall be on the staff of a Division I active member institution or member conference. One of the members shall serve as chair. (Adopted: 1/16/93 effective 1/1/94)

**23.1.2 Duties of Committee.** The duties of the Committee on Athletics Certification shall be as follows: (Adopted: 1/16/93 effective 1/1/94)

- (a) To establish a pool of peer reviewers;
- (b) To select and assign peer-review teams to each institution;
- (c) To determine the schedule of participating institutions;
- (d) To modify and refine standards and procedures for the peer-review evaluation visit as necessary;
- (e) To establish and maintain procedures for the preparation of peer-review team reports and the review-team chair's comments related to an institution's response to the report;
- (f) To establish and maintain procedures for determining whether intermittent peer-review visits should be conducted as a result of changes in an institution (e.g., new personnel);
- (g) To determine the certification status of member institutions, per Bylaw 23.3;
- (h) To review and recommend changes in the certification cycle if appropriate; and
- (i) To carry out any other duties directly related to the administration of the Association's athletics certification program.
- **23.1.3 Conflict of Interest.** During the time of their service, committee members shall not individually provide, or be part of a for-profit firm or organization that provides services in the area of athletics certification. (*Adopted:* 1/10/95)

#### 23.2 MANDATORY SELF-STUDY AND EXTERNAL PEER REVIEW

Each member institution, at least once every 10 years, shall complete an institutional self-study, verified and evaluated through external peer review. The self-study shall encompass the following operating principles in four basic areas: (Adopted: 1/16/93 effective 1/1/94, Revised: 1/14/97 effective 8/1/97)

#### 23.2.1 Governance and Commitment to Rules Compliance (Revised: 1/13/98)

**23.2.1.1 Mission of the Athletics Program and the Institution.** Maintaining intercollegiate athletics as an integral part of the educational program is a basic purpose of the Association. Consistent with this fundamental policy: (Adopted: 1/16/93 effective 1/1/94, Revised: 1/14/97 effective 8/1/97, Revised: 8/28/97)

# Mandatory Self-Study and External Peer Review/23.2.1.1—23.2.2.1

- (a) The institution shall demonstrate that the mission and goals of the athletics program:
  - (1) Relate clearly to the mission and goals of the institution;
  - (2) Support the educational objectives, academic progress and general welfare of student-athletes;
  - (3) Support equitable opportunity for all students and staff, including women and minorities;
  - (4) Embrace the Association's principles of sportsmanship and ethical conduct; and
  - (5) Are widely circulated among the institution's internal and external constituencies.
- (b) The institution shall demonstrate that the actual practices of the institution's athletics program are consistent with the athletics program mission and goals.
- **23.2.1.2** Institutional Control, Presidential Authority and Shared Responsibilities. The Association's principle of institutional control vests in the institution the responsibility for the conduct of its athletics program, including the actions of its staff members and representatives of its athletics interests. In fulfilling this principle, the institution shall demonstrate that:
- (a) The institution's governing board provides oversight and broad policy formulation for intercollegiate athletics in a manner consistent with other units of the institution;
- (b) The chief executive officer is assigned ultimate responsibility and authority for the operation and personnel of the athletics program; and
- (c) Appropriate campus constituencies have the opportunity, under the purview of the chief executive officer, to provide input into the formulation of policies relating to the conduct of the athletics program and to review periodically the implementation of such policies.
- **23.2.1.3 Rules Compliance.** Membership in the Association places the responsibility on each institution to assure that its staff, student-athletes and other individuals and groups representing the institution's athletics interests comply with the applicable Association rules and regulations. Consistent with this responsibility, the institution shall demonstrate that:
- (a) It has in place a set of written policies and procedures that assign specific responsibilities in the areas of rules compliance, including assignment of direct accountability for rules compliance to the individual the chief executive officer assigns overall responsibility for the athletics program;
- (b) In critical and sensitive areas, institutional compliance procedures provide for the regular participation of persons outside of the athletics department;
- (c) Rules compliance is the subject of an ongoing educational effort;
- (d) A clear and unambiguous commitment to rules compliance is a central element in all personnel matters for individuals involved in the intercollegiate athletics program; and
- (e) At least once every three years, its rules-compliance program is the subject of evaluation by an authority outside of the athletics department.

#### **23.2.2** Academic Integrity (*Revised:* 1/13/98)

- **23.2.2.1 Academic Standards.** The Association's fundamental principles indicate that an intercollegiate athletics program shall be designed and maintained as a vital component of the institution's educational system, and student-athletes shall be fully integrated into the student body. Consistent with this philosophy, the institution shall demonstrate that:
- (a) The institution admits only student-athletes who have reasonable expectations of obtaining academic degrees;
  - (1) If the academic profile of entering student-athletes, as a whole or for any student-athlete subgroup, is significantly lower than that of other student-athletes or comparable student-body groups or subgroups, the contrast shall be analyzed and explained by regular institutional authorities.
  - (2) If the graduation rate of student-athletes, as a whole or for any student-athlete subgroup, is significantly lower than that of other student-athletes or comparable student-body groups or subgroups, this disparity shall be analyzed, explained and addressed (through specific plans for improvement) by appropriate institutional authorities.
- (b) Academic standards and policies applicable to student-athletes are consistent with those adopted by the institution for the student body in general or the Association's standards, whichever are higher; and
- (c) The responsibility for admission, certification of academic standing and evaluation of academic performance of student-athletes is vested in the same agencies that have authority in these matters for students generally.

# Mandatory Self-Study and External Peer Review/23.2.2.2—23.2.4.1

- **23.2.2.2 Academic Support.** Members of the Association have the responsibility to conduct intercollegiate athletics programs in a manner designed to protect and enhance the educational welfare of student-athletes and to assure proper emphasis on educational objectives. Consistent with this responsibility, the institution shall demonstrate that:
- (a) Adequate academic support services are available for student-athletes;
- (b) Student-athletes are encouraged and assisted in reaching attainable academic goals of their own choosing;
- (c) When it is determined that individual student-athletes have special academic needs, these needs are addressed; and
- (d) The support services are approved and reviewed periodically by academic authorities outside the department of intercollegiate athletics.
- **23.2.2.3 Scheduling.** It is a principle of the Association to ensure that, in the conduct of intercollegiate athletics, student-athletes have sufficient time for their academic programs. In accordance with this principle, the institution shall demonstrate that written policies are established in all sports to minimize student-athletes' conflicts with class time and/or final examination periods due to participation in intercollegiate athletics, consistent with the provisions of Constitution 3.2.4.12.

#### **23.2.3 Fiscal Integrity** (*Revised*: 1/13/98)

- **23.2.3.1 Financial Practices.** The Association's principles include the responsibility of the institution's chief executive officer for approval of the athletics budget and audit of all athletics expenditures. In fulfilling this principle, the institution shall demonstrate that:
- (a) All funds raised for and expended on athletics are subject to institutionally defined practices of documentation, review and oversight;
- (b) All expenditures from any source for athletics are approved by the institution; and
- (c) Budget and audit procedures for athletics are consistent with those followed by the institution generally and with the provisions of Constitution 6.2;
  - (1) The institution's annual budget for athletics is approved by the institution's chief executive officer or designee from outside the athletics department; and
  - (2) An annual financial audit is performed by a qualified auditor who is not a staff member of the institution and who is selected by the chief executive officer or designee from outside the athletics department.
- **23.2.3.2 Fiscal Management and Stability.** The Association's principles require each institution to administer its intercollegiate athletics program in keeping with prudent management and fiscal practices. To demonstrate fulfillment of this requirement, the institution shall provide evidence that all management and fiscal practices relating to the intercollegiate athletics program assure the financial stability necessary for providing all student-athletes with relatively full and stable opportunities for athletics participation.
- **23.2.3.3 Established Fiscal Policies and Procedures.** Membership in the Association places responsibility on each institution to monitor its programs to assure compliance with all applicable rules and regulations of the Association. Consistent with this responsibility, the institution shall demonstrate that it has in place fiscal policies and standard operating procedures to ensure that:
- (a) Prospective student-athletes are not provided with impermissible recruiting inducements;
- (b) Enrolled student-athletes are not provided with benefits that are prohibited by NCAA legislation; and
- (c) All expenditures for athletics are handled consistently in accordance with NCAA, conference and institutional rules.

#### **23.2.4 Equity, Welfare and Sportsmanship** (*Revised:* 1/13/98)

- **23.2.4.1 Gender Issues.** Consistent with Constitution 2.3, it is the responsibility of each institution to implement the Association's principle of gender equity. In accordance with this fundamental principle, the institution shall:
- (a) Have implemented its approved gender-equity plan from the previous self-study. If modified or not carried out fully, provide an explanation from appropriate institutional authorities;
- (b) Demonstrate that it is committed to, and has progressed toward, fair and equitable treatment of both male and female student-athletes and athletics department personnel; and
- (c) Formally adopt a written plan for the future of the intercollegiate athletics program that ensures the institution maintain a program, or continues progress toward a program, that is equitable for

# Mandatory Self-Study/23.2.4.1—Certification Decisions/23.3.1.3

both genders. The plan shall include measurable goals the institution intends to achieve, steps the institution will take to achieve those goals, persons responsible and timetables.

- **23.2.4.2 Minority Issues.** It is a principle of the Association to promote respect for and sensitivity to the dignity of every person and to refrain from discrimination prohibited by federal and state law. Consistent with this fundamental philosophy, the institution shall:
- (a) Have implemented its approved minority-opportunities plan from the previous self-study. If modified or if not carried out fully, provide an explanation from appropriate institutional authorities;
- (b) Demonstrate that it is committed to, and has progressed toward, fair and equitable treatment of all minority student-athletes and athletics department personnel; and
- (c) Formally adopt a written plan for the future of the intercollegiate athletics program that ensures the institution maintain a program, or continues progress toward a program, that expands opportunities and support for minority student-athletes and athletics personnel. The plan shall include measurable goals the institution intends to achieve, steps the institution will take to achieve those goals, persons responsible and timetables.
- **23.2.4.3 Student-Athlete Welfare.** Conducting the intercollegiate athletics program in a manner designed to protect and enhance the physical and educational welfare of student-athletes is a basic principle of the Association. Consistent with this fundamental principle, the institution shall;
- (a) Demonstrate a commitment to the fair treatment of student-athletes, particularly in their academic role as students;
- (b) Provide evidence that the welfare of student-athletes and the fairness of their treatment is monitored, evaluated and addressed on a continuing basis;
- (c) Have established grievance or appeal procedures available to student-athletes in appropriate areas; and
- (d) Provide evidence that the institution has in place programs that protect the health of and provide a safe environment for each of its student-athletes.
- **23.2.4.4 Sportsmanship and Ethical Conduct.** It is a principle of the Association that student-athletes, coaches and all others associated with intercollegiate athletics adhere to such fundamental values as respect, fairness, civility, honesty and responsibility. Consistent with this principle, the institution shall:
- (a) Demonstrate that in the area of intercollegiate athletics, it is committed to these fundamental values of sportsmanship and ethical conduct;
- (b) Have established a set of written policies and procedures for this area;
- (c) Demonstrate that educational activities related to sportsmanship and ethical conduct exist for individuals and groups associated with the intercollegiate athletics experience; and
- (d) Provide evidence that the effectiveness of activities in this area are monitored, evaluated and addressed on a continuing basis.

#### 23.3 CERTIFICATION DECISIONS

The Committee on Athletics Certification shall base its decisions regarding the certification of an institution's athletics program on information contained in the institution's self-study report, the peer-review team's written report, the institution's written response to the review team's report, written comments by the review team in relation to the institution's response, and evidence presented at hearings or in-person appearances before the committee. Actions of the Committee on Athletics Certification regarding an institution's certification status shall be announced publicly. (Adopted: 1/16/93 effective 1/1/94)

- **23.3.1 Categories of Certification.** Based on the information presented, the Committee on Athletics Certification shall determine an institution's certification status consistent with the following three categories: (Adopted: 1/16/93 effective 1/1/94)
  - **23.3.1.1 Certified.** A certified institution shall have been deemed to be in substantial conformity with the operating principles in Bylaw 23.2. (*Adopted:* 1/16/93 effective 1/1/94)
  - **23.3.1.2 Certified with Conditions.** An institution that is certified with conditions shall have been deemed to be in substantial conformity with the operating principles in Bylaw 23.2, subject to the institution's fulfillment of certain corrective actions in a reasonable, specified period of time. An institution may evidence fulfillment of these corrective actions prior to the time specified by the committee. (Adopted: 1/16/93 effective 1/1/94)
  - **23.3.1.3 Not Certified.** An institution that is not certified shall have been deemed to be not in substantial conformity with the operating principles in Bylaw 23.2 and shall be subject to the fulfillment of cer-

tain corrective actions in a reasonable, specified period of time. An institution may evidence fulfillment of these corrective actions prior to the time specified by the committee. (Adopted: 1/16/93 effective 1/1/94)

- **23.3.2 Postponement of Certification Decision.** The committee reserves the right to postpone the certification decision of an institution under either of the following conditions: (Adopted: 1/16/93 effective 1/1/94, Revised: 8/11/98)
- (a) When its self-study is deemed to be inadequate (e.g., lacking accuracy, openness or campus-wide participation). If the institution does not respond to the committee's concerns in a period of time specified by the committee, it may be placed in restricted-membership status. Such an institution shall not be eligible for certification until an appropriate self-study is completed; or
- (b) In instances in which the committee, during its deliberations, concludes that the institution has not received adequate notice from the peer-review team of a problem significant enough to affect the institution's certification status. The postponement allows the committee to seek written clarification from the institution and the peer-review team chair prior to rendering a decision as to the certification status of the institution.
- **23.3.3 Corrective Action.** When problems are identified pursuant to the athletics certification process, a member institution shall take corrective action. Requirements for taking corrective action, and a record of such action, shall be a routine aspect of the certification process and shall be available for review during subsequent certifications. (Adopted: 1/16/93 effective 1/1/94)
  - **23.3.3.1 Demonstration of Fulfillment of Corrective Action.** The Committee on Athletics Certification shall require institutions to demonstrate that corrective actions identified by the committee have been taken in the specified period of time and, upon such a showing, shall grant the institution full certification status. (*Adopted:* 1/16/93 effective 1/1/94)
  - **23.3.3.2 Failure to Take Corrective Actions.** An institution that fails to conduct an appropriate self-study or to make an effective effort to correct problems in its athletics program may be denied certification following the expiration of a reasonable, specified period of time during which the institution may rectify its deficiencies. (Adopted: 1/16/93 effective 1/1/94)
    - **23.3.3.2.1 Not Certified—Restricted Membership.** If, following the expiration of the time period specified in Bylaw 23.3.3.2, the Committee on Athletics Certification determines that serious problems remain in the institution's athletics program, the institution shall be placed in a restricted-membership category, consistent with the provisions of Bylaw 20.3.5.1. (Adopted: 1/16/93 effective 1/1/94)
    - **23.3.3.2.2 Not Certified—Corresponding Membership.** If, at the end of the restricted-membership year specified in Bylaw 23.3.3.2.1, the Committee on Athletics Certification concludes that the concerns cited previously related to the institution's athletics department still are not being addressed properly, the institution shall be reclassified as a corresponding member, consistent with the provisions of Constitution 3.5 and Bylaw 20.3.5.1.1. (*Adopted: 1/16/93 effective 1/1/94*)
- **23.3.4** Action on Referrals from Committee on Infractions. The Committee on Athletics Certification may review and alter an institution's certification status upon referral from the Committee on Infractions per Bylaw 19.6.4. (Adopted: 1/16/93 effective 1/1/94)
- **23.3.5 Appeals.** Following a hearing before the Committee on Athletics Certification, an institution may appeal the decision of the Committee on Athletics Certification pursuant to this section to the Management Council (see Bylaw 33 for hearing procedures). (*Adopted:* 1/16/93 effective 1/1/94)

#### 23.4 CONFERENCE ASSISTANCE

Conference offices may assist member institutions in the regular review of the institution's commitment to compliance with the rules of the Association and in the development and maintenance of institutional compliance objectives and strategies. Conference records of ongoing institutional compliance efforts shall be subject to review by the peer-review team. Conferences also may serve as facilitators in the certification process, linking participating institutions and external review teams as follows: (Adopted: 1/16/93 effective 1/1/94)

- (a) Participating in the orientation process for institutions scheduled for review;
- (b) Accompanying peer-review teams on campus visits of conference members; and
- (c) Ensuring that conference members develop, implement and report corrective actions identified as a normal part of the certification process.

#### 23.5 OTHER ASSISTANCE

An institution may obtain other assistance in carrying out the responsibilities specific to athletics certification set forth in Bylaw 23.4, subject to the prior approval of the Committee on Athletics Certification. (Adopted: 1/16/93 effective 1/1/94)

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# **30.01 GENERAL PRINCIPLE**

Constitution 5.2.3 authorizes the Board of Directors to adopt or amend administrative regulations. (Revised: 1/9/96 effective 8/1/97)

# 30.1 ADMISSIONS AND GRADUATION-RATE DISCLOSURE

An institution shall not be eligible to enter a team or an individual competitor in an NCAA championship unless it has completed the Integrated Postsecondary-Education Data System Graduation-Rate Survey (IPEDS GRS-1) on or before the applicable deadline established by federal regulations. (Revised: 1/10/90, 1/6/91 effective immediately for data collection, effective 10/1/91 for disclosure, Revised: 4/15/92, 1/14/97, 10/28/97, 8/11/98)

## **30.2 ALL-STAR CONTESTS**

**30.2.1 High School Football and Basketball.** As provided in Bylaw 14.6, a student-athlete shall be denied the first year of intercollegiate athletics competition if, following completion of high school eligibility in the student-athlete's sport and prior to the student-athlete's high school graduation, the student-athlete competes in more than two all-star football contests or two all-star basketball contests.

**30.2.1.1 High-School All-Star Contest Defined.** A high-school all-star contest is any contest in the sport of football or basketball that meets the following criteria:

- (a) The teams participating in the contest involve individuals who have completed their high-school eligibility in the sport and have not yet enrolled in and attended classes during a regular term at a collegiate institution;
- (b) The contest is scheduled and publicized in advance;
- (c) The competition is sponsored and promoted by an individual, organization or agency; and
- (d) The individuals are selected for participation in the contest on an invitational basis and have not competed together previously as members of a team that played a regular schedule of games in an organized recreation or interscholastic program.

**30.2.1.2 Contests Not Considered High-School All-Star Competition.** Contests conducted under the criteria listed in Bylaw 30.2.1.1 shall not be considered all-star competition for purposes of Bylaw 14.6 if one or more of the following conditions is applicable:

- (a) The contest occurs within a framework of a regularly scheduled recreational program involving solely participants from the community in which the sponsor is located;
- (b) The competition is limited solely to participants from the community in which the sponsor is located and no revenue, including gate receipts, concessions, program sales or contributions, is generated from the contest;
- (c) The competition is scheduled within the framework of an established cultural exchange program involving other educational activities; or

- (d) The contest is scheduled in conjunction with developmental competition sponsored by the national governing body of amateur basketball (USA Basketball) or an active member thereof.
- **30.2.1.3 Multiple Competition (Tournaments).** Multiple competition (e.g., tournaments) involving more than one contest or two teams will be considered high-school all-star competition if the specific criteria in Bylaw 30.2.1.1 (subject to the conditions in Bylaw 30.2.1.2) are applicable. Each game conducted under such circumstances would be considered a high-school all-star contest.
- **30.2.1.4 Facility Use for High-School All-Star Games.** An institution is prohibited from making its facilities available for a high-school all-star game unless the conditions specified in Bylaw 13.12.3.3 are met.
- **30.2.2 College Football and Basketball.** A member institution is prohibited from allowing its facilities to be utilized for any college all-star football or basketball contest unless the contest is certified as meeting the requirements specified below. (See Bylaw 11.1.6 for regulations governing the involvement of athletics department staff members in all-star football or basketball contests that are not certified.) (Revised: 8/4/89)
  - **30.2.2.1 Application for Inauguration of Contest.** Application for the inauguration of a contest will be received only at a regular meeting of the Championships/Competition Cabinet, and the committee will approve or disapprove the application at one of its meetings held during the next year. The proposing sponsor shall submit to the committee, with its application form, a projected financial report showing the financial soundness of the proposed game, which would include the amount of revenue currently available to the game, or to which game management may have access, to guarantee game and team expenses. A new all-star game shall secure annually (for a three-year period from its initial certification date) an irrevocable letter of credit guaranteeing the minimum payout to applicable educational or charitable organization(s), plus an additional 25 percent of projected expenses to cover expenses related to game management, operations and administration. (Revised: 4/15/92)
  - **30.2.2.2 Conduct of Game.** Game management shall provide evidence of the experience it has in conducting all-star games or similar events and conduct the game in accordance with the Association's principles for the conduct of intercollegiate athletics, as set forth in Constitution 2 and interpretations relating thereto. (*Revised:* 4/15/92)
  - **30.2.2.3 Distribution of Income.** The sponsoring agency shall distribute to approved nonprofit educational or charitable organization(s) the greater of the following revenues: (*Revised: 4/15/92*)
  - (a) Ten thousand dollars from basketball all-star games; \$100,000 from football all-star games in which student-athletes participate; (Adopted: 4/15/92, Revised: 8/14/96)
  - (b) Fifteen percent of the gross receipts generated by the all-star game; or (Adopted: 4/15/92)
  - (c) One hundred percent of the game's net income. (Adopted: 4/15/92)
    - **30.2.2.3.1 Charitable or Educational Status.** Game management shall submit, as part of certification, the name(s) of the organization(s) and appropriate documentation establishing the tax-exempt or not-for-profit status of the charitable/educational organization(s) that will receive a share of its gross receipts. (Adopted: 4/15/92)
  - **30.2.2.4 Eligible Participants.** Participation shall be limited to enrolled or graduated student-athletes who have exhausted their seasons of eligibility in the sport but who were eligible to compete on their institution's intercollegiate team in that sport during the preceding season.
  - **30.2.2.5 Written Permission from Athletics Director.** Game management shall obtain written permission from the athletics director of the student-athlete's institution before inviting a student-athlete to compete in its contest.
  - **30.2.2.6 Date of Football Game.** A certified college all-star football game shall be played on or before January 21 during the academic year in which the participating student-athletes have exhausted their seasons of eligibility in the sport.
    - **30.2.2.6.1 Management Council Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive the January 21 date. (*Revised:* 8/3/90)
  - **30.2.2.7 Insurance.** The management of each certified game shall provide the following insurance coverages and submit to the national office, no later than 60 days prior to the game, the appropriate certification of insurance showing evidence of such coverages: (Revised: 10/18/89)
  - (a) Basic accident-medical insurance for each participating student-athlete in the amount of the value of the deductible established for the Association's catastrophic-injury insurance policy; (Revised: 10/27/98)
  - (b) Catastrophic-injury medical insurance for each participating student-athlete that provides lifetime medical, rehabilitation and disability benefits in excess of the basic coverage, equal to the

- NCAA-sponsored program or an alternate program approved by the Championships/Competition Cabinet;
- (c) Primary comprehensive general liability coverage listing the NCAA as an additional insured, with combined single limits of at least \$1 million per occurrence for bodily injury and property damage; and
- (d) Coverages in (a) and (b) shall be in effect while the participating student-athletes are traveling to and from the all-star game site and while they are in the host city.
- **30.2.2.8** Awards. Awards shall be subject to the restrictions set forth in Bylaw 16.1.
- **30.2.2.9 Tickets.** Game management shall sell tickets at face value for at least 40 percent of the capacity of the stadium or arena where the contest will occur. (*Adopted:* 4/15/92)
- **30.2.2.10 Application for Recertification of Contest.** Application for recertification of a contest shall be received in the national office not later than July 1. Any application received after that date shall be postmarked not later than June 24. Failure to submit the appropriate information by that date or to comply with the requirements set forth by the Championships/Competition Cabinet may result in a decision not to certify the event. (Revised: 4/15/92)
- **30.2.2.11 Certification Fee.** Each all-star game annually shall pay to the Association a \$1,000 certification fee upon notice of certification. (Adopted: 4/15/92)
- **30.2.2.12 Financial Report of Previous Game.** The management of each certified game shall submit to the Championships/Competition Cabinet an audited financial report certified by an independent accounting firm of the immediate past game before an ensuing contest may be certified. All materials shall be received in the national office by mail or wired transmission not later than July 1. Any report received after that date shall be postmarked not later than June 24. Failure to submit the appropriate information by that date may result in a decision not to recertify the event. (*Revised: 4/15/92*)

#### **30.3 CERTIFICATION OF COMPLIANCE**

A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless its chief executive officer makes an annual institutional eligibility certification [see Bylaw 18.4.2.1-(d)] attesting that the conditions specified below have been satisfied. The certification shall be completed not later than September 15. (Revised: 1/10/95)

- **30.3.1 NCAA Rules Review.** The chief executive or a designated representative has reviewed with all athletics department staff members the rules and regulations of the NCAA as they apply to the administration and conduct of intercollegiate athletics.
- **30.3.2 Coaching Staff Disciplinary Actions.** At the time of such certification, and as a result of involvement in a violation of the Association's legislation as determined by the Committee on Infractions or the Infractions Appeals Committee, no current member of the institution's coaching staff:
- (a) Shall have been temporarily or permanently suspended from coaching duties by another member institution within the last two years; or
- (b) Shall have been prohibited within the last two years, as a result of violations occurring while employed by another member institution, from participating in identified coaching-related activities, unless the prohibition has been equally applied by the certifying institution with respect to the individual's coaching-related activities on behalf of it; or
- (c) Shall have been permitted within the last two years to perform any coaching-related activities for the certifying institution that were prohibited after determination by the Committee on Infractions of an "appropriate disciplinary action" for the individual in accordance with the show-cause provision of Bylaw 19.6.2.2-(l) of the NCAA enforcement procedures.
  - **30.3.2.1 Period of Suspension or Prohibition.** The period of suspension or prohibition established by the Committee on Infractions or the Infractions Appeals Committee must be in effect for the provisions set forth in Bylaw 30.3.2 to apply.
  - **30.3.2.2 Due-Process Requirement.** The affected coaching staff member must be given through the appropriate institution notice of an opportunity to be heard at both the NCAA hearing resulting in the finding of involvement in the violation and the institutional hearing resulting in suspension or prohibition.
- **30.3.3 Certification of Policies, Procedures and Practices.** The policies, procedures and practices of the institution, its staff members and representatives of athletics interests are in compliance at the present time with the Association's legislation insofar as the chief executive officer can determine.
- **30.3.4 Maintenance of Compliance.** It is the intention of the institution to maintain such compliance.

# Certification of Compliance/30.3.5—Consortium, Athletics/30.4.11

**30.3.5 Report of NCAA Violation Involving Institution.** A current statement has been filed with the chief executive officer, as a part of the institution's annual certification, which is signed by each athletics department staff member (except for clerical personnel), attesting that the individual has reported any knowledge of an involvement in any violations of NCAA legislation involving the institution.

#### **30.3.6 Additional Requirements.** An institution shall specifically affirm the following:

- (a) It has published its regular entrance requirements, including any special-admission opportunities;
- (b) It has published its requirements for satisfactory progress toward a degree, in accordance with membership obligations set forth in Constitution 3.2; and
- (c) Each student-athlete who represents the institution in intercollegiate athletics competition during the academic year has been certified to be in good academic standing and maintaining satisfactory progress toward a degree as set forth in Bylaw 14.

# **30.4 CONSORTIUM, ATHLETICS**

An athletics consortium (see Constitution 3.1.2) may be approved by a two-thirds vote of the Management Council, provided the criteria specified below are met.

- **30.4.1 General Policy.** In general, a consortium shall be approved on the basis of existing academic considerations with the understanding that there shall be no change in the basic recruitment, enrollment or financial aid policies of the involved institutions as a result of such approval.
- **30.4.2 Combining Entire Athletics Programs.** The institutions shall combine their entire athletics programs, and the consortium shall not be formed on a sport-by-sport basis.
- **30.4.3 Conference Approval.** An institution that belongs to an NCAA member conference first shall receive approval of its conference prior to instituting a consortium for its intercollegiate athletics program. If more than one institution holds such conference membership, all such conferences shall approve the consortium.
- **30.4.4 Eligibility Requirements for Student-Athletes.** Participating student-athletes shall meet all eligibility requirements of the member institution(s), the athletics conference(s) involved and the NCAA. The member institution(s) shall certify the eligibility of all student-athletes under those rules.

#### **30.4.5 Financial Assistance to Student-Athletes.** Within a consortium:

- (a) Each institution shall be responsible for the financial assistance awarded to its student-athletes. The financial arrangement between or among the institutions for the exchange of funds to cover the academic costs of student-athletes who take part in the exchange program shall apply to student-athletes in the same manner as it applies to those students not participating in the intercollegiate athletics program;
- (b) One institution may not provide a scholarship or any other form of financial aid to a student-athlete enrolled in another institution or transmit a scholarship or grant-in-aid to another institution to be used by one or more of its student-athletes; and
- (c) Financial aid limitations as set forth in Bylaw 15 shall be applicable to the consortium as one entity and shall include all countable student-athletes, regardless of the institution in which they are enrolled.
- **30.4.6 Length of Approval.** NCAA approval shall be for a four-academic-year period, at the end of which the institutions shall submit a report on the program setting forth its effect upon their academic and athletics operations. (*Revised:* 8/4/89)
- **30.4.7 NCAA Division Membership.** The institutions may be members of different NCAA divisions but shall select one division for legislative and competitive purposes.
- **30.4.8 NCAA Member Involvement.** At least one of the institutions already shall be a member of the NCAA, and no more than one nonmember institution shall be included.
- **30.4.9 NCAA Membership Application.** The institutions shall apply for NCAA membership as a consortium and shall be considered as one member of the Association, with their combined names included on the official NCAA membership list.
- **30.4.10 Prior Academic Consortium Relationship.** The institutions shall have had a prior academic consortium relationship.
- **30.4.11 Recruitment.** It is permissible for one institution to recruit prospective student-athletes with a view to their possible enrollment at another institution in the consortium, provided the individuals qualify for admission to that institution and the athletics interests of the member institution are not involved, directly or indirectly, in influencing the admission or award of financial assistance.

# 30.5 DRUG-TESTING CONSENT FORM

The following procedures shall be used in administering the drug-testing consent form required in Bylaw 14.1.4 (see also Constitution 3.2.4.6): (Adopted: 1/10/92 effective 8/1/92)

- (a) The consent form shall be administered individually to each student-athlete by the director of athletics or the director of athletics' designee each academic year;
- (b) The director of athletics or the director of athletics' designee shall disseminate the list of banned drug classes to all student-athletes and educate them about products that might contain banned drugs. All student-athletes are to be notified that the list may change during the academic year, that updates may be found on the NCAA Web site (i.e., www.ncaa.org) and informed of the appropriate athletics department procedures for disseminating updates to the list; and (Adopted: 4/27/00)
- (c) The consent form shall be kept on file by the director of athletics and shall be available for examination upon request by an authorized representative of the NCAA. (Revised: 4/27/00)

**30.5.1 Effect of Violations.** Violations of the procedure set forth in Bylaw 30.5 shall be considered an institutional violation per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility. (*Adopted: 4/27/00*)

# **30.6 FIVE-YEAR RULE WAIVER**

As authorized in Bylaws 14.2.1.6 and 14.2.2.3, the Academics/Eligibility/Compliance Cabinet, or an Academics/Eligibility/Compliance Cabinet-designated committee, by a two-thirds majority of its members present and voting, may approve waivers of the five-year rule in addition to the waivers in Bylaw 14.2.1.5.

**30.6.1 Waiver Criteria.** A waiver of the five-year period of eligibility is designed to provide a student-athlete with the opportunity to participate in four seasons of intercollegiate competition within a five-year period. This waiver may be granted, based upon objective evidence, for reasons that are beyond the control of the student-athlete or the institution, which deprive the student-athlete of the opportunity to participate for more than one season in his/her sport within the five-year period. The Academics/Eligibility/Compliance Cabinet reserves the right to review requests that do not meet the more-than-one-year criteria detailed in this bylaw for circumstances of extraordinary or extreme hardship. A student-athlete who has exhausted his or her five years of eligibility may continue to practice (but not compete) for a maximum of 30 consecutive calendar days, provided the student-athlete's institution has submitted a waiver request. The student-athlete may not commence practice until the institution has filed such a request. Further, if such a request is denied prior to exhausting the 30 day practice period, the student-athlete must cease all practice activities upon the institution's notification of the denial. (Revised: 4/17/91, 1/11/94, 8/10/94, 10/12/95, 4/27/00)

- **30.6.1.1** Circumstances considered to be beyond the control of the student-athlete or the institution and do not cause a participation opportunity to be used shall include, but are not limited to, the following: (Adopted: 8/10/94, Revised: 10/12/95)
- (a) Situations clearly supported by contemporaneous medical documentation, which states that a student-athlete is unable to participate in intercollegiate competition as a result of incapacitating physical or mental circumstances;
- (b) The student-athlete is unable to attend a collegiate institution full time as a result of a life-threatening or incapacitating injury or illness suffered by a member of the student-athlete's immediate family, which clearly is supported by contemporaneous medical documentation;
- (c) Reliance by the student-athlete upon written, contemporaneous, clearly erroneous academic advice provided to the student-athlete from a specific academic authority from a collegiate institution regarding the academic status of the student-athlete or prospective student-athlete, which directly leads to that individual not being eligible to participate and, but for the clearly erroneous advice, the student-athlete would have established eligibility for intercollegiate competition; (Revised: 10/9/96)
- (d) Natural disasters (e.g., earthquakes, floods);
- (e) Extreme financial difficulties as a result of a specific event (e.g., layoff, death in the family) experienced by the student-athlete or by an individual upon whom the student-athlete is legally dependent, which prohibit the student-athlete from participating in intercollegiate athletics. These circumstances must be clearly supported by objective documentation (e.g., decree of bankruptcy, proof of termination) and must be beyond the control of the student-athlete or the individual upon whom the student-athlete is legally dependent. (Adopted: 10/12/95, Revised: 8/12/97)
- **30.6.1.2** Circumstances that are considered to be within the control of the student-athlete or the institution and cause a participation opportunity to be used include, but are not limited to, the following: (Adopted: 8/10/94, Revised: 10/12/95)

# Five-Year Rule Waiver/30.6.1.2—Foreign Tours/30.7.8

- (a) A student-athlete's decision to attend an institution that does not sponsor his/her sport, or decides not to participate at an institution that does sponsor his/her sport;
- (b) An inability to participate due to failure to meet institutional/conference or NCAA academic requirements, or disciplinary reasons or incarceration culminating in or resulting from a conviction; (Revised: 10/12/95)
- (c) Reliance by a student-athlete upon misinformation from a coaching staff member;
- (d) Redshirt year;
- (e) An inability to participate as a result of a transfer year in residence or fulfilling a condition for restoration of eligibility; and
- (f) A student-athlete's lack of understanding regarding the specific starting date of his or her five-year period of eligibility. (Adopted: 10/9/96)

#### 30.7 FOREIGN TOURS AND COMPETITION

A member institution may participate in a foreign tour in any sport (see Bylaw 17.30), provided the conditions specified below are met. (Revised: 1/11/89)

- **30.7.1 Certification of Tour.** The institution must certify in writing that the conditions set forth in this section are met and must maintain the certification on file in the athletics department. (*Revised: 1/11/89*)
- **30.7.2 Eligibility of Student-Athletes.** The eligibility of student-athletes on the tour shall be governed by the following (see also 14.2.3.6):
- (a) If the tour takes place during the summer, the student-athletes shall have been eligible for intercollegiate competition during the previous academic year or shall have been enrolled at the institution as a full-time student during the previous academic year and have established by the beginning of the tour that he or she is eligible for competition the academic year immediately following the tour; or (Revised: 8/11/98 effective immediately for tours conducted during the 1998-99 academic year and thereafter)
- (b) If the tour takes place after the academic year has started, the student-athletes shall be regularly enrolled in the institution and eligible for intercollegiate competition.
  - **30.7.2.1 Incoming-Student Participation.** In a sport that has no limitations on preseason practice, it is not permissible for an incoming student-athlete to participate as a member of the institution's team on a foreign tour that occurs prior to the beginning of his or her first term. In sports with limitations on preseason practice (e.g., football, basketball), it is permissible for an eligible incoming student-athlete to represent the institution only on a foreign tour that begins after the permissible starting practice date in the sport involved or after the first day of classes of his or her first regular term at the institution.
- **30.7.3 Football Postseason Opportunity.** A foreign football tour shall be considered that institution's postseason opportunity for that season, the accounting period to commence with the start of the institution's normal beginning of fall football practice.
- **30.7.4 Time Lapse between Tours.** An institution shall not engage in a foreign tour in each sport more than once every four years. (*Revised:* 1/11/89)
- **30.7.5 Maximum Number of Contests/Competition Dates.** A team shall be limited to a maximum of three football games, 10 basketball games, or 10 contests or dates of competition in any other sport during and as part of the tour. (Revised: 1/10/91)
- **30.7.6 Opponents.** The team shall not compete during the tour against other American teams (colleges or other U.S. teams) other than teams composed of U.S. armed forces personnel stationed at U.S. military bases in foreign countries.
  - **30.7.6.1 Exception—Women's Rowing.** It is permissible for rowing teams representing NCAA member institutions to compete against each other as part of the Henley Royal Regatta. (Adopted: 4/20/99)
- **30.7.7 Practice Limitation.** Not more than 10 days of practice are permitted prior to departure.
- **30.7.8 Timing of Tour.** The tour shall be scheduled during the summer-vacation period between the institution's spring and fall terms or during any other vacation period published in the institution's official catalog. All travel to and from the foreign country must take place during such a vacation period. However, if the team crosses the international date line during the tour, the change of date will be disregarded and the equivalent time as measured in the United States will be used to determine the institution's vacation period. (*Revised:* 10/18/89)

# **30.8 OUTSIDE COMPETITION, NATIONAL TEAM**

Student-athletes are prohibited from participating in organized outside competition except as specifically provided in Bylaw 14.7.

- **30.8.1 National-Team Criteria.** In applying the regulation permitting exceptions for student-athletes to participate during the institution's intercollegiate season in international competition involving national teams represented in the competition, a national team is defined as one that meets the following criteria:
- (a) It is selected, organized and sponsored by the appropriate Group A member of the United States Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization in that sport);
- (b) Selection for such a team is made on a national qualification basis either through a defined selective process or actual tryouts, publicly announced; and
- (c) The international competition in question requires that the entries officially represent their respective nations, although it is not necessary to require team scoring by nation.

## **30.9 POSTSEASON BOWL CERTIFICATION**

The following conditions and requirements shall be met in order for a postseason bowl game to be certified:

- **30.9.1 Written Report.** The director of athletics of a member institution that participates in a postseason bowl game shall submit to the Championships/Competition Cabinet a written report on the conduct and administration of the event, with special emphasis on game management. The report shall be submitted by the subsequent February 1 on a form approved by the Management Council. (*Adopted: 1/10/90*)
- **30.9.2 Contest Status.** A contest shall be certified only if it serves the purpose of providing a national contest between deserving winning teams. A "deserving winning team" shall be defined as one that has won a minimum of six games against Division I-A opponents and that has more wins than losses. Tie games do not count in determining a team's won-lost record. Further, when forfeiture of a regular-season football victory is required by the NCAA Division I Committee on Infractions, a conference or self-imposed by an institution as a result of a violation of NCAA rules, neither of the competing institutions may count that contest in satisfying the definition of a "deserving winning team." (*Revised: 10/18/89, 10/12/93, 4/20/99*)
  - **30.9.2.1 Exception—Division I-AA Opponent.** Once every four years, a Division I-A institution may count one victory against a Division I-AA opponent toward the six-win minimum, provided the Division I-AA opponent has averaged 60 financial aid equivalencies in football during the three preceding academic years. (*Adopted:* 10/28/97 effective 8/1/98)
    - **30.9.2.1.1 Waiver.** The Football Issues Committee may approve a waiver of the 60-equivalency requirement to permit a Division I-A institution, once every four years, to count a victory against a Division I-AA opponent in the sport of football toward meeting the six-victory requirement when a unique or catastrophic situation affects the Division I-AA institution's ability to average 60 equivalencies during the three preceding academic years. (*Adopted: 8/11/98*)
  - **30.9.2.2 Waiver for Conference Champion.** The Management Council, by a two-thirds majority of its members present and voting, or a committee designated by the Management Council, may approve a waiver of the six-victory requirement to enable a conference champion to participate in a bowl game when the conference champion is scheduled contractually to participate in the game. (Adopted: 1/12/93, Revised: 10/28/97)
- **30.9.3 Participation Restrictions.** The competing institutions shall be active members of this Association, and a member institution shall not participate in more than one such game during any academic year.
- **30.9.4 Official Invitation.** An official invitation to participate in a certified postseason bowl game shall be issued in writing from the executive director of the sponsoring agency to the institution's director of athletics, who shall send to the executive director written confirmation of the acceptance of the invitation. (*Revised:* 8/4/89, 10/18/89)
- **30.9.5 Application and Review Schedule for Proposed Game.** The application for the inauguration of a contest will be received from a proposing sponsor only at the annual spring meeting of the Championships/Competition Cabinet. The committee will approve or disapprove the contest at its annual spring meeting held during the next calendar year. The proposing sponsor's application must be received at the NCAA national office not later than the January 15 directly preceding the meeting of the committee; any report received after that date must be postmarked not later than January 8. The sponsor shall submit to the committee, with its application form, a projected financial report showing financial soundness of the proposed game. (*Revised:* 10/18/89, 4/20/94)

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# Postseason Bowl Certification/30.9.6—30.9.8.8

- **1-A 30.9.6 Certification Documents.** The Championships/Competition Cabinet shall prepare certification documents that require the management of each postseason bowl game to enter into a contractual agreement through the NCAA certification program. This agreement stipulates that the bowl management agrees to comply with the NCAA's principles for the conduct of intercollegiate athletics, as set forth in Constitution 2 and relevant bylaws and interpretations, and with the restrictions on game negotiations in Bylaw 18.7 in consideration for receiving certification of its postseason bowl game.
- **1-A 30.9.7 Playing Rules.** The official playing rules of the Association shall govern the conduct of the game, except that the Football Rules Committee has authorized the intermission between halves to extend to 30 minutes. (*Revised*: 8/4/89)
- **1-A 30.9.7.1 Tiebreaker.** The game shall utilize the tiebreaker format approved by the NCAA Football Rules Committee. (*Adopted: 10/12/95*)
  - **30.9.8 Gross Receipts.** Gross receipts shall be defined as follows: (Adopted: 1/10/92)
- **1-A 30.9.8.1 Ticket Sales.** Gross receipts shall include the gross income from the sale of tickets less applicable taxes, except those paid as stadium-use taxes for rental cost of permanent equipment or in lieu thereof. Third-party fees for handling/selling tickets shall not be deducted from the ticket price. Internal handling fees shall not be deducted from ticket revenue. All tickets shall be accounted for at face value and shall become a part of gross receipts. (*Adopted:* 1/10/92)
- **30.9.8.2 Membership Fees/Dues.** If the payment of membership fees/dues to the sponsoring agency or an affiliate thereof is required in order to purchase game tickets and/or gain priority seating rights or otherwise results in admission to the game, then 50 percent of the gross membership fees/dues (less the price of the bowl game tickets that is included in ticket sales revenue) shall be included in gross receipts. (*Adopted: 1/10/92*)
- **1-A 30.9.8.3 Programs/Concessions/Merchandising Sales.** Gross receipts shall include gross profit received by the sponsoring agency or an affiliate thereof for the sale of programs/concession items or merchandise (e.g., clothing, watches, glasses or other memorabilia). Gross profit shall be defined as gross sales less direct costs of producing and selling the program, concessions and/or merchandise. (Adopted: 1/10/92)
- **1-A 30.9.8.4 Advertising Income.** Gross receipts shall include gross income received by the sponsoring agency for the sale of advertising for television or radio programs, in printed game programs and/or media guides, highlight films/videos, or in the stadium. When advertising is solicited by an independent third party under contract with the sponsoring agency, whereby the sponsoring agency receives only a share of advertising revenue, gross receipts shall include only the amount received for such items by the sponsoring agency from the third party. (Adopted: 1/10/92)
- 30.9.8.5 Radio Broadcast Rights. When a sponsoring agency administers the radio broadcast policies outlined by the Championships/Competition Cabinet that permit the official radio station/network of a competing institution to purchase origination rights to the bowl game, the official radio station/network shall pay the sponsoring agency four times the one-minute published rate of the station(s) as listed in the current edition of Standard Rates and Data. All radio income shall be excluded from gross receipts if the official station/network of a participating team is permitted to purchase origination rights for its normal in-season distribution outlet(s). (Adopted: 1/10/92)
- **30.9.8.6 Television Contracts.** When the negotiating and/or obtaining of a television contract is performed by an unrelated third party under contract with the sponsoring agency, the sponsoring agency shall be entitled to deduct 100 percent of the annual amount paid to the unrelated third party from the amount to be included in the computation of total gross receipts but, in any event, the deduction cannot exceed 15 percent of the annual television receipts unless approved in advance by the Championships/Competition Cabinet. (*Adopted:* 1/10/92)
- 30.9.8.7 Title Sponsorship Rights Contracts. When the negotiating and/or obtaining of a title sponsoring contract is performed by an unrelated third party under contract with the sponsoring agency, the sponsoring agency shall be entitled to deduct 100 percent of the annual amount paid to the unrelated third party from the amount to be included in the computation of total gross receipts but, in any event, the deduction cannot exceed 15 percent of the annual title sponsorship rights allocated to the game unless approved in advance by the Championships/Competition Cabinet. (Adopted: 1/10/92)
- **30.9.8.8 Title Sponsorship Entertainment Expenses.** When the provisions of a title sponsorship agreement require the sponsoring agency to provide transportation, lodging and/or entertainment for the title sponsor (excluding the cost of game tickets provided to the title sponsor, which is included in ticket sales revenue), the sponsoring agency shall be entitled to deduct the lesser of:
  - (a) The actual expenses incurred, up to \$100,000, or
  - (b) Ten percent of the annual gross title sponsorship receipts, but not greater than \$100,000. (Adopted: 1/10/92)

**30.9.8.9 Allocation of Title Sponsorship Rights.** When the provisions of a title sponsorship agreement for a bowl game require the sponsoring agency or an affiliate thereof to allocate title sponsorship fees to nongame-related events, the allocation must be reasonable under the circumstances but, in any event, the total allocations to nongame-related events cannot exceed 10 percent of the annual title sponsorship receipts, unless approved in advance by the Championships/Competition Cabinet. Nongame-related events include any activity or event for which the sponsoring agency or an affiliate thereof does not utilize the name of the bowl, or is associated with any NCAA institution or its constituency in its title or promotion, does not require the involvement of any member or representative of a participating institution or its constituency, and does not promote the bowl game. (Adopted: 1/10/92)

**30.9.8.10 Film/Movie/Video Rights.** Gross receipts shall include the gross income received by the sponsoring agency for the sale of rights to produce a game highlight film/movie/video. When a game highlight film/movie/video is produced by an independent third party for the sponsoring agency as a gift-in-kind or in exchange for advertising, the cost of film/movie/video production as paid for by the third party shall be included in gross receipts. (*Adopted: 1/10/92*)

**30.9.8.11 Licensing Fees.** Gross income received by the sponsoring agency or an affiliate thereof for licensing and marketing of a bowl game and/or the names and marks, whether registered or unregistered, of the institutions participating in it, shall be included in gross receipts. A waiver of this provision may be granted to "closed" games. (Adopted: 1/10/92)

**30.9.8.12 Corporate Sponsors/Contributors.** Gross receipts shall include cash receipts from corporate sponsors/contributors, whether restricted or nonrestricted. Gross receipts shall include any funds received from cities, counties, tourist development agencies or similar organizations. The sponsoring agency may exclude \$50,000 of restricted contributions if used for events that are for the direct benefit of the competing institutions (e.g., players' luncheon). Cash receipts from corporate sponsors/contributors that are not related to the game and for which the sponsor does not receive any promotion/exposure from the game, or its related events, may be excluded from gross receipts. (*Adopted: 1/10/92*)

**30.9.8.13 Corporate Sponsors/Contributors, Pregame/Half-Time Shows.** If contributions are legally restricted for the staging of a pregame and/or half-time show for the bowl game, the contributions may be omitted from gross receipts until such time as all direct pregame and/or half-time costs have been covered. Legally restricted contributions exceeding the direct pregame and/or half-time costs shall be included in gross receipts. (Adopted: 1/10/92)

**30.9.8.14 Other Revenue.** Any net income accruing or assigned to the sponsoring agency or an affiliate thereof from events and activities related to the bowl game shall be included in gross receipts. Other income that is derived from nongame-related events shall be excluded from gross receipts. (Adopted: 1/10/92)

**30.9.8.15** Interest Income. Gross receipts shall include interest on net gross receipts from game day through the date of distribution to the competing institutions. Net gross receipts for the purpose of calculating interest income shall be defined as total gross receipts less:

- (a) Ticket revenue retained by a participating institution;
- (b) The cost of the NCAA certification fee, and
- (c) The cost of a letter of credit, if required.

Interest on television and title sponsorship revenue shall be calculated from date of payment, as required in the fully executed contractual document, but in no case shall interest be computed as received later than January 31, through date of distribution to the competing institutions. Interest shall be calculated at the first 30-day U.S. Treasury bill rate available following the respective bowl game. (Adopted: 1/10/92)

**30.9.8.16 Letter of Credit—Recertification.** A postseason football contest that has not distributed a minimum average of \$1 million to each participating institution during the preceding three-year period shall secure annually an irrevocable letter of credit guaranteeing the minimum revenues that will be distributed to the participating teams, plus an additional 25 percent to cover expenses related to game management, operations and administration. The letter of credit shall be made payable to the NCAA and shall cover the period from November 1 through May 1, or through the date that the participating teams have received their share of gross receipts from the sponsoring agency. The letter of credit also shall specify that the NCAA is responsible for the distribution of revenues to the participating institutions in the event of default. The cost of the letter of credit shall be deducted from gross income. (Adopted: 1/10/92)

**30.9.8.17 Affiliated Organizations.** An affiliated organization is any group that, directly or indirectly, through one or more intermediaries, controls, is controlled by or is under common control with the sponsoring agency of a certified bowl game. Control includes the possession, direct or indirect, of the

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#### Postseason Bowl Certification/30.9.8.17—30.9.15

power to direct or cause the direction of management and/or policies of an organization. Control may be maintained by management, which normally includes members of the board of directors, the chief executive officer, executive director or other persons who perform similar policy-making functions. (Adopted: 1/10/92)

- **I-A 30.9.8.18 Game-Related Event.** A game-related event is any activity for which the sponsoring agency or an affiliate thereof:
  - (a) Utilizes the name of the bowl;
  - (b) Is associated with any NCAA institution participating in the bowl game or its constituency or uses the name or marks of such institution in the title or promotion of the event;
  - (c) Requires the participation of any member or representative of a participating institution or its constituency; or
  - (d) Promotes the bowl game.

Exceptions may be authorized only by the written agreement of the Championships/ Competition Cabinet. Game management shall not accept any sponsorship for any game-related event from any organization engaged in gambling activities. (Adopted: 1/10/92, Revised: 10/12/95)

- **30.9.9 Officiating Crews.** Football officiating crews shall be selected from agencies that do not assign officials for the participating teams during the regular season.
- **30.9.10 Gross Receipts Distribution.** Each competing institution's share of the gross receipts must be paid immediately upon completion of the audit of the game, but not later than April 1. The competing institutions shall receive a share of the gross receipts of the contest as prescribed in Bylaw 31. In no event shall more than 25 percent of the gross receipts be paid to or retained by any sponsoring person or organization. Out of the sponsor's portion of the gross receipts, all game expenses shall be paid, including specifically:
  - (a) Stadium rental;
  - (b) Tickets (printing, selling and collecting);
  - (c) Ushers:
  - (d) Game officials; and
  - (e) Game promotion and publicity.
- **30.9.11 Ticket Allocations.** Each competing institution is allowed tickets for up to one-sixth of the total seats in the stadium, unless an agreement is made with the sponsoring organization for a greater number. The institution shall determine the number of tickets that it shall be responsible for purchasing, and, once claimed, shall notify the management of the certified game, not later than noon (local time at the institution) on December 1 or one week after the Saturday it is invited or qualifies by contract to participate in the game, of the total number of tickets, which shall become the responsibility of that institution. (Revised: 10/18/89, 1/11/91)
- **I-A 30.9.11.1 Exception.** An institution that accepts an invitation to participate in a certified postseason bowl game that did not distribute a minimum of \$1 million to each institution that participated in the immediately preceding bowl game shall not purchase more than one-sixth of the total seats in the stadium without the approval of the Championships/Competition Cabinet pursuant to a written request submitted by the institution's chief executive officer that stipulates that the additional tickets will be purchased at face value by constituents of that institution. (*Revised:* 8/2/91)
- **I-A 30.9.12 Sponsorship and Promotional Restrictions, Broadcast and Telecast.** Radio broadcasts and telecasts of such games shall conform to the same sponsorship and promotional restrictions as those governing broadcasts and telecasts of NCAA championships (see Bylaw 31.1.12). Additionally, during radio broadcasts and telecasts of such games, a minimum of 120 seconds shall be reserved, during which promotional messages for the participating institutions or higher education in general, or both, shall be presented. (*Revised:* 8/4/89)
- **1-A 30.9.13 Titles of Certified Bowl Games.** The titles of certified postseason football contests shall conform to the NCAA's policy of not advertising or appearing to promote products or activities that may be detrimental to the welfare of student-athletes or the image of higher education and intercollegiate athletics. Such titles shall not include reference to the following: alcoholic beverages; cigarettes, smokeless tobacco or other tobacco products; professional sports organizations; and organizations promoting gambling; nor shall they contain names popularly associated with such products or organizations.
- **30.9.14 Awards.** Each participating institution shall receive a minimum of 95 awards from the management of the postseason bowl game. The participating institution may purchase additional awards, consistent with the limitations specified in Bylaw 16.1.4.2. (*Adopted:* 8/4/89, *Revised:* 10/18/89)
- I-A 30.9.15 Fines for Failure to Attend Mandatory Pregame Meetings. The Championships/

Competition Cabinet may fine member institutions if institutional representatives fail to attend mandatory pregame meetings. The committee also may fine sponsoring agencies that fail to notify member institutions with details regarding mandatory meetings or fail to review the standardized meeting agenda approved by the committee. The committee may impose a \$1,000 fine on a member institution for each institutional representative that fails to attend a mandatory meeting and a fine of \$4,000 on a sponsoring agency that fails to administer such a meeting effectively. (Revised: 10/9/90)

**30.9.16 Penalties for Failure to Comply with Requirements.** If the management of a certified game fails to comply with the requirements set forth in Bylaws 30.9.1 through 30.9.15; the requirement for an audited financial report for the immediate past game set forth in Bylaw 30.9.18 and the Championships/Competition Cabinet policies and procedures (approved by the Management Council), and other policies and procedures approved by the committee and the Management Council, it may be fined a percentage of its gross receipts (per Bylaws 30.9.10 and 31.5.1), not to exceed 50 percent, from the contest involved in noncompliance, with the amount to be determined by the committee and approved by the Management Council. The fine shall be paid directly to the Championships/Competition Cabinet. The committee shall forward 50 percent of any new revenues received within 10 working days after the payment of the financial penalty to each of the institutions that competed in the contest. In addition, the committee, subject to the Management Council's approval, may withhold the certification for the subsequent year of a post-season bowl game that fails to comply with the requirements of Bylaws 30.9.1 through 30.9.15, 30.9.17, 30.9.18, and other policies and procedures approved by the committee and the Management Council. (*Revised:* 8/4/89, 10/18/89)

**30.9.17 Insurance.** The following insurance coverage shall apply to each certified game: (Revised: 10/9/90)

**30.9.17.1 Management.** The management of each certified game shall provide the following insurance coverages and shall submit to the national office, not later than 60 days prior to the game, the appropriate certification of insurance showing evidence that it maintains primary comprehensive general liability coverage listing the NCAA as an additional insured, with combined single limits of at least \$1 million per occurrence for bodily injury and property damage. (Revised: 10/9/90)

**30.9.17.2 Participating Institutions.** To be eligible to participate in a postseason football game, a member institution shall purchase basic accident-medical insurance for each participating student-athlete in the amount of the value of the deductible established for the Association's catastrophic-injury insurance policy and catastrophic-injury medical insurance for each participating student-athlete that provides lifetime medical, rehabilitation and disability benefits in excess of the basic coverage, equal to the NCAA-sponsored program or an alternate program approved by the Championships/Competition Cabinet. Both the basic-accident and the catastrophic-injury medical insurance coverage shall be in effect while the participating student-athletes are traveling to and from the bowl-game site and while they are in the host city. (Revised: 10/9/90, 10/27/98)

**30.9.18 Recertification Requirements.** Recertification of a game is subject to the following conditions: (*Revised:* 8/4/89, 10/18/89, 10/9/90)

- (a) The executive director or the chief operating officer of a sponsoring agency that seeks the initial certification or the certification or recertification of a game shall meet with the Championships/Competition Cabinet during the committee's annual April meeting. (Revised: 10/9/90)
- (b) The management of a certified game shall submit to the Championships/Competition Cabinet an audited financial report of the immediate past game. The report shall be received at the national office not later than April 1. Any report received after that date must be postmarked not later than March 25. In addition, game management shall submit to the committee a supplemental audited financial report not later than September 1. (Revised: 10/12/94)
- (c) The Championships/Competition Cabinet, or its designated representatives, shall be permitted to conduct, at the Association's expense, an audit of the financial information of an agency sponsoring a game and the financial information of other organizations and activities affiliated with the game. Each bowl game shall be audited at least once every three years. (Revised: 10/9/90)
- (d) The sponsoring agency shall average selling over a three-year period 25,000 tickets or 50 percent of those available for sale in the stadium or annually sell a number of tickets equal in value to the combined contractural obligation of the two participating institutions unless the subcommittee authorizes a waiver to maintain certification. (Tickets sold by the sponsor and participating institution shall be the same price. Ticket prices may be scaled but not discounted). (Revised: 8/2/91, 1/10/92, 10/28/99)
- (e) A certified game shall be held in the academic year for which it is certified; otherwise, the certification lapses.
- (f) The name, date, site and time of a certified game, as represented by the sponsoring agency in its application for certification or recertification, may not be changed without the approval of the

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# Postseason Bowl Certification/30.9.18—Contest Exemptions/30.10.1.6.1

Championships/Competition Cabinet following the committee's approval of the application. (Revised: 8/2/91)

#### **30.10 CONTEST CERTIFICATION**

In order for an institution, or an institution located in Alaska, Hawaii, Puerto Rico or the Virgin Islands that has a sport classified in Division I, to exempt specified contests from its maximum number of contests within Bylaw 17, the contests shall be certified annually by the Championships/Competition Cabinet as meeting the following conditions. In sports other than football, the Championships/Competition Cabinet Subcommittee on Special Events shall have the authority to certify an event for a two-year period, provided the event has been certified for five consecutive years and audited financial reports and other documents required for certification are submitted on an annual basis. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/27/98)

- **30.10.1 Basketball Contest Certification.** The following criteria must be satisfied for a basketball contest to receive certification from the Championships/Competition Cabinet. (Adopted: 1/9/96 effective 8/1/96)
  - **30.10.1.1 Application Deadline.** The application for an event must be received by the Championships/Competition Cabinet Subcommittee on Special Events not later than April 15 preceding the academic year in which the event will be conducted. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98)
  - **30.10.1.2 Competitive and Cultural Experience.** Each event must provide participating student-athletes a unique competitive and/or cultural experience. The committee will review an annual report submitted by the event to determine whether the event meets this criterion. (Adopted: 1/9/96 effective 8/1/96)
  - **30.10.1.3 Sponsoring Agency.** The sponsoring organization of any event shall be either an active or affiliated member or a member conference of the Association. An institution that is a sponsoring organization must conduct the sport of basketball on the intercollegiate level. For events in Alaska, Hawaii or Puerto Rico, the sponsoring agency must be an active or provisional NCAA member located in that state or territory. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/15/97, 8/11/98, 1/12/99)
  - **30.10.1.4 Team Selection.** The NCAA institution sponsoring the contest must be responsible for team selection, which must be open to all Division I members. (Adopted: 4/22/98 effective 8/1/98 for those events certified during the 1998-99 academic year and thereafter)
  - **30.10.1.5 Management of Event.** Each event must demonstrate that its administration is experienced in managing basketball events, that competition is conducted in a manner compatible with Division I standards and that it is financially sound. If the Championships/ Competition Cabinet has reason to doubt an event's ability to pay the expenses of the competing institutions as required by Bylaw 30.10.1.8, it may require event management to post a letter of credit in an amount sufficient to cover all such expenses. An NCAA member institution participating in the administration of the event must participate in establishing and approving its budget. Sponsors of events are prohibited from charging an entry fee or requiring teams to purchase a minimum number of tickets. A sponsoring organization, at its own expense, may be required to appear before the Championships/Competition Cabinet Subcommittee on Special Events. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/15/97, 1/13/98)
    - **30.10.1.5.1 Participation by Division I Institution.** Each event must have at least one Division I institution directly participating in the management of the event for contests played within the 48 contiguous states. For events in Alaska, Hawaii and Puerto Rico, at least one NCAA institution in any division shall serve in this capacity. (*Adopted:* 1/9/96 effective 8/1/96, *Revised:* 10/27/98)
  - **30.10.1.6 Financial Report.** An annual financial report must be filed by the sponsoring organization on a form and by a date approved by the Management Council. Sponsors shall be required to submit a financial report of the previous event as part of their application for future exemptions. The Association shall conduct an audit of each certified contest once every five years. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96, 1/13/98)
    - **30.10.1.6.1 Sponsorship Fee.** The sponsoring agency of an basketball event shall pay a fee upon receipt of certification of the event according to the following schedule:

(a)	Minimum fee for basketball events	\$500
(b)	Basketball events with gross receipts over \$100,000	\$1,000
(c)	Basketball events with gross receipts over one million dollars	\$2,500
(d)	Basketball events with gross receipts over three million dollars	\$5,000

The financial report of the previous year's event shall be used to determine the amount of the certification fee, except for new events, for which the fee shall be based on the proposed budget. A

Division I institution or conference shall not be required to pay more than \$5,000 in combined fees for events certified under Bylaws 30.10.1 and 30.10.4. (Adopted: 10/27/98)

- **30.10.1.7 Conference Representation.** No more than one team from any Division I conference may participate in any one preseason or in-season event during any academic year. (Adopted: 1/9/96 effective 8/1/96)
- **30.10.1.8 Reimbursement of Expenses.** Each event shall, at a minimum, reimburse the expenses (within 60 days of the event) of each participating institution as follows: (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96)
- (a) **Travel Party.** The size of the official travel party, for which reimbursement shall be made, shall be 18 individuals.
- (b) **Travel.** Events shall provide a minimum percentage of the official travel party's actual air fare (based on coach fare at the 21-day rate) and/or ground transportation expenses each year, as follows: (Revised: 10/9/96, 1/13/98 effective 8/1/98 for any exempted contest conducted during the 1998-99 academic year and thereafter, Revised: 10/27/98)
  - (1) Seventy-five percent of the official travel party's actual air fare and/or ground transportation expenses for men's basketball events conducted during the 2000-01 academic year.
  - (2) One hundred percent of the official travel party's actual air fare and/or ground transportation expenses each year for men's basketball events conducted during the 2001-02 academic year and thereafter.
  - (3) Seventy-five percent of the official travel party's actual air fare and/or ground transportation expenses each year for women's basketball events conducted during the 2002-03 academic year through the 2005-06 academic year.
  - (4) One hundred percent of the official travel party's actual air fare and/or ground transportation expenses each year for women's basketball events conducted during the 2006-07 academic year and thereafter.
- (c) **Lodging.** Events shall provide lodging for the official travel party for each day of the event, plus one travel day. Rooming assignments shall not exceed three persons per room.
- (d) **Per Diem.** Events shall provide \$30 per day (for each date of competition and one day of travel) for each member of the official travel party. (*Revised:* 10/9/96)
  - **30.10.1.8.1 Waiver.** The sponsor of an event located in Alaska or Hawaii or the sponsor of an event in the contiguous 48 states that wishes to invite an institution located in Alaska or Hawaii to the event may request a waiver of the travel-reimbursement requirement set forth in Bylaw 30.10.1.8-(b) from the Championships/Competition Cabinet Subcommittee on Special Events. (Adopted: 1/13/98 effective 8/1/98, for any exempted contest conducted during the 1998-99 academic year and thereafter)
  - **30.10.1.8.2 Exception.** There shall be an exception to the reimbursement of expense requirements listed above for any nontournament, regular-season event in Alaska and/or Hawaii and in women's basketball for any regular-season tournament either against or under the sponsorship of an active NCAA Division I institution located in Alaska and/or Hawaii. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96)
- **30.10.1.9 Guarantee.** Sponsors of events that provide any institution a guarantee to participate in the event must demonstrate that these monies are at least equal to the actual expenses required in Bylaw 30.10.1.8. (Revised: 10/9/96)
- **30.10.1.10 Insurance.** Sponsors of events shall carry general liability insurance and maintain primary comprehensive general coverage listing the NCAA as an additional insured, with combined single limits of at least \$1 million per occurrence for bodily injury and property damage. (Revised: 10/9/96)
- **30.10.1.11 Missed Class Time.** An event shall be scheduled to minimize lost class time for participating student-athletes. (*Adopted:* 1/9/96 effective 8/1/96)
- **30.10.1.12 Officials.** Officials for the event shall be assigned from an agency that regularly assigns officials for Division I institutions, and the officials shall be experienced in officiating Division I basketball contests. (Adopted: 1/9/96 effective 8/1/96)
- **30.10.1.13 Experimental Rules.** An event may be conducted under experimental rules, provided such a request is made by the NCAA Men's or Women's Basketball Rules Committees and approved by the Championships Cabinet. (*Adopted: 1/15/97*)
- **30.10.1.14 Trademark.** The trademark of a contest, if any, must be held by an NCAA institution. (Adopted: 4/22/98 effective 8/1/98 for those events certified during the 1998-99 academic year and thereafter)

**30.10.1.15** Prepayment of Travel Expenses. Sponsors of events may not require participating institutions to make deposits or payments prior to the dates of the competition in excess of \$2,500 and shall not charge a late fee for a deposit not timely received. (Adopted: 10/27/98)

The following Bylaw 30.10.2 was deleted for Division I-A at the January 12, 1999, NCAA Division I Board of Directors meeting, effective January 1, 2003:

- 30.10.2 Football Exemptions—Division I-A. The following criteria must be satisfied for an I-A exempted preseason football game to receive certification from the Championships/Competition Cabinet. (Adopted: 1/9/96 effective 8/1/96)
- **30.10.2.1** Application Deadline. The application for an exempted event must be received by the I-A Championships/Competition Cabinet Football Certification Subcommittee not later than October 15 preceding the academic year in which the event will be conducted. (Revised: 10/9/96, Revised: 1/13/98)
- **30.10.2.2 Sponsoring Agency.** The sponsoring organization shall be either an active or affiliated I-A member of the Association. For exempted events in Alaska, Hawaii or Puerto Rico, the sponsoring agency must be an active or provisional NCAA member in that state or territory. (Adopted: 4/22/98 effective 8/1/98 for those events certified during the 1998-99 academic year and thereafter, Revised: 1/12/99)
- 30.10.2.3 Financial Report. An annual financial report must be filed by the sponsoring organiza-I-A tion on a form and by a date approved by the Management Council. Sponsors shall be required to submit a financial report of the previous event as part of its application for future exemptions. The Association shall conduct an audit of each certified contest once every five years. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96, 1/13/98)
- 30.10.2.4 Appearance before Championships/Competition Cabinet Football Certification I-A Subcommittee. The sponsoring agency of an exempted preseason football game, at its own expense, may be required to appear before the Championships/Competition Cabinet Football Certification Subcommittee. (Adopted: 1/13/98)
- 30.10.2.5 Four-Year Period. A Division I institution may participate in such an exempted presea-I-A son football contest not more than once during any four-year period. (Adopted: 1/9/96 effective 8/1/96)
- 30.10.2.6 Missed Class Time. An exempted event shall be scheduled to minimize lost class time I-A for participating student-athletes. If classes are in session at the time of participation, an institution's team shall not arrive at the contest site more than 48 hours prior to the event. (Adopted: 1/9/96 effective 8/1/96)
  - **30.10.2.7 Reimbursement of Expenses.** Each exempted event shall, at a minimum, reimburse (within 60 days of the event) each Division I participating institution as follows: (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96)
    - **30.10.2.7.1 Distribution of Income.** Each exempted event shall, at a minimum: (Adopted: 1/9/96 effective 8/1/96, Revised: 2/5/97)
    - (a) Distribute to participating institutions the greater of \$600,000 each or 60 percent of the gross receipts;
    - Contribute a minimum of 25 percent of the gross receipts to a charity or educational association that is approved by the Championships/Competition Cabinet Football Certification Subcommittee; and
    - (c) Secure a \$1.5 million letter of credit.
    - **30.10.2.7.2** Host Participating Institution. Each institution participating in an exempted event shall be paid the same amount. However, a host participating institution shall be awarded 10 percent of the gross income from the event as an honorarium, but may not receive additional income from parking, concessions, programs or other items sold in conjunction with the event. Such income shall be either included in the gross income or deducted as an offset from the distribution due the host. A host institution that does not participate in the event shall not share event receipts. (Adopted: 1/9/96 effective 8/1/96)
- **30.10.2.8 Entry Fee/Minimum Ticket Purchase.** Sponsors of exempted events are prohibited from I-A charging an entry fee or requiring teams to purchase a minimum number of tickets. (Revised: 10/9/96)
- 30.10.2.9 Guarantee. Sponsors of exempted events that provide any institution a guarantee to I-A participate in the event must demonstrate that these monies are at least equal to the gross-income requirement set forth in Bylaw 30.10.2.7.1. (Revised: 10/9/96)

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**30.10.2.10 Insurance.** Sponsors of exempted events shall carry general liability insurance and maintain primary comprehensive general coverage listing the NCAA as an additional insured, with combined single limits of at least \$1 million per occurrence for bodily injury and property damage. (Revised: 10/9/96)

**30.10.2.11 Officials.** Officials for the exempted event shall be assigned from an agency that regularly assigns officials for Division I-A institutions, and the officials shall be experienced in officiating Division I-A football contests. (Adopted: 1/9/96 effective 8/1/96)

The following Bylaw 30.10.3 was adopted for Division I-AA at the August 5, 1999, NCAA Division I Board of Directors meeting, to be deleted effective January 1, 2003:

**30.10.3 Football Exemptions—Division I-AA.** The following criteria must be satisfied for an exempted preseason football contest to receive certification from the Division I-AA Governance Committee. (*Adopted:* 1/11/00)

**30.10.3.1 Application Deadline.** The application for an exempted event must be received by the Division I-AA Governance Committee not later than October 15 preceding the academic year in which the contest will be conducted. (*Adopted: 1/11/00*)

**30.10.3.2 Sponsoring Agency.** The sponsoring organization shall be either an active or affiliated member of the Association. For exempted contests in Alaska, Hawaii or Puerto Rico, the sponsoring agency must be an active or provisional NCAA member in that state or territory. (*Adopted:* 1/11/00)

**30.10.3.3 Financial Report.** An annual financial report must be filed by the sponsoring organization on a form and by a date approved by the Management Council. Sponsors shall be required to submit a financial report of the previous contest as part of its application for future exemptions. The Association shall conduct an audit of each certified contest once every five years. (Adopted: 1/11/00)

**30.10.3.4** Appearance before Division I-AA Governance Committee. The sponsoring agency of an exempted preseason football contest, at its own expense, may be required to appear before the Division I-AA Governance Committee. (*Adopted:* 1/11/00)

**30.10.3.5 Four-Year Period.** A Division I-AA institution may participate in such an exempted preseason football contest not more than once during any four-year period. (Adopted: 1/11/00)

**30.10.3.6 Missed Class Time.** An exempted contest shall be scheduled to minimize lost class time for participating student-athletes. If classes are in session at the time of participation, an institution's team shall not arrive at the contest site more than 48 hours prior to the contest. (Adopted: 1/11/00)

**30.10.3.7 Reimbursement of Expenses—Distribution of Income.** All income shall be distributed within 60 days of the contest, with the exception of the transportation/per diem expenses, which shall be paid to each institution prior to the contest. Each exempted contest shall, at a minimum: (Adopted: 1/11/00)

- (a) Distribute to participating institutions the greater of the following:
  - (1) A guarantee of \$175,000 and transportation expenses and an \$80 per diem for each individual in the institution's official travel party (not to exceed 115 persons); or
  - (2) 60 percent of the gross receipts of the contest.
- (b) Contribute a minimum of 25 percent of the gross receipts to a charity or educational association that is approved by the Division I-AA Governance Committee; and
- (c) Secure a \$1 million letter of credit.

**30.10.3.7.1 Host Participating Institution.** Each institution participating in an exempted contest shall be paid the same amount. However, a host participating institution shall be awarded 10 percent of the gross income from the contest as an honorarium, but may not receive additional income from parking, concessions, programs or other items sold in conjunction with the contest. Such income shall be either included in the gross income or deducted as an offset from the distribution due the host. A host institution that does not participate in the contest shall not share event receipts. (Adopted: 1/11/00)

**30.10.3.8 Entry Fee/Minimum Ticket Purchase.** Sponsors of exempted contests are prohibited from charging an entry fee or requiring teams to purchase a minimum number of tickets. *(Adopted: 1/11/00)* 

**30.10.3.9 Guarantee.** Sponsors of exempted contests that provide any institution a guarantee to

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participate in the contest must demonstrate that these monies are at least equal to the gross-income requirement set forth in Bylaw 30.10.2.7.1. (Adopted: 1/11/00)

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**30.10.3.10 Insurance.** Sponsors of exempted contests shall carry general liability insurance and maintain primary comprehensive general coverage listing the NCAA as an additional insured, with combined single limits of at least \$1 million per occurrence for bodily injury and property damage. (Adopted: 1/11/00)

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- **30.10.3.11 Officials.** Officials for the exempted contest shall be assigned from an agency that regularly assigns officials for Division I-AA institutions, and the officials shall be experienced in officiating Division I-AA football contests. (Adopted: 1/11/00)
- **30.10.4 Sports Other Than Basketball and Football.** The following criteria must be satisfied for an exempted contest to receive certification from the Championships/Competition Cabinet in sports other than basketball and football. (*Adopted: 1/9/96 effective 8/1/96*)
  - **30.10.4.1 Application Deadline.** The application for an exempted event must be received by the Championships/Competition Cabinet Subcommittee on Special Events not later than October 15 (for fall events) or April 15 (for winter and spring events) preceding the academic year in which the event will be conducted. (*Revised:* 10/9/96, 1/13/98)
  - **30.10.4.2 Competitive and Cultural Experience.** Each exempted event must provide participating student-athletes a unique competitive and/or cultural experience. The committee will review an annual report submitted by the event to determine whether the event meets this criterion. (Adopted: 1/9/96 effective 8/1/96)
  - **30.10.4.3 Sponsoring Agency.** The sponsoring organization shall be either an active or affiliated member or a member conference of the Association. An institution that is a sponsoring organization must conduct the applicable sport on the intercollegiate level. For exempted events in Alaska, Hawaii or Puerto Rico, the sponsoring agency must be an active or provisional NCAA member located in that state or territory. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/15/97, 8/11/98, 1/12/99)
  - **30.10.4.4 Team Selection.** The NCAA institution sponsoring the exempted contest must be responsible for team selection, which must be open to all Division I members. (Adopted: 4/22/98 effective 8/1/98 for those events certified during the 1998-99 academic year and thereafter)
  - **30.10.4.5 Management of Event.** Each exempted event must demonstrate that its administration is experienced in managing such events, that competition is conducted in a manner compatible with Division I standards and that it is financially sound. If the Championships/Competition Cabinet has reason to doubt an event's ability to pay the expenses of the competing institutions, it may require event management to post a letter of credit in an amount sufficient to cover all such expenses. An NCAA member institution participating in the administration of the exempted event must participate in establishing and approving its budget. Sponsors of exempted events are prohibited from charging an entry fee or requiring teams to purchase a minimum number of tickets. A sponsoring organization, at its own expense, may be required to appear before the Championships/Competition Cabinet Subcommittee on Special Events. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96, 1/13/98)
    - **30.10.4.5.1 Participation by Division I Institution.** Each exempted event must have at least one Division I institution directly participating in the management of the event for contests played within the 48 contiguous states. For exempted events in Alaska, Hawaii, Puerto Rico and the Virgin Islands, at least one NCAA institution in any division shall serve in this capacity. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/27/98)
  - **30.10.4.6 Financial Report.** An annual financial report must be filed by the sponsoring organization on a form and by a date approved by the Management Council. Sponsors shall be required to submit a financial report of the previous event as part of its application for future exemptions. The Association shall conduct an audit of each certified contest once every five years. (Adopted: 1/9/96 effective 8/1/96, Revised: 10/9/96, 1/13/98)
    - **30.10.4.6.1 Sponsorship Fee.** The sponsoring organization of an exempted event shall be required to pay a fee of \$100 upon receipt of certification of the event. (Adopted: 10/27/98)
  - **30.10.4.7 Reimbursement of Expenses.** Each exempted event shall, at a minimum, reimburse the expenses (within 60 days of the event) of each participating institution as follows: (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97)
  - (a) **Travel Party.** The size of the official travel party, for which reimbursement shall be made, shall be:

Baseball 27

Fencing 12

Field Hockey	23
Golf	6
Gymnastics, Men	11
Gymnastics, Women	14
Ice Hockey	26
Lacrosse	26
Rifle	10
Skiing	10
Soccer	23
Softball	23
Swimming	20
Tennis	8
Track and Field	30
Volleyball	18
Water polo	19
Wrestling	12

- (b) **Travel.** Exempted events shall provide a minimum of 50 percent of the official travel party's actual air fare (based on coach fare at the 21-day rate) and/or ground transportation expenses each year. (Revised: 10/9/96, 1/13/98 effective 8/1/98, for any exempted contest conducted during the 1998-99 academic year and thereafter)
- (c) **Lodging.** Exempted events shall provide lodging for the official travel party for each day of the event, plus one travel day. Rooming assignments shall not exceed three persons per room.
- (d) **Per Diem.** Exempted events shall provide \$30 per day (for each date of competition and one day of travel) for each member of the official travel party. (*Revised:* 10/9/96)
  - **30.10.4.7.1 Waiver.** The sponsor of an event located in Alaska or Hawaii or the sponsor of an event in the contiguous 48 states that wishes to invite an institution located in Alaska or Hawaii to the event may request a waiver of the travel-reimbursement requirement set forth in Bylaw 30.10.4.7-(b) from the Championships/Competition Cabinet Subcommittee on Special Events. (Adopted: 1/13/98 effective 8/1/98, for any exempted contest conducted during the 1998-99 academic year and thereafter)
  - **30.10.4.7.2 Exception.** There shall be an exception to the reimbursement of expense requirements listed above for any nontournament, regular-season event in Alaska and/or Hawaii and for any regular-season tournament or multiple-team contests (e.g., invitational meets) either against or under the sponsorship of an active NCAA Division I institution located in Alaska and/or Hawaii. (*Adopted:* 1/9/96 effective 8/1/96, Revised: 10/9/96)
- **30.10.4.8 Guarantee.** Sponsors of exempted events that provide any institution a guarantee to participate in the event must demonstrate that these monies are at least equal to the actual expenses required in Bylaw 30.10.4.7. (*Revised:* 10/9/96)
- **30.10.4.9 Insurance.** Sponsors of exempted events shall carry general liability insurance and maintain primary comprehensive general coverage listing the NCAA as an additional insured, with combined single limits of at least \$1 million per occurrence for bodily injury and property damage. (Revised: 10/9/96)
- **30.10.4.10 Missed Class Time.** An exempted event should be scheduled to minimize lost class time for the participating student-athletes. (Adopted: 1/9/96 effective 8/1/96)
- **30.10.4.11 Exemption Limitations.** An institution may participate in not more than one exempted contest in the same sport in the same year. (*Adopted: 4/22/98 effective 8/1/98*)
- **30.10.4.12 Trademark.** The trademark of an exempted contest, if any, must be held by an NCAA institution. (Adopted: 4/22/98 effective 8/1/98 for those events certified during the 1998-99 academic year and thereafter)
- **30.10.4.13 Officials.** Officials for exempted events shall be assigned from an agency that regularly assigns officials for Division I institutions, and the official shall be experienced in officiating Division I contests in the applicable sport. (*Adopted:8/11/98*)

**30.10.4.14 Prepayment of Travel Expenses.** Sponsors of exempted events may not require participating institutions to make deposits or payments prior to the dates of the competition in excess of \$2,500 and shall not charge a late fee for a deposit not timely received. (*Adopted:* 10/27/98)

## **30.11 RECRUITING CALENDARS**

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The following Bylaw 30.11.1 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:			
<b>30.11.1 Basketball, Men's.</b> The following contact and evaluation periods shall apply to men's basketball: ( <i>Revised:</i> 1/11/89, 1/10/91, 1/11/94)			
(a)	August 1 through Wednesday after Labor Day: (Revised: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00)	Quiet Period	
(b)	Thirty days beginning the Thursday after Labor Day; institutional staff members shall make contact with prospects for no more than 18 days during the 30-day period: (Revised: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00)	Contact Period	
(c)	Thirty-one days following the Thursday after Labor Day through the final date for the fall signing of the National Letter of Intent: (Adopted: 1/11/94 effective 8/1/94, Revised: 10/12/94 effective 8/1/95, Revised: 4/27/00 effective 8/1/00)	Quiet Period	
	(1) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent: (Revised:10/9/96)	Dead Period	
(d)	The day following the final date for the fall signing of the National Letter of Intent through March 15 [except for (1) below]— Fifty evaluation days per Bylaw 13.02.7 selected at the discretion of the member institution and designated in writing in the office of the director of athletics; institutional staff members shall not visit a prospect's educational institution on more than one day per week during this period [except for (1) below]: (Adopted: 1/11/94 effective 8/1/94, Revised: 10/12/94 effective 8/1/95, Revised: 1/9/96 effective 8/1/96, Revised: 8/12/97, Revised: 4/27/00 effective 8/1/00)	Evaluation Period	
	(1) Those days beginning with the day following the final date for the fall signing of the National Letter of Intent through March 15 not designated in (d) above for evaluation purposes: (Adopted: 1/11/94 effective 8/1/94, Revised: 10/12/94, effective 8/1/95)	Quiet Period	
(e)	March 16 through March 22: (Revised: 1/11/94 effective 8/1/94)	Contact Period	
(f)	March 23 through the Thursday following the NCAA Division I Men's Basketball Championship game [except for (1) below]: (Revised: 4/27/00 effective 8/1/00)	Quiet Period	
	(1)Thursday prior to the NCAA Division I Men's Basketball Championship game to Tuesday noon after the game:	Dead Period	
(g)	The Friday following the NCAA Division I Men's Basketball Championship game through nine days following the initial date for the spring signing of the National Letter of Intent [except for (1) below]: (Revised: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00)	Contact Period	
	(1) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent: (Revised: 1/10/91 effective 8/1/91, Revised: 8/2/91, 8/14/96)	Dead Period	
(h)	Ten days following the initial date for the spring signing of the National Letter of Intent through May 31: (Revised: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00)	Quiet Period	
(i)	June 1 through July 7: (Revised: 1/11/94 effective 8/1/94)	Quiet Period	
(j)	July 8 through July 14: (Revised: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00)	Evaluation Period	
(k)	July 15 through July 24: (Adopted: 4/27/00 effective 8/1/00)	Dead Period	
(1)	July 25 through July 31: (Adopted: 4/27/00 effective 8/1/00)	Evaluation Period	

Quiet Period

**Evaluation Period** 

**30.11.1 Basketball, Men's.** The following contact and evaluation periods shall apply to men's basketball: (Revised: 1/11/89, 1/10/91, 1/11/94, 4/27/00 effective 8/1/00, Revised: 4/27/00 effective

(a) September 9 through October 14: Contact Period

(b) October 15 through November 14: Quiet Period **Evaluation Period** 

(c) November 15 through March 15 [except for (1) below]— Seventy evaluation days per 13.02.7 selected at the discretion of the member institution and designated in writing in the office of the director of athletics; institutional staff members shall not visit a prospect's educational institution on more than one day per week during this period [except for (1) below]:

(1) Those days beginning with November 15 through March 15 Quiet Period not designated in (c) above for evaluation purposes:

(d) March 16 through March 22: Contact Period

(e) March 23 through 8 a.m. on the first Wednesday following the NCAA Division I Men's Basketball Championship game [except for (1) below]:

(1) Thursday prior to the NCAA Division I Men's Dead Period Basketball Championship game to Tuesday noon after the game:

(f) The first Wednesday (8 a.m.) following the NCAA Division I Men's Contact Period Basketball Championship game through seven days following the initial date for the spring signing of the National Letter of Intent [except for (1) below]:

(1) Monday through Thursday of the week that includes Dead Period the initial date for the spring signing of the National Letter of Intent:

(g) Eight days following the initial date for the spring Quiet Period signing of the National Letter of Intent through September 8:

30.11.2 Basketball, Women's. The following contact and evaluation periods shall apply to women's basketball: (Revised: 1/11/89, 1/10/90, 1/10/91, effective 8/1/91, Revised: 1/11/94 effective 8/1/94)

(a) August 1 through September 8: (Revised: 7/23/97) Quiet Period (b) September 9 through September 29: (Revised: 8/14/96, 7/23/97) Contact Period

(c) September 30 through October 7: (Revised: 8/14/96) Quiet Period

(d) October 8 through the last day in February [except for (1) and (2) below]—Forty evaluation days per Bylaw 13.02.7 selected at the discretion of the member institution and designated in writing in the office of the director of athletics; institutional staff members shall not visit a prospect's educational institution on more than one day per week during this period [except for (1) below]: (Adopted: 1/11/94 effective 8/1/94, Revised: 1/9/96 effective 8/1/96, Revised: 8/12/97)

(1) Monday through Thursday of the week that includes the Dead Period initial date for the fall signing of the National Letter

(2) Those days during October 8 through the last day in Quiet Period February not designated in (d) above for evaluation purposes: (Adopted: 1/11/94 effective 8/1/94)

(e) March 1 through midnight Tuesday prior to the NCAA Division I Women's Basketball Championship game [except for (1) below]—Sixteen person days (a coach who makes an off-campus contact with a prospect on a given day shall use a person day, two coaches making off-campus contacts on the same day shall use two person days) selected at the discretion of the institution and designated in writing in the office of the director of athletics: (Adopted: 1/11/94 effective 8/1/94, Revised: 7/23/97)

of Intent: (Revised: 8/2/91, 8/14/96)

(1) Those days during March 1 through the Tuesday prior to Quiet Period the NCAA Division I Women's Basketball Championship

Contact Period

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game not designated in (e) above for contact purposes: (Adopted: 1/11/94 effective 8/1/94)

(f) Wednesday prior to the NCAA Division I Women's Basketball Championship game to Monday noon after the game: Dead Period

(g) Monday noon after the completion of the NCAA Division I Women's Basketball Championship game through Sunday at the beginning of the week that includes the initial date for the spring signing of the National Letter of Intent: (Adopted: 1/11/94 effective 8/1/94, Revised: 8/14/96)

**Contact Period** 

(h) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent: (Adopted: 1/11/94 effective 8/1/94, Revised: 8/14/96)

Dead Period

(i) Friday of the week that includes the initial date for the spring signing of the National Letter of Intent through July 7: (Revised: 1/11/94 effective 8/1/94, Revised: 8/14/96)

Quiet Period

(j) July 8 through July 31:

**Evaluation Period** 

(k) During the National Junior College Athletic Association championship competition: (Revised: 10/28/99 effective 4/01/00)

**Evaluation Period** 

1) During official tryouts for the USA Basketball Olympic Festival:

**Evaluation Period** 

- (m) The following state-specific evaluation times are permissible, provided evaluations outside of the July period are counted toward the limitation set forth in (d): (Adopted: 1/11/94 effective 8/1/94)
  - (1) In the state of Hawaii, evaluations shall be permissible only between July 8 and July 31 and between March 1 and May 31. (Revised: 10/9/96)
  - (2) In those states that play the high-school basketball season in the spring, except for Hawaii, evaluations shall be permissible only between July 8 and July 31 and between April 8 and April 28.

**30.11.3 Football.** The following contact and evaluation periods shall apply to football: (Revised: 1/10/90 effective 8/1/90, Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94)

(a) June 1 through November 30 [except for (1) below]: (Revised: 1/11/94 effective 8/1/94)

**Quiet Period** 

(1) Six days during the months of September, October and through the last Saturday in November selected at the discretion of the institution (an authorized off-campus recruiter may visit a particular educational institution only once during this evaluation period):

(Revised: 1/11/94 effective 8/1/94, Revised: 4/22/98 effective 8/1/98, Revised: 4/27/00 effective 8/1/00)

Evaluation Period

(b) The last Sunday following the last Saturday in November through the Saturday prior to the initial date for signing the National Letter of Intent except for (1) through (6) below—Six in-person off-campus contacts per prospective student-athlete shall be permitted during this time period with not more than one permitted in any one calendar week (Sunday through Saturday) or partial calendar week: (Revised: 1/11/94 effective 8/1/94, 8/12/95, 4/27/00 effective 8/1/00)

**Contact Period** 

(1) The Sunday after the third Saturday in December: (Adopted: 4/27/00 effective 8/1/00)

Quiet Period

(2) The third Monday following the third Saturday in December through January 1: (Revised: 1/11/94 effective 8/1/94)

Dead Period

(3) Weekdays during the first week of January when the first Monday in January is not a contact period: (Adopted: 4/27/00 effective 8/1/00)

Dead Period

(4) Friday, Saturday and Sunday when the first Friday in January is preceded by a dead day: (Adopted: 4/27/00 effective 8/1/00)

Quiet Period

(5) Sunday during the week of the annual convention of the

Quiet Period

	American Football Coaches Association and Friday and Saturday after the completion of the convention: (Adopted: 1/11/94effective 8/1/94, Revised: 10/12/94, 4/27/00 effective 8/1/00)	
	(6) Monday through Thursday during the week of the annual convention of the American Football Coaches Association: (Revised: 10/12/94, 4/27/00 effective 8/1/00)	Dead Period
(c)	The Sunday prior to the dead period surrounding the initial date for signing the applicable National Letter of Intent: (Revised: 8/12/95)	Quiet Period
(d)	Monday through Thursday of the week that includes the initial date for the signing of the applicable National Letter of Intent: (Revised: 8/12/95)	Dead Period
(e)	Friday following the initial date for the signing of the National Letter of Intent through April 14: (Revised: 8/12/95 4/27/00 effective 8/1/00)	Quiet Period
(f)	Four weeks (excluding Memorial Day and Sundays) during May 1 through April 15 selected at the discretion of the member institution and designated in writing in the office of the director of athletics [as provided in (1) below]: (Revised: 1/11/94 effective 8/1/94, Revised 4/27/00 effective 8/1/00)	Evaluation Period
	(1) An authorized off-campus recruiter may use one evaluation to assess the prospect's athletics ability and one evaluation to assess the prospect's academic qualifications during this evaluation period. (Adopted: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00)	

(g) Those days from May not designated in (f) above for evaluation opportunities.

Quiet Period

**30.11.4 Softball.** The following contact and evaluation periods shall apply to softball: (Adopted: 1/12/99 effective 8/1/99)

(a)	August 1 through the day prior to Thanksgiving day [except for (1) below]:	Contact/Evaluation Period
	(1) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent:	Dead Period
(b)	Thanksgiving day through January 1 [except for (1) below]:	Quiet Period
	(1) 12:01 a.m. on the day of registration for the national convention of the National Fastpitch Coaches Association to 12:01 a.m. on the day after adjournment of the convention:	Dead Period
(c)	<ul> <li>January 2 through July 31 [except for (1), (2) and (3) below]:</li> <li>(1) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent:</li> </ul>	Contact/Evaluation Period Dead Period
	(2) Tuesday prior to the NCAA Division I Women's Softball Championship game to noon on the day after the game:	Dead Period
	(3) The period from noon on the day after the NCAA Division I Women's Softball Championship game through 8 a.m. on the following Monday.	Quiet Period

**30.11.5 Dead Periods for Other Sports.** There are no specified contact and evaluation periods in sports other than football and basketball except for the following dead periods.

**30.11.5.1 National Letter of Intent Signing Date.** Monday through Thursday of the week that includes the fall or spring signing of the National Letter of Intent in the applicable sport. (*Revised: 1/10/91, 8/2/91, 8/14/96*)

**30.11.5.1.1 Exception—U.S. Diving National Championship.** When the dead period for recruiting occurs during the U.S. Diving National Championships, it shall be permissible to observe prospects participating in that event. (Adopted: 8/14/96)

**30.11.5.2 Field Hockey, Women.** Wednesday prior to the NCAA Division I Field Hockey Championship to noon on the day after the game. (Adopted:10/12/95)

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- **30.11.5.3 Gymnastics, Women.** Wednesday prior to the National Collegiate Women's Gymnastics Championships to noon on the Sunday after the championships.
- **30.11.5.4** Ice Hockey, Men. Wednesday prior to the NCAA Division I Men's Ice Hockey Championship to noon on the Sunday after the game.
- **30.11.5.5 Volleyball, Women.** Wednesday prior to the NCAA Division I Women's Volleyball Championship game to midnight December 31. (*Revised:* 1/11/97)
- **30.11.5.6** Lacrosse, Men. Friday prior to the NCAA Division I Men's Lacrosse Championship to noon on the Tuesday after the game. (*Adopted:* 10/28/97)
- **30.11.5.7** Lacrosse, Women. Friday prior to the NCAA Division I Women's Lacrosse Championship game through midnight on the day of the championship game. (*Adopted: 14/27/00*)

#### **30.12 STUDENT-ATHLETE STATEMENT**

- The following procedures shall be used in administering the student-athlete statement required in Bylaw 14.1.3: (Revised: 8/4/89)
  - (a) The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee prior to the student's participation in intercollegiate competition each academic year;
  - (b) The athletics director and head coach in the sport in which the student-athlete participates shall sign each statement as required by the prescribed form;
  - (c) The statement shall be kept on file by the athletics director and shall be available for examination upon request by an authorized representative of the NCAA; and
  - (d) The athletics director shall promptly notify in writing the NCAA's director of sports sciences regarding a student-athlete's disclosure of a previous positive drug test administered by any other athletics organization. (Adopted: 1/14/97 effective 8/1/97)

#### 30.13 SQUAD LIST

The following procedures shall be used in regard to the squad list required in Bylaw 15.5.9.2:

- (a) The forms shall be kept on file in the office of the athletics director, and such file shall be available for examination upon request by an authorized representative of another member institution; the NCAA, and, if the institution is a member of a conference, an authorized representative of the conference;
- (b) Any student-athlete who signs a drug-testing consent form must be included on the institution's squad-list form, and any student-athlete who is included on the squad-list form must have signed a drug-testing consent form pursuant to Bylaw 14.1.4; (Adopted: 1/10/92 effective 8/1/92)
- (c) A supplementary form may be filed to add names of persons not initially on the squad or to indicate a change of status; and
- (d) A student-athlete's name must be on the official institutional form to qualify to represent the institution in intercollegiate athletics.

#### **30.14 SUMMER BASEBALL**

These regulations refer only to leagues that receive funding from the NCAA through Major League Baseball. Other amateur summer baseball leagues are not required to meet the operational guidelines for summer baseball leagues listed in this section. In order to be approved by the Executive Committee, a summer baseball league receiving funding from the NCAA through Major League Baseball must meet the following requirements: (Adopted: 4/25/90)

- **30.14.1 Amateur Status.** Only teams composed solely of amateur players will be certified. For the purpose of these requirements, an amateur player is identified as one not under current professional contract in the sport of baseball or receiving compensation for playing and who otherwise meets all the conditions of an amateur student-athlete per Bylaw 12. (Adopted: 4/25/90)
- **30.14.2 Eligibility for Participation.** All leagues or teams receiving funds through these regulations must limit the playing personnel of its member teams to students of junior or senior colleges who have eligibility remaining in accordance with NCAA rules. (Adopted: 4/25/90)
- **30.14.3 Staff Limitations.** Only teams employing college coaches, high-school coaches or coaches of recognized amateur baseball teams will be certified. Further, the coach or manager of any such certified team shall not be employed by any professional baseball team or club, or receive any remuneration whatsoever from such an organization. (Adopted: 4/25/90)

- **30.14.3.1 Institution's Baseball Coach.** In a league that involves student-athletes from a coach's institution, a member institution's baseball coach may be involved only with league administrative duties (e.g., serve as a member of a league's board of directors), but shall not perform any on- or off-field coaching or supervisory responsibilities that involve contact with student-athletes from the coach's institution. (*Adopted:* 1/11/94)
- **30.14.4 Player Limitations and Written Permission.** A maximum of four student-athletes may participate on the same summer baseball league team from the same Division I institution. In order to participate in a summer baseball league, a student-athlete must receive written permission from the institution's director of athletics (or the director's official representative). If the student-athlete is transferring and has been officially accepted for enrollment in a second institution and if the previous institution certifies that the student has withdrawn and does not intend to return to that institution for the next term, this written permission is to be obtained from the member institution to which the student-athlete is transferring. (Adopted: 4/25/90)
- **30.14.5 Player Assignment.** There shall be no assignment of players to a league or team by professional baseball clubs or organizations; however, representatives from Major League Baseball may recommend college players to the president or commissioner of a certified league. (Adopted: 4/25/90, Revised: 4/15/91)

#### 30.14.6 Employment Opportunities

- **30.14.6.1 Work Requirement.** Any student-athlete who participates on an organized team in such a league may have the opportunity to be employed in a real and necessary job for a number of hours that is agreed upon by the employer and the student-athlete. The student-athlete shall indicate his intent to work on the NCAA letter-of-commitment form. Under these circumstances, a league is not required to obtain employment for the student-athlete; however, the league must make a legitimate effort to provide employment for the student-athlete. Compensation shall be paid to the student-athlete only for work actually performed and at a rate commensurate with the going rate in that locality for similar services. The student-athlete must be able to report to work within seven calendar days after the date of arrival with the team. The student-athlete may play baseball whenever feasible provided the practice and competition do not interfere with the opportunity to work. (Adopted: 4/25/90, Revised: 8/7/92)
- **30.14.6.2 Employment at Camp.** A student-athlete may be employed as a counselor in a summer camp or in a recreation department program. Compensation provided to the student-athlete shall be commensurate with the going rate for camp or clinic counselors of like teaching ability and experience and may not be paid on the basis of the value that the student-athlete may have for the employer because of the athletics reputation or fame the student-athlete has achieved. (*Adopted: 4/25/90*)
- **30.14.6.3 Employment Certification.** Not later than July 1, each team shall submit to the summer baseball subcommittee the following information regarding each undergraduate student-athlete who is a member of its squad and who is employed: (*Adopted: 4/25/90, Revised: 8/7/92*)
- (a) Name of player;
- (b) Name of college and year of graduating class;
- (c) Description of job;
- (d) Rate of pay;
- (e) Location of job;
- (f) Name of employer; and
- (g) Working hours.
- **30.14.6.4 Exception.** Leagues that choose their talent primarily from the community in which the league is located are not required to meet the employment-opportunities requirements listed in Bylaws 30.14.6.1 through 30.14.6.3. (*Adopted:* 1/6/92)
- **30.14.7 Expenses.** The student-athlete's team may pay the student-athlete's actual round-trip transportation costs by direct route not to exceed coach air fare (or comparable class) between the student-athlete's home or the community of the collegiate institution for which the student-athlete participates and the home community of the team. The team also may provide actual and necessary travel and room and board expenses related to practice and game competition. (*Adopted: 4/25/90*)
  - **30.14.7.1 Bonus Payments.** No cash allowance or bonus shall be given to any student-athlete in an NCAA certified summer baseball league. (*Adopted:* 4/25/90)
- **30.14.8 Awards Restrictions.** Awards are not permissible for recognition of a specialized performance in a particular contest or event. A student-athlete may receive a memento (e.g., certificate or plaque) valued at less than \$25, provided the total value of all mementos received by the student-athlete during a sea-

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son does not exceed \$200, including mementos received for recognition as the most valuable player on a team or in a league or for a team's performance in a league playoff. (Adopted: 4/25/90)

#### 30.14.9 Certification

- **30.14.9.1 Certification Statement.** The management of each team shall file a statement with the summer baseball subcommittee not later than April 1 certifying that the team will operate in accordance with the requirements listed in this bylaw. (*Adopted: 4/25/90, Revised: 4/20/94*)
- **30.14.9.2 Coach and Administration Certification.** Not later than April 1, the commissioner of each league shall submit to the Executive Committee the names of all individuals who serve in an administrative or coaching capacity within the league and indicate those coaches who are employed at NCAA member institutions. (*Adopted:* 4/25/90, *Revised:* 4/20/94)
- **30.14.9.3 Attendance at In-Person Certification Meeting.** A league representative shall be in attendance at the summer baseball subcommittee's in-person January meeting. (*Adopted: 4/15/97*)

#### 30.14.10 Playing and Schedule Requirements

- **30.14.10.1 Calendar Requirement.** All play, including league, nonleague and playoff competition, shall take place between June 1 (or the preceding Friday if June 1 falls on a Sunday or Monday) and August 15. (Adopted: 4/25/90, Revised: 1/6/92, 8/7/92)
- **30.14.10.2 All-Star Competition.** All-star competition between teams within the same league shall be permitted. All-star competition between teams in separate leagues shall be permitted, provided: (Adopted: 4/15/91, Revised: 8/10/94, 10/28/99)
- (a) Each league's schedule is not modified or extended to accommodate this competition;
- (b) Each league participates in only one all-star competition per summer;
- (c) The competition does not replace the league's all-star game;
- (d) The competition does not include nonsanctioned leagues; and
- (e) The involved leagues present all financial details of the competition to the NCAA and Major League Baseball representatives who have the authority to approve or deny the competition at the annual January in-person meeting.
  - **30.14.10.2.1 Foreign National Team Competition.** An all-star team from a league may participate against a foreign country's national team, provided the foreign national team's tour has been approved by USA Baseball, the league's schedules have not been modified or extended to allow such competition and the contest(s) takes place in the community of a sanctioned league team. (Revised: 10/28/99)
- **30.14.10.3 Submission of Information.** Each team shall submit a copy of its constitution, bylaws and comparable regulations to the summer baseball subcommittee not later than March 1. A game schedule from each team shall be submitted by May 1. (Adopted: 4/25/90, Revised: 4/20/94)
- **30.14.11 Letter of Commitment.** All teams shall use the NCAA sanctioned summer baseball league's letter of commitment. A league may utilize its own letter of commitment only if the student-athlete has signed the NCAA letter of commitment. (Adopted: 4/25/90, Revised: 4/15/91)
- **30.14.12 Playing Rules.** The NCAA baseball playing rules, with the exception of the "Optional Rules" for Speed-Up and Optional Substitution, are to be used in all certified leagues. (*Adopted: 4/25/90*)
- **30.14.13 Notification.** The membership of the NCAA shall be advised through The NCAA News of the names of the teams (and leagues) certified by the summer baseball subcommittee. (*Adopted: 4/25/90*)
- **30.14.14 League Probation.** The NCAA summer baseball subcommittee shall have the authority to place a league on probation at any time. Probation may include, but is not limited to, a verbal reprimand or a reduction in a league's financial allocation. (Adopted: 8/10/94)

#### 30.14.15 Contributions from Major League Baseball

- **30.14.15.1 Certification Requirement.** Certification shall be denied to any team or league receiving financial assistance directly from a professional baseball club, league or organization. (*Adopted:* 4/25/90)
- **30.14.15.2 Franchise Contribution.** Any contribution in money or like kind by Major League Baseball to an amateur summer baseball league or team in which undergraduate student-athletes participate shall be prohibited, except that the office of the Commissioner of Major League Baseball may contribute funds directly to the NCAA for the administration of these funds to designated summer baseball leagues. The distribution of the funds received, including the selection of the recipient and amount disbursed, shall be determined by the summer baseball subcommittee, in conformance with the NCAA

summer baseball requirements and subject to the approval of the Executive Committee. (Adopted: 4/25/90)

- **30.14.16 Commissioner Requirement.** If a summer baseball league receives a financial contribution of \$10,000 or more from funds administered by the Executive Committee, such a league shall be required to employ a commissioner acceptable to the league and the NCAA. (*Adopted: 4/25/90*)
- **30.14.17 Financial Arrangements.** Financial arrangements between the NCAA (and Major League Baseball) and summer baseball leagues are "at will" and may be terminated at the discretion of the NCAA summer baseball subcommittee, subject to the approval of the Executive Committee. (*Adopted: 8/10/94*)
- **30.14.18 Financial Report.** Each league that receives a contribution from the funds contributed by Major League Baseball and administered by the Executive Committee shall be required to file a financial report of its immediate past summer's operations to the NCAA in a mutually agreeable form. This report shall be submitted to the NCAA not later than September 15 and a copy shall be made available promptly to Major League Baseball. (*Adopted: 4/25/90, Revised: 4/20/94*)
- **30.14.19 Tobacco Ban.** No player, coach or game official may use any form of tobacco during practices or games in NCAA-approved summer baseball leagues. (*Adopted:* 8/6/93)

## **30.15 SUMMER BASKETBALL**

In order to be approved by the Management Council per Bylaw 14.7.5.2-(a), a summer basketball league must meet the following requirements:

- (a) All-Star Games Prohibition. No all-star game of any kind shall be permitted;
- (b) **Geographical Limitation.** League play shall be within 100 air miles of the city limits of the student-athlete's official residence at the end of the previous academic year or the institution the student-athlete last attended as a regular student. If a league does not exist within 100 air miles of the student-athlete's residence, a student-athlete may participate in the summer league located closest to the student's official residence; (Revised: 8/2/91)
- (c) Payment Prohibition. No member team shall make any payments for play or expenses directly or indirectly to any player;
- (d) **Postseason Competition.** Postseason play-offs or tournaments shall be permitted, provided they involve intraleague competition and are completed by August 31;
- (e) Player Limitations
  - (1) **Number from Any One College.** Each team shall include on its roster no more than two players with intercollegiate basketball eligibility remaining from any two-year or four-year college (other than a Divisions II and III member institution); (Revised: 1/10/91, 4/27/00)
  - (2) **Replacement of Student-Athlete Who Withdraws.** A student-athlete who is listed on the roster of a team and withdraws or is injured and will not continue to practice or compete may be replaced for the remainder of the season by another basketball student-athlete from the same institution. The institution is permitted only one replacement per team; and (*Adopted: 1/11/94*)
  - (3) **One Team, One League.** All Division I players must limit their competition to one team in one league; (*Revised: 10/18/89*)
- (f) **Revenue.** No admission shall be charged for any game, no fee shall be charged for parking to attend any game, no revenue shall be realized at any game from raffles or similar activities, and no revenue shall be realized from over-the-air or cable television or radio rights fees for any game; and
- (g) Staff Limitations
  - (1) Neither the league nor any member team shall have on its staff or as a participant any person associated in any employment capacity with any two-year or four-year college, except that institutional employees who are not athletics department staff members and do not have responsibilities directly related to the athletics department may serve as game officials. (Revised: 8/6/93)
  - (2) Neither the league nor any member team shall have on its staff or as a participant any individual who has been found guilty or pleaded guilty in a court of law for having been involved in sports bribery, point shaving or game fixing. (*Adopted: 4/20/99*)

The following Bylaw 30.16 was revised by the NCAA Division I Board of Directors at its April 27, 2000, meeting, effective August 1, 2001:

## **30.16 BASKETBALL EVENT CERTIFICATION**

In order for a basketball event (e.g., camp, league, tournament or festival) to be certified, a certification

## Basketball Certification/30.16—U.S. Service Academy Waivers/30.17.1.1

application form must be submitted each year to the NCAA national office three months prior to the start of the event. An event review form for each event also must be submitted to the NCAA national office not later than three months subsequent to the event sessions. The basketball event certification program is not applicable to noninstitutional organized events that are approved, sponsored or conducted by an applicable state, national or international governing body (e.g., intrastate and interstate high-school basketball games, state high-school all-star games, international competitions, U.S. Olympic Festival). The following criteria must be met by each event in order to be certified: (Adopted: 1/16/93, Revised: 1/11/94, 8/10/94, 1/10/95, 1/9/96 effective 8/1/96, Revised: 8/14/96, 2/19/97)

#### 30.16 BASKETBALL EVENT CERTIFICATION—WOMEN'S BASKETBALL

In the sport of women's basketball, in order for a basketball event (e.g., camp, league, tournament or festival) to be certified, a certification application form must be submitted each year to the NCAA national office three months prior to the start of the event. An event review form for each event also must be submitted to the NCAA national office not later than three months subsequent to the event sessions. The basketball event certification program is not applicable to noninstitutional organized events that are approved, sponsored or conducted by an applicable state, national or international governing body (e.g., intrastate and interstate high-school basketball games, state high-school all-star games, international competitions, U.S. Olympic Festival). The following criteria must be met by each event in order to be certified: (Adopted: 1/16/93, Revised: 1/11/94, 8/10/94, 1/10/95, 1/9/96 effective 8/1/96, Revised: 8/14/96, 2/19/97, Revised 4/27/00 effective 8/1/01)

- (a) Admissions fees charged to all event participants must be similar; (Revised: 1/11/94)
- (b) Noninstitutional events shall not employ any Division I coaches; (Revised: 1/11/94)
- (c) No air or ground transportation or other gifts or inducements shall be provided to the event participants or their coaches or relatives; (Revised: 1/11/94)
- (d)A prospective student-athlete who attends an NCAA certified event shall not retain any athletics equipment provided for his or her use at the event other than an event T-shirt. All other apparel (e.g., shoes or shorts) may be retained only if the prospect is charged the normal retail value of such items (as opposed to the event's cost in purchasing the items); (Revised: 1/11/94, 1/14/97)
- (e) Compensation provided to event personnel shall be commensurate with the going rate for event personnel of like teaching ability and event experience; and (Revised:1/11/94)
- (f) The event shall include an educational session that contains a review of initial-eligibility standards; and (Adopted: 10/12/95)
- (g)An event operator, staff member of a league or member of any team may not participate if the individual has been found guilty or pleaded guilty in a court of law for having been involved in sports bribery, point shaving or game fixing. (Adopted: 4/20/99)

## 30.17 U.S. SERVICE ACADEMY WAIVERS

**30.17.1 Preparatory School Assistance.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of Bylaw 13.16, provided such waivers are limited to procedures involving preparation for entrance into one of the U.S. service academies.

**30.17.1.1 Air Force, Military and Naval Academies Waiver.** The Management Council, by a two-thirds majority of its members present and voting, has approved an exception to the prohibition against offering, providing or arranging to pay the costs of a prospect's education or other expenses prior to the prospect's enrollment (see Bylaw 13.16.1) in regard to the preparatory education programs of the U.S. Air Force, Military and Naval Academies. This action provides that a nonprofit, outside organization representing the interests of one of the academies may collect contributions from alumni and other friends of the academy for the purpose of assisting candidates in obtaining a preparatory education, provided the following conditions are met:

- (a) The foundation's arrangements with the preparatory school(s) shall provide that the foundation's contributions shall be turned over to the preparatory school for the school's administration without interference or dictation from the foundation or the academy;
- (b) The preparatory school shall have sole jurisdiction in determining the recipient of financial assistance and the terms and conditions of the award:
- (c) The foundation may recommend candidates to the preparatory school; athletics staff members of the academy may not; and
- (d) Such a foundation shall provide preparatory education assistance for prospective candidates who

do not have specialized athletics abilities as well as those who do. The number of candidates with recognized ability assisted each year as the result of the foundation's program shall be in equal ratio to the number of student-athletes on the regular intercollegiate squads of the academy compared to the total enrollment of the academy.

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## 31.01 GENERAL PRINCIPLES

- **31.01.1 Names of Championships.** All NCAA championships (see Bylaw 18.3) have formal designations that identify their appropriate category and sport classification (see Bylaw 31.02.2). The name of each championship is the property of the Association (see Bylaw 31.6).
- **31.01.2 Postseason Championship Opportunities.** NCAA championships are intended to provide national-championship competition among the best eligible student-athletes and teams at the conclusion of the respective sport seasons, with consideration for regional structures that may be approved for certain championships.
- **31.01.3 Size of Championships Fields.** The size of all NCAA championships fields shall be established by the Championships/Competition Cabinet to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (See Bylaw 31.3.1 for the criteria to be considered in establishing the size of the championship field.)
- **31.01.4 Economy of Operation.** Every sports committee (see Bylaws 21.02.1 through 21.02.3) and games committee (Bylaw 31.1.2) shall exercise all possible economy in the conduct of an NCAA championship.

#### 31.02 DEFINITIONS AND APPLICATIONS

**31.02.1 Automatic Qualification.** Automatic qualification is the automatic entry into a championship field by a team or individual student-athletes representing a member conference recommended by the appropriate sports committee and approved by the Championships/Competition Cabinet (see Bylaw 31.3.4).

#### 31.02.2 Championships Classification and Terminology

- **31.02.2.1 Team Championships.** Team championships are those conducted for the team sports [see Bylaw 17.02.12.1 or 31.3.4.5-(a)]. The title of a team championship is always singular and is identified as a National Collegiate Championship or a division championship (e.g., National Collegiate Women's Rowing Championship, Division I Men's Basketball Championship).
- **31.02.2.2** Individual-Team Championships. Individual-team championships are those conducted for the individual sports [see Bylaw 17.02.12.2 or 31.3.4.5-(b) and (c)]. The title of an individual-team championship is always plural, reflecting the fact that both individual and team championships are determined (e.g., National Collegiate Men's Gymnastics Championships, Division I Women's Tennis Championships).
- **31.02.3 Misconduct.** Misconduct in an NCAA championship is any act of dishonesty, unsportsmanlike conduct, unprofessional behavior or breach of law occurring incident to, en route to, from or at the locale of the competition or practice that discredits the event or intercollegiate athletics. (*Revised:* 8/15/89, 8/13/92)

#### 31.1 ADMINISTRATION OF NCAA CHAMPIONSHIPS

31.1.1 Authority of Championships/Competition Cabinet and Sports Committees. As specified

## Administration of NCAA Championships/31.1.1—31.1.3.2.6

- in Bylaw 18.1, all NCAA championships shall be conducted in accordance with the general policies established by the Championships/Competition Cabinet and shall be under the control, direction and supervision of the appropriate sports committees, subject to the standards and conditions set forth in these executive regulations. Additional policies of an administrative nature are set forth in the respective championships handbooks and are to be followed in the administration of NCAA championships.
  - **31.1.1.1 Waivers.** The president shall be authorized to grant waivers of executive regulations governing the conduct of an NCAA championship when warranted by special and unusual circumstances.
  - **31.1.1.2 Appeal of Decisions of Sports Committees.** An appeal of a decision of a governing sports committee, or a subcommittee designated by it, concerning questions of individual or institutional eligibility or the conduct of a championship will not be considered by the Championships/Competition Cabinet at any time during the championship or 48 hours immediately preceding the beginning of the championship. During such period, the governing sports committee, or a subcommittee designated by it, shall be the final authority in acting upon appeals concerning the conduct of the championship, subject to the provisions of Bylaw 31.2 regarding institutional and individual eligibility questions.
- **31.1.2 Games Committee.** The governing sports committee shall appoint a games committee to supervise actively the conduct of each championship session. The games committee conducting any NCAA championship shall limit participation to eligible student-athletes and may limit the number of entries or reject any application for entry in any event in order that the competition shall best promote the welfare and interest of the sport involved.
- **31.1.3 Sites and Dates.** The governing sports committees recommend to the Championships/Competition Cabinet the sites and dates for all NCAA championships.
  - **31.1.3.1 Championships/Competition Cabinet Approval.** Championships/Competition Cabinet approval shall be obtained before final site commitments are made to the host institution or any other individual or organization associated with the management of an NCAA championship. However, in the sports of baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball and volleyball, the governing sports committees are authorized to select sites for preliminary rounds of competition without prior Championships/Competition Cabinet approval.
  - **31.1.3.2 Site Selection.** The governing sports committees shall evaluate prospective sites for NCAA championships in terms of the specific criteria approved by the Championships/Competition Cabinet. The division championships committees may assign specific priorities to these criteria for their respective championships. These shall be specified in the appropriate championships handbooks. A governing sports committee that desires to utilize additional criteria shall obtain Championships/Competition Cabinet approval before doing so.
    - **31.1.3.2.1 Criteria for Site Determination.** The following criteria are to be used in the evaluation of sites for all competition in NCAA championships:
    - (a) Quality and availability of the facility and other necessary accommodations;
    - (b) Revenue potential (e.g., a financial guarantee or guideline that ensures fiscal responsibility and is appropriate for the particular event, as recommended by the governing sports committee and approved by the Championships/Competition Cabinet);
    - (c) Attendance history and potential; and
    - (d) Geographical location.
    - **31.1.3.2.3 Nonpredetermined Site.** When a championship site is not predetermined, the governing sports committee may award the site to the higher-ranked team if the above criteria, and any priorities established by the respective division championships committee, are met.
    - 31.1.3.2.4 On-Campus versus Off-Campus Sites. Preference shall be given to conducting competition on the grounds or in the buildings of educational institutions unless there are compelling reasons, based on evaluation of the criteria in Bylaw 31.1.3.2.1 (which shall be applied to both oncampus and off-campus sites), to conduct the competition in an off-campus facility. In those instances in which it is advisable to conduct the competition at off-campus sites, the host institution(s) shall have complete control, supervision and management of the facility being used. (Revised: 12/3/90)
    - **31.1.3.2.5 Reconsideration of Host Institution.** The Championships/Competition Cabinet may reconsider the designation of a host institution for an NCAA championship if that institution's team or individual student-athletes are not eligible to compete in the championship.
    - **31.1.3.2.6 Nonrevenue Championship Site Assignment.** In team championships that do not generate revenue, pairings shall be based primarily on the teams' geographical proximity to one another, regardless of their region, in order to avoid air travel in preliminary rounds whenever possible. Teams' seeding relative to one another may be taken into consideration when establish-

## Administration of NCAA Championships/31.1.3.2.6—31.1.6.2

ing pairings if such a pairing does not result in air travel that otherwise could be avoided. (Revised: 8/4/94)

- **31.1.3.3 Concluding Dates.** NCAA championships competition shall be concluded no later than May 31 each year unless later dates are approved by the Championships/Competition Cabinet. (Note: The Division Baseball Championships, the Division I Men's Golf Championships, and the Division I Men's and Women's Outdoor Track and Field Championships have been granted waivers by the Championships/Competition Cabinet.)
- **31.1.4 Day of Competition.** NCAA championships competition may be scheduled or conducted on any day, provided the governing sports committee has received the prior approval of the Championships/Competition Cabinet and the following regulations are applied. (*Revised: 4/22/98, 8/11/98*)
  - **31.1.4.1 Institutional Policy.** If a participating institution has a written policy against competition on a particular day for religious reasons, it shall submit its written policy to the governing sports committee on or before September 1 of each academic year in order for it or one of its student-athletes to be excused from competing on that day. The championship schedule shall be adjusted to accommodate that institution, and such adjustment shall not require its team or an individual competitor to compete prior to the time originally scheduled. (*Adopted: 4/22/98, Revised: 8/11/98, 10/28/99*)
  - **31.1.4.2 Individual Championships.** In individual championships, an athlete must compete according to the institution's policy regarding Sunday competition (i.e., if the institution has no policy against Sunday competition, the athlete shall compete on Sunday if required by the schedule).
  - **31.1.4.3** Rescheduling, Emergency or Competitive Development. If an emergency develops that causes postponement of an NCAA championship, or if the competitive situation dictates a more expeditious completion of the meet or tournament, Sunday competition may be permitted, provided the competing institutions are agreeable and advance approval is obtained from the Championships/Competition Cabinet.
  - **31.1.4.4 Noon Start Time.** NCAA competition conducted on Sunday may not begin prior to noon, local time.
- **31.1.5 Squad Limits.** In any championship where a squad limit has been established by the governing sports committee or by the bylaws, the number of eligible student-athletes in competitive uniform at the start of the competition shall not exceed the prescribed number. An institution that is advised that it is in violation of this regulation and that does not promptly conform to it automatically shall forfeit the competition. There shall be no inordinate delay of the competition to allow the institution to conform to the rule.

#### 31.1.6 Playing Rules

- **31.1.6.1 Non-NCAA Rules, Men's Sports.** In those men's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except where those rules are superseded by modifications made by the appropriate governing sports committee (also see Bylaw 18.6):
- (a) Fencing—U.S. Fencing Association Rules;
- (b) Golf—U.S. Golf Association Rules;
- (c) Gymnastics—International Gymnastics Federation Rules;
- (d) Tennis—U.S. Tennis Association Rules; and
- (e) Volleyball—U.S. Volleyball Association Rules.
- **31.1.6.2** Non-NCAA Rules, Women's Sports. In those women's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except where those rules are superseded by modifications made by the appropriate governing sports committee (also see Bylaw 18.6):
- (a) Fencing—U.S. Fencing Association Rules;
- (b) Field Hockey—International Field Hockey Rules;
- (c) Golf—U.S. Golf Association Rules;
- (d) Gymnastics—International Gymnastics Federation Women's Code of Points with the U.S. Gymnastics Federation Class I, Competition I-B rules and the USGF modifications;
- (e) Lacrosse—U.S. Women's Lacrosse Association Rules;
- (f) Rowing—U.S. Rowing Rules; (Adopted: 1/14/97)
- (g) Tennis—U.S. Tennis Association Rules; and
- (h) Volleyball—National Association for Girls and Women in Sport Rules.

## Administration of NCAA Championships/31.1.7—31.1.10.4

- **31.1.7 Logo Restrictions—Bench Personne.** The logo restrictions on student-athletes' apparel set forth in Bylaw 12.5.4 shall apply during NCAA championships to all personnel (e.g., coaches, trainers, managers) who are on the team bench for practices and games or who participate in NCAA news conferences. (Adopted: 8/11/98 effective 8/1/99; those contracts between institutions and apparel manufacturers or distributors that include logo specifications may be honored, provided such contracts were in effect prior to August 11, 1998)
- **31.1.8 Logo Restrictions—Noncompeting Participants.** The logo restriction on student-athletes' apparel set forth in Bylaw 12.5.4 shall apply to commercial logos on uniforms worn by band members, cheerleaders, dance team members and the institution's mascot during NCAA championship events. (Adopted: 8/11/98 effective 8/1/99)
- **31.1.9 Medical Disqualification.** The student-athlete's team physician shall examine each athlete injured during NCAA competition and make a recommendation to the athlete, the coach and the chair of the governing sports committee, or the chair's designated representative, as to the advisability of continued participation or disqualification of the athlete. In the absence of said team physician, the NCAA tournament physician, as recommended by the host institution and approved by the governing sports committee, shall examine the injured athlete and make a recommendation as noted above. The chair of the governing sports committee, or the chair's designated representative, shall be responsible for enforcement of the medical recommendation if it involves disqualification.
- **31.1.10 Misconduct.** Each games committee shall hold a pretournament meeting with the coaches of participating institutions to review and explain the policies related to misconduct (as defined in Bylaw 31.02.3).
  - **31.1.10.1 Hearing Opportunity.** An act of misconduct may be found upon an administrative hearing granted to the student-athlete or the institutional representative involved by the governing sports committee or the games committee authorized to act for it.
  - **31.1.10.2 Misconduct Incident to Competition.** If the act of misconduct occurs during the competition, under normal circumstances the individual shall be allowed to complete the competition in which he or she is participating at the time of the incident. An administrative hearing shall be held at the conclusion of the day's competition, during a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted or at the conclusion of the championship. However, if the act of misconduct is so flagrant that it obviously violates the principles of fair play and sportsmanship, the games committee may immediately withdraw the student-athlete or institutional representative from the competition and conduct the hearing subsequent to this action. Other acts of misconduct may be dealt with at the governing sports committee's convenience. (*Revised:* 8/13/92)
  - **31.1.10.3 Penalty for Misconduct.** A governing sports committee (or the games committee authorized to act for it) may impose any one or a combination of the following penalties on an institution or any student-athlete or representative of an institution guilty of misconduct that occurs incident to, en route to, from or at the locale of the competition or practice:
  - (a) Public or private reprimand of the individual;
  - (b) Disqualification of the individual from further participation in the NCAA championship involved;
  - (c) Banishment of the individual from participation in one or more subsequent championships of the sport involved;
  - (d) Cancellation of payment to the institution of the Association's travel guarantee for the individuals involved:
  - (e) Withholding of all or a portion of the institution's share of revenue distribution;
  - (f) Banishment of the institution from participation in one or more subsequent championships in which its team in that sport otherwise would be eligible to participate;
  - (g) Disqualification of an institution for a period of time from serving as host institution for one or more NCAA championships; (Revised: 8/13/92)
  - (h) Cancellation of all or a portion of the honorarium for hosting an NCAA championship; and (Revised: 8/13/92)
  - (i) Financial or other penalties different from (a) through (h) above, but only if they have prior approval of the Championships/Competition Cabinet. (Revised: 8/13/92)
  - **31.1.10.4** Ban from Subsequent Championship. When a student-athlete or institutional representative is banned from participation in a subsequent championship, such penalty shall be applied to the next tournament(s) in which the individual's team is involved and the individual otherwise is eligible to participate. In the case of an individual event, the penalty shall be applied to the next meet(s) or tournament(s) for which the individual qualifies and otherwise is eligible to participate.

## Administration of NCAA Championships/31.1.10.5—31.1.14.1.1

**31.1.10.5 Review of Action.** Any action related to misconduct may be reviewed by the governing sports committee upon request of any institution participating in the championship.

#### 31.1.11 Failure to Adhere to Policies and Procedures

- **31.1.11.1 Financial Penalties.** A governing sports committee may assess a financial penalty against an institution for failure of any of its representatives to adhere to the policies and procedures governing championships administration, subject to review by and appeal to the Championships/Competition Cabinet. The institution may be assessed:
- (a) One hundred dollars per team or \$50 per individual, up to a \$300 maximum penalty, for failure to adhere to published procedures for the submission of regular-season results, availability questionnaires and/or entry forms;
- (b) One hundred dollars, cancellation of all or a portion of the Association's travel guarantee, or all or a portion of the institution's share of revenue distribution for failure to adhere to published managerial and administrative policies and procedures; (Revised: 5/7/90)
- (c) Cancellation of all or a portion of the honorarium for hosting an NCAA championship for failure to submit the financial report within 60 days following the competition, as specified in Bylaw 31.4.1.1; or (Revised: 5/7/90)
- (d) Financial or other penalties different from (a), (b) and (c) above, but only if they have prior approval of the Championships/Competition Cabinet. (Revised: 5/7/90)
- **31.1.11.2 Late-Entry Fines.** Institutions shall not be charged entry fees for teams or student-athletes competing in NCAA championships, but governing sports committees may establish late-entry fines, subject to the approval of the Championships/Competition Cabinet.
- **31.1.12 Awards.** The Association has created standard awards for individuals and teams that participate in NCAA championships. The number of such awards for each championship shall be determined by the governing sports committee, subject to the approval of the Championships/Competition Cabinet. These official NCAA awards shall be the only awards presented by the Association to teams and individuals for participation in NCAA championships competition and shall be the only awards presented at the site of an NCAA championship. "At the site" is intended to include the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition. Additional participant awards may not be purchased by either an institution or an individual.
  - **31.1.12.1 Other Permissible Awards.** The above provision places no restriction on the presentation of awards at banquets or meetings held in conjunction with the championship or at sites other than that of the championships competition itself.
    - **31.1.12.1.1 Commemorative Awards.** An institution whose athletes receive individual awards as a result of its team's performance in an NCAA championship may purchase any number of commemorative awards, all of which shall be ordered through the Association's national office.
- **31.1.13 Admission and Tickets.** Admission shall be charged at all NCAA championships unless a governing sports committee determines that charging admission is not feasible because of facility configuration or the expense relative to the event's attendance history. Ticket prices shall be determined by the respective games committees with the approval of the governing sports committee.
- **31.1.14 Restricted Advertising and Sponsorship Activities.** The following activities are restricted when they occur in conjunction with NCAA championships. Other restrictions are set forth in the championships handbooks.
  - **31.1.14.1 Advertising.** Advertising policies of the Association are designed to exclude those advertisements that do not appear to be in the best interests of higher education. The president shall have the authority to rule in cases where doubt exists concerning acceptable advertisers and advertising copy of game programs, broadcasts and telecasts of NCAA championships; however, the following expressly are prohibited: (Revised: 8/15/89)
  - (a) Alcoholic beverages (except as specified below);
  - (b) Cigarettes and other tobacco products;
  - (c) Professional sports organizations or personnel (except as specified in the championships handbooks) in games other than certified postseason football games; and
  - (d) Organizations promoting gambling.
    - **31.1.14.1.1 Malt Beverages, Beer and Wine Advertisements.** Advertising of malt beverages, beer and wine products that do not exceed six percent alcohol by volume may be used in game programs. Such advertisements, however, shall not compose more than 14 percent of the space in the program devoted to advertising or not more than 60 seconds per hour of any telecast or broadcast (either a single 60-second commercial or two 30-second commercials).

## Championships/31.1.14.1.2—Eligibility for Championships/31.2.1.7.1

- **31.1.14.1.2 Sponsorships.** A championships activity or promotion may not be sponsored by liquor, tobacco, beer or wine companies or by professional sports organizations or teams at any time.
- **31.1.15 Availability of Alcoholic Beverages.** Alcoholic beverages shall not be sold or otherwise made available for public consumption at any championship event sponsored by or administered by the Association, nor shall any such beverages be brought to the site during the championship (i.e., during the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition).

#### 31.2 ELIGIBILITY FOR CHAMPIONSHIPS

- **31.2.1 Institutional Eligibility.** To be eligible to enter teams or individual student-athletes in NCAA championships, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.12) and shall meet the institutional requirements set forth in Bylaw 18.4.2 applicable to the division in which the institution is a member or for which it is petitioning for eligibility in a sport. An institution that holds membership in a member conference may not enter teams or individuals in an NCAA championship unless they are eligible for such competition under the rules of that conference [see Bylaw 18.4.2.1-(a)].
  - **31.2.1.1 Commitment to Participate.** Eligible members in a sport who are not also members of the National Association of Intercollegiate Athletics will participate (if selected) in the NCAA championship or in no postseason competition in that sport. (*Revised: 8/12/91*)
  - **31.2.1.2 Deadline.** The institutional eligibility requirements for entry into NCAA championships (see Bylaw 18.4.2) must be met by the following dates [in addition to the deadline for the academic reporting form required for Division I set forth in Bylaw 18.4.2.2-(c)]:
  - (a) September 15 for fall championships;
  - (b) December 1 for winter championships; and
  - (c) March 1 for spring championships.
    - **31.2.1.2.1 Compliance with Deadline.** Any dues check or approved form required shall be received in the national office by the applicable date or must have been postmarked not later than seven days prior to the applicable date.
  - **31.2.1.3 Deadline Waivers.** Institutions that fail to meet a deadline for institutional eligibility in NCAA championships may appeal to the Management Council for a waiver. If the Management Council grants the appeal, then the institution may be restored to eligibility for NCAA championships.
    - **31.2.1.3.1 Penalty for Subsequent Waiver.** Such an institution that fails to meet a deadline a subsequent time may be granted a waiver only upon payment of a penalty of \$150 for the second offense and \$300 for each subsequent offense during the five-year period preceding the last offense. Upon approval of the Management Council of such waiver and receipt of the institution's check in the national office, the institution may compete in NCAA championships, provided it is otherwise eligible.
  - **31.2.1.4 Joint-Declaration Program.** The NCAA and the National Association of Intercollegiate Athletics together administer a joint-declaration program in those men's and women's sports in which there is a date conflict between the national championships of the two organizations. In such championships, each institution that holds membership in both organizations must declare by mid-September each year whether it will participate (if selected) in the NAIA championship, in the NCAA championship or in no postseason competition in that sport. An institution that fails to submit the joint-declaration form by the established deadline shall be ineligible to compete in both associations' championships in the particular sport(s) that year. An appeal process exists for such institutions.
  - **31.2.1.5 National Collegiate Championships.** In team sports in which the NCAA offers only one national championship, all member institutions in good standing are eligible to compete in accordance with the requirements of division membership (see Bylaw 20.9.1) and institutional eligibility (per Bylaw 31.2.1).
  - **31.2.1.6 Division Championships.** In those sports in which national-championship competition is offered in more than one division, only those member institutions that meet the membership requirements of the respective divisions or requirements applicable to institutions competing outside their membership divisions are eligible to compete in such division championships (see Bylaw 20).
  - **31.2.1.7 Eligibility Requirements.** Institutional eligibility for all championships shall be limited to member institutions that meet institutional eligibility requirements (Bylaw 31.2.1) and any additional requirements specified in the applicable championships handbooks.
    - **31.2.1.7.1 Eligibility of Participants.** An institution shall advise the NCAA championships

staff if a student-athlete who has participated in regular-season competition becomes ineligible before the date on which the governing sports committee selects championship participants, as indicated in the appropriate championships handbook. (*Revised:* 5/92)

- **31.2.1.7.1.1 Failure to Report Ineligible Player Prior to Selection.** If an institution fails to report an ineligible player prior to being selected to participate in the championship, the governing sports committee may declare the institution ineligible to participate in the tournament for one or two years.
- **31.2.1.7.1.2** Discovery of Ineligibility of Player Subsequent to Selection. When an institution fails to report an ineligible player and the omission is not discovered until after the institution is selected to participate in the championship, necessitating the institution's withdrawal from the championship, that withdrawal shall be considered as one of the years of ineligibility, provided another member institution participates in the championship in place of the disqualified institution. If the discovery of the ineligible student-athlete(s) occurs so near the beginning of the championship that the governing sports committee does not have a reasonable period of time to replace the disqualified institution in the bracket, that fact shall be taken into consideration in determining the number of years the disqualified institution shall be ineligible to participate.
- **31.2.1.7.1.3** Review of Violation of Terms of Availability Questionnaire. When an institution is alleged to have violated the terms of an availability questionnaire (e.g., failing to report an ineligible student-athlete), it may be represented at the meeting of the governing sports committee when the committee determines whether a violation occurred and, if so, whether the institution shall be ineligible to participate in the tournament for one or more years. The institution may submit a written statement or make an in-person presentation, or both. After the governing sports committee has ruled on the matter, its decision shall be final unless the institution appeals the decision to the Championships/Competition Cabinet. The Championships/Competition Cabinet shall hear the appeal at one of its regularly scheduled meetings unless there are compelling reasons to conduct a special meeting for such purpose.
- **31.2.1.7.2 Protest Regarding Eligibility of Team.** Any team that has been duly certified as eligible for an NCAA championship shall not be withheld from participation because of any protest made or filed during the progress of the competition or during a period 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, a team may be withheld from further competition in the championship, provided such protest is made or filed at least 24 hours before the next segment of the championship.
- **31.2.2 Individual Eligibility.** All student-athletes, regardless of division, must meet the eligibility standards established for NCAA championships competition. The general and academic eligibility requirements are set forth in detail in Bylaw 14, in which there is also reference to other legislation regarding eligibility of the individual student-athlete. Other specific requirements for eligibility for NCAA championships (e.g., authority of the Academics/Eligibility/Compliance Cabinet, amateur status certification and ineligibility for use of banned drugs) are set forth in Bylaw 18.4.1, 10.3 and 10.4. The Executive Committee may require the student-athletes to certify their eligibility for championships competition. (*Revised: 3/5/97*)
  - **31.2.2.1 Eligibility Requirements.** A member institution shall not enter a student-athlete, as an individual or as a member of a team, in an NCAA championship unless the student-athlete satisfies the relevant eligibility requirements of Bylaw 14. (*Revised:* 8/15/89)
  - **31.2.2.2** Academics/Eligibility/Compliance Cabinet Representative. For a particular championship, the chair of the Academics/Eligibility/Compliance Cabinet may designate the faculty athletics representative of the host institution to act as a temporary, additional member of the committee. It shall be the special responsibility of the temporary member to notify the chair of any eligibility problem that, in the member's opinion, requires the attention of the Academics/Eligibility/Compliance Cabinet and to represent the committee at the time of the championship.
  - **31.2.2.3 Protest of Eligibility Status.** Any student-athlete duly certified by the institution for an NCAA championship shall not be withheld from participation because of any protest made or filed during the championship or during the 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, the student may be withheld from further competition in the championship, provided the protest is made or filed at least 24 hours before the next segment of the championship.
  - **31.2.2.4 Participation While Ineligible.** When a student-athlete competing as an individual or representing the institution in a team championship is declared ineligible subsequent to the competition, or a penalty has been imposed or action taken as set forth in Bylaw 19.6.2.2-(e) or 19.8 of the NCAA enforcement program, the following shall occur:

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- (a) **Individual Competition.** The individual's performance shall be stricken from the championships records, the points the student has contributed to the team's total shall be deleted, the team standings shall be adjusted accordingly, and any awards involved shall be returned to the Association. For those championships in which individual results are recorded by time, points or stroke totals (i.e., cross country, golf, gymnastics, indoor track and field, outdoor track and field, rifle, swimming and skiing), the placement of other competitors shall be altered and awards presented accordingly. For those championships in which individual results are recorded by advancement through a bracket or head-to-head competition, the placement of other competitors shall not be altered. (Revised: 8/15/89)
- (b) **Team Competition.** The record of the team's performance shall be deleted, the team's place in the final standings shall be vacated, and the team's trophy and the ineligible student's award shall be returned to the Association.
- **31.2.2.5** Institutional Penalty for Ineligible Participation. When an ineligible student-athlete participates in an NCAA championship and the student-athlete or the institution knew or had reason to know of the ineligibility, the NCAA president may withhold an amount to be determined by the Championships/Competition Cabinet (not to exceed 90 percent of the institution's share of revenue distribution in excess of the regular expense reimbursement). If said funds have been distributed, the institution may be required to return such an amount. [Note: In appropriate cases, in accordance with Bylaw 19.6.2.2-(e) or 19.8 of the NCAA enforcement program, an institution may not be permitted to receive or may be required to reimburse the Association an amount equal to 90 percent of its share of the revenue distribution.]
- **31.2.3** Ineligibility for Use of Banned Drugs. Bylaw 18.4.1.5 provides that a student-athlete who is found to have utilized a substance on the list of banned drugs shall be declared ineligible for further participation in postseason and regular-season competition during the time period ending one calendar year after the student-athlete's positive drug test. The student-athlete shall be charged with the loss of a minimum of one season of competition in all sports if the season of competition has not yet begun or a minimum of the equivalent of one full season of competition in all sports if the student-athlete tests positive during his or her season of competition (i.e., the remainder of contests in the current season and contests in the subsequent season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible until the student-athlete retests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Academics/Eligibility/Compliance Cabinet. If the student-athlete tests positive a second time for the use of any drug, other than a "street drug" as defined below, he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after being restored to eligibility, he or she shall lose a minimum of one additional season of competition in all sports and also shall remain ineligible for regular-season and postseason competition at least through the next calendar year. Bylaw 18.4.1.5.2 also provides that the Executive Committee shall adopt a list of banned drugs and authorize methods for drug testing of studentathletes on a year-round basis. In addition, as stated in Bylaw 18.4.1.5.1, a student-athlete who previously tested positive for performance-enhancing drugs as a result of tests administered by any other athletics organization and subsequently tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be subject to these ineligibility provisions. The list per Bylaw 31.2.3.1 is subject to change and the institution and student-athlete shall be held accountable for all banned drug classes on the current list. The list is located on the NCAA Web site (www.ncaa.org) or may be obtained from the NCAA national office. (Revised: 1/16/93, 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

**31.2.3.1 Banned Drugs.** The following is the list of banned-drugs classes: (*Revised:* 8/15/89, 7/10/90, 12/3/90, 5/4/92, 5/6/93, 10/29/97)

(a) Stimulants:

amiphenazole fencamfamine amphetamine meclofenoxate bemigride methamphetamine methylphenidate benzphetamine bromantan (Adopted: 7/23/97) nikethamide caffeine1 pemoline chlorphentermine pentetrazol cocaine phendimetrazine cropropamide phenmetrazine crothetamide phentermine

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diethylpropion picrotoxine
dimethylamphetamine pipradol
doxapram prolintane
ephedrine (Adopted: 7/23/97) strychnine

ethamivan and related compounds

ethylamphetamine
(b) Anabolic agents:

Anabolic steroids:

androstenediol methyltestosterone

androstenedione (Adopted: 10/29/97) nandrolone

boldenone norandrostenediol

clostebol norandrostenedione (Adopted: 10/29/97)

dehydrochlormethyl-testosterone norethandrolone dehydroepiandrosterone (DHEA) oxandrolone

(Adopted: 7/23/97)

dihydrotestosterone (DHT) (Adopted: 7/23/97) oxymesterone dromostanolone oxymetholone fluoxymesterone stanozolol mesterolone testosterone²

methandienone and related compounds

methenolone

Other anabolic agents:

clenbuterol

(c) Substances banned for specific sports:

Rifle:

alcohol pindolol atenolol propranolol metoprolol timolol

nadolol and related compounds

(d) Diuretics:

acetazolamide hydroflumethiazide bendroflumethiazide methyclothiazide

benzthiazide metolazone
bumetanide polythiazide
chlorothiazide quinethazone
chlorthalidone spironolactone
ethacrynic acid triamterene

flumethiazide trichlormethiazide furosemide and related compounds

hydrochlorothiazide

(e) Street drugs:

heroin THC (tetrahydrocannabinol)<sup>3</sup>

marijuana<sup>3</sup>

(f) Peptide hormones and analogues:

chorionic gonadotrophin corticotrophin (ACTH)

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(HCG - human chorionic growth hormone gonadotrophin) growth hormone (HGH, somatotrophin)

All the respective releasing factors of the above-mentioned substances also are banned.

erythropoietin (EPO) sermorelin

- (g) Definition of positive depends on the following:
  - <sup>1</sup> for caffeine—if the concentration in the urine exceeds 15 micrograms/ml.
  - <sup>2</sup> for testosterone—if the administration of testosterone or the use of any other manipulation has the result of increasing the ratio of the total concentration of testosterone to that of epitestosterone in the urine to greater than 6:1, unless there is evidence that this ratio is due to a physiological or pathological condition. (Revised: 6/17/92)
  - <sup>3</sup> for marijuana and THC—if the concentration in the urine of THC metabolite exceeds 15 nanograms/ml. (Revised: 9/6/94)
  - **31.2.3.1.1 Drugs and Procedures Subject to Restrictions.** The use of the following drugs and/or procedures is subject to certain restrictions and may or may not be permissible, depending on limitations expressed in these guidelines and/or quantities of these substances used: (Revised: 8/15/89)
  - (a) **Blood Doping.** The practice of blood doping (the intravenous injection of whole blood, packed red blood cells or blood substitutes) is prohibited, and any evidence confirming use will be cause for action consistent with that taken for a positive drug test. (*Revised:* 8/15/89, 5/4/92)
  - (b) **Local Anesthetics.** The Executive Committee will permit the limited use of local anesthetics under the following conditions:
    - (1) That procaine, xylocaine, carbocaine or any other local anesthetic may be used, but not cocaine; (Revised: 12/9/91, 5/6/93)
    - (2) That only local or topical injections can be used (i.e., intravenous injections are not permitted); and
    - (3) That use is medically justified only when permitting the athlete to continue the competition without potential risk to his or her health.
  - (c) **Manipulation of Urine Samples.** The Executive Committee bans the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing. Examples of banned methods are catheterization, urine substitution and/or tampering or modification of renal excretion by the use of diuretics, probenecid, bromantan or related compounds, and epitestosterone administration. (*Revised:* 8/15/89, 6/17/92, 7/23/97)
  - (d) **Beta 2 Agonists.** The use of beta 2 agonists is permitted by inhalation only. (Adopted: 8/13/93)
  - (e) **Additional Analysis.** Drug screening for select nonbanned substances may be conducted for nonpunitive purposes. (Revised: 8/15/89)
  - **31.2.3.1.2 Positive Drug Test—Non-NCAA Athletics Organization.** A student-athlete who has disclosed (i.e., in the student-athlete statement) a previous positive drug test for performance enhancing drugs administered by any other athletics organization (e.g., U.S. Olympic Committee) shall be required to submit to a drug test administered by the NCAA for the banned drugs listed in Bylaw 31.2.3.1. A student-athlete who is tested by the NCAA and found to have utilized a substance on the NCAA's list of banned drugs shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaws 31.2.3 and 18.4.1.5. (Adopted: 1/14/97 effective 8/1/97)
- **31.2.3.2 Medical Exceptions.** Exceptions for categories (a), (c), (d) and (f) under Bylaw 31.2.3.1 may be made by the Executive Committee for those student-athletes with a documented medical history demonstrating the need for regular use of such a drug. (Revised: 8/5/99)
- **31.2.3.3 Methods for Drug Testing.** The methods and any subsequent modifications authorized by the Executive Committee for drug testing of student-athletes shall be summarized in The NCAA News. Copies of the modifications shall be available to member institutions.
- **31.2.3.4 Events Identified for Drug Tests.** The Executive Committee shall determine the regular-season and postseason competition for which drug tests shall be made and the procedures to be followed in disclosing its determinations.
- **31.2.3.5 Individual Eligibility—Team Sanctions.** Executive regulations pertaining to team-eligibility sanctions for positive tests resulting from the NCAA drug-testing program shall apply only in the fol-

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lowing situation: If a student-athlete is declared ineligible prior to an NCAA team championship or a certified postseason football game and the institution knowingly allows him or her to participate, all team-ineligibility sanctions shall apply (i.e., the team shall be required to forfeit its awards and any revenue distribution it may have earned, and the team's and student-athlete's performances shall be deleted from NCAA records). In the case of certified postseason football contests, the team's and student-athlete's performances shall be deleted from NCAA records. (Revised: 1/10/90)

## 31.3 SELECTION OF TEAMS AND INDIVIDUALS FOR CHAMPIONSHIPS PARTICIPATION

A list of the institutions in good standing shall be supplied by the Association's president to the chair of each governing sports committee and to the athletics director of the host institution. This list should be observed carefully to assure that no entries are accepted from or invitations extended to ineligible institutions.

- **31.3.1 Size of Championships Fields.** The size of all NCAA championships fields shall be established by the Management Council to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (Revised: 8/13/93, 1/14/97 effective 8/1/97)
- **31.3.2 Selection Decisions of Sports Committees.** The determination of sites, selection of teams or individuals, or their site assignment in championships competition made by a governing sports committee (or a designated subcommittee) may not be appealed.
- **31.3.3 Criteria for Selection of Participants.** The following criteria shall be employed by a governing sports committee in selecting participants for NCAA championships competition, and a governing sports committee that wishes to utilize additional criteria must obtain Championships/Competition Cabinet approval before doing so:
- (a) Won-lost record;
- (b) Strength of schedule; and
- (c) Eligibility and availability of student-athletes for NCAA championships.
  - **31.3.3.1 Countable Competition.** For NCAA team-championship selection purposes, competition is countable only when the teams played are varsity intercollegiate teams of four-year, degree-granting institutions that conduct a majority of their competition in that team sport against varsity intercollegiate teams (see Constitution 3.2.4.4) of United States four-year, degree-granting institutions. Competition against service teams, professional teams, semiprofessional teams, amateur teams, two-year colleges and club teams shall be excluded.
  - **31.3.3.2 Qualifying Standards.** Individuals and teams required to meet performance standards to qualify for NCAA championships competition shall achieve such standards in meets held during the same academic year as the particular championship.
  - **31.3.3.3 Ineligible Players on Team.** The presence on a varsity squad of one or more players who are ineligible, or unavailable due to injury, for NCAA championships competition does not necessarily disqualify that team from consideration. If such a player(s) contributed materially to the team's success during the season, the committee may choose not to select that team, based on the conclusion that without the use of the ineligible or unavailable player(s), the team would not have been able to compile the record that brought it before the committee for consideration. It shall be the responsibility of the governing sports committee to apply the criterion "contributed materially" to each case as it arises.
  - **31.3.3.4 Selection of Official Conference Representative When Automatic Qualification Is Not Applicable.** The governing sports committee shall select the official conference representative (as determined by the conference through regular-season competition or a conference tournament) to participate in an NCAA championship before it may select any other team from that conference. This regulation applies to National Collegiate Championships and Division I team championships in the sports of baseball, basketball, fencing, field hockey, football, golf, gymnastics, ice hockey, lacrosse, soccer, softball, tennis, volleyball and water polo, when a selection process other than automatic qualification (see Bylaw 31.02.1) is utilized. This provision is applicable if the conference: (*Revised: 1/11/89 effective 8/1/89*)
  - (a) Is a member of the Association and conducts its regular-season competition under eligibility rules at least as stringent as those provisions of Bylaw 14 applicable to its members;
  - (b) Conducts a championship in the team sport (and has conducted a championship in that sport for at least two consecutive years);
  - (c) Has at least two-thirds of its member institutions sponsor the sport as a varsity intercollegiate sport and participate in the process that determines the conference champion; and

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- (d) Competes in the sport in question during the same season in which the national championship in that sport is conducted and determines its conference champion prior to the date on which the appropriate governing sports committee selects teams (as indicated in the appropriate championships handbook). If a conference conducts a tournament to determine its champion, that tournament must be conducted in the same season as the national championship in that sport. If member institutions tie for the conference championship in a sport and the conference wishes the provisions of this regulation to be applied, it must declare one champion and advise the governing sports committee of this prior to the date on which teams are selected.
  - **31.3.3.4.1** Waiver Opportunity. The conference shall advise the NCAA championships staff in writing by September 1 of each year whether it wishes the selection process set forth in Bylaw 31.3.3.4 to be applied or waived. Once the conference has indicated its intention regarding the application or waiver of this regulation, it may not be changed during that year.
    - **31.3.3.4.1.1 First Waiver.** Conferences that fail to meet the deadline may appeal to the Management Council for a waiver of the deadline. Such an appeal must be submitted to the national office not later than October 15. If the Management Council grants the appeal, then the conference shall be granted a waiver. (*Revised:* 12/4/89)
    - **31.3.3.4.1.2 Subsequent Waivers.** Such a conference that fails to meet the September 1 deadline in a subsequent year may be granted a waiver only upon payment of a penalty of \$150 for the second offense and \$300 for each subsequent offense during the five-year period preceding the last offense. Upon approval of the Management Council of such waiver and receipt of the conference's check in the national office, the conference may utilize the selection process set forth in Bylaw 31.3.3.4, provided it is otherwise eligible to do so.
- **31.3.3.5** Removal of Committee Member from Selection Process. If a team or individual athlete(s) of the institution of a member of the governing sports committee is under consideration for selection, that person shall withdraw from the committee deliberations while that team or individual(s) is being considered and shall not participate in any discussion or vote affecting that particular selection. The chair of the committee may appoint a replacement if it is deemed necessary. In the event the chair must withdraw, an individual shall be appointed by him or her to assume the responsibilities of the chair. The new chair may appoint a replacement to fill the vacancy if it is deemed necessary. In the event a replacement is appointed, geographical representation on the committee shall be maintained insofar as possible.
- **31.3.3.6 Exclusion of Institution Reclassifying from Division II to Division I.** In all sports, any Division II institution that has forwarded to the national office written notice of its intention to change its membership classification to Division I per Bylaw 20.5.1 or that has petitioned to reclassify to Division I per Bylaw 20.5.2.1 no longer shall be eligible to participate in any subsequent Division II NCAA championship. (Adopted: 1/11/94 effective 8/1/94)
- **31.3.4 Automatic Qualification.** Each governing sports committee shall forward annually to the Management Council those conferences that should receive automatic qualification for their teams or individual student-athletes into NCAA championships. Prior to forwarding the list of conferences to receive automatic qualification, a governing sports committee shall ensure that the member conference meets the requirements specified in Bylaws 31.3.4.1 through 31.3.4.5. A member conference may appeal to the Management Council the automatic-qualification review of the sports committee and the committee's decision to find, or not find, a conference qualified for automatic-qualification status. The decision of the Management Council on such appeals will be final. (Revised: 1/14/97 effective 8/1/97, Revised: 4/27/00)
  - **31.3.4.1 General Requirements.** To be eligible for automatic qualification, a member conference must meet the following general requirements:
  - (a) Conference competition must be conducted in the applicable sport and the conference champion in that sport must be determined not later than the date on which participants are selected for the NCAA championship, either by regular in-season conference competition or a conference meet or tournament, as indicated at the time of application. If a conference's competition to determine its automatic qualifier is unexpectedly terminated (e.g., due to inclement weather), the conference may designate its qualifier, provided it has established objective criteria for making that designation and has communicated that information to the appropriate sports committee by a specified deadline. (Revised: 8/13/93)
  - (b) In the event of a tie for the conference championship, the conference shall have the responsibility of determining which team or individual shall represent the conference in NCAA competition. If a play-off is held, such competition shall be considered conference competition, not NCAA competition
  - (c) In sports other than Division I-AA football, a conference may establish subdivisions and conduct competition within each subdivision to determine a conference champion, as long as each subdivi-

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sion consists of at least four members. Conferences with subdivisions of four members must conduct double round-robin competition within each subdivision, plus a postseason tournament, to determine their champion. Conferences with subdivisions of five or more members may conduct either single or double round-robin competition within each subdivision, plus a postseason tournament to determine their champion. (Note: This regulation does not apply to Division I men's or women's basketball. In those sports, a conference may conduct either double round-robin, in-season competition, or a minimum of 14 conference games in order to determine its champion.) (Revised: 12/9/91, 10/18/95, 10/27/98)

- (d) In Division I-AA football, football-playing conferences that subdivide into five or more teams are required to conduct a single round-robin competition within each division and develop a formula for determination of the conference champion, which must be approved by the Division I-AA Football Committee prior to the start of the season. A postseason championship game is not required. (Adopted:10/27/98)
- (e) The conference must maintain and actively enforce compliance with eligibility rules at least as stringent as those in Bylaw 14 applicable to its members. The use of an ineligible player by a team in a conference that has been granted automatic qualification may result in the involved team being denied the right to be the automatic entry in the NCAA championship. The governing sports committee may recommend loss of the automatic-qualification privilege for the conference during the season in which the violation occurred or for a future championship.
- (f) All eligible member institutions must agree to participate in the appropriate NCAA championship. If a conference champion is ineligible to compete, declines to compete or cannot compete for any reason, automatic qualification shall be withdrawn for that year and the remaining conference members shall be considered at large. Automatic qualification for a conference shall not be withdrawn if a conference champion declines to compete in an NCAA championship for reasons related to written religious policies against competition on certain days. Under such circumstances, the conference's second-place team (as determined by the conference), shall receive the automatic bid to the NCAA championship. (Revised: 4/20/99)
- (g) If a conference comprises members of more than one division, it may be granted automatic qualification for a championship either in the division in which the conference holds membership or in a different division, provided the conference or a subdivision of the conference meets the automaticqualification requirements.
- (h) All institutions may hold membership in only that conference in the sport in which automatic qualification is sought and may participate in only that conference's process to determine the automatic qualifier. (Adopted: 12/5/94)
  - **31.3.4.1.1 Notification, Automatic Qualification in Jeopardy.** A governing sports committee must issue a written warning one year in advance to a conference that is in jeopardy of losing its automatic qualification. (Note: This regulation does not apply to the following championships in which a play-in system has been established: baseball, women's softball, women's volleyball and men's soccer.)
- **31.3.4.2** Additional Requirements, Sports Other Than Men's Basketball. To be considered eligible for automatic qualification, a member conference (including a single-sport conference) must include six core institutions that satisfy continuity of membership. For the purposes of this legislation, core refers to an institution that has been an active member of Division I the eight preceding years. Further, the continuity-of-membership requirement shall be met only if a minimum of six core institutions have conducted conference competition together in Division I the preceding two years. There shall be no exceptions to the two-year waiting period. (*Revised:* 4/27/00)

#### **31.3.4.2.1 Exceptions.** (Adopted: 4/27/00)

- (a) Prior to September 1, 2003, a member conference that was a member of the Association as of September 1, 1999, may be considered for automatic qualification in all sports by satisfying either the existing automatic qualification provisions or those automatic qualification provisions that were in effect on September 1, 1999.
- (b) Prior to September 1, 2003, the eight-year core principle does not apply to an institution that was a Division I active member, provisional member or a member in the process of reclassifying to Division I as of September 1, 1999. Such an institution would be subject to the provisions in effect on September 1, 1999.
- **31.3.4.3 Additional Requirements, Men's Basketball.** The member conference must include seven core institutions that satisfy continuity of membership to be considered for automatic qualification in men's basketball. For the purposes of this legislation, core refers to an institution that has been an active member of Division I the eight preceding years. Further, the continuity-of-membership requirement shall be met only if a minimum of six core institutions have conducted conference competition

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together in Division I the preceding five years in men's basketball. There shall be no exception to the five-year waiting period. (Revised: 8/14/90, 12/3/90, 4/27/00)

**31.3.4.3.1 Grace Period.** A conference shall remain eligible for automatic qualification for one year following the date of withdrawal of the institution(s) that cause the conference's membership to fall below seven institutions, provided the conference maintains continuity of membership (i.e., six institutions that conducted conference competition together in Division I for the preceding five years.) (Adopted: 4/27/00)

#### 31.3.4.3.2 Exceptions.

- (a) Prior to September 1, 2003, a member conference that was a member of the Association as of September 1, 1999, may be considered for automatic qualification in all sports by satisfying either the existing automatic qualification provisions or those automatic qualification provisions that were in effect on September 1, 1999. (Adopted: 4/27/00)
- (b) Prior to September 1, 2003, the eight-year core principle does not apply to an institution that was a Division I active member, provisional member or a member in the process of reclassifying to Division I as of September 1, 1999. Such an institution would be subject to the provisions in effect on September 1, 1999. (Adopted: 4/27/00)
- (c) Men's Basketball. Subsequent to September 1, 2003, a member conference as of September 1, 1999, may continue to apply the automatic qualification requirements in effect September 1, 1999, until any change (e.g., adding or losing any member) in its men's basketball membership occurs. Thereafter, the automatic qualification regulations in effect September 1, 2003, shall be applicable. (Adopted: 4/27/00)
- **31.3.4.4 Sports Groupings for Automatic Qualification.** For purposes of evaluating criteria for automatic qualification, the various sports shall be grouped as follows:
- (a) Team sports—baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball, volleyball and water polo;
- (b) Timed individual sports—indoor track and field, outdoor track and field, and swimming; and
- (c) Other individual sports—cross country, fencing, golf, gymnastics, rifle, skiing, tennis and wrestling. In this category, a sports committee may grant exceptions to the six-team requirement, subject to the approval of the Championships/Competition Cabinet.
- 31.3.4.5 Limitations on Automatic-Qualifying Positions (Adopted: 4/20/99)
  - **31.3.4.5.1 Team Sports Other Than Men's Basketball.** In team sports, per Bylaw 31.3.4.4-(a), excluding the sport of football and any team sport in which automatic qualification is not offered, a sports committee must award, when a sufficient number of applications for automatic qualification exist, at least 50 percent of the championship field to conferences that meet automatic-qualification criteria and provide a play-in criteria. The remaining 50 percent of the championship field shall be reserved for at-large teams. It will be the responsibility of the Management Council to determine if conference play-ins to a championship field are to be administered by the NCAA championships staff or by the member conferences. (Adopted: 4/20/99)
  - 31.3.4.5.2 Men's Basketball. In men's basketball, subject to the championships-access guarantee afforded to the members of subdivisions I-AA and I-AAA as set forth in Constitution 4.01.2.3.1 (e.g., all contests that are part of the championship shall be administered and funded by the NCAA and broadcast on television and any team that participates in the championship shall be awarded at least one financial unit), there shall be a limit of 30 automatic-qualifying positions, and the remainder of the championship field shall be at-large selections. All competition in the championship is to be administered by the NCAA championships staff. (Adopted: 4/20/99)
- **31.3.5 Selection of Balance of Championship Field.** Once the official representative(s) of each qualifying conference is determined, the governing sports committee responsible for selection of the balance of the championship field shall consider objectively and without prejudice the competitive records of all other eligible student-athletes and teams (including representatives of the other members of the conferences receiving automatic qualification). To the best of its ability, the committee shall select the most highly qualified individuals and teams to complete the championship field in accordance with the regional structure, if any, approved for the particular championship.
- **31.3.6 Institution Trademarks.** Participation in a national collegiate championship constitutes acquiescence by the member institution that the Association may use the institution's name, mascot and other identifying marks in championship-related activities, including television, promotion, licensing and merchandising programs incident to the championship. Revenues derived from such activities, less expenses, will be remitted to the member institution. (*Revised: 11/3/93*)

#### 31.4 FINANCIAL ADMINISTRATION OF CHAMPIONSHIPS

See Figure 31-1, page 421, for a summary of the elements in the administration of finances for NCAA championships.

- **31.4.1 Host Institution's Responsibility.** The host institution shall administer the finances of an NCAA championship in accordance with this bylaw and consistent with the institution's championship budget, as submitted to and approved by the governing sports committee and the Championships/Competition Cabinet.
  - **31.4.1.1 Institution's Financial Report.** A financial report from each championship site shall be submitted to the NCAA president not later than 60 days following the conclusion of the competition and shall bear certification of the athletics director of the host institution. The report shall be submitted on a form approved and provided by the NCAA president.
    - **31.4.1.1.1 Exclusions.** A host institution or sponsoring agency shall not include, in its financial report or in its percentage of net receipts, television rights fees or income from the sale of championships merchandise. Also, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts if the program is produced and sold under contract between the NCAA and an outside agency.
- **31.4.2 Gross Receipts.** Gross receipts shall be all revenues derived from the championship, including:
- (a) Sale of tickets. All tickets shall be accounted for at face value and shall become a part of gross receipts. Working passes may be provided to bona fide working personnel, including media representatives; and participation passes may be provided to student-athletes competing in the championship, as well as to their coaches, athletics trainers, managers and other members of the participating institution's official party as defined by the Association;
- (b) Net revenue from marketing items sold at the site through or by an outside agency under contract with the NCAA;
- (c) Program sales and advertising;
- (d) Radio, television and movie rights; and
- (e) Any other income derived from the operation of the championship.
  - **31.4.2.1 Exclusions.** Income from concessions and parking shall accrue to the host institution or sponsoring agency and is not included in gross receipts. Sales commissions received by a host institution for selling marketing items on behalf of an outside agency under contract with the NCAA shall accrue to the host institution.
  - **31.4.2.2 Waiver**. Any waiver of the policies for the gross receipts of championships shall be approved in advance by the Championships/Competition Cabinet.
- **31.4.3 Game Expenses.** Game expenses are the actual costs directly related to the administration and conduct of the championship, including specifically the costs for tickets (printing, selling and collecting), sales taxes, ushers, game officials, police, buildings and grounds expenses, printing of related materials, motion pictures of the competition (if authorized by the Championships/Competition Cabinet), reasonable entertainment, expenses of selection committees authorized by the governing sports committee and any other out-of-pocket expense directly related to the administration and conduct of the championship. (*Revised:* 12/2/90)
  - **31.4.3.1 Exclusions.** The following items shall not be included as game expenses:
  - (a) The cost of permanent equipment, local transportation of competing teams and on-campus facility rental charges, and
  - (b) A fee or honorarium for athletics department staff members of the host institution (e.g., athletics director, assistant athletics director, business manager, ticket manager, coach, sports information director or athletics trainer). (Note: Such a payment could be made from the host institution's share of net receipts.)
  - **31.4.3.2 Waiver.** Any waiver of the policies for game expenses shall be approved in advance by the Championships/Competition Cabinet.
- **31.4.4 Institutional Allowance.** The host institution may share in the balance remaining after game expenses are deducted from the gross receipts. Television rights fees and income from championships programs and merchandise produced and sold under contract between the NCAA and an outside agency shall not be included in determining the host institution's allowance.
  - **31.4.4.1 Permissible Allowances.** The amount of institutional allowance varies with the sport, the

#### Financial Administration/31.4.4.1—31.4.6.3

level of championships competition, whether there is a common site for men's and women's championships and whether an institution's facilities are used. The permissible institutional allowances (from balance of gross receipts after deduction of game expenses) are as follows: (Revised: 5/1/89, 5/1/96)

	<b>TEAM</b> <sup>1,2,3</sup>		INDIVIDUAL <sup>1,2,3</sup>	
	Preliminary	Final	Preliminary	Final
One day	\$575	\$1,150	\$700	\$1,725
Two days	\$750	\$1,450	\$870	\$2,000
Three or more days	\$920	\$1,725	\$1,150	\$2,300

<sup>&</sup>lt;sup>1</sup> For championships conducted at institutional facilities, hosts may deduct the permissible allowance above or a maximum of 15 percent of gross receipts after deduction of game expenses (20 percent for the NCAA Division I Men's Basketball Championship), whichever is greater.

#### **31.4.4.2 Restrictions.** The following restrictions apply to allowances to host institutions:

- (a) If an institution's department of intercollegiate athletics must pay a rental fee for the use of an oncampus facility, such fee shall be deducted from the institution's share of the net receipts or allowance; and
- (b) No other sum from the receipts of an NCAA championship, or from any other source, shall be paid to the college or university sponsoring or acting as host of the NCAA championship except to cover actual and necessary expenses directly incurred in the conduct of the championship as noted in Bylaw 31.4.3.
- **31.4.5 Administrative Expenses.** After the institution's allowance has been deducted, the athletics director of the host institution shall forward the remaining receipts to the NCAA president, who then deducts administrative expenses and costs incurred by governing sports committees and their representatives in matters of championships administration.
- **31.4.6 Transportation and Per Diem Expenses.** Subject to the availability of funds, the Championships/Competition Cabinet may pay transportation and per diem expenses incurred by teams and individual competitors in NCAA championships competition. At its August meeting, the Championships/Competition Cabinet shall determine and announce to the membership the percentage of transportation expense and the per diem allowance to be paid in each championship for the next academic year.
  - **31.4.6.1 Division I and National Collegiate Championships.** For Division I and national collegiate championships, the Association shall provide transportation expenses and per diem allowances for the official traveling parties of competing institutions in team championships. In individual-team championships other than track and field, the Association shall provide transportation and per diem allowances for competing individuals and for the following numbers of nonathletes: two nonathletes from each team selected, one nonathlete for institutions with one to four individuals selected and two nonathletes for institutions with more than four individuals selected. Institutions participating in both the NCAA Division I Men's or Women's Indoor and Outdoor Track and Field Championships will receive transportation expenses and per diem allowances for one, but not both, events. Exceptions approved for individual-team championships are specified in the respective championships handbook. (*Revised:* 8/14/90, 5/6/93, 8/3/95)
  - **31.4.6.2 Limitations.** In individual-team championships, transportation and per diem allowances shall be paid only for the final competition and not for regional qualifying meets or tournaments. In a division that generates net revenues from its division championships, those revenues first shall be used to pay transportation costs for individual championships of that division before such funds shall be used to underwrite the transportation expenses of individual championships of other divisions
    - **31.4.6.2.1 Individual-Team Championships.** Both individual and team championships are determined in the following sports: cross country, fencing, golf, gymnastics, rifle, skiing, swimming, tennis, indoor track and field, outdoor track and field, and wrestling.
  - **31.4.6.3 Official Traveling Parties.** The following are the approved official traveling parties for which expenses shall be provided in accordance with the provisions of this section: (Revised: 8/15/89, 8/14/90, 5/6/93, 5/6/94, 8/3/95)

<sup>&</sup>lt;sup>2</sup> For championships conducted at off-campus facilities, hosts may deduct the permissible allowance or a maximum of 10 percent of gross receipts after deduction of game expenses, upon the recommendation of the sports committee and approval of the Championships/Competition Cabinet.

<sup>&</sup>lt;sup>3</sup> Amount is doubled for common-site men's and women's championships.

## Financial Admin. of Championships/31.4.6.3—Postseason Football/31.5.1.2

Baseball	35
Men's Basketball	
Preliminary rounds	75
Finals	100
Women's Basketball	
First/second rounds	52 for home team, $75$ for visiting team
Regionals	75
Finals	100
Field Hockey (Revised: 4/27/00 effective 8/1/00)	28
Division I-AA Football	
Preliminary rounds	100
Finals	115
Men's Ice Hockey	31
Men's Lacrosse	40
National Collegiate Women's Lacrosse	30
National Collegiate Women's Rowing (Adopted: 1/	(14/97) 31
Men's Soccer (Revised: 4/27/00 effective 8/1/00)	24
Women's Soccer (Revised: 4/27/00 effective 8/1/0	28
Women's Softball (Revised: 4/27/00 effective 8/1/	(00) 28
Men's Volleyball	18
Women's Volleyball	22
National Collegiate Men's Water Polo	19

- **31.4.6.6 Administration of Expense Reimbursement.** The president shall administer the transportation and per diem expense program according to guidelines established by the Championships/Competition Cabinet.
- **31.4.7 Net Receipts.** After payment of game and administrative expenses for each championship, the president shall place the remaining moneys in the general operating fund of the Association to be used to cover other expenses of the Association and to be apportioned among member institutions, as directed by the Championships/Competition Cabinet. (Revised: 8/14/90)
- **31.4.8 Distribution of Forfeited Receipts.** An institution may be obligated to return its share of the revenue distribution for permitting an ineligible student-athlete to participate in the championship (see Bylaw 31.2.2.5). If so, such funds shall be distributed among other member institutions according to a formula prescribed by the Championships/Competition Cabinet. (Revised: 8/14/90)
- **31.4.9 Publication of Championships Financial Summaries.** A financial summary of each championship shall be published in the Annual Reports of the Association.

#### 31.5 FINANCIAL ADMINISTRATION OF POSTSEASON FOOTBALL

The Championships/Competition Cabinet shall require that all contests subject to the regulations set forth in Bylaws 18.7 and 30.9 meet the financial provisions specified below.

- **31.5.1 Competing Institutions' Percentage of Gross Receipts.** In accordance with Bylaw 30.9.10, institutions competing in such contests shall receive not less than 75 percent of the gross receipts or not less than \$750,000 for each participating institution whichever is greater. The amount shall be divided equally between the institutions. Out of this percentage of gross receipts, each institution may be required to pay its own transportation and other team expenses incidental to the game. (Revised: 8/15/89, 6/17/92, 6/2/93, 2/16/94, 4/20/94)
  - **31.5.1.1 Exception—New Bowl Game Not Located in North America.** Each institution participating in such a contest located outside of North America shall receive not less than \$1.5 million. (Adopted: 10/12/95)
  - **31.5.1.2 Letter of Credit**—Initial Certification. An agency that wishes to sponsor a postseason football contest must secure a \$2 million irrevocable letter of credit issued by a United States financial institution payable to the NCAA. The letter shall be in effect from the time of the agency's initial application to

## Postseason Football/31.5.1.2—31.5.5

the Championships/Competition Cabinet at the committee's annual spring meeting until it is replaced after certification by a new letter of credit guaranteeing the revenues that will be distributed to the participating teams, plus an additional 25 percent to cover expenses related to game management, operations and administration for any game that has not been certified for three consecutive years. (Revised: 8/15/89, 6/17/92)

- **31.5.1.3 Letter of Credit—Recertification.** A postseason football contest that has not distributed a minimum of \$1 million to each participating institution during the preceding three-year period shall secure annually an irrevocable letter of credit issued by a United States financial institution guaranteeing the minimum revenues that will be distributed to the participating teams, plus an additional 25 percent to cover expenses related to game management, operations and administration. The letter of credit shall be made payable to the NCAA and annually shall cover the period from November 1 through May 1 and shall specify that the Association is responsible for the distribution of revenues to the participating institutions in the event of default. The cost of the letter of credit shall be deducted from the contest's gross income. (Revised: 8/15/89)
- 31.5.1.4 Waiver. A waiver of this provision may be granted to "closed" games (see Bylaw 30.9.9).
- **31.5.2 Components of Gross Receipts.** The gross receipts shall include all revenues derived from the game, including: (Revised: 5/7/90, 12/9/91)
- (a) Sale of tickets (less applicable taxes, except those paid as stadium-use taxes for stadium rental or in lieu thereof). Any complimentary tickets shall be accounted for at face value and shall become a part of the gross receipts;
- (b) Concessions;
- (c) Programs sales;
- (d) Advertising for radio, television and tickets;
- (e) Radio, television (including pay-per-view income) and movie/video rights;
- (f) Merchandising sales;
- (g) Licensing fees;
- (h) Title sponsorship;
- (i) Corporate sponsors/contributors;
- (j) Interest income;
- (k) Membership fees/dues; and
- Any other income derived from the operation of the game as identified by the Championships/ Competition Cabinet.
  - **31.5.2.1 Deductions from Gross Receipts.** The following expenses incurred by the sponsoring agency of a postseason football game may be deducted from gross receipts:
  - (a) Letter of credit required for initial certification or recertification;
  - (b) Annual certification fee paid to the Association;
  - (c) Corporate sponsor expense approved by the Championships/Competition Cabinet;
  - (d) All radio income, providing the official network or station of at least one of the participating institutions originates the broadcast of the game;
  - (e) Awards for participating student-athletes; (Adopted: 1/14/97)
  - (f) A pro rata share of expenses for multi-bowl promotional activities approved in advance by the committee; and
  - (g) Expenses for game-related events approved by the Championships/Competition Cabinet.
- **31.5.3 Administrative Expenses.** Each certified postseason bowl game shall pay annually, upon notification of certification, a \$12,000 fee from its net receipts to the Association (if authorized by the Executive Committee in accordance with Bylaw 31.2.3.4). (*Revised:* 8/15/89)
- **31.5.4 Loss-of-income Insurance.** The management of each certified postseason football game annually shall make available loss-of-income insurance for a participating institution to purchase at its option and expense, which may be deducted from the respective institution's share of gross receipts. (*Revised:* 5/7/90)
- **31.5.5 Programs/Concessions, Third Party.** When the printing and sale of programs or the production and sale of concession items are performed by an independent third party under contract with the sponsoring agency, whereby it receives only a share of the net receipts, gross receipts shall include only the net amount received for such items by the sponsoring agency from the third party.

#### 31.6 RIGHTS TO NCAA PROPERTIES AND MARKETING RESTRICTIONS

- **31.6.1 Names and Marks.** The NCAA owns or controls all rights and interests in its name, logo, seal, trademarks and service marks, whether registered or unregistered, including "National Collegiate Athletic Association," "NCAA," "National Collegiate Championships," "NCAAction," "College Sports USA," "The Final Four," "Women's Final Four," "College World Series," "Women's College World Series" and "Stagg Bowl." The NCAA will prosecute infringement of identical or confusingly similar marks. The NCAA shall maintain control over the nature and quality of the goods and services rendered under the marks; therefore, no use of the marks by others will be permitted in advertising, in association with commercial services or related to the sale of merchandise without the specific approval of the NCAA. (*Revised: 1/29/90*)
- **31.6.2 Concessions.** The following conditions or restrictions apply to all concession items sold at any event sponsored or administered by the Association:
- (a) Any merchandise or material bearing any name, logo, trademarks or service marks of the Association that is to be sold or distributed at an event sponsored or administered by the Association must have the prior approval of the president before being sold or distributed. No such merchandise or material shall be sold or distributed by anyone except as designated by the NCAA. The host institution may sell merchandise that features an institutional mark or logo at NCAA competition only if it has guaranteed a sellout of the NCAA merchandise at the site of the competition and has agreed to provide the NCAA championship 25 percent of gross sales (after sales taxes) of all non-NCAA championship merchandise. The quantity of NCAA merchandise sent to the site shall have the prior approval of the president and shall not be less than a comparable shipment to a comparable site of the previous year's championship. (Revised: 12/4/89)
- (b) The NCAA owns the rights to all concession items other than food or drink sold at such events. Any merchandise or material to be sold at such events must have prior approval of the NCAA president, and any unapproved material shall be barred from the premises. If the championship facility has prior contractual commitments with outside vendors, the NCAA will endeavor to work with such vendors to advance the policies of the Association in the sale of concession items as herein stated.
- (c) The NCAA may authorize others, including the host institution or the coaches organization for the sport involved in the particular event, to sell and distribute concession items.
- (d) Income payable to the NCAA from the sale of concession items other than food and drink at such events shall be included in the gross receipts of the championship.
- (e) No alcoholic beverages shall be sold or dispensed for public consumption at any event sponsored by or administered by the Association.
- **31.6.3 Programs.** The Association reserves the right to publish and sell any program sold or distributed for an NCAA championship. It may award such rights for any championship to another party or agency, provided it retains the right to review and approve the content of all programs to be sold or distributed, and provided it shall receive a guaranteed amount or predetermined percentage of program receipts. All financial arrangements for official programs produced by the host institution or sponsoring agency shall be reflected in the proposed budget, and receipts and expenses shall be entered in the financial report of the championship. If the program is produced and sold under contract between the NCAA and an outside agency, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts.
- **31.6.4 Championships Properties.** The Association owns all rights to each and all of its championships as listed in Bylaw 18.3. These rights include, in addition to the rights with respect to participation and admission, rights to television (live and delayed), radio broadcasting, filming and commercial photography. In addition, the NCAA reserves the right to sell items developed through the NCAA marketing program at the site of a championship.
  - **31.6.4.1 Awarding Media Rights.** Television, radio and film rights shall be awarded in such a manner as to advance most fully the following interests:
  - (a) Gate attendance;
  - (b) Promotion of interest in the sport;
  - (c) Promotion of intercollegiate athletics as a part of collegiate education; and
  - (d) Promotion of the Association and its purposes and fundamental policy.
    - **31.6.4.1.1 Procedures.** Specific policy decisions under the foregoing are determined by the governing sports committee for the particular championship, subject to the approval of the Championships/Competition Cabinet. Negotiations with respect to the awarding of any such rights shall be conducted by the president, who shall have authority to determine the specific terms and conditions and to execute contracts for the award of such rights in behalf of the Association. Inquiries concerning all such rights should be directed to the president at the national office. In accordance with

## Rights to NCAA Properties/31.6.4.1.1—General Financial Management/31.7.2.1.1

the policies stated herein, the president shall negotiate with any party interested in such rights when they are available.

- **31.6.4.2 Marketing, Licensing, Promotional and Public Affairs Initiatives.** The president of the Association shall be responsible for the oversight and administration of all marketing, licensing, promotions and public affairs initiatives. The president shall have the authority to appoint ad hoc advisory groups to provide assistance in developing policies to review any initiative and to provide assistance in addressing emerging issues. In addition, negotiations with respect to awarding merchandising, marketing and licensing rights (including corporate partner rights) shall be conducted by the president, who shall have the authority to determine the specific terms and conditions and to execute and enforce contracts for the award of such rights on behalf of the Association. The NCAA Executive Committee, at its discretion, may request a detailed accounting of any marketing, licensing, promotional and public affairs initiative that resulted in the awarding of a contract on behalf of the Association. Each division's presidential administrative group and Management Council may request a report related to any matter handled by the president under the authority of this legislation. (*Adopted: 1/11/00*)
- **31.6.4.3 Photographs, Films and Videotapes.** The Association reserves all rights to the use of still photographs, films and videotapes of its championships. All rights to photograph, film and videotape NCAA championships may be assigned to media representatives for news purposes but otherwise are to be controlled exclusively by NCAA Productions, a unit of the NCAA. NCAA Productions shall film or videotape certain NCAA championships, as recommended by the governing sports committees and approved by the Championships/Competition Cabinet. Its cost in filming or videotaping an NCAA championship and producing prints or videodubs for the NCAA library of films shall be entered as an item of game expenses for that championship. The filming or videotaping of NCAA championships by parties other than NCAA Productions (i.e., participating institutions or commercial film production companies) for any purpose other than news purposes may be permitted only with the advance written consent of the president.
- **31.6.4.4** Use of Action Footage on Television News Programs. The games committee of a particular NCAA championship for which exclusive rights have not been awarded may permit single television stations or television and/or cable networks to broadcast action footage of the championship (on a live or delayed basis) on their regular television news programs (see Bylaw 31.6.4.4.1). Unless otherwise approved by the NCAA, these broadcasts shall not exceed three minutes of action footage of the championship unless broadcast rights have been secured from the Association by payment of a rights fee. The president shall be authorized to interpret and apply these provisions as necessary.
  - **31.6.4.4.1 News Program.** A "news program" shall be a regularly scheduled program devoted exclusively to general news and/or sports news. Sports-entertainment programs do not qualify under this provision.
- **31.6.4.5** Live Microphone on Coach. The placement of microphones on a team coach or in team huddles and bench areas for television, radio or motion-picture purposes is prohibited in all NCAA championships.
- **31.6.4.6 Promotional Announcements for Professional Sports Contests.** During the telecast of any NCAA championship, there shall be no more than two promotional announcements for telecasts of professional sports contests. Each such announcement shall not exceed 15 seconds in length. It may be of a billboard nature only and shall not include any action footage of any professional sports contest. If less than the complete championship segment is televised, only one such professional announcement shall be permitted. These restrictions shall not apply to certified postseason bowl games. (*Revised:* 8/15/89)

## 31.7 GENERAL FINANCIAL MANAGEMENT

**31.7.1 General Operating Budget.** The Executive Committee shall adopt a budget for the ensuing fiscal year prior to the end of any current fiscal year. The budget, as approved, shall list all anticipated revenues and expenses for the coming fiscal year, including transfers to or withdrawals from reserve funds.

#### 31.7.2 Committee and Delegate Finances

- **31.7.2.1** Allowable Expenses, Committee Members. Any member of an NCAA committee who is entitled under the following regulations to reimbursement of expenses for attendance at a committee meeting shall not receive such payment if the member fails to remain in actual attendance at the meeting for its entire duration as announced in advance. However, in any special case where a committee member for valid reasons is granted permission by the chair for late arrival or early departure, the member shall receive reimbursement in full.
  - **31.7.2.1.1 Transportation Allowances.** A committee member may be reimbursed for the following transportation expenses:

## General Financial Management/31.7.2.1.1—31.7.2.2.3

- (a) **Commercial Airline.** The member must travel by coach (or "super saver"), and (*Revised: 8/12/91, 1/13/98 effective 2/1/98, Revised: 1/12/99*)
- (b) **Automobile.** The member may claim 27 cents per mile for the round trip, based upon the most direct route between the two points. (Revised: 1/13/98 effective 2/1/98)
  - **31.7.2.1.1.1 Travel to Competition Site.** A committee member or designated representative who travels to the site of preliminary competition in an NCAA championship via either ground or coach-class air may claim 27 cents per mile based on the most direct route between the two points. (*Revised:* 1/13/98)
- **31.7.2.1.2 Per Diem Allowance.** A per diem allowance of \$75 may be claimed for each day or part thereof away from home, except that no more than one day's travel each way may be claimed. The Association shall pay single-room and tax charges for committee members attending scheduled committee meetings or on Association business. Incidental charges shall be the responsibility of the committee member. (Revised: 8/3/95, 1/13/98)
- **31.7.2.1.3 Actual Expenses.** The Management Council or Executive Committee for Association-wide issues may authorize reimbursement of actual expenses if circumstances warrant.
- **31.7.2.1.4 Meeting Frequency.** Payment of expenses for attendance at meetings of an NCAA standing committee shall be limited to two meetings per year unless otherwise authorized by the Management Council or Executive Committee for Association-wide issues. (*Revised:* 8/5/99)
- **31.7.2.1.5 Meeting Site.** Recommendations for sites of meetings of standing and special committees shall be submitted by the committee chair to the NCAA president and are subject to the following conditions:
- (a) Committees that meet only once per year are required to meet in the Indianapolis metropolitan area in alternate years; (Revised: 10/28/97, 1/12/99)
- (b) Committees that meet more than once per year are required to conduct at least one-half of the meetings in the Indianapolis metropolitan area (e.g., one of two or two of four); and (Revised: 10/28/97, 1/12/99)
- (c) All meetings of NCAA committees shall be held within the 48 contiguous states.
- **31.7.2.1.6 Special Committees.** The expense allowance for any special committees or for individuals engaged in official Association business shall be determined by the Management Council or Executive Committee for Association-wide issues.
- **31.7.2.1.7 Sports Committees.** Meetings of sports committees shall be held at places and times other than those authorized for the championships of their respective sports and shall be limited to two days (plus travel time) for purposes of the NCAA per diem expense allowance, unless other arrangements have been approved by the Management Council or Executive Committee for Association-wide issues.
- **31.7.2.1.8 Expense Allowance for Conventions.** An expense allowance shall not be granted for committee meetings held in connection with a Convention of the Association, except that standing committees that regularly meet before or after an Association Convention shall be entitled to one day's per diem for each day or part thereof on Association business, subject to the approval of the Management Council or Executive Committee for Association-wide issues.
- **31.7.2.1.9 Approval of Payments.** All expense payments shall be approved in writing by the committee chair or, in the case of the Management Council, Board of Directors and Executive Committee, by the secretary-treasurer or the president.
- **31.7.2.1.10 Waivers.** The president, subject to the approval of the Management Council or Executive Committee for Association-wide issues, may grant such waivers of the committee-expense regulations in particular cases as are deemed advisable to prevent hardship upon a committee or an individual committee member.

## 31.7.2.2 Allowable Delegate Expenses

- **31.7.2.2.1 Meetings of Other Organizations.** Delegates of the Association attending meetings of other organizations (e.g., various sports federations) shall be entitled to the same expense allowances as those outlined in Bylaw 31.7.2.
- **31.7.2.2.2 Games Committees for International Competition.** NCAA delegates to games committees appointed to supervise international competition may not receive expenses to more than one meeting during each academic year.
- **31.7.2.2.3 Governing Boards of Other Organizations.** NCAA delegates to the governing boards of other organizations may claim an expense allowance for each regular or special meeting of the particular board.

## General Financial Management/31.7.2.3—NCAA Corporations/31.9.3

**31.7.2.3 Prohibition against Funding Olympics.** Income from the Association's championships shall not be allocated to the Olympic fund.

#### 31.7.3 Indemnification

The Association has adopted regulations authorizing the Executive Committee to indemnify its members, members of the appropriate divisional governing bodies, members of general and sports committees, members of special committees, members of drug-testing crews and crew chiefs, and the national office staff against certain expenses, judgments, fines and settlement payments incurred in connection with legal actions brought against them because of their service in such capacities. The specific provisions, conditions and limitations of this authorization were circularized to the membership in the Official Notice of the 71st NCAA Convention (January 10-12, 1977) and also appear in the Official Program and the printed Proceedings of that Convention.

#### 31.7.4 Insurance

- **31.7.4.1 Primary Liability.** Host institutions and sponsoring agencies of NCAA championships shall have in place primary comprehensive general public liability insurance coverage with combined single limits of at least \$1 million per occurrence for bodily injury and property damage and shall provide the national office with the appropriate insurance certificates unless statutes of the state in which the host institution or sponsoring agency is located provide a lesser maximum recovery limit and documentation of that statute is on file at the NCAA national office. (*Revised: 12/2/90*)
- **31.7.4.2 Excess Liability.** The Association maintains excess liability insurance coverage for the conduct of NCAA championships. The coverage includes bodily injury and property damage resulting from accidents.
- **31.7.4.3 Injury, Death and Dismemberment.** Basic athletics-injury, catastrophic-injury, and accidental death and dismemberment insurance for participants in NCAA championships shall be provided by the Association.

#### 31.8 PERSONNEL

- **31.8.1 Employment.** In accordance with Constitution 4.1.2-(b), the president is authorized to employ such persons as may be necessary to conduct efficiently the business of the Association. The number of administrative personnel that may be employed shall be determined at the beginning of each fiscal year and may not be increased without the approval of the Executive Committee. The president may employ as many nonadministrative personnel as may be necessary.
  - **31.8.1.1 Affirmative Action.** In the selection of personnel for appointment, the policies and procedures of the Association's affirmative-action program, as approved by the Executive Committee, shall be followed.
- **31.8.2 Staff Operations.** The national office staff operations shall be reviewed by the Executive Committee. Among the items to be reviewed are:
- (a) Employee compensation;
- (b) Employee benefits; and
- (c) Allegations of improper conduct by any member of the national office staff as reported to the president.
  - **31.8.2.1 Employee Compensation and Benefits.** The Executive Committee shall review staff compensation and benefits at least once each year.
  - **31.8.2.2 Pension Trust Plan.** The policies for the retirement benefits of the staff are set forth in the NCAA Pension Trust Plan, which was adopted and may be revised by the Executive Committee.

## 31.9 NCAA CORPORATIONS AND FOUNDATIONS

- **31.9.1 National Collegiate Realty Corporation.** This for-profit corporation is a wholly owned subsidiary of the NCAA. It was formed for the sole purpose of holding title to the Association's office buildings and land.
- **31.9.2 NCAA Marketing Corporation.** This for-profit corporation is a wholly owned subsidiary of the NCAA. It was formed to assist the Association in promoting intercollegiate athletics and in generating revenue through advertising and sale of merchandise carrying the trademarks of the NCAA and any or all member institutions.
- **31.9.3 NCAA Foundation.** This not-for-profit corporation was formed by the Association for the purpose of receiving and administering funds to advance the scientific, educational and charitable purposes of the NCAA.

#### **31.10 NATIONAL STATISTICS PROGRAM**

A national statistics program shall be maintained for active member institutions in the sports of football, men's and women's basketball, baseball, men's ice hockey, men's and women's lacrosse, men's and women's soccer, women's softball and women's volleyball. The Championships/ Competition Cabinet shall determine the statistics program's policies and procedures.

## 31.10.1 General Policies

- **31.10.1.1 Statistics Reporting.** For a member institution to be eligible for either an individual or a team national statistics title, it shall have filed reports with the national office before the midway point in its regular-season schedule and shall have reported weekly thereafter. If a conference office files the reports, both the league and the institution share the responsibility for providing the updates on a regular basis as described above.
- **31.10.1.2 Substantiation of Statistics.** Filing a statistics report does not ensure automatically a member institution's inclusion in the NCAA's national statistics rankings. The report shall be mathematically accurate. Unusual statistics that cannot be promptly supported will not be included in the rankings. The NCAA shall withhold such reports from the ranking until a satisfactory explanation and substantiation of the statistics are submitted by an appropriate official of the member institution involved.
- **31.10.1.3 Correlation with Conference Statistical Rules.** If a conference adopts a statistical rule different than that of the NCAA, the Executive Committee shall determine on a case-by-case basis how the statistics resulting from use of the special rule will be related to the national statistics program.
- **31.10.1.4 Countable Opponents.** Only contests against varsity intercollegiate teams of four-year, degree-granting institutions shall be included in individual and team statistics, won-lost record and coaching records. Contests against service teams, professional teams, semiprofessional teams, amateur teams, two-year colleges and club teams are specifically excluded. Further, only contests against varsity intercollegiate teams of four-year, degree-granting institutions that play a majority of their contests in that sport against varsity intercollegiate teams (per Constitution 3.2.4.4) of United States four-year, degree-granting institutions shall be tabulated for inclusion in such statistics (and counted for purposes of team selection for an NCAA championship per Bylaw 31.3.3.1).
- **31.10.1.5** Ranking Minimums. Ranking minimums shall be determined by the national office to assure a valid comparative basis. The minimums shall be based on the normal participation or performance levels of the "field" in a given category and shall be reviewed annually.
- **31.10.1.6 Individual Minimum-Games Requirement.** To be ranked, a student-athlete must appear in 75 percent of the team's games (a volleyball student-athlete must appear in 66.6 percent of the team's games, an ice hockey goaltender must play in 33.3 percent of the team's minutes and a lacrosse goaltender must play in 60 percent of the team's minutes). National per-game rankings shall be based on the number of games an individual actually plays; and even if a player is in the lineup for only one play, the player shall be charged with a game played whether or not the player touches the ball.
  - **31.10.1.6.1 Exception.** For statistical purposes only, if a player appears in a football game only to hold the ball on point-after-touchdown kick attempts, he shall not be charged with a game played. However, if he becomes statistically involved on the play (e.g., successfully runs, passes or kicks following an aborted kick attempt), he shall be charged with a game played.
- **31.10.1.7 Less Than Minimum-Game Rule.** If a player has participated in fewer than the required percentage of the team's games, but the player's per-game average still would be highest if charged with the necessary required games, the player shall be declared champion. However, no player shall be declared champion by the application of both the necessary required games and the minimums required for punting and kick-return championships (see Bylaws 31.10.4.6 and 31.10.4.7).

#### 31.10.1.8 Statistics of Ineligible Student-Athletes

- **31.10.1.8.1 Declared Ineligible during Season.** The individual statistics of any student-athlete declared ineligible by a member institution, a conference or the NCAA for any remaining portion of a season shall not be included in the national statistics rankings from the date the student-athlete is declared ineligible.
- **31.10.1.8.2** Declared Ineligible Subsequent to Championships Competition. When a participant in an NCAA championship is declared ineligible subsequent to the championship competition, all of the student-athlete's statistics in the championship; the team's championships won-lost record and all of its statistics shall be asterisked and footnoted, and its place in the final standings shall be asterisked and noted as vacated. All team and individual statistics for the offending team's opponents shall remain unaffected.

#### 31.10.2 Baseball and Softball Statistics

## National Statistics Program/31.10.2.1—31.10.5

- **31.10.2.1 Fall Games.** For purposes of national statistics, games played during the fall shall not be included in a team's won-lost record and statistics.
- **31.10.2.2 Exhibition Games.** A game will be counted by both teams as an official contest for purposes of won-lost records and team and individual statistics unless both institutions agree in writing before the game that it is to be an exhibition contest. If it is an exhibition contest, the team and individual statistics and records cannot be counted for either team.
- **31.10.2.3 Batting Championship.** The national batting championship shall be based on a minimum of 2.5 times at bat per game played for baseball and 2.0 times at bat per game played for softball, as well as a minimum total number of official at-bats established annually by the national office based on current conditions and the division involved. However, if there is a player with fewer than the required number of at-bats whose average would be the highest if the player were charged with the required number of at-bats with zero additional hits, that player shall be declared champion.
- **31.10.2.4 Strikeout Ratio (Strikeouts per Seven or Nine Innings).** The national individual strikeout ratio champion for pitchers shall be based on at least one inning pitched for every game played by that player's team, as well as a minimum total number of innings pitched established annually by the national office based on current conditions and the divisions involved. However, if there is a player with fewer than the required number of innings pitched whose ratio would be the highest if the player were charged with the required number of innings with zero strikeouts, that player shall be declared champion.
- **31.10.3 Basketball Statistics.** All tournament games (e.g., holiday, postseason and conference playoffs) shall be included in the official statistics.

#### 31.10.4 Football Statistics

- **31.10.4.1 Seven-Game Requirement.** An institution shall be required to play at least seven games against four-year, degree-granting varsity opposition (per Bylaw 31.10.1.4) to be eligible for national statistics rankings.
- **31.10.4.2 Conference Championship Game.** A conference game that matches two divisional winners for the purpose of determining the league championship shall be counted in statistics and won-lost records.
- **31.10.4.3 Bowl Games, Conference Playoffs, National Playoffs.** Postseason bowl, conference playoffs that do not determine the league champion or national-championship playoff games are not considered as part of an institution's regular-season schedule. Consequently, the statistics of these games shall not be included in any team's or player's season or career records, and such statistics shall not affect single-game records. The results of such games, however, are included in a coach's career record, the team's all-time won-lost record and all team "streaks" (e.g., winning and consecutive scoring).
- **31.10.4.4 Overtime Games.** Statistics of extra-period (overtime) games are included in the contest's total statistics.
- **31.10.4.5 Passing Champion.** The national passing champion shall be determined by a rating formula with a minimum number of pass attempts required per game. However, if a player has fewer than the required number of pass attempts per game and his rating points still would be highest if he were charged with the necessary required pass attempts, and counting each attempt as an interception, he shall be declared champion.
- **31.10.4.6 Punting Champion.** The national individual punting champion shall be determined by the average yards per punt with a minimum number of punts per game. However, if a player has fewer than the required number of punts per game and his average per punt still would be the highest if he were charged with the necessary required punts for zero additional yards, he shall be declared champion.
- **31.10.4.7 Kick-Return Champions.** The national individual punt-return and kickoff-return champions shall be determined by average per return with a minimum number of returns required per game. However, if there is a player with fewer than the required minimum returns per game whose average per return would be the highest if he were charged with the necessary required returns with zero additional yards, he shall be declared the champion.
- **31.10.4.8 Minimum-Games Requirement.** For purposes of Bylaws 31.10.4.6 and 31.10.4.7, if a player has not participated in the required 75 percent of the team's games but has the required minimum number of returns or punts per game played, he is not to be charged with the number of games necessary for qualification because it cannot be assumed that he would have maintained his average in the additional games.
- **31.10.5 Forfeits.** Neither the outcome nor the statistics in any completed contest are reversible by a forfeit due to postgame administrative actions, except as provided in Bylaw 31.10.5.4 or by Committee on Infractions' decisions. The actual results of the contest and the statistics shall be entered as such in both

teams' all-time records, as well as in the coaches' records and in individual statistics. Contests later forfeited shall be denoted by the institution with an asterisk and a footnote.

- **31.10.5.1 Consistency of Application.** Each member institution involved in a forfeited contest is encouraged, but not required, to enter the results of the contest in its records in the same manner as the Association to prevent confusion in national rankings of team and coaching records.
- **31.10.5.2** "No Contest" Declaration. There is no forfeit of a contest until all participating teams are present and the referee or other appropriate contest official has assumed jurisdiction in accordance with the applicable playing rules. When a team does not appear (e.g., due to weather conditions, accidents, breakdown of vehicles, illness or catastrophic causes), a forfeit is not recorded. An institution shall not, for statistical purposes, declare a forfeit for nonfulfillment of a contract. Such instances shall be considered as "no contest." In circumstances involving institutions from the same conference, the league office has the option to declare a forfeit win and loss for conference-standings purposes only but this does not change an institution's overall won-lost record.
  - **31.10.5.2.1 Basketball, Men's.** If, under the NCAA Men's Basketball Rules Committee's interpretation regarding interrupted games, the officials do not declare a winner or loser in such a contest, the status shall be "no contest." Statistics shall not be counted, nor shall either team's won-lost record or coach's record be changed.
- **31.10.5.3 Contest in Progress.** If a forfeit is declared while a contest is in progress or a situation occurs that forces a premature end to the contest, all statistics (other than won-lost and coach's records) are voided unless the contest has progressed to a "reasonable point of conclusion" (e.g., three quarters in football and lacrosse, 30 minutes in basketball and five innings in baseball and softball and two periods in ice hockey; for volleyball, all completed games in a match shall count, as well as a partial game if the team ahead has scored at least 10 points), in which case all statistics shall count and shall be reflected in all records. The team's won-lost record shall include the forfeit, but if the statistics are voided, all averages in future rankings shall be computed without inclusion of the forfeited contests.
- **31.10.5.4** Actions by NCAA Management Council. An institution required to forfeit contests under the restitution provisions of Bylaw 19.8 shall vacate and strike from its records the individual records and performances achieved by the ineligible player during the period of ineligible participation in the following manner:
- (a) Team victories shall be abrogated and coaching records altered by the institution and the national office for those contests in which the player participated while ineligible;
- (b) It is not required that similar action be taken in regard to the individual records and performances of other players (teammates and opponents) who participated in contests during the period in which the player participated while ineligible;
- (c) While neither the forfeiting institution nor the national office has the authority to require opponents receiving those forfeits to alter their season records, the forfeiting institution should actively encourage its opponents to do so; and
- (d) These same conditions shall be applicable to the records of a conference. Neither the institution nor the national office has the authority to require a conference to alter its records. However, the institution should actively encourage such a result.

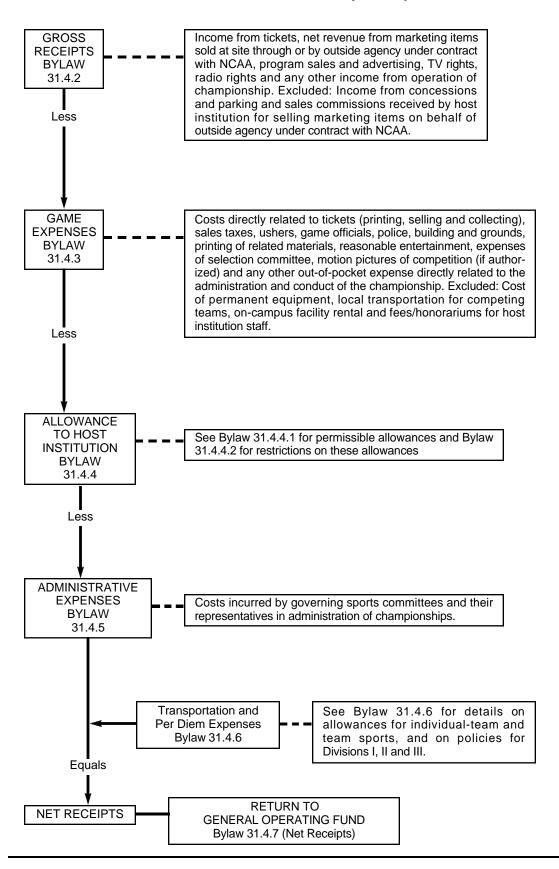
#### 31.10.6 Career Records

- **31.10.6.1 Cohead Coaches.** In the event that an institution designates a cohead-coaching system, the won-lost records of both coaches for each season that they are cohead coaches are to be included in each coach's total career record. In such instances, both coaches' career records shall be denoted by an asterisk and a footnote [e.g., includes 40-20 record as a cohead coach with (name of other coach) during the years indicated].
- **31.10.6.2 Division Classification.** For career record purposes, a player's statistics are recognized by the Association only for the years that the individual's college was an active member of the Association. In the event an individual's college changed divisions while a member, career statistics for the individual are credited only in the division in which he or she actually competed each year.
- **31.10.6.3 Collegiate Record Category.** In the above-mentioned case where an individual's college changed divisions while a member, the player's full career statistics are to be entered in the collegiate record category.
- **31.10.6.4 Determination of Head Coach at an Institution.** In order for a coach to be credited with wins, losses or ties, that individual must be designated as the institution's head coach for the entire sports season. Individuals serving on an advisory or preseason basis may not be credited with the wins, losses or ties. If the head coach is not present at a contest due to illness or other unexpected circumstances, or otherwise is unable to complete the sports season, it is up to the institution to deter-

### National Statistics Program/31.10.6.4

mine whether the win, loss or tie for that contest(s) shall be credited to the head coach or to an interim or assistant coach, as determined by the institution prior to the contest(s). (Revised: 6/15/94)

# FIGURE 31-1 Summary of the Elements in the Administration of Finances for NCAA Championships



# **Enforcement Policies and Procedures**

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#### 32.1 COMMITTEE ON INFRACTIONS AND HEARING OFFICER— SPECIAL OPERATING RULES

- **32.1.1 Confidentiality.** The Committee on Infractions, the appropriate appeals committee per Bylaw 19.3, hearing officers and the enforcement staff shall treat all cases before them as confidential until the same have been announced in accordance with the prescribed procedures. (*Revised: 1/11/94*)
- **32.1.2 Public Announcements.** The enforcement staff shall not confirm or deny the existence of an infractions case prior to complete resolution of the case through normal NCAA enforcement procedures. However, if the involved institution makes a public announcement concerning a case, the enforcement staff may confirm the information made public by the institution and may correct erroneous or incomplete information about the investigation that has been made public by the institution.
- **32.1.3 Conflict of Interest.** Any member of the Committee on Infractions or the appropriate appeals committee per Bylaw 19.3 or a hearing officer who is directly connected with an institution under inquiry shall not take part in any NCAA proceedings connected with the case. In addition, Committee on Infractions members, members of the appropriate appeals committee per Bylaw 19.3 or hearing officers with personal relationships or institutional affiliations that reasonably would result in the appearance of prejudice should refrain from participating in any manner in the processing of the involved institution's or individual's infractions case. It is the responsibility of the committee member, members of the appropriate appeals committee per Bylaw 19.3 or a hearing officer to remove himself or herself if a conflict exists. Objections to the participation of a committee member or the appropriate appeals committee member per Bylaw 19.3 should be raised as soon as recognized, but will not be considered unless raised at least one week in advance of the affected hearing. (*Revised: 1/16/93, 1/11/94*)
- **32.1.4 Cooperative Principle.** The cooperative principle imposes an affirmative obligation on each member institution to assist the NCAA enforcement staff in developing full information to determine whether a possible violation of NCAA legislation has occurred and the details thereof. An important element of the cooperative principle requires that all individuals who are subject to NCAA rules protect the integrity of an investigation. A failure to do so may be a violation of the principles of ethical conduct. The NCAA enforcement staff will usually share information with the institution during an investigation; however, it is understood that the staff, to protect the integrity of the investigation, may not in all instances be able to share information with the institution. (Adopted: 1/12/99)

See Figures 32-1 and 32-2, pages 436-437, for the processing of a typical infractions case.

#### 32.2 PRELIMINARY REVIEW OF INFORMATION

**32.2.1 Submission of Allegations.** All allegations and complaints relative to a member's failure to maintain the academic or athletics standards required for membership, the member's violation of the legislation or regulations of the Association, or the member's failure otherwise to meet the conditions and obligations of membership shall be received by the committee or the Association's president and channeled to the NCAA enforcement staff.

#### Preliminary Review of Information/32.2.1.1—32.2.2.4

- **32.2.1.1 Staff Initiation of Investigation.** The enforcement staff may initiate an investigation on its own motion when it has reasonable cause to believe that a member is or has been in violation of its obligations as a member of the Association.
- **32.2.1.2 Self-Disclosure by an Institution.** Self-disclosure shall be considered in establishing penalties, and, if an institution uncovers a violation prior to its being reported to the NCAA and/or its conference, such disclosure shall be considered as a mitigating factor in determining the penalty. (*Revised:* 10/12/94)
- **32.2.2 Investigative Guidelines.** The Committee on Infractions shall provide general guidance to the enforcement staff through approved and established investigative and procedural guidelines.
  - **32.2.2.1 Initial Enforcement Staff Responsibilities.** The enforcement staff is responsible for evaluating information reported to the NCAA office to determine whether the possible violation should be handled by correspondence with the involved institution or its conference, or whether the enforcement staff should conduct its own in-person inquiries.
    - **32.2.2.1.1 Basic Information Gathering.** The enforcement staff has a responsibility to engage in basic information gathering and, in doing so, may contact individuals to solicit information concerning possible violations.
    - **32.2.2.1.2 Matters Handled by Correspondence.** Matters that clearly are isolated and of relative insignificance should be handled promptly by correspondence with the involved institution.
    - **32.2.2.1.3 Investigation Decision.** When reasonably reliable information has been obtained indicating that a violation has occurred and that the violation appears to indicate intentional wrongdoing, a significant competitive advantage, or that false or misleading information has been reported to the involved institution or to the NCAA, the matter should be assigned to an enforcement representative for investigation.
    - **32.2.2.1.4 Timely Process.** The enforcement staff shall make reasonable efforts to process infractions matters in a timely manner.
    - **32.2.2.1.5 Consultation with Committee.** If questions arise concerning investigative procedures during the course of an investigation, the chair (or the full committee, if necessary) may be consulted by the enforcement staff.
  - **32.2.2.2 Identification of Major/Secondary Violation.** Upon review of information developed by the enforcement staff or self-reported by the member institution, the enforcement staff shall identify the charges as involving alleged major or secondary violations (as defined in Bylaw 19.02.2), subject to approval by the chair or another member of the Committee on Infractions designated by the chair.
  - **32.2.2.3 Preliminary Inquiry.** The enforcement staff, so far as practicable, shall make a thorough investigation of all charges that are received from responsible sources and that are reasonably substantial. The enforcement staff may conduct a preliminary inquiry for a reasonable period of time to determine whether there is adequate evidence to warrant an official inquiry; and in conducting this inquiry, the services of an enforcement representative may be used.
  - **32.2.2.4 Notice to Institution.** The enforcement staff shall submit a letter to the chief executive officer to notify a member institution of preliminary inquiries into its athletics policies and practices when information has been developed to indicate that violations of the Association's governing legislation may have occurred that will require further in-person investigation. Such a letter shall advise the chief executive officer that the enforcement staff will be undertaking a preliminary investigation, that the investigation will be conducted under the direction of the vice-president for enforcement services and that members of the enforcement staff wish to meet with the chief executive officer to discuss the nature of the investigation and to deliver a more complete notice of preliminary inquiry in person. The notice shall state that in the event the allegations appear to be of a substantial nature, an official inquiry may be filed in accordance with the provisions of Bylaw 32.5 or, in the alternative, the institution will be notified that the matter has been closed. Whenever possible, the notice also shall contain the following information regarding the nature of the potential violations: (*Revised: 1/16/93*)
  - (a) The involved sport; (Adopted: 1/16/93)
  - (b) The approximate time period during which the alleged violations occurred; (Adopted: 1/16/93)
  - (c) The identity of involved individuals; (Adopted: 1/16/93)
  - (d) An approximate time frame for the investigation; (Adopted: 1/16/93)
  - (e) A statement indicating that the institution and involved individuals may be represented by legal counsel at all stages of the proceedings; (Adopted: 1/16/93)
  - (f) A statement requesting that individuals associated with the institution not discuss the case prior to interviews by the enforcement staff and institution except for reasonable campus communica-

#### Preliminary Review of Information/32.2.2.4—Investigative Procedures/32.3.6

- tions not intended to impede the investigation of the allegations and except for consultation with legal counsel; (Adopted: 1/16/93)
- (g) A statement indicating that other facts may be developed during the course of the investigation that may relate to additional violations; and (Adopted: 1/16/93)
- (h) A statement regarding the obligation of the institution to cooperate in the case. (Adopted: 1/16/93)
  - **32.2.2.4.1 Status Notification within Six Months.** During the period of the preliminary inquiry, the enforcement staff shall inform the involved institution of the general status of the inquiry not later than six months after the institution's chief executive officer receives the notice of preliminary inquiry from the enforcement staff representatives. (*Revised:* 1/16/93)
  - **32.2.2.4.2 Review after One Year.** If the inquiry has not been processed to conclusion within one year of the date that the institution's chief executive officer receives the notice of preliminary inquiry from enforcement staff representatives, the enforcement staff shall review the status of the case with the Committee on Infractions. The committee shall determine whether further investigation is warranted, and its decision shall be forwarded to the involved institution in writing. If the investigation is continued, additional status reports shall be provided to the institution in writing at least every six months thereafter, until the matter is concluded. (*Revised: 1/16/93*)
- **32.2.2.5 Conflict of Interest.** Any enforcement staff member with a personal relationship or institutional affiliation that reasonably would result in the appearance of prejudice should refrain from participating in any manner in the processing of the involved institution's or individual's infractions case. (Adopted: 1/16/93)

#### **32.3 INVESTIGATIVE PROCEDURES**

- **32.3.1 Conformance with Procedures.** Investigations by the enforcement staff shall be conducted in accordance with the operating policies, procedures and investigative guidelines established by the Committee on Infractions, Management Council and membership in accordance with Bylaw 19.
- **32.3.2 Coordination with Member Conference.** The enforcement staff shall attempt to coordinate the processing of infractions cases with the involved member conference unless it would hinder the development of the NCAA investigation to do so.
- **32.3.3 Interviews with Member Institution.** The athletics director or other appropriate official of an institution shall be contacted by the enforcement staff in order to schedule interviews on the institution's campus with enrolled student-athletes or with coaching staff members who are involved in possible violations at the institution.
  - **32.3.3.1 Presence of Institutional Representative during Interview.** If an interview with an enrolled student-athlete or athletics department staff member is conducted on the campus of a member institution, an institutional representative (as designated by the institution) will be permitted to be present during the interview, provided the subject matter to be discussed in the interview relates directly to the individual's institution or could affect the individual's eligibility or employment at the institution. If the investigator wishes to discuss information with a student-athlete that is related solely to institutions other than the one in which the student-athlete is enrolled and that would not affect the student's eligibility, the institutional representative shall not be present during that portion of the interview. In such a situation (after the institutional representative has departed), any information inadvertently reported by the student-athlete that is related to his or her own institution shall not be utilized against the student-athlete or that institution.
  - **32.3.3.2 Conflict with Academic Schedule.** If possible, such interviews should be conducted without disrupting the normally scheduled academic activities of the student-athlete.
- **32.3.4 Proper Identification of NCAA Staff Member.** In no case shall an enforcement staff member misrepresent the staff member's identity or title.
- **32.3.5 Representation by Legal Counsel.** When an enforcement staff member conducts an interview that may develop information detrimental to the interests of the individual being questioned, that individual may be represented by personal legal counsel throughout the interview.
- **32.3.6 Disclosure of Purpose of Interview.** When an enforcement representative requests information that could be detrimental to the interests of the student-athlete or institutional employee being interviewed, that individual shall be advised that the purpose of the interview is to determine whether the individual has been involved directly or indirectly in any violation of NCAA legislation. Prior to alleging that a student-athlete or staff member has violated NCAA ethical-conduct legislation, the individual shall be advised that such an allegation may be forthcoming based upon the individual's:
- (a) Involvement in violations;

#### Investigative Procedures/32.3.6—32.3.11

- (b) Refusal to furnish information relevant to investigation of a possible violation when requested by the NCAA or by the institution; or
- (c) Provision of false or misleading information to the NCAA, conference or institution concerning the individual's knowledge of or involvement in a violation.
- **32.3.7 Limited Immunity.** At the request of the enforcement staff, the committee may grant limited immunity to a student-athlete who provides information when such individual otherwise might be declared ineligible for intercollegiate competition based on the information that he or she reports and an institutional employee with responsibilities related to athletics when such an individual otherwise would be subject to disciplinary action as described in Bylaws 19.6.1-(c) and 19.6.2.2-(l) based upon the information that individual reports. Such immunity shall not apply to the individual's involvement in violations of NCAA regulations not reported or to future involvement in violations of NCAA legislation by the individual. In any case, such immunity shall not be granted unless the individual voluntarily provides information not otherwise available to the enforcement staff when no previous information has been developed that would jeopardize the individual. The granting of limited immunity does not exempt an individual from any action that an institution imposes. (Revised: 10/12/94)
- **32.3.8 Recording of Interview Proceedings.** Whenever possible, interviews conducted by the enforcement staff shall be recorded through the use of a mechanical device and both the enforcement staff and the individual being interviewed may record the interview. The individual may receive a copy of the recording at minimal cost. (*Revised:* 8/2/91, 10/12/94)
  - **32.3.8.1 Institutional Recording of an Interview.** Interviews conducted in accordance with Bylaw 32.3.3.1 or jointly with the enforcement staff at any location, may be recorded by the institution under inquiry. Institutional recordings of NCAA interviews under any other circumstances must be approved by the Committee on Infractions. (Adopted: 10/12/94)
  - **32.3.8.2 Statement of Confidentiality.** Individuals and institutional representatives shall be required to agree not to release tape recordings or interview transcripts to a third party. A statement of confidentiality shall be signed or recorded prior to an interview. Failure to enter into such an agreement would preclude the individual or institutional representative from recording or transcribing the interview. (Adopted: 10/12/94, Revised: 1/6/96)
  - **32.3.8.3 Handwritten Notes.** It shall be permissible for all individuals involved in interviews conducted by the enforcement staff to take handwritten notes of the proceedings. (*Revised:* 10/12/94)
  - **32.3.8.4 Use of Court Reporters.** Institutional representatives or individuals being interviewed may use a court reporter to transcribe and interview subject to the following conditions. The institution or individual shall: (Adopted: 1/6/96)
  - (a) Pay the court reporter's fees;
  - (b) Provide a copy of the transcript to the enforcement staff at no charge; and
  - (c) Agree that the confidentiality standards of Bylaw 32.3.8.2 apply.

An institutional representative or individual who chooses to utilize a court reporter shall submit a written notice of agreement with the required conditions to the enforcement staff prior to the interview on a form approved by the Committee on Infractions. If the enforcement staff chooses to use a court reporter, the NCAA will pay all costs of the reporter. A copy of the transcript prepared by the court reporter for the enforcement staff shall be made available to the institution and the affected involved individuals at the NCAA offices or at a custodial site as provided for in Bylaw 32.5.4. (Adopted: 1/6/96)

- **32.3.9 Verification of and Access to Reports.** Whenever possible, individuals who have reported to the enforcement staff information that will be used in an infractions case should be given the opportunity to review the information set forth in the investigator's report of the interview and be provided the opportunity to make additions or corrections. Copies of these reports and any report or transcript prepared by the enforcement staff are confidential and shall not be provided to individuals (or their institutions) who may be involved in reporting information during the processing of an infractions case. The reports and all other pertinent information shall be retained on file in the NCAA national office. Such information that will be used in the presentation of the case may be reviewed in the national office or at the site of a custodial agent in accordance with the provisions of Bylaw 32.5.4. (Revised: 1/6/96)
- **32.3.10 Corroboration or Refutation of Information.** The enforcement staff shall attempt to develop any information that would corroborate or refute alleged violations of NCAA legislation reported in previous interviews.
- **32.3.11 Failure to Cooperate.** In the event that a representative of a member institution refuses to submit relevant information to the committee or the enforcement staff upon request, an official inquiry may be filed with the institution alleging a violation of the cooperative principles of the NCAA bylaws and enforcement procedures. Institutional representatives may be requested to appear before the committee at the time the allegation is considered.

- **32.3.12 Termination of Investigation.** The enforcement staff shall terminate the investigation related to any preliminary inquiry in which information is developed that does not appear to be of sufficient substance or reliability to warrant an official inquiry, it being understood that the committee shall review each such decision.
- **32.3.13 Authorization of Meeting with Chief Executive Officer.** The committee may authorize an enforcement staff member to meet personally with the chief executive officer or a designated representative of the involved institution to discuss the allegations investigated and information developed by the NCAA in a case that has been terminated.

#### 32.4 PROCESSING INFORMATION FOR SECONDARY VIOLATIONS

- **32.4.1 Authority of Conference Commissioners.** Selected secondary violations that have been identified by the Committee on Infractions, and for which specific disciplinary or corrective actions have been prescribed by the Committee on Infractions, shall be processed by the member institution's conference when such violations occur for the first time in a particular sport. Those violations that temporarily affect the individual eligibility of a prospective or enrolled student-athlete (as indicated on the prescribed penalty schedule) must continue to be handled through the normal student-athlete reinstatement process. Any violations processed and penalties imposed by the conference commissioner shall be reported to the NCAA enforcement staff on a quarterly basis. If an institution believes that a case warrants action that is less than the prescribed penalty, it may request further review by the vice president for enforcement and student-athlete reinstatement. (Adopted: 10/21/97 effective 1/1/98)
- **32.4.2 Review of Institutional or Conference Actions or Penalties In Secondary Cases.** If the Committee on Infractions or the enforcement staff, after review of institutional or conference action taken in connection with a rules infraction in secondary cases, concludes that the corrective or punitive action taken by the institution or conference is representative of and consistent with NCAA policies and principles, the committee or the enforcement staff, subject to approval by the chair or another member of the Committee on Infractions designated by the chair, may exercise the discretion to take no further action. (*Revised:* 10/12/94)
  - **32.4.2.1 Sufficient Actions.** Institutional or conference actions that are accepted by the NCAA as sufficient in secondary cases will be announced in The NCAA News in summary fashion only. If the NCAA adopts (rather than accepts) a penalty and reserves jurisdiction to ensure that the actions are implemented fully, such adopted penalties will be publicly announced. (*Revised: 10/12/94*)
  - **32.4.2.2 Insufficient Actions.** If the institutional or conference actions appear to be insufficient, the enforcement staff shall notify the institution of the proposed additional penalties in a secondary case (after review with a committee member) or shall process as a major case. (*Revised:* 10/12/94)
- **32.4.3** Action Taken by Enforcement Staff (Not Institution or Conference). If the enforcement staff, after consideration of the information that has been developed and after consulting with the member institution involved, determines that a secondary violation has occurred, the enforcement staff, upon approval by the chair or another member of the Committee on Infractions designated by the chair, may determine that no penalty is warranted or, if appropriate, require one or more of the alternatives set forth in Bylaw 19.6.1.
  - **32.4.3.1 Report to Committee.** Any action taken by the enforcement staff, upon approval by the chair or another member of the Committee on Infractions designated by the chair, either not to impose a penalty when a violation has occurred or to take disciplinary action that is not appealed by the institution, will be reported to the Committee on Infractions.
  - **32.4.3.2 Publication in The NCAA News.** Such disciplinary or corrective actions taken by the enforcement staff or by the Committee on Infractions in cases involving secondary violations shall be published in The NCAA News.
- **32.4.4 Appeal of Secondary Cases.** To appeal penalties that have been imposed in secondary cases, an institution may request an opportunity for a personal appearance, either by sending representatives to appear before the committee or by having representatives participate in a telephone conference call. If an institution does not request an opportunity for a personal appearance, the committee will review the institution's appeal on the basis of the written record. (Adopted: 1/12/99)

#### **32.5 OFFICIAL INQUIRY**

**32.5.1 Letter to Chief Executive Officer.** If the enforcement staff determines that an allegation or complaint warrants an official inquiry, the staff shall determine its scope and thrust and direct a letter to the chief executive officer of the member involved (with copies to the faculty athletics representative and the athletics director of the member and to the executive officer of the conference of which the institution is

#### Official Inquiry/32.5.1—32.5.6

a member), fully informing the chief executive of the matter under inquiry and requesting cooperation to the end that the facts may be discovered.

- **32.5.1.1 Request for Disclosure/Appearance.** By this letter, the chief executive officer of the member involved shall be requested to disclose all relevant information, and the letter may require the appearance of the chief executive or a designated representative before the committee at a time and place that are mutually convenient, if such appearance is deemed necessary. If a member declines to meet with the committee after having been requested to do so, the member shall not have the right to appeal either the committee's findings of facts and violations or the resultant penalty.
- **32.5.1.2 Identification of Allegations.** An official inquiry shall include a statement of the NCAA regulations alleged to have been violated, as well as the details of each allegation that in the judgment of the enforcement staff can be supported by sufficient information to reasonably expect that the Committee on Infractions will find the violation to have occurred.
- **32.5.1.3 Identification of Individuals/Regulations.** The enforcement staff shall provide to the institution the identity of all individuals upon whom the staff intends to rely in presenting the case. Additional individuals or additional regulations that are identified subsequent to the submission of an official inquiry also shall be reported to the institution promptly and, in all cases, prior to the institution's appearance before the committee in accordance with Bylaw 32.5.11.
- **32.5.2 Statute of Limitations.** Allegations included in a letter of official inquiry shall be limited to possible violations occurring not earlier than four years before the date the notice of preliminary inquiry is forwarded to the institution or the date the institution notifies (or, if earlier, should have notified) the enforcement staff of its inquiries into the matter. However, the following shall not be subject to the four-year limitation: (*Revised:* 10/12/94)
- (a) Allegations involving violations affecting the eligibility of a current student-athlete;
- (b) Allegations in a case in which information is developed to indicate a pattern of willful violations on the part of the institution or individual involved, which began before but continued into the four-year period; and
- (c) Allegations that indicate a blatant disregard for the Association's fundamental recruiting, extra-benefit, academic or ethical-conduct regulations or that involve an effort to conceal the occurrence of the violation. In such cases, the enforcement staff shall have a one-year period after the date information concerning the matter becomes available to the NCAA to investigate and submit to the institution an official inquiry concerning the matter.
- **32.5.3** Availability of Primary Investigator. Subsequent to the filing of an official inquiry in an infractions case, the primary investigator in the case shall be available to assist the involved institution for purposes such as meeting with the institution to discuss the development of its response and assisting in locating various principals in the case.
- **32.5.4 Disclosure of Information.** Within 30 days following the filing of an official inquiry in an infractions case, the enforcement staff shall make available to the member institution and to the involved individuals reasonable access to all pertinent evidentiary materials, including tape recordings of interviews and documents, upon which the inquiry is based. Requests for access to such evidentiary materials shall be delivered to the enforcement staff. The staff shall be responsible for maintaining custody of all of the evidentiary materials. The staff shall provide access to these materials at the NCAA national office or at custodial sites reasonably near the involved institution or the involved individuals. If information is developed subsequent to the 30-day period, the enforcement staff shall notify the involved institution and involved individuals of its availability. The same procedure for requesting access to these materials shall be followed. (Adopted: 1/16/93, Revised: 10/12/94)
- **32.5.5 Determination of Meeting Date.** The Committee on Infractions shall set the dates and times for all hearings before the committee. Reasonable effort will be made to schedule the hearings at convenient times and places for the involved institutions and individuals. The committee shall notify all relevant parties of the hearing date and site. (*Revised:* 1/16/93, 10/12/94)
- **32.5.6 Contents of Official Inquiry Cover Letter.** The cover letter accompanying each official inquiry shall contain notification that the institution is requested to:
- (a) Read each allegation involving a present or former institutional staff member, or a prospective, present or former student-athlete whose eligibility could be affected based on involvement in the alleged violation, to the identified individual;
- (b) Provide that individual the opportunity to submit in writing and orally any information the individual desires that is relevant to the allegation in question; and
- (c) Notify the individual in person, as well as in writing, that the individual and personal legal counsel (if any) may appear before the committee at the time it considers each allegation in which the individual is involved.

- **32.5.7 Notification of Others in Potential Jeopardy.** The enforcement staff shall notify athletics department staff members and student-athletes at institutions other than the one under inquiry, whose employment or eligibility could be affected, of the allegations in which they are named. A copy of such notification shall be forwarded to the chief executive officer of the institution that employs the staff member or in which the student is enrolled. All such individuals may submit responses to the Committee on Infractions, and the institution under inquiry shall provide a copy of pertinent portions of its response to each individual who will attend the committee's hearing in the case.
- **32.5.8 Notice of Hearing Procedures.** The cover letter accompanying each official inquiry shall contain a specific reference to Bylaw 32.7 (which describes the general procedures to be followed during a hearing), as well as notice in extensive cases that the primary NCAA investigator in the case is available to assist the institution in its investigation of the allegations in the official inquiry.
- **32.5.9 Obligation to Provide Full Information.** A member that is subject to official inquiry shall collect all information available to it concerning the allegations set forth in the inquiry. At any appearance before the committee, the member and the enforcement staff shall have the obligation of providing full information concerning each allegation (i.e., information that would corroborate or refute each allegation).
- **32.5.10 Deadline for Institutional Response.** The institution's response to the official inquiry shall be on file with members of the committee and the enforcement department within 90 days of the institution's receipt of the inquiry, unless the committee grants an extension. An institution may not submit additional documentary evidence (in addition to its initial response) at that meeting without prior authorization from the committee. (*Revised:* 1/16/93)
- **32.5.11 Prehearing Conference.** Within 30 days of an institution's submission of its written response to an official inquiry, in a case involving an alleged major violation, the enforcement staff shall consult with institutional representatives and other involved individuals in order to clarify the issues to be discussed in the case during the hearing, make suggestions regarding additional investigation or interviews that should be conducted by the institution to supplement its response and identify allegations that the staff intends to withdraw. (*Revised:* 1/16/93, 10/12/94)
  - **32.5.11.1 Extension.** The committee may approve additional time for representatives of the involved individuals and institution and the enforcement staff to conduct such prehearing conferences. (*Adopted:* 1/16/93)
- **32.5.12 NCAA Summary Case Statement.** Not later than 14 days prior to the date of the institution's appearance, the enforcement staff shall prepare a summary statement of the case that indicates the status of each allegation and identifies the individuals upon whom and the information upon which the staff will rely in presenting the case. This summary shall be provided to the members of the Committee on Infractions and to representatives of the institution and involved individuals prior to the hearing. The committee may waive this 14-day period for good cause shown. (Adopted: 10/12/94)

#### 32.6 SUMMARY DISPOSITION AND EXPEDITED HEARING

- **32.6.1 Summary Disposition Election.** In major infractions cases involving member institutions that are not subject to the provisions of Bylaw 19.6.2.3, the member institution and involved individuals may elect to process the case through the summary disposition procedures specified below. (*Adopted: 1/16/93, Revised: 4/22/98*)
  - **32.6.1.1 Thorough Investigation.** The Committee on Infractions shall determine that a thorough investigation of possible violations of NCAA legislation has been conducted. The investigation may be conducted by the NCAA enforcement staff and/or the institution, but the enforcement staff must agree that a complete and thorough investigation has been conducted and that the institution fully cooperated in the process. (Adopted: 1/16/93)
  - **32.6.1.2 Written Report.** The institution, involved individuals and the NCAA enforcement staff shall submit a written report setting forth: (*Adopted: 1/16/93*)
  - (a) The proposed findings of fact;
  - (b) A summary of information on which the findings are based;
  - (c) A stipulation that the proposed findings are substantially correct;
  - (d) The findings that are violations of NCAA legislation; and
  - (e) A statement of unresolved issues that are not considered substantial enough to affect the outcome of the case.
  - **32.6.1.3 Proposed Penalties.** The institution and involved individuals shall submit proposed penalties within the guidelines set forth in the penalty structure for major violations specified in Bylaw 19.6.2. The institution and involved individuals also may submit a statement regarding mitigating factors. (Adopted: 1/16/93)

#### Summary Disposition/32.6.1.4—Committee on Infractions Hearings/32.7.4.3

- **32.6.1.4 Committee on Infractions Review.** The Committee on Infractions shall consider the case during its next scheduled meeting. (Adopted: 1/16/93)
  - **32.6.1.4.1 Approval of Findings and Penalties.** If the agreed-upon findings and proposed penalties are approved, the committee shall prepare a written report, forward it to the institution and involved individuals and publicly announce the resolution of the case under the provisions of Bylaw 32.9. (*Adopted: 1/16/93*)
  - **32.6.1.4.2 Findings Not Approved.** If the committee does not approve the findings, the hearing process set forth in Bylaw 32.7 shall be followed. At the conclusion of the hearing process, the committee shall prepare a written report, forward it to the institution and involved individuals and publicly announce the committee's decision under the provisions of Bylaw 32.9. If, following the committee's announcement of its decision in the case, the institution and/or the involved parties do not agree to the findings made by the committee, the institution and/or the involved parties will have the right to appeal those penalties to the NCAA Division I Infractions Appeals Committee in accordance with Bylaws 32.10 and 32.11. (Adopted: 1/16/93)
  - **32.6.1.4.3 Penalties Not Approved.** If the committee accepts the agreed-upon findings but does not approve the proposed penalties, the institution and involved individuals may elect to participate in an expedited hearing. Expedited hearings shall be conducted based on the findings submitted, and the institution and involved individuals may present additional information regarding the uniqueness of the case and mitigating factors. If the institution or the involved individuals decline to participate in an expedited hearing, a hearing regarding the alleged violations shall be conducted under the provisions of Bylaw 32.7. At the conclusion of the hearing process, the committee shall prepare a written report, forward it to the institution and involved individuals and publicly announce the committee's decision under the provisions of Bylaw 32.9. If, following the committee's announcement of its decision in the case, the institution and/or the involved parties do not agree to the additional penalties imposed, the institution and/or the involved parties will have the right to appeal those penalties to the NCAA Division I Infractions Appeals Committee in accordance with Bylaws 32.10 and 32.11. (Adopted: 1/16/93)

#### 32.7 COMMITTEE ON INFRACTIONS HEARINGS

- **32.7.1 Limitations on Presentation of Staff Evidence.** In major cases requiring an institutional hearing before the committee, specific information and evidence developed by the staff related to alleged violations of NCAA regulations shall not be presented to the committee prior to the institution's appearance, except as provided in these procedures.
- **32.7.2 Opportunity to Appear before the Committee.** A member that is subject to official inquiry shall be given, upon its request, the opportunity to have representatives appear before the committee.
  - **32.7.2.1 Review Based on Written Record.** When the enforcement staff does not request that an institution be represented in person before the committee, the institution may choose to have the matter in question reviewed on the basis of the written record before the committee.
- **32.7.3 Notification of Hearing Procedures.** An institution shall be advised in writing prior to its appearance before the committee of the general procedures to be followed during the hearing. Such notification shall contain a specific reference to Bylaw 32.7 and shall indicate that, as a general rule, the discussion during the hearing will follow the numbering of the allegations in the official inquiry.

#### 32.7.4 Appearance of Individuals at Hearings

- **32.7.4.1 Request for Specific Individuals.** Institutional officials, staff members or enrolled student-athletes who are specifically requested to appear before the committee at an institutional hearing are expected to appear in person and may be accompanied by personal legal counsel. The committee also may request that former institutional staff members appear at a hearing. Such individuals also are expected to appear in person and may be accompanied by personal legal counsel.
- **32.7.4.2 Attendance at Hearings.** At the time the institution appears before the committee, its representatives may include officials of the institution, the institution's legal counsel, the individuals identified in Bylaws 32.5.6 and 32.5.7 and other enrolled student-athletes whose eligibility could be affected by information developed by the institution in conjunction with preparation of its response to an official inquiry. In any major case, such representatives should include the institution's chief executive officer or a designated representative outside the athletics department, as well as the head coach of the sport in question. An individual who appears before the committee may appear with personal legal counsel, and no additional individuals may be included among the institution's representatives during an institutional hearing unless specifically requested to be present by the committee. In accordance with Bylaw 32.7.4.1, the committee may request that other individuals be present during the hearing.

#### 32.7.4.3 Exclusion of Individuals from Hearing

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- **32.7.4.3.1** Exclusions Requested by the Institution. The institution may request that an individual be excluded from certain portions of the hearing, subject to approval by the committee. When an individual is excluded from the hearing room for a period of time, it shall be with the understanding that matters discussed in the hearing during that time will not relate to that individual.
- **32.7.4.3.2** Limited Attendance of Student-Athletes. Any student-athlete (and personal legal counsel) included among the institution's representatives may attend the hearing only during the discussion of the allegations in which the student-athlete is involved.
- **32.7.4.4 Representation of Member Conference.** The executive officer or other representative of a member conference's executive office may attend an institutional hearing involving a conference member, subject to approval of the involved institution.
- **32.7.4.5 Prohibited Attendee.** A member of the committee or the Infractions Appeals Committee who is prohibited under the provisions of Bylaw 32.1.3 from participating in any NCAA proceedings may not attend a Committee on Infractions hearing involving the member's institution unless specifically requested by the committee to be present as a witness.
- **32.7.4.6 Designation of Presentation Coordinators.** The chair shall request each institution appearing before the committee to select one person to coordinate institutional responses during the hearing. In addition, one individual from the enforcement staff will be responsible for coordinating the presentation of the enforcement staff.
- **32.7.5 Hearing Procedures.** The exact procedure to be followed in the conduct of the hearing will be determined by the committee.
  - **32.7.5.1 Case Summary.** The summary case statement required by Bylaw 32.5.12 shall be distributed to individuals in attendance as an aid in following the discussion of each allegation during the hearing. Individuals who attend only a portion of the hearing will receive only those parts of the case summary that are relevant to their participation in the hearing. (Revised: 10/18/89)
  - **32.7.5.2 Opening and Closing Statements.** At the outset of the hearing, a representative of the institution shall make an opening statement, followed by an opening statement by a representative of the enforcement staff. The contents of such a statement should not relate to the substance of the specific items contained in the official inquiry. Statements concerning the nature or theory of the case are encouraged. An institutional representative also may make a closing statement at the conclusion of the hearing, followed by a closing statement by a representative of the enforcement staff.
  - **32.7.5.3 Staff Presentation.** During the hearing, the enforcement staff first shall present the information that its investigation has developed.
  - **32.7.5.4 Institutional Presentation.** The member institution then will present its explanation of the alleged violations and questionable practices and any other arguments or information that it deems appropriate in the committee's consideration of the case.
  - **32.7.5.5 Type of Information.** Any oral or documentary information may be received, but the committee may exclude information that it determines to be irrelevant, immaterial or unduly repetitious.
    - **32.7.5.5.1 Information from Confidential Sources.** In presenting information and evidence for consideration by the committee during an institutional hearing, the enforcement staff shall present only information that can be attributed to individuals who are willing to be identified. Information obtained from individuals not wishing to be identified shall not be relied upon by the committee in making findings of violations. Such confidential sources shall not be identified to either the Committee on Infractions or the institution.
    - **32.7.5.5.2 Information Concerning Mitigating Factors.** Institutional, conference and enforcement staff representatives are encouraged to present all relevant information concerning mitigating or other factors that should be considered in arriving at appropriate penalties.
  - **32.7.5.6 Scope of Inquiry.** If a member institution appears before the committee to discuss its response to the official inquiry, the hearing shall be directed toward the general scope of the official inquiry but shall not preclude the committee from finding any violation resulting from information developed or discussed during the hearing.
  - **32.7.5.7 Committee Questioning.** The committee, at the discretion of any of its members, shall question representatives of the member institution or the enforcement staff, as well as any other persons appearing before it, in order to determine the facts of the case. Further, under the direction of the committee, questions and information may be exchanged between and among all parties participating in the hearing.
  - **32.7.5.8 Recording of Proceedings.** The proceedings of institutional hearings shall be recorded by a court reporter (unless otherwise agreed) and shall be tape-recorded by the committee. No additional verbatim recording of these proceedings will be permitted by the committee. The Committee on

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Infractions shall maintain custody of the tape recordings and any transcriptions. Reasonable access to review the tape recordings shall be provided at the NCAA national office or at custodial sites reasonably near the institution and involved individuals. In the event a transcription is necessary for use in an appeal, the relevant material shall be reproduced at the direction of the Committee on Infractions for submission to the appellate body and for review at the NCAA national office or at custodial sites reasonably near the institution and involved individuals. If an appeal is not sustained, the institution or individuals who file the appeal shall be responsible for the cost of the transcription, as well as the cost related to the use of the appropriate custodial office; except that the involved institution or individuals may be granted a waiver of responsibility for such costs by the Committee on Infractions. (Revised: 1/16/93)

- **32.7.6 Posthearing Committee Deliberations.** After all presentations have been made and the hearing has been concluded, the committee shall excuse all others from the hearing, and the committee shall make its determinations of fact and violation in private.
  - **32.7.6.1** Request for New Information. In arriving at its determinations, the committee may request additional information from any appropriate source, including the member institution or the enforcement staff. In the event that new information is requested from either the institution or the enforcement staff to assist the committee in arriving at findings of violations, both parties will be afforded an opportunity to be represented at the time such information is provided to the committee.
  - **32.7.6.2 Basis of Findings.** The committee shall base its findings on information presented to it that it determines to be credible, persuasive and of a kind on which reasonably prudent persons rely in the conduct of serious affairs.
  - **32.7.6.3 Imposition of Penalty.** If the committee determines that there has been a violation or questionable practice, it shall impose an appropriate penalty (see Bylaw 19.5); or it may recommend to the Board of Directors suspension or termination of membership in an appropriate case.
  - **32.7.6.4 Voting Requirements.** The finding of a violation or the imposition of a penalty or recommended action shall be by majority vote of the members of the committee present and voting. If fewer than eight members are present, any committee action requires a favorable vote of at least four committee members. (Revised: 10/12/94)

#### 32.8 HEARINGS BEFORE AN INDEPENDENT HEARING OFFICER

- **32.8.1 Committee Referral.** When the Committee on Infractions determines that an independent hearing officer should be utilized (per Bylaw 19.2.2), the committee will refer to the hearing officer all appropriate factual allegations for consideration. (*Adopted: 1/11/94*)
- **32.8.2 Hearing Procedures.** When assigned allegations for consideration, a hearing officer shall promptly schedule a hearing that shall be conducted in accordance with enforcement procedures applicable to Committee on Infractions hearings (see Bylaw 32.7). (*Adopted:* 1/11/94)
- **32.8.3 Notification of Findings.** Subsequent to the hearing, the hearing officer shall report findings of fact in writing to the Committee on Infractions and the parties who participated in the hearing within 30 days after the conclusion of the hearing or, if later, within 30 days after the filing of any posthearing written materials requested by the hearing officer. (Adopted: 1/11/94)
- **32.8.4 Findings Set Aside.** A finding arrived at by a hearing officer shall not be set aside by the Committee on Infractions except upon a determination that: (*Adopted:1/11/94*)
- (a) The hearing officer's finding clearly is contrary to the evidence presented to the hearing officer;
- (b) The facts found by the hearing officer do not constitute a violation of the Association's rules; or
- (c) A procedural error affected the reliability of the infraction that was utilized to support the hearing officer's finding.

#### 32.9 NOTIFICATION OF COMMITTEE ACTION

- **32.9.1 Infractions Report.** The committee, without prior public announcement, shall be obligated to submit promptly an infractions report, which sets forth its findings and penalty to be imposed, to the chief executive officer of the member institution (with copies to those individuals receiving copies of the official inquiry) that has been subject to the official inquiry. The following procedures shall apply to the infractions report:
- (a) Subsequent to an institutional hearing, the Committee on Infractions shall prepare and approve the final infractions report; (Revised: 10/12/94)
- (b) The infractions report(s) of the Committee on Infractions and the appropriate appeals committee per Bylaw 19.3 shall contain a consolidated statement of all penalties, corrective actions, requirements,

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- and other conditions and obligations of membership imposed upon a member institution found in violation of NCAA legislation. The statement of such actions shall include, but not be limited to, the penalties imposed upon the institution, eligibility rules to be applied, applicable executive regulations, the adjustment of individual and team standings in NCAA championship events, and the request for the return of any awards and net receipts received for participation in an NCAA championship; and (Revised: 10/12/94)
- (c) The committee's infractions report shall be sent to the chief executive officer of the involved institution and any involved individuals under the chair's signature or under the signature of a committee member selected to act for the chair. The report shall be sent by overnight mail service, and the committee's administrator shall confirm receipt by the institution and involved individuals in order that the 15-day appeal period applicable to this report may be established. (Revised: 10/12/94)
- **32.9.2 Release to Media.** Once the infractions report has been received by the institution, the report, with names of individuals deleted, shall be made available to the national wire services and other media outlets.
  - **32.9.2.1 Public Comment Prior to Release.** The committee's public announcement related to an infractions case shall be made available to the national wire services and other media outlets. In this regard, the involved institution shall be advised of the text of the announcement prior to its release and shall be requested not to comment publicly concerning the case prior to the time the NCAA's public announcement is released.
  - **32.9.2.2 Public Announcement and Comment at Release.** The chair or a member of the Committee on Infractions shall make the committee's public announcement related to major infractions when the committee determines that an announcement is warranted in addition to distribution of the written report. (*Adopted:* 1/16/93)

#### **32.10 APPEAL PROCEDURE**

- **32.10.1 Written Notice of Appeal.** To be considered by the appropriate appeals committee per Bylaw 19.3, the member institution's written notice of appeal of the Committee on Infractions' findings (subject to the conditions of Bylaw 32.10.2) or the penalty, or both, shall be received by the NCAA president not later than 15 calendar days from the date of the public release of the committee's report. The member's notice of appeal shall contain a statement of the date of the public release of the committee's report and a statement indicating whether the institution desires to submit its appeal in writing only or whether the institution will be represented before the appropriate appeals committee per Bylaw 19.3 at the time the appeal is considered. (Revised: 1/16/93, 1/10/95, 4/26/95)
- **32.10.2 Bases for Granting an Appeal.** A penalty determined by the Committee on Infractions may be set aside on appeal if the appropriate appeals committee per Bylaw 19.3 determines that the penalty is excessive or inappropriate based on all the evidence and circumstances. Determinations of fact and violations arrived at by the Committee on Infractions shall not be set aside on appeal, except upon a showing that: (Revised: 1/10/95)
- (a) The committee's finding clearly is contrary to the evidence presented to the committee;
- (b) The facts found by the committee do not constitute a violation of the Association's rules; or
- (c) A procedural error affected the reliability of the information that was utilized to support the committee's finding.
- **32.10.3 Appeal by an Individual Staff Member.** Any current or former institutional staff member who participates in a hearing (either in person or through written presentation) before the Committee on Infractions and is involved in a finding of a violation and who exercises the opportunity to appeal any of the findings in question (subject to the conditions of Bylaw 32.10.2) must submit a written notice of appeal to the NCAA president not later than 15 calendar days from the date of the public release of the committee's report. The individual and personal legal counsel may appear before the appropriate appeals committee per Bylaw 19.3 at the time it considers the pertinent findings. The institution shall be requested to notify its current staff members, and the enforcement staff will notify all other individuals directly of the appeal opportunity. (Revised: 1/16/93, 1/10/95, 4/26/95, 1/6/96)
- **32.10.4 Report to Infractions Appeals Committee.** The committee shall forward a report of the case to the appropriate appeals committee per Bylaw 19.3 at the time of public announcement. (*Revised:* 1/16/93, 1/10/95)
- **32.10.5 Committee on Infractions' Response to an Appeal.** The Committee on Infractions shall submit a response to the appropriate appeals committee per Bylaw 19.3 on each case that has been appealed. This response shall include: (*Revised:* 1/16/93, 10/12/94, 1/10/98)
- (a) The violations of the NCAA Constitution and by laws, as determined by the committee; (Revised: 10/12/94)

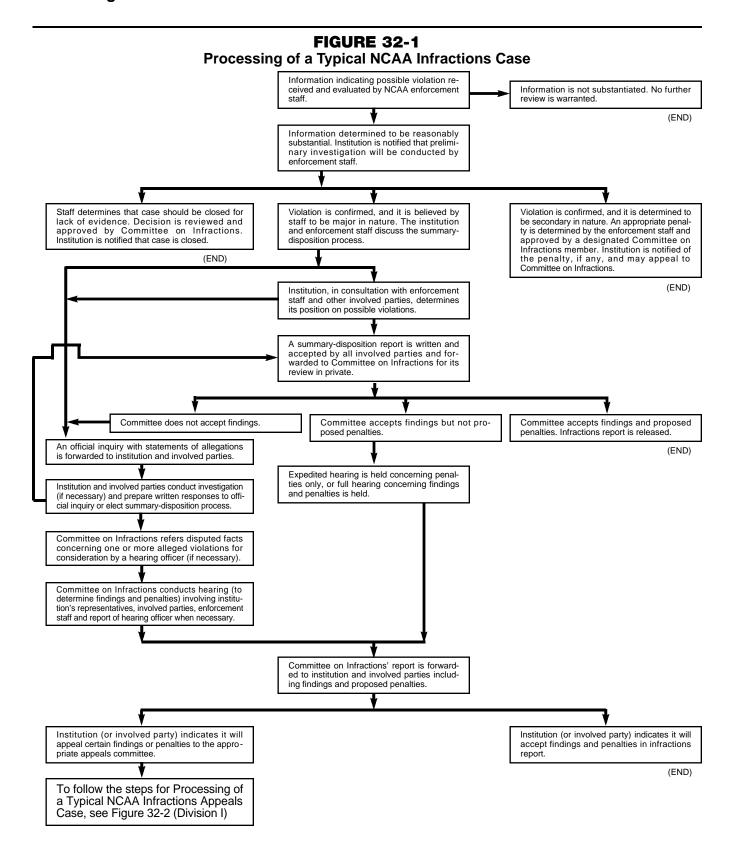
#### Appeal Procedure/32.10.5—Appeal Hearings/32.11.5

- (b) A statement of the committee's proposed penalties;
- (c) A statement of the origin of the case;
- (d) Related factors appropriate for consideration in judgment of the case;
- (e) Disciplinary or corrective actions taken by the institution or conference or any other agency involved in the particular incident;
- (f) If appropriate, any additional information that was presented to the committee during its consideration of the case that the Committee on Infractions deems to be relevant to the consideration of the appeal; and (Adopted: 10/12/94)
- (g) An attachment to the response will be a transcript of any hearing conducted by the Committee on Infractions. (Adopted: 10/12/94)
- **32.10.6 Response to Institution and Media.** A copy of the Committee on Infractions' response to the appropriate appeals committee per Bylaw 19.3, (as described in Bylaw 32.10.5) shall be provided to the institution prior to the time of its appearance before the appropriate appeals committee per Bylaw 19.3. Any press release regarding the response shall meet the requirements of Bylaw 32.9.2. (*Revised:* 10/18/89, 1/16/93, 4/20/94, 1/10/95, 1/10/98)
- **32.10.7 New Evidence.** If an institution (or involved party) appeals findings of major violations or penalties, a showing of new evidence directly related to the findings in the case that is discovered during the appeals process shall be referred back to the Committee on Infractions for its review (see Bylaw 19.02.3). (*Adopted:* 1/6/96)

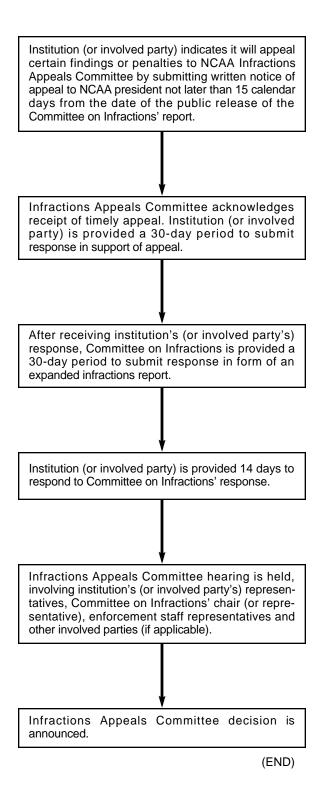
#### **32.11 APPEAL HEARINGS**

- **32.11.1 Hearing Procedures.** In its appeal to the appropriate appeals committee per Bylaw 19.3, the member institution may challenge the Committee on Infractions' findings of fact or penalties, or both, according to the following hearing procedures: (Revised: 1/16/93, 1/10/95)
- (a) If the institution elects to be represented in person before the appropriate appeals committee per Bylaw 19.3, the institution shall be permitted a reasonable time to make its oral presentation to supplement the institution's written appeal. The chair or another member of the Committee on Infractions then shall be permitted a reasonable time to present orally the committee's report. The period of time for the presentation by the institution and the Committee on Infractions shall be left to the discretion of the chair of the appropriate appeals committee per Bylaw 19.3; (Revised: 1/10/95)
- (b) If the member institution elects to appeal in writing only, the Committee on Infractions' written report shall be considered without an appearance by a committee representative; and
- (c) The appropriate appeals committee per Bylaw 19.3 then shall act upon the member's appeal, by majority vote of the members of the appropriate appeals committee per Bylaw 19.3 present and voting, and may accept the Committee on Infractions' findings and penalty or alter either one or both. (Revised: 8/2/91, 1/10/95, 1/6/96)
- **32.11.2 Consideration by Infractions Appeals Committee.** The appropriate appeals committee per Bylaw 19.3 shall consider the statements and evidence presented and, at the discretion of any of its members, may question representatives of the member institution or the Committee on Infractions, as well as any other persons appearing before it, in order to determine the facts related to the appeal. Further, under the direction of the appropriate appeals committee per Bylaw 19.3, questions and information may be exchanged between and among all parties participating in the hearing. (Revised: 1/16/93, 1/10/95)
- **32.11.3 Infractions Appeals Committee—Determination of Hearing Procedures.** The procedure to be followed in the conduct of the hearing will be determined by the appropriate appeals committee per Bylaw 19.3. However, the operating policies and procedures governing the determination of the individuals who may participate in the hearing, as well as the policies and procedures defining the committee's or appropriate Management Council's standards for consideration of information and determination of findings and penalties, shall be consistent with the established policies and procedures related to these matters that apply to hearings conducted by the Committee on Infractions. (Revised: 1/16/93, 1/10/95)
- **32.11.4 Decision Final.** Any decision in an infractions case by the appropriate appeals committee per Bylaw 19.3 shall be considered final. Any Division II or III Management Council decision in an infractions case shall be considered final upon adjournment of the Management Council meeting during which the appeal was decided, except for the January pre-Convention Management Council meeting, in which case, the decision shall be considered final at the end of the Management Council session prior to the opening business session of the Convention. (*Revised:* 1/16/93, 1/10/95)
- **32.11.5 No Further Review.** Determinations of fact and violations arrived at in the foregoing manner by the Committee on Infractions or by the appropriate appeals committee per Bylaw 19.3, on appeal, shall

be final, binding and conclusive and shall not be subject to further review by the Management Council or any other authority. (Revised: 1/16/93, 1/10/95)



# FIGURE 32-2 Processing of a Typical NCAA Infractions Appeals Case



# Athletics Certification Policies and Procedures

33.1	Self-Study and External Peer Review439	33.4	Self-Study Process and Report Procedures441
	External Peer-Review Teams439 Certification Schedule of Participating Institutions440	33.6 33.7	Orientation Visit

#### 33.1 SELF-STUDY AND EXTERNAL PEER REVIEW

The requirements and procedures set forth in this bylaw apply to the institutional self-study and athletics certification process specified in Constitution 3 and 6 and Bylaw 23. (Adopted: 1/16/93 effective 1/1/94)

#### 33.2 EXTERNAL PEER-REVIEW TEAMS

- **33.2.1 Function.** External peer-review teams shall be responsible for reviewing the institution's self-study report, conducting campus visits and offering comments to the review team's chair. The peer-review team shall approach the institution's self-study report and the campus visit with two objectives: (Adopted: 1/16/93 effective 1/1/94)
- (a) To verify that the self-study process involved campus-wide participation, and that the self-study report reflects accurately the operation of the institution's athletics program, and
- (b) To evaluate the institution's performance in relation to the fundamental operating principles specified in Bylaw 23.2.
- **33.2.2 Composition.** External peer-review teams shall be established to ensure that participating member institutions are evaluated by broadly representative teams composed of experienced educational and athletics personnel with substantial campus experience. Peer-review teams shall consist of three to five peer reviewers. Peer-review team members shall be appointed by the Committee on Athletics Certification and represent institutions in Division I. One NCAA staff member shall accompany and support each team on evaluation site visits. Any NCAA staff member with a personal relationship or institutional affiliation that reasonably would result in the appearance of prejudice shall refrain from participating in any manner in the involved institution's activities related to athletics certification. (Adopted: 1/16/93 effective 1/1/94, Revised: 8/10/94)

#### 33.2.3 Selection and Assignment of Peer-Review Teams

- **33.2.3.1 Opportunity of Institution to Review List of Potential Peer Reviewers.** Prior to the establishment of a peer-review team and the appointment of its chair, an institution shall be given the opportunity to review a list of potential peer reviewers and chairs for purposes of suggesting removal of those who seem inappropriate or unacceptable to the institution. The authority for establishing the composition of peer-review teams shall rest with the Committee on Athletics Certification. (Adopted: 1/16/93 effective 1/1/94)
- **33.2.3.2 Establishment of Team.** As a general rule, peer-review teams shall be established according to the needs, size and subdivision of the institution to be reviewed, and the coverage of topic areas, without regard to the specific constituent groups with which individual peer reviewers are identified. (Adopted: 1/16/93 effective 1/1/94)

#### 33.2.3.2.1 Pool of Peer Reviewers

- **33.2.3.2.1.1 Basic Qualifications.** To be included in the pool of peer reviewers, an individual shall be from a Division I institution or conference and shall have a general knowledge of intercollegiate athletics (as evidenced by positions held, committee service, etc.), and (Adopted: 1/16/93 effective 1/1/94)
- (a) Hold the position of chief executive officer, faculty athletics representative, director of athletics or senior woman administrator at a member institution (although recent retirees may be included in the pool under special circumstances), or
- (b) Have recognized expertise, skills or experience in particular areas addressed in the certifi-

#### Peer-Review Teams/33.2.3.2.1.1—Certification Schedule/33.3.1.3

- cation program (e.g., compliance coordinator, business manager, admissions officer, registrar, financial aid administrator, vice-president for fiscal or academic affairs, vice-president overseeing athletics).
- **33.2.3.2.1.2 Women and Ethnic Minorities.** The pool of peer reviewers shall include sufficient numbers of women and members of ethnic groups to ensure their frequent assignment to peer-review teams. (Adopted: 1/16/93 effective 1/1/94)
- **33.2.3.3 Modification of Composition of Team by Chair of Team.** The chair of the peer-review team, in consultation with the NCAA staff and the institution, shall have the authority to modify the composition of the team on the basis of the preliminary analysis of the self-study report. (Adopted: 1/16/93 effective 1/1/94)
- **33.2.3.4 Conflict of Interest.** Former and current employees, consultants or alumni shall not be assigned as peer reviewers to an institution with which they are so affiliated. Further, an individual shall not be allowed to serve as a peer reviewer of an institution that is in the same conference as the individual's conference. (Adopted: 1/16/93 effective 1/1/94)
- **33.2.3.5 Peer-Review Team Chair.** The review team's chair shall be one of the peer reviewers. The chair shall be responsible for preparing the team's written recommendation(s) regarding certification. The recommendation(s) shall be based upon the breadth of institutional participation and the depth of discussion at the institutional level, and adherence to the program's broad operating principles. The review team's chair also shall represent the team before the Committee on Athletics Certification and during appeals hearings. (Adopted: 1/16/93 effective 1/1/94)
- **33.2.4 Techniques and Documentation Used by Peer-Review Team.** The review team shall employ traditional evaluative techniques (e.g., review existing records, conduct in-person interviews of key personnel) to determine whether the institution's stated policies and procedures are engaged and functioning. Three documents also shall be of primary importance in this process: (Adopted: 1/16/93 effective 1/1/94)
- (a) The self-study instrument itself, which shall be completed by the institution for review by the external peer-review team;
- (b) A user's guide, which shall assist the institution through the self-study process, with specific attention to appropriate campus involvement and particular topics on which the institution shall focus; and
- (c) Instructions for peer-review teams, to orient team members in their work, including the review of written documents and appropriate topics for discussion in campus interviews.

#### 33.3 CERTIFICATION SCHEDULE OF PARTICIPATING INSTITUTIONS

- **33.3.1 Determination of Schedule.** The Committee on Athletics Certification shall determine the certification schedule, which shall be based on the following principles, insofar as is practicable: (Adopted: 1/16/93 effective 1/1/94)
- (a) Institutions from the same conference should be evenly scheduled throughout the certification cycle so as to reduce the burden on the conference office.
- (b) Conferences may suggest a schedule for their member institutions.
- (c) Certification should be scheduled in conjunction with the institution's regional accreditation insofar as is possible for those institutions that so desire.
- (d) When the above principles are not operative, procedures of random selection will be utilized.
  - **33.3.1.1 Certification Schedule for New Division I Members.** New Division I members shall be scheduled as soon as practicable after the effective date of their active membership. (Adopted: 1/16/93 effective 1/1/94)
  - **33.3.1.2 Modification of Schedule.** An institution may apply to the Committee on Athletics Certification for modification of its place in the schedule upon a showing of special need. The committee shall, at its discretion, revise the schedule if practicable, provided the modification does not extend beyond the 10-year self-study period. (Adopted: 1/16/93 effective 1/1/94, Revised: 10/12/95, 1/14/97 effective 8/1/97 beginning with the institution's second athletics certification cycle.)
  - **33.3.1.3** Postponement of Certification for Restricted Members or Those Involved in NCAA Investigations. The Committee on Athletics Certification may, at its discretion, delay or postpone the participation of an institution classified in a restricted-membership status or of an institution that is subject to the discontinuation of a sport(s) program(s) as a result of NCAA repeat-violator penalties, when the committee determines it is in the best interests of the Association to do so. (Adopted: 1/16/93 effective 1/1/94)

#### 33.4 SELF-STUDY PROCESS AND REPORT PROCEDURES

- **33.4.1 Time Period for Beginning and Completing Self-Study.** Institutions shall be permitted at least one calendar year to complete their certification self-studies and shall be permitted to begin their self-studies at any time. (*Adopted: 1/16/93 effective 1/1/94*)
- **33.4.2 Use of Outside Consultants.** Institutions shall not be prohibited from using outside consultants in conducting self-studies (e.g., organizing or facilitating an institution's self-study process). However, the institution's own personnel shall be responsible for generating the substance of the self-study report. Peer-review teams shall evaluate institutions on their role in the development of the content of self-study reports. (*Adopted:* 1/16/93 effective 1/1/94)
- **33.4.3 Submission of Self-Study Report.** Institutions shall be required to submit self-study reports and any supporting documentation to the NCAA staff sufficiently in advance of the evaluation visit. The peer-review team shall perform a preliminary analysis of the report based upon directives or criteria established by the Committee on Athletics Certification. The chair of the peer-review team shall have the authority to determine whether to accept the report. Any decisions to delay the processing of a report shall be made only after consulting with NCAA staff members and with the chair of the Committee on Athletics Certification. (*Adopted: 1/16/93 effective 1/1/94*)
- **33.4.4 Confidentiality of Report.** Institutional self-study reports shall be treated as confidential by the NCAA, peer-review teams and the Committee on Athletics Certification. Institutions, however, shall be permitted to distribute reports and supporting documentation at their discretion. (Adopted: 1/16/93 effective 1/1/94)

#### **33.5 ORIENTATION VISIT**

- **33.5.1 Timing and Purpose of Orientation Visit.** An NCAA staff member shall make an orientation visit to each institution at least one year in advance of the institution's initial-scheduled evaluation site visit. The primary purpose of the orientation visit shall be to discuss with institutional and conference personnel the purpose, process, implications and expectations of the certification program. (Adopted: 1/16/93 effective 1/1/94)
- **33.5.2 Conference Role.** Conference staff representatives may accompany NCAA staff members on orientation visits at conference expense. (*Adopted: 1/16/93 effective 1/1/94*)

#### 33.6 PEER-REVIEW EVALUATION VISIT

- **33.6.1 Purpose of Visit.** The primary purpose of the evaluation visit shall be to verify and evaluate the institution's self-study report and self-study process. (*Adopted: 1/16/93 effective 1/1/94*)
- **33.6.2 Notice of Visit.** An institution shall receive notice at least one year in advance of its evaluation visit. (Adopted: 1/16/93 effective 1/1/94)
- **33.6.3 Duties of Chair of Peer-Review Team.** The chair of the peer-review team shall be responsible for at least the following duties in conjunction with evaluation visits: (Adopted: 1/16/93 effective 1/1/94)
- (a) Consulting with NCAA staff on arrangements and preparations for evaluation visits;
- (b) Delegating tasks to be performed during the visit among team members and staff, in accordance with their areas of expertise;
- (c) Conducting meetings of the visiting team at the end of each day of the visit to summarize findings and to identify remaining tasks to be performed;
- (d) Meeting with an institution's chief executive officer to discuss informally the nature of the information to be presented in the exit interview;
- (e) Coordinating and leading the exit interview at the completion of the visit;
- (f) Communicating with the peer-review team following the visit to clarify conclusions for the written report;
- (g) Ensuring that the peer-review team's report is reflective of the visit's findings and recommendations, and is submitted to the Committee on Athletics Certification following the visit;
- (h) Communicating with the Committee on Athletics Certification regarding the evaluation visit and peerreview team report, including appearing in person, if necessary, before the committee regarding an institution's certification status;
- (i) Evaluating the performance of the peer reviewers serving on the teams; and
- (j) Otherwise ensuring that the team fulfills its responsibilities and the objectives specified for evaluation visits.

- **33.6.4 Conference Role.** Conference staff representatives may accompany peer-review teams on evaluation visits at the conference's expense. The role of the conference during the visit shall be determined on a case-by-case basis by the chair of the peer-review team in consultation with the conference. (Adopted: 1/16/93 effective 1/1/94)
- **33.6.5 Exit Interviews.** The entire peer-review team shall participate in an exit interview with the chief executive officer and other individuals invited by the chief executive officer. (Adopted: 1/16/93 effective 1/1/94)
- **33.6.6 Rules Violations Discovered during Evaluation Visit.** Possible violations of NCAA rules discovered during evaluation visits that relate to specific operating principles (per Bylaw 23.2) shall be communicated to the committee, the institution and the conference in the peer-review team's report. The institution shall be reminded of its obligation to self-report violations per Constitution 2.8.1. The institution's response to these findings shall be a factor in the certification decision. (Adopted: 1/16/93 effective 1/1/94, Revised: 10/12/95)
- **33.6.7 Release of Information Regarding Evaluation Visit.** Until the Committee on Athletics Certification renders its decision, information released regarding evaluation visits shall be limited to statements of the visit's status (i.e., whether the visit has not been made, is in progress or has been completed) and the identities of peer-review team members assigned to the institution. Before the formal assessment, the NCAA, peer-review team and the institution shall not publicize information regarding the visit and shall respond only to inquiries with the public information just described. Following release of the committee's decision, the institution is at liberty to release any information regarding the visit. (Adopted: 1/16/93 effective 1/1/94)

#### 33.7 REPORT OF PEER-REVIEW TEAM

- **33.7.1 Submission of Report.** Following the evaluation visit, a draft of the peer-review team's report, excluding the review team's recommendation regarding the institution's certification status, shall be provided to the chief executive officer of the institution. The chief executive officer shall have three weeks from the date that the draft is received to correct any factual errors contained in the team's report. A copy of the report then will be submitted by the chair of the team to the Committee on Athletics Certification as soon as practicable following the chief executive officer's review. A copy of the report also will be provided to the chief executive officer and to the institution's conference. (Adopted: 1/16/93 effective 1/1/94, Revised: 8/10/94)
- **33.7.2 Content of Report.** The peer-review team's report shall include the following: (Adopted: 1/16/93 effective 1/1/94)
- (a) An evaluation of the institution's self-study process as to openness, thoroughness and breadth of participation;
- (b) An evaluation of the institution's adherence to the certification program's operating principles;
- (c) A summary of perceived relative strengths and weaknesses in the institution's athletics program; and
- (d) A recommendation regarding the institution's certification status, based solely upon the information included in the peer-review team's report.
- **33.7.3 Institutional Reaction to Report.** The institution may submit a written reaction to the peer-review report. It shall be sent to the Committee on Athletics Certification (with a copy to the chair of the peer-review team) and shall be limited to correction of factual errors; the presentation of new, relevant information not considered by the team, and proposed corrective actions for remedying deficiencies. (Adopted: 1/16/93 effective 1/1/94)
  - **33.7.3.1 Response of Peer-Review Team to Institutional Reaction.** If the institution submits a reaction to the peer-review report, the chair of the peer-review team shall be asked to provide the Committee on Athletics Certification with the team's response to the institution's reaction, including the institution's proposed plan for improvement. (*Adopted: 1/16/93 effective 1/1/94*)

#### 33.8 CERTIFICATION DECISION

- **33.8.1 Basis of Certification Decision.** The certification decisions of the Committee on Athletics Certification shall be based upon the criteria set forth in Bylaw 23.3. (Adopted: 1/16/93 effective 1/1/94)
- **33.8.2 Conflict of Interest—Committee Members.** Committee members shall recuse themselves from certification decisions in which they may have potential conflicts of interest. Former and current employees, consultants or alumni shall not take part in certification decisions regarding an institution with which they are so affiliated. Further, an individual shall not take part in decisions regarding an institution that is in the same conference as the individual's conference. (*Adopted: 8/10/94*)
- **33.8.3** Appearance by Institutional Representatives. At the request of the committee, institutional

representatives may be asked to appear in person to clarify factual discrepancies and other matters at the time the committee is rendering its certification decision. (Adopted: 1/16/93 effective 1/1/94)

- **33.8.4 Request for Hearing.** The committee shall be obligated to honor an institution's request for a hearing related to a decision by the committee regarding the institution's certification status. (Adopted: 1/16/93 effective 1/1/94, Revised: 10/12/95)
- 33.8.5 Announcement of Certification Decision. The committee, after notifying the institution of its certification decision (and before final appeals are heard), will publicize the institution's name and the committee's decision regarding the institution's certification status through a standard press-release-type report. While other information related to the peer-review team's report or the Committee on Athletics Certification's actions shall be considered confidential between the institution and the NCAA, the institution may release information regarding the committee's decision at its own discretion. (Adopted: 1/16/93 *effective* 1/1/94)

# **Administrative Organization**

#### **DIVISION I BOARD OF DIRECTORS**

#### Division I-A

Division	ı I-A	
Name, Institution	Representing	Term Expires
John T. Casteen III President University of Virginia Charlottesville, Virginia 22906	Atlantic Coast Conference	Jan. 2002*
Francis Lawrence President Rutgers University Piscataway, New Jersey 08854-8053	Big East Conference	Jan. 2003*
Graham Spanier President Pennsylvania State University University Park, Pennsylvania 16802-158	Big Ten Conference	Jan. 2001*
Robert Hemenway Chancellor University of Kansas Lawrence, Kansas 66045-0001	Big 12 Conference	Jan. 2004*
Milton A. Gordon President California State University Fullerton, California 92834-6810	Big West/ Conference	Jan. 2003*
V. Lane Rawlins President University of Memphis Memphis, Tennessee 38152-6643	Conference USA	Jan. 2002*
Carol A. Cartwright President Kent State University Kent, Ohio 44242	Mid-American Conference	Jan. 2004*
Carol Harter President University of Nevada Las Vegas, Nevada 89154	Mountain West Conference	Jan. 2004*
David B. Frohnmayer President University of Oregon Eugene, Oregon 97403-1226	Pacific-10 Conference	Jan. 2003*
Charles Wethington President University of Kentucky Lexington, Kentucky 40506-0032	Southeastern Conference	Jan. 2001*
Robert Lawless President University of Tulsa Tulsa, Oklahoma 74104-3189	Western Athletic Conference	Jan. 2002*

#### **Divisions I-AA and I-AAA**

Name, Institution	Representing	Term Expires
Linwood H. Rose President James Madison University Harrisonburg, Virginia 22807-001	Colonial Athletic Association	Jan. 2004*
Harold T. Shapiro President Princeton University Princeton, New Jersey 08544-0015	IvyGroup	Jan. 2003*
Claire A. Van Ummersen President Cleveland State University Cleveland, Ohio 44115	Midwestern Collegiate Conference	Jan. 2003*
Donald Spencer President Western Illinois University Macomb, Illinois 61455	Mid-Continent Conference	Jan. 2001
Leroy Davis President South Carolina State University Orangeburg, South Carolina 29117	Mid-Eastern Athletic Conference	Jan. 2002*
Ron Eaglin President	Ohio Valley Conference	Jan. 2004*

Morehead State University Morehead, Kentucky 40351-1689

Rev. David T. Tyson President University of Portland Portland, Oregon 97203-5798 West Coast Jan. 2001\* Conference

#### **DIVISION I MANAGEMENT COUNCIL**

#### **Division I-A**

Name, Institution Arthur W. Cooper	Representing Atlantic Coast	Term Expires
Professor of Forestry North Carolina State University Raleigh, North Carolina 27695-8008	Conference	Jan. 2000
Deborah A. Yow Director of Athletics University of Maryland College Park, Maryland 20742	Atlantic Coast Conference	Jan. 2001*
Ron Wellman Director of Athletics Wake Forest University Winston-Salem, North Carolina 27109	Atlantic Coast Conference	Jan. 2002
Patricia Thomas Associate Director of Athletics Georgetown University Washington, D.C. 20057	Big East Conference	Jan. 2003*
Eugene DeFilippo Director of Athletics Boston College Chestnut Hill, Massachusetts 02167	Big East Conference	Jan. 2001*
Stan Wilcox Associate Commissioner Big East Conference 66 Exchange Terrace Providence, Rhode Island 02903	Big East Conference	Jan. 2004*
Percy Bates Professor of Education University of Michigan Ann Arbor, Michigan 48109-1259	Big Ten Conference	Jan. 2003*
Ellen L. Perry Associate Director of Athletics Pennsylvania State University University Park, Pennsylvania 16802	Big Ten Conference	Jan. 2001*
Clarence Doninger Director of Athletics Indiana University Bloomington, Indiana 47405	Big Ten Conference	Jan. 2001*
Gerald M. Lage Associate Dean of Business Administration Oklahoma State University Stillwater, Oklahoma 74078	Big 12 Conference	Jan. 2003*
Eugene D. Smith Director of Athletics Iowa State University Ames, Iowa 50011	Big 12 Conference	Jan. 2001*
Chris Plonsky Associate Director of Athletics University of Texas Austin, Texas 78705	Big 12 Conference	Jan. 2002
Angela D. Taylor Senior Associate Director of Athletics University of Nevada Reno, Nevada 89557	Big West Conference	Jan. 2003*
Michael L. Slive Commissioner Conference USA 35 Wacker Drive Chicago, Illinois 60601	Conference USA	Jan. 2002*

<sup>\*</sup> Not eligible for reselection to this position.

Name, Institution	Representing	Term Expires
Kathryn Statz Assistant Director of Athletics Marquette University Milwaukee, Wisconsin 53201	Conference USA	Jan. 2004*
Tom Jurich Director of Athletics University of Louisville Louisville, Kentucky 40292	Conference USA	Jan. 2003*
Andrea Seger Director of Athletics Ball State University Muncie, Indiana 47306	Mid-American Conference	Jan. 2001*
Chris Hill Director of Athletics University of Utah Salt Lake City, Utah 84112-9008	Mountain West Conference	Jan. 2004*
Edward "Ted" Leland Director of Athletics Stanford University Stanford, California 94305-6150	Pacific-10 Conference	Jan. 2002*
Betsy G. Stephenson Associate Director of Athletics University of California Los Angeles, California 90095-1639	Pacific-10 Conference	Jan. 2004*
Robert Aronson Professor of Law University of Washington Seattle, Washington 98105	Pacific-10 Conference	Jan. 2001
Kathy DeBoer Associate Director of Athletics University of Kentucky Lexington, Kentucky 40506	Southeastern Conference	Jan. 2004*
Jeremy N. Foley Director of Athletics University of Florida Gainesville, Florida 32611	Southeastern Conference	Jan. 2002*
Damon Evans Associate Director of Athletics University of Georgia Athens, Georgia 30602	Southeastern Conference	Jan. 2003*
Karl D. Benson Commissioner Western Athletic Conference 9250 East Costilla Avenue Englewood, Colorado 80112-3643	Western Athletic Conference	Jan. 2002*

#### **Division I-AA**

Name, Institution	Representing	Term Expires
Linda Bruno Commissioner Atlantic 10 Conference 2 Penn Center Plaza Philadelphia, Pennsylvania 19102	Atlantic 10 Conference	Jan. 2001*
Kelly Woodward Associate Director of Athletics Northern Arizona University Flagstaff, Arizona 86011	Big Sky Conference	Jan. 2002*
Jeffrey H. Orleans Executive Director Ivy Group 330 Alexander Street Princeton, New Jersey 08544	Ivy Group	Jan. 2004*
Richard J. Ensor Commissioner Metro Atlantic Athletic Conference 1090 Amboy Avenue Edison, New Jersey 08734-1634	Metro Atlantic Athletic Conference	Jan. 2003*
Alison Sexton Associate Director of Athletics Fairfield University Fairfield, Connecticut 06430-5195	Metro Atlantic Athletic Conference	Jan. 2002#

Name, Institution	Representing	Term Expires
Charles Harris Commissioner Mid-Eastern Athletic Conference 102 North Elm Street Greensboro, North Carolina 27402	Mid-Eastern Athletic Conference	Jan. 2004*
Marilyn A. McNeil Director of Athletics Monmouth University West Long Branch, New Jersey 07764	Northeast Conference	Jan. 2002
To Be Appointed	Ohio Valley Conference	
Carolyn Schlie Femovich Executive Director Patriot League 3897 Adler Place Bethlehem, Pennsylvania 18017	Patriot League	Jan. 2004*
Richard M. Ragan Director of Athletics College of the Holy Cross Worcester, Massachusetts 01610	Patriot League	Jan. 2004#
Alan Hauser Department Chair, Philosophy and Religion Appalachian State University Boone, North Carolina 28608	Southern Conference	Jan. 2001*
Gregory Sankey Commissioner Southland Conference 8150 North Central Expressway Dallas, Texas 75206	Southland Conference	Jan. 2001*
Rudy Washington Commissioner Southwestern Athletic Conference 1527 5th Avenue North Birmingham, Alabama 35203	Southwestern Athletic Conference	Jan. 2003*
Division	I-AAA	

Name, Institution	Representing	Term Expires
Edgar N. Johnson Director of Athletics University of Delaware Newark, Delaware 19716	America East Conference	Jan. 2002*
Chris Monasch Commissioner America East Conference 10 High Street Boston, Massachusetts 02110	America East Conference	Jan. 2002#
Thomas N. Hickman Director of Athletics Winthrop University Rock Hill, South Carolina 29733	Big South Conference	Jan. 2002*
Thomas O'Connor Director of Athletics George Mason University Fairfax, Virginia 22030	Colonial Athletic Conference	Jan. 2004*
Kathryn Berg Associate Director of Athletics Southern Utah University Cedar City, Utah 84720-2470	Mid-Continent Conference	Jan. 2004*
John C. Parry Director of Athletics Butler University Indianapolis, Indiana 46208-3485	Midwestern Collegiate Conference	Jan. 2004*
Alfreeda Goff Associate Commissioner Midwestern Collegiate Conference 201 South Capitol Avenue Indianapolis, Indiana 46225	Midwestern Collegiate Conference	Jan. 2004*
Andrea Myers Director of Athletics Indiana State University Terre Haute, Indiana 47809	Missouri Valley Conference	Jan. 2001*
Richard M. Mello Director of Athletics University of Arkansas Little Rock, Arkansas 72204-1099	Sun Belt Conference	Jan. 2003*

<sup>\*</sup> Not eligible for reselection to this position. # Not eligible for reselection by virtue of conference no longer having seat on Management Council.

Name, Institution
William C. Bibb
Commissioner
Trans America Athletic Conference
3370 Vineville Avenue
Macon, Georgia 31204-2332

To Be Appointed

Representing Term Expires
Trans America Jan. 2003\*
Athletic
Conference

West Coast Conference

## Division I Student-Athlete Advisory Committee Representatives

Name, Institution
Brian Dillon
University of the Pacific (California)
Bola Bamiduro
Columbia University-Barnard College

Representing
Big West
Conference
Ivy Group

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